

## M 348 HOMEWORK 3

ZUN CAO

1. Chapter 1.4 Ex # 4 Estimate  $e_{i+1}$  as in Exercise 3.

$$(a) 32x^3 - 32x^2 - 6x + 9 = 0; r = -\frac{1}{2}, r = \frac{3}{4} \quad (b) x^3 - x^2 - 5x - 3 = 0; r = -1, r = 3$$

**Solution.** (a) Let  $f(x) = 32x^3 - 32x^2 - 6x + 9$ . Differentiate  $f(x)$ , we get

$$f'(x) = 96x^2 - 64x - 6$$

$$f''(x) = 192x - 64$$

$$\text{At } r = -\frac{1}{2}, \quad f'(-\frac{1}{2}) = 50, \quad f''(-\frac{1}{2}) = -160.$$

$$\text{At } r = \frac{3}{4}, \quad f'(\frac{3}{4}) = 0, \quad f''(\frac{3}{4}) = 80.$$

Now consider  $r = -\frac{1}{2}$ . Since  $f'(-\frac{1}{2}) \neq 0$ , we can apply Theorem 1.11(Quadratic convergent).  $M$  can be calculate by this equation:

$$\begin{aligned} M &= \frac{f''(r)}{2f'(r)} \\ &= \frac{f''(-\frac{1}{2})}{2 \cdot f'(-\frac{1}{2})} \\ &= \frac{192(-\frac{1}{2}) - 64}{2 \cdot (96(-\frac{1}{2})^2 - 64(-\frac{1}{2}) - 6)} \\ &= \frac{-160}{2 \cdot 50} \\ &= -1.6 \end{aligned}$$

Applying the theorem,

$$\begin{aligned} e_{i+1} &= \left| \frac{f''(r)}{2f'(r)} \right| e_i^2 \\ &= |-1.6| e_i^2 \\ &= 1.6 e_i^2 \end{aligned}$$

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Date: 2/11/2024.

Then, let's consider  $r = \frac{3}{4}$ . Since  $f'(\frac{3}{4}) = 0$ , Theorem 1.11 cannot be used. Therefore, we try to use Theorem 1.12. Note that  $f''(\frac{3}{4}) = 192(\frac{3}{4}) - 64 = 80 \neq 0$ . Therefore, it is  $(2 + 1)$  times continuously differentiable function, and

$$e_{i+1} = \frac{m-1}{m}e_i = \frac{2-1}{2}e_i = \frac{1}{2}e_i$$

(b) Let  $x^3 - x^2 - 5x - 3$ . Differentiate  $f(x)$ , we get

$$f'(x) = 3x^2 - 2x - 5$$

$$f''(x) = 6x - 2$$

At  $r = -1$ ,  $f'(-1) = 0$ ,  $f''(-1) = -8$ .

At  $r = 3$ ,  $f'(3) = 16$ ,  $f''(3) = 16$ .

Now consider  $r = -1$ . Since  $f'(-1) = 0$ , we cannot apply Theorem 1.11(Quadratic convergent). So consider Theorem 1.12:

$$\begin{aligned} e_{i+1} &= \frac{m-1}{m}e_i \\ &= \frac{2-1}{2}e_i \\ &= \frac{1}{2}e_i \end{aligned}$$

Then, let's consider  $r = 3$ . Since  $f'(3) \neq 0$ , Theorem 1.11 can be used:

$$\begin{aligned} M &= \frac{f''(r)}{2f'(r)} \\ &= \frac{f''(3)}{2 \cdot f'(3)} \\ &= \frac{6 \cdot 3 - 2}{2 \cdot (3 \cdot 3^2 - 2 \cdot 3 - 5)} \\ &= \frac{1}{2} \end{aligned}$$

Applying the theorem,

$$\begin{aligned} e_{i+1} &= \left| \frac{f''(r)}{2f'(r)} \right| e_i^2 \\ &= \left| \frac{1}{2} \right| e_i^2 \\ &= \frac{1}{2} e_i^2 \end{aligned}$$

**2. Additional Problem 1** Find each fixed point and decide whether Fixed-Point Iteration is locally convergent to it. If convergent, find the rate.

$$(a)g_1(x) = \frac{4x}{x^2 + 3} \quad (b)g_2(x) = \frac{x^2 - 5x}{x^2 + x - 6}$$

**Solution.** (a) To find the fixed point, we let:

$$x = \frac{4x}{x^2 + 3}$$

Solving this equation, we get:

$$x = \frac{4x}{x^2 + 3}$$

$$1 = \frac{4}{x^2 + 3}$$

$$4 = x^2 + 3$$

$$x^2 = 1$$

$$x^* = 1, \quad x^* = -1, \quad x^* = 0$$

To test convergence, we need to find  $g'_1(x)$  and evaluate it at the fixed points. The condition for local convergence is  $|g'_1(x^*)| < 1$ . Note that:

$$g'_1(x^*) = -\frac{4(x^2 - 3)}{(x^2 + 3)^2}$$

When  $x^* = 1$ ,

$$|g'_1(x^*)| = -\frac{4(1 - 3)}{(1 + 3)^2} = \frac{1}{2}$$

When  $x^* = -1$ ,

$$|g'_1(x^*)| = -\frac{4(1 - 3)}{(1 + 3)^2} = \frac{1}{2}$$

When  $x^* = 0$ ,

$$|g'_1(x^*)| = -\frac{4(0 - 3)}{(0 + 3)^2} = \frac{4}{3}$$

Since when  $x^* = 1$  and  $x^* = -1$ ,  $|g'_1(x^*)| = \frac{1}{2} < 1$ , indicating local convergence with linear rate.

Since when  $x^* = 0$ ,  $|g'_1(x^*)| = \frac{4}{3} > 1$ , indicating that Fixed-Point Iteration is not locally convergent at this point.

(b) To find the fixed point, we let:

$$x = \frac{x^2 - 5x}{x^2 + x - 6}$$

Solving this equation, we get:

$$\begin{aligned}x &= \frac{x^2 - 5x}{x^2 + x - 6} \\1 &= \frac{x - 5}{x^2 + x - 6} \\x - 5 &= x^2 + x - 6 \\x^2 &= 1\end{aligned}$$

$$x^* = 1, \quad x^* = -1, \quad x^* = 0$$

To test convergence, we need to find  $g'_1(x)$  and evaluate it at the fixed points. The condition for local convergence is  $|g'_1(x^*)| < 1$ . Note that:

$$g'_1(x^*) = -\frac{6(x^2 - 2x + 5)}{(x^2 + x - 6)^2}$$

When  $x^* = 1$ ,

$$|g'_1(x^*)| = -\frac{6(1 - 2 \cdot 1 + 5)}{(1 + 1 - 6)^2} = \frac{3}{2}$$

When  $x^* = -1$ ,

$$|g'_1(x^*)| = -\frac{6(1 + 2 \cdot 1 + 5)}{(1 - 1 - 6)^2} = \frac{4}{3}$$

When  $x^* = 0$ ,

$$|g'_1(x^*)| = -\frac{6(0 + 5)}{(0 - 6)^2} = \frac{5}{6}$$

Since when  $x^* = 1$ ,  $|g'_1(x^*)| = \frac{3}{2} > 1$ , indicating that Fixed-Point Iteration is not locally convergent at this point.

Since when  $x^* = -1$ ,  $|g'_1(x^*)| = \frac{4}{3} > 1$ , indicating that Fixed-Point Iteration is not locally convergent at this point.

Since when  $x^* = 0$ ,  $|g'_1(x^*)| = \frac{5}{6} < 1$ , indicating local convergence at this point.

**3. Additional Problem 2** Let  $f(x) = -4x^4 + 6x^2 + A$  for some constant  $A$ . What value of  $A$  should be chosen so that if  $x_0 = 1/2$  is the initial approximation, the Newton method produces the sequence  $x_1 = -x_0, x_2 = x_0, x_3 = -x_0, \dots$ ?

**Solution.** The Newton Method for finding roots says that:

$$x_{i+1} = x_i - \frac{f(x_i)}{f'(x_i)}$$

Given the function  $f(x) = -4x^4 + 6x^2 + A$ , we can derive that:

$$f'(x) = -16x^3 + 12x$$

Since we want to let the Newton Method produces  $x_1 = -x_0$ , we apply the Newton Method with  $x_0 = \frac{1}{2}$ :

$$f\left(\frac{1}{2}\right) = \frac{5}{4} + A$$

$$f'\left(\frac{1}{2}\right) = 4$$

$$x_1 = x_0 - \frac{f(x_0)}{f'(x_0)}$$

$$-x_0 = x_0 - \frac{f(x_0)}{f'(x_0)}$$

$$2x_0 = \frac{f(x_0)}{f'(x_0)}$$

$$2 \cdot \frac{1}{2} = \frac{f\left(\frac{1}{2}\right)}{f'\left(\frac{1}{2}\right)}$$

$$1 = \frac{\frac{5}{4} + A}{4}$$

$$A = \frac{11}{4}$$

Check that if  $A = \frac{11}{4}$  satisfies  $x_2 = x_0$ :

$$x_1 = -x_0 = -\frac{1}{2}$$

$$x_2 = x_1 - \frac{f(x_1)}{f'(x_1)}$$

$$x_2 = -\frac{1}{2} - \frac{f\left(-\frac{1}{2}\right)}{f'\left(-\frac{1}{2}\right)}$$

$$x_2 = -\frac{1}{2} - \frac{4}{-4}$$

$$x_2 = \frac{1}{2} = x_0$$

Therefore, the value of  $A$  that should be chosen is  $\frac{11}{4}$ .

**4. Additional Problem 3** Consider the function  $f(x) = 54x^6 + 45x^5 - 102x^4 - 69x^3 + 35x^2 + 16x - 4$  on the interval  $[-2, 2]$ .

- (a) Apply Newton's method (use `newton_err`) to find all roots of the function to 6 correct decimal places. Please refer to Notes About Correct Decimal Places in Code page.

Report for each root:

- the initial guess and tolerance used;
  - the solution with 6 correct decimal places;
  - the number of iterations needed;
  - the sequence of iterates  $x_i$ , the error  $e_i$ , and the error ratios  $r_q = e_i/e_{i-1}^2$  and  $r_l = e_i/e_{i-1}$  (Modify the code to calculate and print these ratios for each iteration.)
- (b) Determine the convergence (quadratic or linear) to each of the roots. Compare the limit of the ratios in (a) with the expected value  $M$  from Theorem 1.11 or  $S$  from Theorem 1.12.

Recall that, for a simple root, Newton's method should converge quadratically. If you observe slower convergence, use the error ratio to decide on the root multiplicity (Theorem 1.12) (you can also find this analytically) and then apply the modified Newton's method. Print out the same information as for the classic Newton's method. NOTE: This means that for a simple root with slower convergence, you should report two sets of information: one for classic Newton's method and one for modified Newton's method.

**Solution.** (a) By calculator, we can find five true roots.

**Root1(X\_true):** -0.666667

- initial guess = -1, tolerance = 1e-6
- solution = -0.666667
- The number of iterations is 19
- errors = [3.33333000e-01 1.45833000e-01 7.54282411e-02 3.88421203e-02 1.97833741e-02 9.99436679e-03 5.02444632e-03 2.51914282e-03 1.26120591e-03 6.30890346e-04 3.15392494e-04 1.57558137e-04 7.86195485e-05 3.91448951e-05 1.94062280e-05 9.53655851e-06 4.60164015e-06 2.13416323e-06 9.00418211e-07 2.83551003e-07]

Linear error ratios `r_l`: [0. 0.51722341 0.51495461 0.50932786 0.5051902 0.50272783 0.5013772 0.50064883 0.50022787 0.4999165 0.4995621 0.49898755 0.49790282

```

C:\Users\13404\AppData\Local\Programs\Python\Python310\python.exe C:\Users\13404\Desktop\newton2.py
Solve the problem f(x)=0 using Newton's method
Enter guess at root: -1
Enter tolerance: 1e-6
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Guess: x=-1, error=0.333333
Iter 1: x= -0.8125, dx= 0.1875, error = 0.145833, r_l = 0, r_q = 0
Iter 2: x= -0.742095241075, dx= 0.0704047589251, error = 0.0754282410749, r_l = 0.517223406738, r_q = 0
Iter 3: x= -0.705509120304, dx= 0.0365861207712, error = 0.0388421203037, r_l = 0.514954607852, r_q = 6.8270796258
Iter 4: x= -0.686450374126, dx= 0.0190587461775, error = 0.0197833741261, r_l = 0.509327862935, r_q = 13.1127718815
Iter 5: x= -0.676661366785, dx= 0.00978900734098, error = 0.00999436678516, r_l = 0.505190202714, r_q = 25.5360991251
Iter 6: x= -0.671691446315, dx= 0.00496992046977, error = 0.005024463154, r_l = 0.502727828926, r_q = 50.3011185933
Iter 7: x= -0.669186142818, dx= 0.00250530349722, error = 0.00251914281818, r_l = 0.50137719861, r_q = 99.7875521275
Iter 8: x= -0.667928205914, dx= 0.00125793690446, error = 0.00126120591372, r_l = 0.500648833651, r_q = 198.737773039
Iter 9: x= -0.667297890346, dx= 0.000630315568191, error = 0.000630890345531, r_l = 0.500227868159, r_q = 396.626643371
Iter 10: x= -0.666982392494, dx= 0.000315497851186, error = 0.000315392494345, r_l = 0.499916501464, r_q = 792.398401728
Iter 11: x= -0.666824558137, dx= 0.00015783435723, error = 0.000157558137115, r_l = 0.499562101001, r_q = 1583.93782337
Iter 12: x= -0.666745619549, dx= 7.89385886084e-05, error = 7.86195485065e-05, r_l = 0.498987548001, r_q = 3167.00588835
Iter 13: x= -0.666706144895, dx= 3.94746533701e-05, error = 3.91448951365e-05, r_l = 0.497902822899, r_q = 6333.06642378
Iter 14: x= -0.666686406228, dx= 1.97386671228e-05, error = 1.94062280137e-05, r_l = 0.495753736113, r_q = 12664.5820454
Iter 15: x= -0.666676536559, dx= 9.86966950523e-06, error = 9.53655850844e-06, r_l = 0.491417420311, r_q = 25322.6654848
Iter 16: x= -0.66667160164, dx= 4.93491835497e-06, error = 4.60164015348e-06, r_l = 0.482526285495, r_q = 50597.5279308
Iter 17: x= -0.666669134163, dx= 2.46747692694e-06, error = 2.13416322659e-06, r_l = 0.463783163265, r_q = 100786.490859
Iter 18: x= -0.666667900418, dx= 1.23374501513e-06, error = 9.00418211436e-07, r_l = 0.421906909565, r_q = 197691.959223
The root is -0.666667
The number of iterations is 19
errors = [3.33333000e-01 1.45833000e-01 7.54282411e-02 3.88421203e-02
 1.97833741e-02 9.99436679e-03 5.02444632e-03 2.51914282e-03
 1.26120591e-03 6.30890346e-04 3.15392494e-04 1.57558137e-04
 7.86195485e-05 3.91448951e-05 1.94062280e-05 9.53655851e-06
 4.60164015e-06 2.13416323e-06 9.00418211e-07 2.83551003e-07]
Linear error ratios r_l: [0. 0.51722341 0.51495461 0.50932786 0.5051902 0.50272783
 0.5013772 0.50064883 0.50022787 0.4999165 0.4995621 0.49898755
 0.49790282 0.49575374 0.49141742 0.48252629 0.46378316 0.42190691]
Quadratic error ratios r_q: [0.00000000e+00 6.82707963e+00 1.31127719e+01 2.55360991e+01
 5.03011186e+01 9.97875521e+01 1.98737773e+02 3.96626643e+02
 7.92398402e+02 1.58393782e+03 3.16700589e+03 6.33306642e+03
 1.26645820e+04 2.53226655e+04 5.05975279e+04 1.00786491e+05
 1.97691959e+05]

```

0.49575374 0.49141742 0.48252629 0.46378316 0.42190691]

Quadratic error ratios r\_q: [0.00000000e+00 6.82707963e+00 1.31127719e+01  
2.55360991e+01 5.03011186e+01 9.97875521e+01 1.98737773e+02 3.96626643e+02  
7.92398402e+02 1.58393782e+03 3.16700589e+03 6.33306642e+03 1.26645820e+04  
2.53226655e+04 5.05975279e+04 1.00786491e+05 1.97691959e+05]

```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe C:\Users\13464\Desktop\newton2.py
Solve the problem f(x)=0 using Newton's method
Enter guess at root: 0.8
Enter tolerance: 1e-6
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Guess: x=0.8, error=0.3
Iter 1: x= 0.560642092747, dx= -0.239357907253, error = 0.0606420927467, r_l = 0, r_q = 0
Iter 2: x= 0.510196211467, dx= -0.05044588128, error = 0.0101962114667, r_l = 0.168137526344, r_q = 0
Iter 3: x= 0.500400032866, dx= -0.00979617860073, error = 0.000400032866017, r_l = 0.0392334807219, r_q = 3.84784886523
Iter 4: x= 0.500000663416, dx= -0.000399369449636, error = 6.63416381053e-07, r_l = 0.00165840468974, r_q = 4.1456710951
The root is 0.500000
The number of iterations is 5
errors = [3.00000000e-01 6.06420927e-02 1.01962115e-02 4.00032866e-04
 6.63416381e-07 1.83042470e-12]
Linear error ratios r_l: [0.      0.16813753 0.03923348 0.0016584 ]
Quadratic error ratios r_q: [0.      3.84784887 4.1456711 ]

Process finished with exit code 0

```

## Root2: 0.5

- initial guess = 0.8, tolerance = 1e-6
- solution = 0.500000
- The number of iterations is 5
- errors = [3.00000000e-01 6.06420927e-02 1.01962115e-02 4.00032866e-04 6.63416381e-07 1.83042470e-12]
- Linear error ratios r\_l: [0. 0.16813753 0.03923348 0.0016584 ]
- Quadratic error ratios r\_q: [0. 3.84784887 4.1456711 ]



```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe C:\Users\13464\Desktop\newton2.py
Solve the problem f(x)=0 using Newton's method
Enter guess at root: -1.5
Enter tolerance: 1e-6
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Guess: x=-1.5, error=0.118702
Iter 1: x= -1.41859737007, dx= 0.0814026299311, error = 0.0372993700689, r_l = 0, r_q = 0
Iter 2: x= -1.38633173912, dx= 0.0322656309525, error = 0.00503373911639, r_l = 0.134955070477, r_q = 0
Iter 3: x= -1.3814057834, dx= 0.00492595571655, error = 0.000107783399846, r_l = 0.0214121942662, r_q = 4.25373539849
Iter 4: x= -1.38129853212, dx= 0.000107251283316, error = 5.32116529284e-07, r_l = 0.00493690614739, r_q = 45.803956402
The root is -1.381298
The number of iterations is 5
errors = [1.18702000e-01 3.72993701e-02 5.03373912e-03 1.07783400e-04
5.32116529e-07 4.82044006e-07]
Linear error ratios r_l: [0. 0.13495507 0.02141219 0.00493691]
Quadratic error ratios r_q: [ 0. 4.2537354 45.8039564]

Process finished with exit code 0

```

**Root3:**  $-1.381298$

- initial guess =  $-1.5$ , tolerance =  $1e-6$
- solution =  $-1.381298$
- The number of iterations is 5
- errors =  $[1.18702000e-01\ 3.72993701e-02\ 5.03373912e-03\ 1.07783400e-04\ 5.32116529e-07\ 4.82044006e-07]$
- Linear error ratios r\_l:  $[0.\ 0.13495507\ 0.02141219\ 0.00493691]$
- Quadratic error ratios r\_q:  $[0.\ 4.2537354\ 45.8039564]$

```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe C:\Users\13464\Desktop\newton2.py
Solve the problem f(x)=0 using Newton's method
Enter guess at root: 0
Enter tolerance: 1e-6
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Guess: x=0, error=0.205183
Iter 1: x= 0.25, dx= 0.25, error = 0.044817, r_l = 0, r_q = 0
Iter 2: x= 0.200069832402, dx= -0.0499301675978, error = 0.00511316759777, r_l = 0.11408991226, r_q = 0
Iter 3: x= 0.205146099616, dx= 0.00507626721413, error = 3.69003836334e-05, r_l = 0.00721673657822, r_q = 1.41140231378
Iter 4: x= 0.205182922659, dx= 3.68230427352e-05, error = 7.73408981858e-08, r_l = 0.00209593750987, r_q = 56.7998839983
The root is 0.205183
The number of iterations is 5
errors = [2.05183000e-01 4.48170000e-02 5.11316760e-03 3.69003836e-05
7.73408982e-08 7.53109524e-08]
Linear error ratios r_l: [0.      0.11408991 0.00721674 0.00209594]
Quadratic error ratios r_q: [ 0.      1.41140231 56.799884 ]

Process finished with exit code 0

```

**Root4:** 0.205183

- initial guess = 0, tolerance = 1e-6
- solution = 0.205183
- The number of iterations is 5
- errors = [2.05183000e-01 4.48170000e-02 5.11316760e-03 3.69003836e-05 7.73408982e-08 7.53109524e-08]
- Linear error ratios r\_l: [0. 0.11408991 0.00721674 0.00209594]
- Quadratic error ratios r\_q: [ 0. 1.41140231 56.799884 ]

```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe C:\Users\13464\Desktop\newton2.py
Solve the problem f(x)=0 using Newton's method
Enter guess at root: 2
Enter tolerance: 1e-6
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Guess: x=2, error=0.823884
Iter 1: x= 1.71291866029, dx= -0.287081339713, error = 0.536802660287, r_l = 0, r_q = 0
Iter 2: x= 1.49139793671, dx= -0.221520723581, error = 0.315281936706, r_l = 0.587333036944, r_q = 0
Iter 3: x= 1.33095570355, dx= -0.160442233152, error = 0.154839703554, r_l = 0.491115048238, r_q = 1.55770119078
Iter 4: x= 1.23014796089, dx= -0.100807742664, error = 0.0540319608897, r_l = 0.348954174217, r_q = 2.25364790947
Iter 5: x= 1.18528473347, dx= -0.0448632274172, error = 0.00916873347247, r_l = 0.169690925917, r_q = 3.14056575261
Iter 6: x= 1.17643597213, dx= -0.00884876134134, error = 0.000319972131135, r_l = 0.0348981821858, r_q = 3.80621623374
Iter 7: x= 1.17611596586, dx= -0.000320006274499, error = 3.41433639139e-08, r_l = 0.000106707305392, r_q = 0.333489373007
The root is 1.176116
The number of iterations is 8
errors = [8.23884000e-01 5.36802660e-01 3.15281937e-01 1.54839704e-01 5.40319609e-02 9.16873347e-03 3.19972131e-04 3.41433639e-08 4.42644388e-07]
Linear error ratios r_l: [0.00000000e+00 5.87333037e-01 4.91115048e-01 3.48954174e-01 1.69690926e-01 3.48981822e-02 1.06707305e-04]
Quadratic error ratios r_q: [0. 1.55770119 2.25364791 3.14056575 3.80621623 0.33348937]
Process finished with exit code 0

```

**Root5:** 1.176116

- initial guess = 2, tolerance = 1e-6
- solution = 1.176116
- The number of iterations is 8
- errors = [8.23884000e-01 5.36802660e-01 3.15281937e-01 1.54839704e-01 5.40319609e-02 9.16873347e-03 3.19972131e-04 3.41433639e-08 4.42644388e-07]

Linear error ratios r\_l: [0.00000000e+00 5.87333037e-01 4.91115048e-01 3.48954174e-01 1.69690926e-01 3.48981822e-02 1.06707305e-04]

Quadratic error ratios r\_q: [0. 1.55770119 2.25364791 3.14056575 3.80621623 0.33348937]

Given the detailed solution and referencing Theorem 1.11 and Theorem 1.12, we analyze the convergence behavior for each root and compare the observed error ratios to the expected theoretical values.

- (b) • **Theorem 1.11** states that if  $f$  is twice continuously differentiable and  $f(r) = 0$  with  $f'(r) \neq 0$ , then Newton's Method is locally and quadratically convergent to  $r$ . The quadratic convergence rate ( $M$ ) is defined by  $\lim_{i \rightarrow \infty} \frac{e_{i+1}}{e_i^2} = M$ , where  $M = \frac{f''(r)}{2f'(r)}$ .

- **Theorem 1.12** addresses the case where the root  $r$  of  $f$  has multiplicity  $m > 1$ . It states that Newton's Method is locally convergent to  $r$ , with the linear convergence rate ( $S$ ) given by  $\lim_{i \rightarrow \infty} \frac{e_{i+1}}{e_i} = S$ , where  $S = \frac{m-1}{m}$ .

**Analysis and Calculations.** For the function  $f(x) = 54x^6 + 45x^5 - 102x^4 - 69x^3 + 35x^2 + 16x - 4$ , the derivatives are:

$$f'(x) = 324x^5 + 225x^4 - 408x^3 - 207x^2 + 70x + 16,$$

$$f''(x) = 1620x^4 + 900x^3 - 1224x^2 - 414x + 70.$$

**Calculation for Root 1:**  $-0.666667$ . Substituting  $x = -0.666667$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(-0.666667)}{2f'(-0.666667)}$$

$$M \rightarrow \infty$$

$$S = \frac{m-1}{m} = \frac{2-1}{2} = \frac{1}{2}$$

Since we observe slower convergence,  $S = \frac{1}{2}$ , we need to use modified newton function to solve this. Specifically, the modified code generate:

Iter 1: x= -0.625, dx= 0.375, error = 0.041667, r\_l = 0, r\_q = 0

Iter 2: x= -0.66785079929, dx= -0.0428507992895, error = 0.00118379928952, r\_l = 0.0284109556608, r\_q = 0

Iter 3: x= -0.666667467024, dx= 0.00118333226588, error = 4.67023645312e-07, r\_l = 0.000394512523741, r\_q = 0.333259638888

The root is -0.666667

The number of iterations is 4

errors = [3.33333000e-01 4.16670000e-02 1.18379929e-03 4.67023645e-07 3.33390747e-07]

Linear error ratios r\_l: [0. 0.02841096 0.00039451]

Quadratic error ratios r\_q: [0. 0.33325964]

Since  $M \rightarrow \infty$  and  $S = 0$ , it is linear convergence.

```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe C:\Users\13464\Desktop\newton2.py
Solve the problem f(x)=0 using Newton's method
Enter guess at root: -1
Enter tolerance: 1e-6
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Guess: x=-1, error=0.333333
Iter 1: x= -0.625, dx= 0.375, error = 0.041667, r_l = 0, r_q = 0
Iter 2: x= -0.66785079929, dx= -0.0428507992895, error = 0.00118379928952, r_l = 0.0284109556608, r_q = 0
Iter 3: x= -0.666667467024, dx= 0.00118333226588, error = 4.67023645312e-07, r_l = 0.000394512523741, r_q = 0.333259638888
The root is -0.666667
The number of iterations is 4
errors = [3.33333000e-01 4.16670000e-02 1.18379929e-03 4.67023645e-07
 3.33390747e-07]
Linear error ratios r_l: [0.      0.02841096 0.00039451]
Quadratic error ratios r_q: [0.      0.33325964]

Process finished with exit code 0

```

**Calculation for Root 2: 0.5.** Substituting  $x = 0.5$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(0.5)}{2f'(0.5)}$$

$$M = \frac{-229.25}{-27.5625 \cdot 2} \rightarrow 4.158730$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $M < \infty$  and  $S = 0$ , this is quadratic convergence. We observe that the generated  $r_q = 4.1456711$ , which is close to the  $M$  we calculated 4.158730.

**Calculation for Root 3: -1.381298.** Substituting  $x = -0.666667$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(-1.381298)}{2f'(-1.381298)}$$

$$M = \frac{1831.99330298}{-210.499160747 \cdot 2} \rightarrow -4.351545$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $M < \infty$  and  $S = 0$ , this is quadratic convergence. We observe that the generated  $r_q = 4.2537354$ , which is close to the  $M$  we calculated 4.158730.

**Calculation for Root 4: 0.205183.** Substituting  $x = 0.205183$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(0.205183)}{2f'(0.205183)}$$

$$M = \frac{-55.8305312562}{18.6403265585 \cdot 2} \rightarrow -1.457974$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $M < \infty$  and  $S = 0$ , this is quadratic convergence. We observe that the generated  $r_q = 1.41140231$ , which is close to the  $M$  we calculated -1.457974.

**Calculation for Root 5:**  $r = 1.176116$ . Substituting  $x = 1.176116$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(1.176116)}{2f'(1.176116)}$$

$$M = \frac{2453.83771527}{307.860799265 \cdot 2} \rightarrow 3.985304$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $M < \infty$  and  $S = 0$ , this is quadratic convergence. We observe that the generated  $r_q = 3.80621623$ , which is close to the  $M$  we calculated -1.457974.

**5. Additional Problem 4** Consider the function in AP3.

(a) Apply Newton-Bisection Method (use `newtonBisection_err`) to find all roots of the function to 6 correct decimal places. Please refer to Notes About Correct Decimal Places in Code page.

- the initial guess and tolerance used;
- the solution with 6 correct decimal places;
- the number of iterations needed;
- the sequence of iterates  $x_i$ , the error  $e_i$ , and the error ratios  $r_q = e_i/e_{i-1}^2$  and  $r_l = e_i/e_{i-1}$  (Modify the code to calculate and print these ratios for each iteration.)

(b) Determine the convergence (quadratic, superlinear, or linear) to each of the roots.

**Solution.** (a) **Root1(X\_true):** -0.666667

- initial guess a = -1.5, b = 0.2, tolerance = 5e-7
- solution = -0.666667
- The number of iterations is 15
- errors = [1.66666667e-02 8.24780574e-03 4.10368670e-03 2.04692639e-03 1.02225059e-03 5.10824194e-04 2.55337077e-04 1.27649815e-04 6.38202307e-05 3.19089466e-05 1.59541821e-05 7.97701649e-06 3.98849237e-06 1.99424606e-06 9.97115497e-07 4.98538067e-07]

Linear error ratios `r_l`: [0.49486834 0.4975489 0.49880182 0.4994076 0.49970545 0.49985314 0.49992667 0.49996336 0.49998169 0.49999087 0.49999533 0.49999801 0.49999997 0.49999622 0.49998026]

Quadratic error ratios `r_q`: [2.96921007e+01 6.03250026e+01 1.21549683e+02 2.43979267e+02 4.88828726e+02 9.78522833e+02 1.95790865e+03 3.91667911e+03 7.83421935e+03 1.56693006e+04 3.13394522e+04 6.26798265e+04 1.25360643e+05 2.50719423e+05 5.01426628e+05]

Superlinear error ratios `r_sl` [0.00000000e+00 2.98529342e+01 6.04769115e+01 1.21697302e+02 2.44124780e+02 4.88973196e+02 9.78666784e+02 1.95805235e+03 3.91682265e+03 7.83436332e+03 1.56694401e+04 3.13396204e+04 6.26800722e+04 1.25359704e+05 2.50711420e+05]

```

Enter initial function.
Monitor iterations? (1/0): 1
Interval = [-1.500000,0.200000], guess x = -0.650000, error = 0.016667
Iter 1: x= -0.658418860924, dx= -0.00841886092367, error = 0.00824780574299, interval = [-1.5,-0.658418860924], Newton? 1
Iter 2: x= -0.662562979968, dx= -0.00414411904445, error = 0.00410368669855, interval = [-1.5,-0.662562979968], Newton? 1
Iter 3: x= -0.664619740282, dx= -0.00205676031347, error = 0.00204692638508, interval = [-1.5,-0.664619740282], Newton? 1
Iter 4: x= -0.665644416077, dx= -0.00102467579499, error = 0.00102225059009, interval = [-1.5,-0.665644416077], Newton? 1
Iter 5: x= -0.666155842472, dx= -0.000511426395616, error = 0.000510824194478, interval = [-1.5,-0.666155842472], Newton? 1
Iter 6: x= -0.66641132959, dx= -0.000255487117927, error = 0.000255337076551, interval = [-1.5,-0.66641132959], Newton? 1
Iter 7: x= -0.666539016852, dx= -0.000127687261714, error = 0.000127649814837, interval = [-1.5,-0.666539016852], Newton? 1
Iter 8: x= -0.666602846436, dx= -6.38295841522e-05, error = 6.38202306846e-05, interval = [-1.5,-0.666602846436], Newton? 1
Iter 9: x= -0.66663475772, dx= -3.19112841313e-05, error = 3.19089465534e-05, interval = [-1.5,-0.66663475772], Newton? 1
Iter 10: x= -0.666650712485, dx= -1.59547644749e-05, error = 1.59541820784e-05, interval = [-1.5,-0.666650712485], Newton? 1
Iter 11: x= -0.66665868965, dx= -7.97716558743e-06, error = 7.97701649102e-06, interval = [-1.5,-0.66665868965], Newton? 1
Iter 12: x= -0.666662678174, dx= -3.98852412398e-06, error = 3.98849236705e-06, interval = [-1.5,-0.666662678174], Newton? 1
Iter 13: x= -0.666664672421, dx= -1.99424630465e-06, error = 1.9942460624e-06, interval = [-1.5,-0.666664672421], Newton? 1
Iter 14: x= -0.666665669551, dx= -9.97130565339e-07, error = 9.97115497059e-07, interval = [-1.5,-0.666665669551], Newton? 1
Iter 15: x= -0.666666168129, dx= -4.98577429897e-07, error = 4.98538067162e-07, interval = [-1.5,-0.666666168129], Newton? 1
The root is -0.666666.
The number of iterations is 15
errors = [1.6666667e-02 8.24780574e-03 4.10368670e-03 2.04692639e-03
1.02225059e-03 5.10824194e-04 2.55337077e-04 1.27649815e-04
6.38202307e-05 3.19089466e-05 1.59541821e-05 7.97701649e-06
3.98849237e-06 1.99424606e-06 9.97115497e-07 4.98538067e-07]
Linear error ratios r_l: [0.49486834 0.4975489 0.49880182 0.4994076 0.49970545 0.49985314
0.49992667 0.49996336 0.49998169 0.49999087 0.49999533 0.49999801
0.49999997 0.49999622 0.49998026]
Quadratic error ratios r_q: [2.96921007e+01 6.03250026e+01 1.21549683e+02 2.43979267e+02
4.88828726e+02 9.78522833e+02 1.95790865e+03 3.91667911e+03
7.83421935e+03 1.56693006e+04 3.13394522e+04 6.26798265e+04
1.25360643e+05 2.50719423e+05 5.01426628e+05]
Superlinear error ratios r_sl [0.00000000e+00 2.98529342e+01 6.04769115e+01 1.21697302e+02
2.44124780e+02 4.88973196e+02 9.78666784e+02 1.95805235e+03
3.91682265e+03 7.83436332e+03 1.56694401e+04 3.13396204e+04
6.26800722e+04 1.25359704e+05 2.50711420e+05]

```



```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe "C:\Users\13464\Desktop\newtonBisection (1).py"
Solve the problem f(x)=0 on interval [a,b] using Newton-Bisection method
Enter a: 0.3
Enter b: 1
Enter tolerance: 5e-7
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Interval = [0.300000,1.000000], guess x = 0.650000, error = 0.150000
Iter 1: x= 0.539200874286, dx= -0.110799125714, error = 0.0392008742858, interval = [0.3,0.539200874286], Newton? 1
Iter 2: x= 0.504851571098, dx= -0.0343493031873, error = 0.00485157109849, interval = [0.3,0.504851571098], Newton? 1
Iter 3: x= 0.500094275397, dx= -0.00475729570174, error = 9.42753967551e-05, interval = [0.3,0.500094275397], Newton? 1
Iter 4: x= 0.500000036935, dx= -9.42384619975e-05, error = 3.69347575857e-08, interval = [0.3,0.500000036935], Newton? 1
Iter 5: x= 0.5, dx= -3.69347518125e-08, error = 5.77315972805e-15, interval = [0.3,0.5], Newton? 1
The root is 0.500000.
The number of iterations is 5
errors = [1.50000000e-01 3.92008743e-02 4.85157110e-03 9.42753968e-05 3.69347576e-08 5.77315973e-15]
Linear error ratios r_l: [2.61339162e-01 1.23761809e-01 1.94319314e-02 3.91775149e-04 1.56306961e-07]
Quadratic error ratios r_q: [1.74226108 3.15711858 4.00528633 4.15564572 4.23197475]
Superlinear error ratios r_sl: [0. 0.82507872 0.49570148 0.08075222 0.00165798]

Process finished with exit code 0

```

## Root2: 0.5

- initial guess  $a = 0.3$ ,  $b = 1$ , tolerance =  $5e-7$
- solution = 0.500000
- The number of iterations is 5
- errors = [1.50000000e-01 3.92008743e-02 4.85157110e-03 9.42753968e-05 3.69347576e-08 5.77315973e-15]
- Linear error ratios r\_l: [2.61339162e-01 1.23761809e-01 1.94319314e-02 3.91775149e-04 1.56306961e-07]
- Quadratic error ratios r\_q: [1.74226108 3.15711858 4.00528633 4.15564572 4.23197475]
- Superlinear error ratios r\_sl: [0. 0.82507872 0.49570148 0.08075222 0.00165798]

```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe "C:\Users\13464\Desktop\newtonBisection (1).py"
Solve the problem f(x)=0 on interval [a,b] using Newton-Bisection method
Enter a: -2
Enter b: -1
Enter tolerance: 5e-7
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Interval = [-2.000000,-1.000000], guess x = -1.500000, error = 0.118702
Iter 1: x= -1.41859737007, dx= 0.0814026299311, error = 0.0372993700689, interval = [-1.41859737007,-1], Newton? 1
Iter 2: x= -1.38633173912, dx= 0.0322656309525, error = 0.00503373911639, interval = [-1.38633173912,-1], Newton? 1
Iter 3: x= -1.3814057834, dx= 0.00492595571655, error = 0.000107783399846, interval = [-1.3814057834,-1], Newton? 1
Iter 4: x= -1.38129853212, dx= 0.000107251283316, error = 5.32116529284e-07, interval = [-1.38129853212,-1], Newton? 1
Iter 5: x= -1.38129848204, dx= 5.00725236829e-08, error = 4.82044005601e-07, interval = [-1.38129848204,-1], Newton? 1
The root is -1.381298.
The number of iterations is 5
errors = [1.18702000e-01 3.72993701e-02 5.03373912e-03 1.07783400e-04 5.32116529e-07 4.82044006e-07]
Linear error ratios r_l: [0.31422697 0.13495507 0.02141219 0.00493691 0.90589933]
Quadratic error ratios r_q: [2.64719190e+00 3.61815951e+00 4.25373540e+00 4.58039564e+01 1.70244538e+06]
Superlinear error ratios r_sl: [0.00000000e+00 1.13692331e+00 5.74063160e-01 9.80763213e-01 8.40481306e+03]

Process finished with exit code 0

```

**Root3:**  $-1.381298$

- initial guess  $a = -2$ ,  $b = -1$ , tolerance =  $5e-7$
- solution =  $-1.381298$
- The number of iterations is 5
- errors =  $[1.18702000e-01 \ 3.72993701e-02 \ 5.03373912e-03 \ 1.07783400e-04 \ 5.32116529e-07 \ 4.82044006e-07]$

Linear error ratios  $r_l$ :  $[0.31422697 \ 0.13495507 \ 0.02141219 \ 0.00493691 \ 0.90589933]$

Quadratic error ratios  $r_q$ :  $[2.64719190e+00 \ 3.61815951e+00 \ 4.25373540e+00 \ 4.58039564e+01 \ 1.70244538e+06]$

Superlinear error ratios  $r_{sl}$ :  $[0.00000000e+00 \ 1.13692331e+00 \ 5.74063160e-01 \ 9.80763213e-01 \ 8.40481306e+03]$

```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe "C:\Users\13464\Desktop\newtonBisection (1).py"
Solve the problem f(x)=0 on interval [a,b] using Newton-Bisection method
Enter a: 0
Enter b: 0.5
Enter tolerance: 5e-7
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Interval = [0.000000,0.500000], guess x = 0.250000, error = 0.044817
Iter 1: x= 0.200069832402, dx= -0.0499301675978, error = 0.00511316759777, interval = [0.200069832402,0.25], Newton? 1
Iter 2: x= 0.205146099616, dx= 0.00507626721413, error = 3.69003836334e-05, interval = [0.205146099616,0.25], Newton? 1
Iter 3: x= 0.205182922659, dx= 3.68230427352e-05, error = 7.73408981858e-08, interval = [0.205182922659,0.25], Newton? 1
Iter 4: x= 0.205182924689, dx= 2.02994576703e-09, error = 7.53109524188e-08, interval = [0.205182924689,0.25], Newton? 1
The root is 0.205183.
The number of iterations is 4
errors = [4.48170000e-02 5.11316760e-03 3.69003836e-05 7.73408982e-08 7.53109524e-08]
Linear error ratios r_l: [0.11408991 0.00721674 0.00209594 0.97375327]
Quadratic error ratios r_q: [2.54568383e+00 1.41140231e+00 5.67998840e+01 1.25904055e+07]
Superlinear error ratios r_sl: [0.00000000e+00 1.61026766e-01 4.09909800e-01 2.63887031e+04]

Process finished with exit code 0

```

**Root4:** 0.205183

- initial guess  $a = 0$ ,  $b = 0.5$ , tolerance =  $5e-7$
- solution = 0.205183
- The number of iterations is 4
- errors = [4.48170000e-02 5.11316760e-03 3.69003836e-05 7.73408982e-08 7.53109524e-08]

Linear error ratios r\_l: [0.11408991 0.00721674 0.00209594 0.97375327]

Quadratic error ratios r\_q: [2.54568383e+00 1.41140231e+00 5.67998840e+01 1.25904055e+07]

Superlinear error ratios r\_sl: [0.00000000e+00 1.61026766e-01 4.09909800e-01 2.63887031e+04]

```

C:\Users\13464\AppData\Local\Programs\Python\Python310\python.exe "C:\Users\13464\Desktop\newtonBisection (1).py"
Solve the problem f(x)=0 on interval [a,b] using Newton-Bisection method
Enter a: 1
Enter b: 2
Enter tolerance: 5e-7
Enter maxIteration: 100
Monitor iterations? (1/0): 1
Interval = [1.000000,2.000000], guess x = 1.500000, error = 0.323884
Iter 1: x= 1.33686715707, dx= -0.163132842925, error = 0.160751607075, interval = [1,1.33686715707], Newton? 1
Iter 2: x= 1.23340618877, dx= -0.103460968308, error = 0.0572906387673, interval = [1,1.23340618877], Newton? 1
Iter 3: x= 1.18629406042, dx= -0.0471121283496, error = 0.0101785104176, interval = [1,1.18629406042], Newton? 1
Iter 4: x= 1.17650850608, dx= -0.00978555433473, error = 0.000392956082876, interval = [1,1.17650850608], Newton? 1
Iter 5: x= 1.17611617152, dx= -0.00039233456477, error = 6.21518105648e-07, interval = [1,1.17611617152], Newton? 1
Iter 6: x= 1.17611555736, dx= -6.1416165531e-07, error = 7.35645033778e-09, interval = [1,1.17611555736], Newton? 1
Iter 7: x= 1.17611555735, dx= -1.50301993074e-12, error = 7.35494731785e-09, interval = [1,1.17611555735], Newton? 1
The root is 1.176116.
The number of iterations is 7
errors = [3.23884450e-01 1.60751607e-01 5.72906388e-02 1.01785104e-02
 3.92956083e-04 6.21518106e-07 7.35645034e-09 7.35494732e-09]
Linear error ratios r_l: [0.49632394 0.35639232 0.17766446 0.03860644 0.00158165 0.01183626
 0.99979569]
Quadratic error ratios r_q: [1.53241053e+00 2.21703739e+00 3.10110804e+00 3.79293644e+00
 4.02499878e+00 1.90441125e+04 1.35907352e+08]
Superlinear error ratios r_sl: [0.00000000e+00 1.10036874e+00 1.10521110e+00 6.73870005e-01
 1.55390886e-01 3.01210777e+01 1.60863485e+06]
|
Process finished with exit code 0

```

**Root5:** 1.176116

- initial guess  $a = 1$ ,  $b = 2$ , tolerance =  $5e-7$
- solution = 1.176116
- The number of iterations is 7
- errors = [3.23884450e-01 1.60751607e-01 5.72906388e-02 1.01785104e-02 3.92956083e-04 6.21518106e-07 7.35645034e-09 7.35494732e-09]

Linear error ratios  $r_l$ : [0.49632394 0.35639232 0.17766446 0.03860644 0.00158165 0.01183626 0.99979569]

Quadratic error ratios  $r_q$ : [1.53241053e+00 2.21703739e+00 3.10110804e+00 3.79293644e+00 4.02499878e+00 1.90441125e+04 1.35907352e+08]

Superlinear error ratios  $r_{sl}$ : [0.00000000e+00 1.10036874e+00 1.10521110e+00 6.73870005e-01 1.55390886e-01 3.01210777e+01 1.60863485e+06]

- (b) **Calculation for Root 1:**  $-0.666667$  Substituting  $x = -0.666667$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(-0.666667)}{2f'(-0.666667)}$$

$$M \rightarrow \infty$$

$$S = \frac{m-1}{m} = \frac{2-1}{2} = \frac{1}{2}$$

Since  $r\_q = 5.01426628e+05$  and  $r\_l = 2.50711420e+05$  diverge to  $\infty$  and  $r\_l = 0.49998026 \approx 0.5$ , this is linear convergence.

**Calculation for Root 2: 0.5.** Substituting  $x = 0.5$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(0.5)}{2f'(0.5)}$$

$$M = \frac{-229.25}{-27.5625 \cdot 2} \rightarrow 4.158730$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $r\_sl = 0.00165798$  and  $r\_l = 1.56306961e-07$  converge 0,  $r\_q = 4.23197475 \approx 4.158730$ , this is quadratic convergence.

**Calculation for Root 3: -1.381298.** Substituting  $x = -0.666667$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(-1.381298)}{2f'(-1.381298)}$$

$$M = \frac{1831.99330298}{-210.499160747 \cdot 2} \rightarrow -4.351545$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $r\_sl = 9.80763213e-01$  and  $r\_l = 0.00493691$  converge to 0,  $r\_q = 4.25373540e+00 \approx -4.351545$ , this is quadratic convergence. (except the last several value in the array)

**Calculation for Root 4: 0.205183.** Substituting  $x = 0.205183$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(0.205183)}{2f'(0.205183)}$$

$$M = \frac{-55.8305312562}{18.6403265585 \cdot 2} \rightarrow -1.457974$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $r\_sl = 4.09909800e-01$  and  $r\_l = 0.00209594$  converge to 0,  $r\_q = 1.41140231e+00 \approx -4.351545$ , this is quadratic convergence. (except the last several value in the array)

**Calculation for Root 5:**  $r = 1.176116$ . Substituting  $x = 1.176116$  into the derivatives to calculate  $M$ :

$$M = \frac{f''(1.176116)}{2f'(1.176116)}$$

$$M = \frac{2453.83771527}{307.860799265 \cdot 2} \rightarrow 3.985304$$

$$S = \frac{m-1}{m} = \frac{1-1}{1} = 0$$

Since  $r_{sl} = 1.55390886e-01$  and  $r_l = 0.01183626$  converge to 0,  $r_q = 4.02499878e+00 \approx 3.985304$ , this is quadratic convergence. (except the last several value in the array)

## 1. Newton Method

```
#!/usr/bin/env python3
'''
```

NEWTON'S METHOD

Solves the problem  $f(x)=0$  using Newton's method. For a known true solution calculates errors.

The main function is newton:

```
[state,x,errors,itors] = newton(x0, tolerance, maxIteration, debug)
```

Inputs:

<code>x0</code>	The initial guess at the solution
<code>tolerance</code>	The convergence tolerance (must be $> 0$ ).
<code>maxIteration</code>	The maximum number of iterations that can be taken.
<code>debug</code>	Boolean to set debugging output

Outputs:

<code>x</code>	The solution
<code>errors</code>	Array with errors at each iteration
<code>iter</code>	number of iterations to convergence

Return:

<code>state</code>	An error status code.
<code>SUCCESS</code>	Successful termination.

WONT\_STOP      Error: Exceeded maximum number of iterations.  
 BAD\_ITERATE    Error: The function had a vanishing derivative

Remark: We assume that we known the two functions

f              The name of the function for which a root is sought  
 df             The name of th derivative of the function. The derivative  
                  of f must be computed by hand and coded correctly as df, or  
                  newton will not work!

'''

from numpy import zeros, abs

##### VARIABLES #####

SUCCESS = 0

WONT\_STOP = 1

BAD\_ITERATE = 2

x\_true = -0.666667

##### FUNCTIONS #####

# The function for which a root is sought

def f(x):

    return 54\*x\*\*6 + 45\*x\*\*5 - 102\*x\*\*4 - 69\*x\*\*3 + 35\*x\*\*2 + 16\*x - 4

# The name of th derivative of the function. The derivative of f must

# be computed by hand and coded correctly as df, or newton will not work!

def df(x):

    return 324\*x\*\*5 + 225\*x\*\*4 - 408\*x\*\*3 - 207\*x\*\*2 + 70\*x + 16

def newton(x0,TOL,MAX\_ITERS,debug):

    global x\_true, SUCCESS, WONT\_STOP, BAD\_ITERATE

    prec = 12

    eps = 1e-20

    # formatting string, this decides how output will look

    fmt = f"Iter %d: x= %.{prec}g, dx= %.{prec}g, error = %.{prec}g, r\_1 = %.{prec}g, r\_2 = %.{prec}g"

    errors = zeros(MAX\_ITERS+1)

```

ratios_l = zeros(MAX_ITERS)
ratios_q = zeros(MAX_ITERS)
x = x0
err = abs(x - x_true)
errors[0] = err
prev_error = err

if debug:
    print("Guess: x=%.8g, error=%.8g"%(x,err))

## Newton Loop
for itn in range(1,MAX_ITERS+1):
    dfx = df(x)
    if(abs(dfx) < eps):
        state = BAD_ITERATE
        iters = itn
        return state, x, errors[:itn], iters, ratios_l[:itn-1], ratios_q[:itn-2]

    dx = -f(x)/dfx
    # Use for Modified Netwon, multiplicity 2
    dx = 2*dx
    x += dx
    err = abs(x - x_true)
    errors[itn] = err

    # Check error tolerance
    if (abs(dx) <= TOL):
        iter = itn
        state = SUCCESS
        return state, x, errors[:itn+1], iter, ratios_l[:itn-1], ratios_q[:itn-2]

if itn > 1: # Ratios start from the second iteration
    ratios_l[itn - 1] = err / prev_error

```



```

        if itn > 2: # Quadratic ratios require at least two previous errors
            ratios_q[itn - 2] = err / (prev_error ** 2)

        prev_error = err

        if debug:
            print(fmt % (itn, x, dx, err, ratios_l[itn - 1] if itn > 1 else 0, ratios_q[itn - 1] if itn > 2 else 0))

    state = WONT_STOP
    iter = itn
    return state, x, errors[:itn], iter, ratios_l[:itn-1], ratios_q[:itn-2]

##### MAIN #####

###input
print("Solve the problem f(x)=0 using Newton's method")
x0 = float(input("Enter guess at root: "))
tol = float(input("Enter tolerance: "))
maxIter = int(input("Enter maxIteration: "))
debug = bool(input("Monitor iterations? (1/0): "))

### Solve
[s,x,errors,itors, ratios_l, ratios_q] = newton(x0,tol,maxIter,debug)
if s == SUCCESS:
    print(f"The root is {x:.6f}")
    print("The number of iterations is %d"%(itors))
    errors = errors[:itors+1]
    print("errors =",errors)
    print("Linear error ratios r_l:", ratios_l)
    print("Quadratic error ratios r_q:", ratios_q)
    exit()
elif s == WONT_STOP:

```

```

        print("ERROR: Failed to converge in %d iterations!"%(maxIter))
elif s == BAD_ITERATE:
    print("ERROR: Obtained a vanishing derivative!")
else:
    print("ERROR: Coding error!")
exit(1) #technically not necessary, but good for general practice

```

## 2. Newton Bisection Method

```

#!/usr/bin/env python3
'''

```

### NEWTON-BISECTION METHOD

Solves the problem  $f(x)=0$  using Newton-Bisection method. For a known true solution calculates errors.

The main function is newton:

```

[state,x,errors,itors] = newtonBIsection(x0, tolerance, maxIteration, debug)

```

#### Inputs:

a,b	The initial bounding interval, with a root between.
tolerance	The convergence tolerance (must be > 0).
maxIteration	The maximum number of iterations that can be taken.
debug	Boolean to set debugging output

#### Outputs:

x	The solution
errors	Array with errors at each iteration
iter	number of iterations to convergence

#### Return:

state	An error status code.
SUCCESS	Sucessful termination.
WONT_STOP	Error: Exceeded maximum number of iterations.
BAD_DATA	Error: The interval may not bracket a root

```

Remark: We assume that we known the two functions
    f                The name of the function for which a root is sought
    df               The name of the derivative of the function.
'''

import math
from numpy import zeros,sign

##### VARIABLES #####
SUCCESS = 0
WONT_STOP = 1
BAD_DATA = 2
x_true = 1.17611555
##### FUNCTIONS #####
# The function for which a root is sought
def f(x):
    return 54*x**6 + 45*x**5 - 102*x**4 - 69*x**3 + 35*x**2 + 16*x - 4

# The name of the derivative of the function.
def df(x):
    return 324*x**5 + 225*x**4 - 408*x**3 - 207*x**2 + 70*x + 16

def newtonBisection(a,b,TOL,MAX_ITERS,debug):
    global x_true, SUCCESS, WONT_STOP, BAD_DATA
    prec = 12
    eps = 1e-20
    # formatting string, this decides how output will look
    fmt = f"Iter %d: x= %.{prec}g, dx= %.{prec}g, error = %.{prec}g, "
    fmt += f"interval = [{%.{prec}g},{%.{prec}g}], Newton? %d"

    # Swap a and b if necessary so a < b
    if (a>b):
        c = a

```

```

        a = b
        b = c
    fa = f(a)
    fb = f(b)

    # Make sure there is a root between a and b
    if(sign(fa)*sign(fb) > 0.0):
        state = BAD_DATA
        x = None
        errors = None
        iter = 0
        return state,x,errors,iter,[],[],[]

    errors = zeros(MAX_ITERS+1)
    x = a+(b-a)/2
    err = abs(x - x_true)
    errors[0] = err
    ratios_l = zeros(MAX_ITERS)
    ratios_q = zeros(MAX_ITERS)
    ratios_sl = zeros(MAX_ITERS)

    if debug:
        print("Interval = [%f,%f], guess x = %f, error = %f"%(a,b,x,err))

    fx = f(x)
    if(sign(fa)*sign(fx) > 0.0):
        a = x
    else:
        b = x

    ## NewtonBisection Loop
    for itn in range(1,MAX_ITERS+1):
        dfx = df(x)

```

```

usedNewton = True
if(abs(dfx) > eps):
    xNew = x - fx/dfx # Newton
    if(xNew < a or b < xNew):
        xNew = a + (b-a)/2 # Revert to Bisection
        usedNewton = False
else:
    xNew = a + (b-a)/2; # Revert to Bisection
    usedNewton = False

fx = f(xNew)
if(sign(fa)*sign(fx) > 0.0):
    a = xNew
else:
    b = xNew

dx = xNew - x
x = xNew
err = abs(x - x_true)
errors[itn] = err
ratios_l[itn - 1] = err / errors[itn - 1]
ratios_q[itn - 1] = err / (errors[itn - 1] ** 2)
if itn > 1:
    ratios_sl[itn - 1] = err/ (errors[itn - 1] * errors[itn - 2])

if debug:
    print(fmt % (itn, x, dx,err,a,b,usedNewton))

# Check error tolerance
if (abs(dx) <= TOL):
    iter = itn
    state = SUCCESS
    return state, x, errors, iter, ratios_l[:itn], ratios_q[:itn], ratios_sl[:itn]

```

```

    state = WONT_STOP
    iter = itn
    return state, x, errors, MAX_ITERS, ratios_l[:MAX_ITERS], ratios_q[:MAX_ITERS], ratios_sl[:MAX_ITERS]

##### MAIN #####

###input
print("Solve the problem f(x)=0 on interval [a,b] using Newton-Bisection method")
a = float(input("Enter a: "))
b = float(input("Enter b: "))
tol = float(input("Enter tolerance: "))
maxIter = int(input("Enter maxIteration: "))
debug = bool(input("Monitor iterations? (1/0): "))

### Solve
s, x, errors, iters, r_l, r_q, r_sl = newtonBisection(a, b, tol, maxIter, debug)
if s == SUCCESS:
    print(f"The root is {x:.6f}.")
    print("The number of iterations is %d"%(iters))
    errors = errors[:iters+1]
    print("errors =",errors)
    if debug: # Optionally print error ratios if debugging is enabled
        print("Linear error ratios r_l:", r_l)
        print("Quadratic error ratios r_q:", r_q)
        print("Superlinear error ratios r_sl:", r_sl)
    exit()
elif s == WONT_STOP:
    print("ERROR: Failed to converge in %d iterations!"%(maxIter))
elif s == BAD_DATA:
    print("ERROR: Unsuitable interval!")
else:

```

```
    print("ERROR: Coding error!")  
exit(1) #technically, not necessary but good for general practice
```