

SLAP



Succinct Lattice-Based Polynomial Commitment Schemes from Standard Assumptions

Giacomo Fenzi @ **EPFL**

Joint work with:
Martin Albrecht
Ngoc Khanh Nguyen

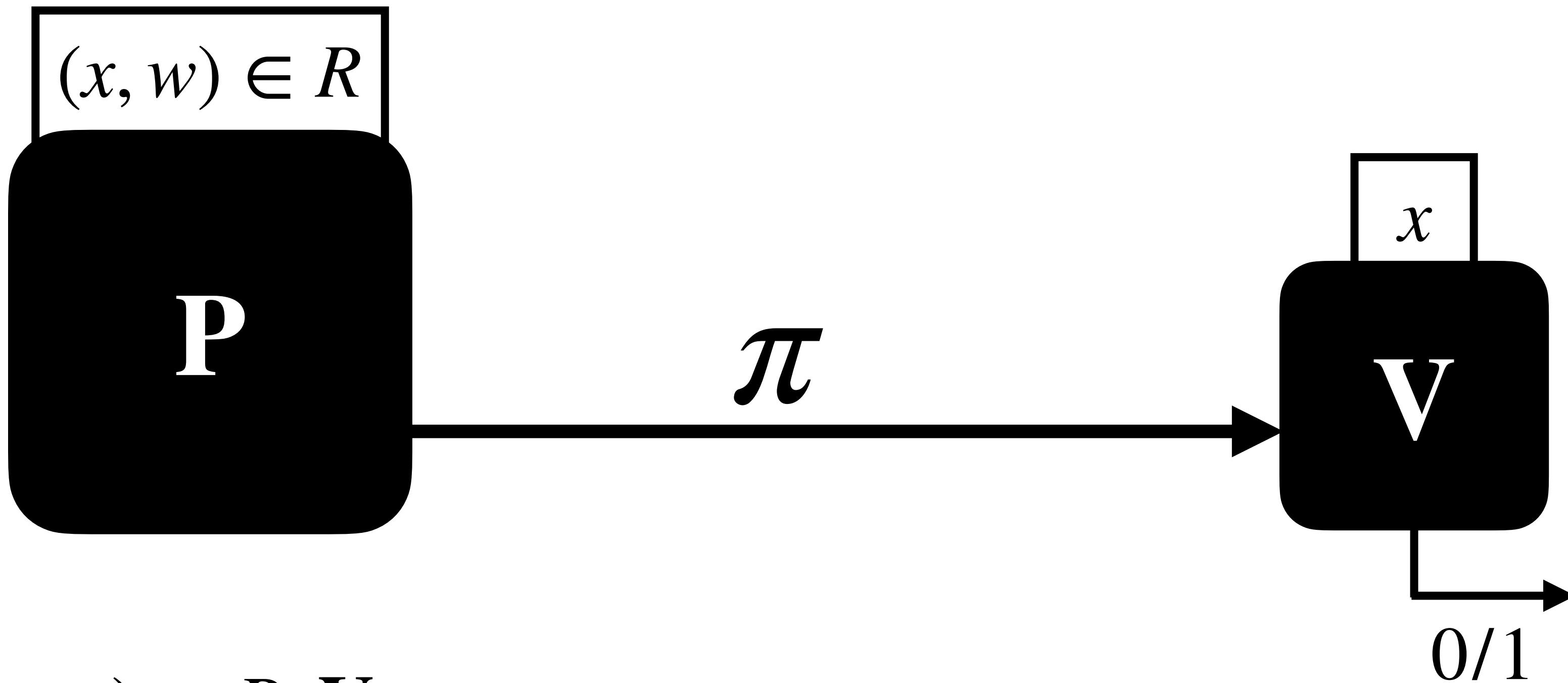
Oleksandra Lapiha



Motivation

SNARKs

(Succinct Non-Interactive ARguments of Knowledge)



Complete: if $(x, w) \in R$, V accepts.

Non-interactive: P sends a single message.

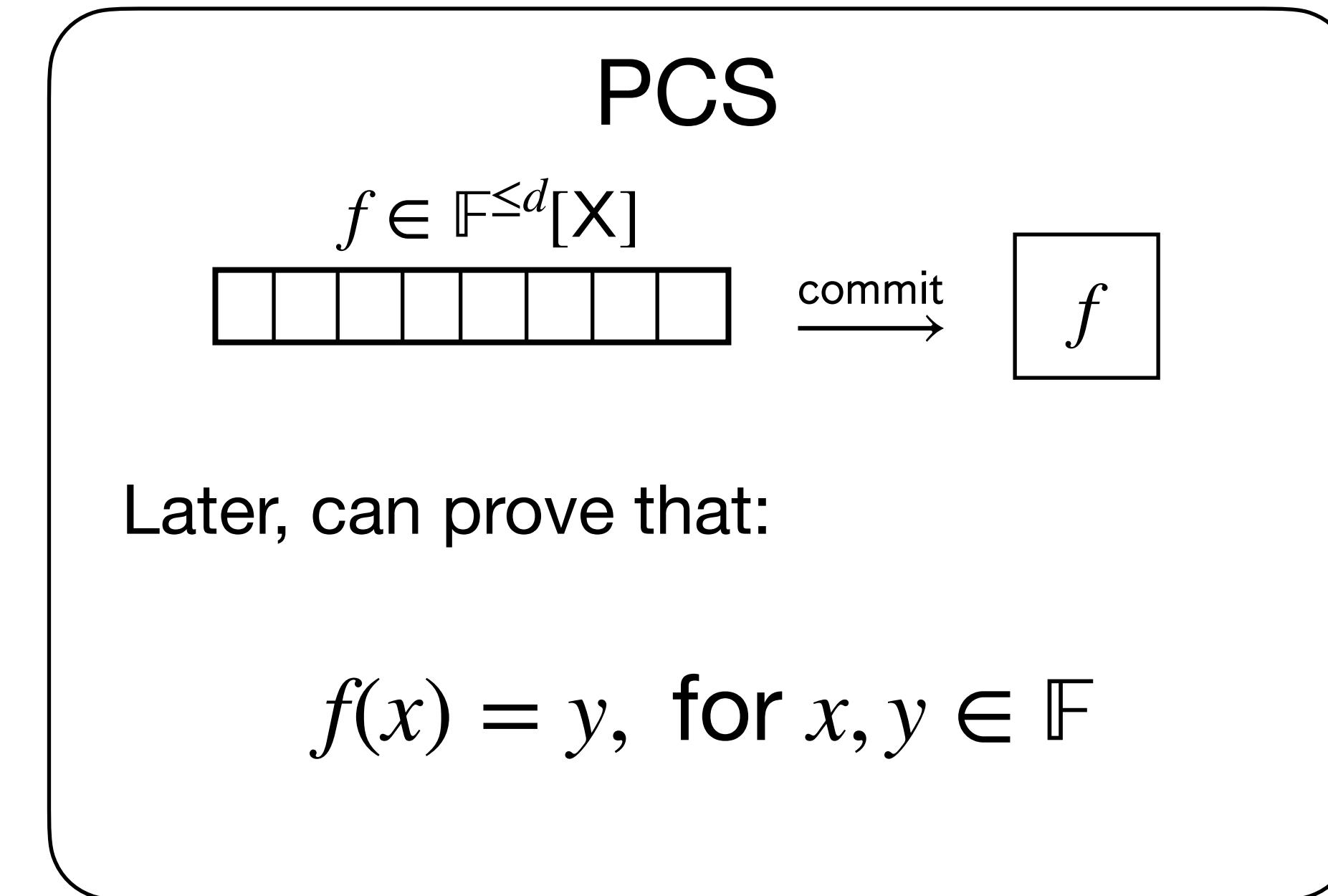
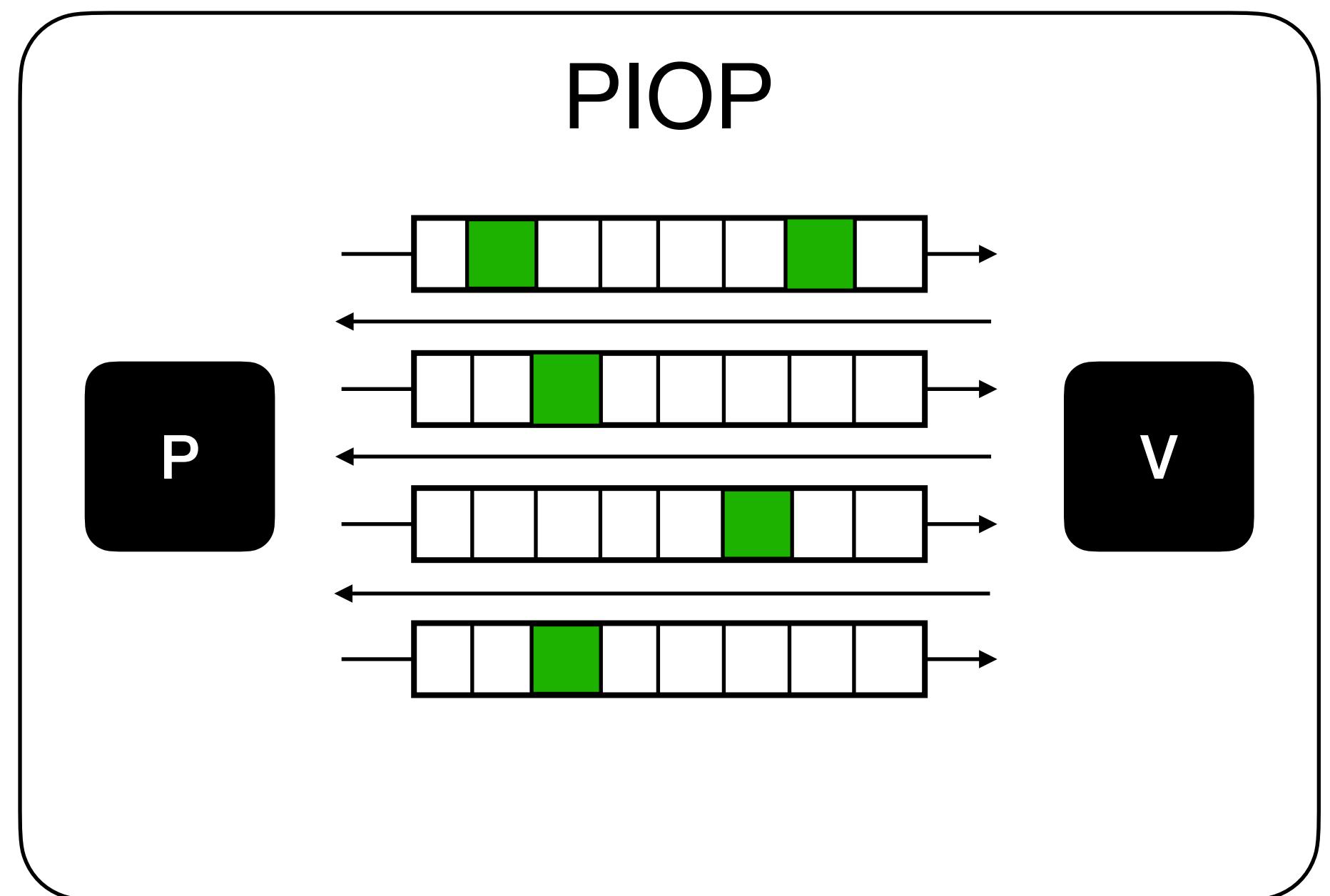
Succinct: $\pi \ll w$ and verifier is fast.

Knowledge Sound: if $V(x, \pi) = 1$, can extract w such that $(x, w) \in R$

Constructing SNARKs

The modular way™

We focus on this!



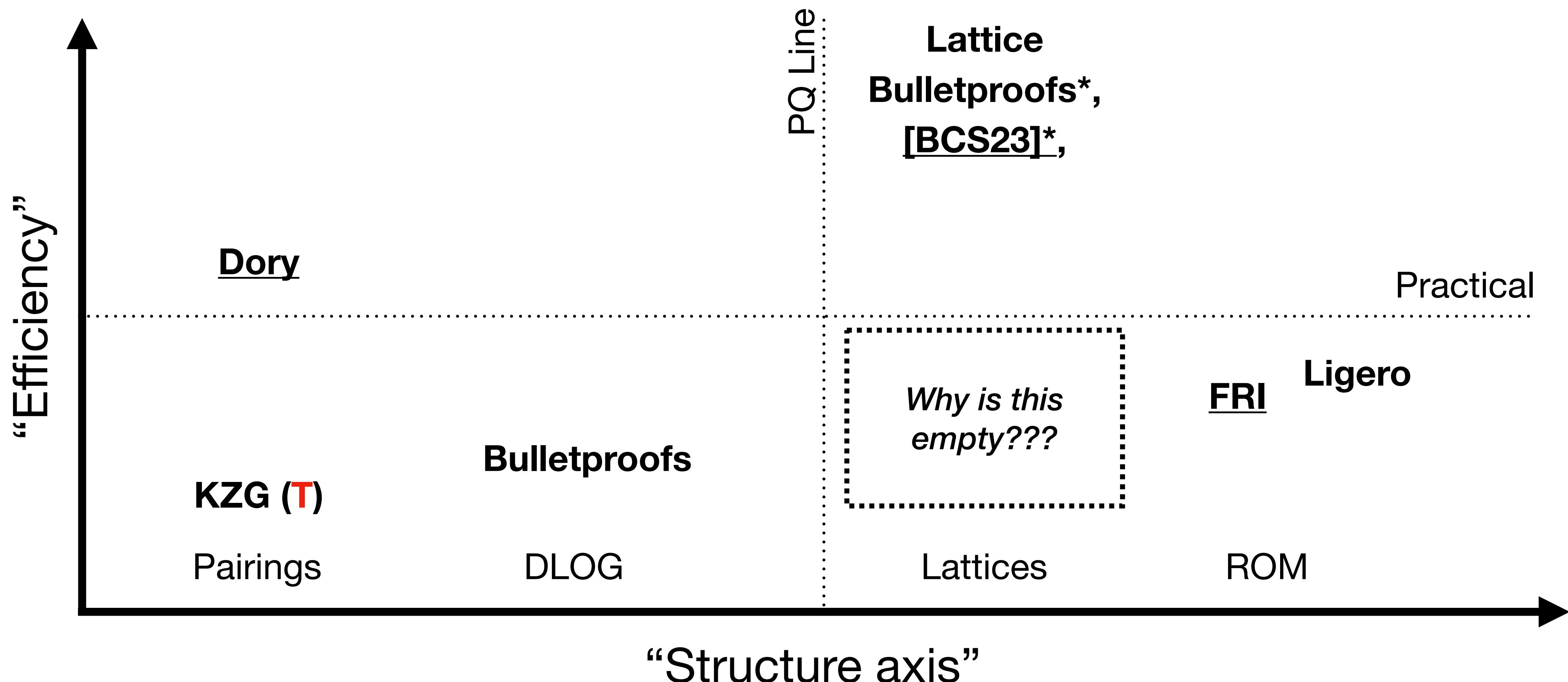
- Oracles are polynomials
 - Security is information-theoretical
 - Proof length is $\Omega(n)$ (not succinct)
 - Verifiers are very efficient

- Cryptography goes here!
 - Computational security
 - We can achieve succinctness

Zoo of Polynomial Commitments

A very incomplete list...

Underlined: succinct verification
*: interactive (no FS)
(T): trusted setup



Our Results

SLAP: Succinct Lattice-Based Polynomial Commitments from Standard Assumptions

Martin R. Albrecht

martin.albrecht@kcl.ac.uk, sandboxaq.com

King's College London and SandboxAQ

Giacomo Fenzi

giacomo.fenzi@epfl.ch

EPFL

Oleksandra Lapiha

sasha.lapiha.2021@live.rhul.ac.uk

Royal Holloway, University of London

Ngoc Khanh Nguyen

khanh.nguyen@epfl.ch

EPFL

We construct a non-interactive lattice-based polynomial commitment with:

1. Succinct proofs
2. Succinct verification time
3. Binding under (M)SIS



Techniques

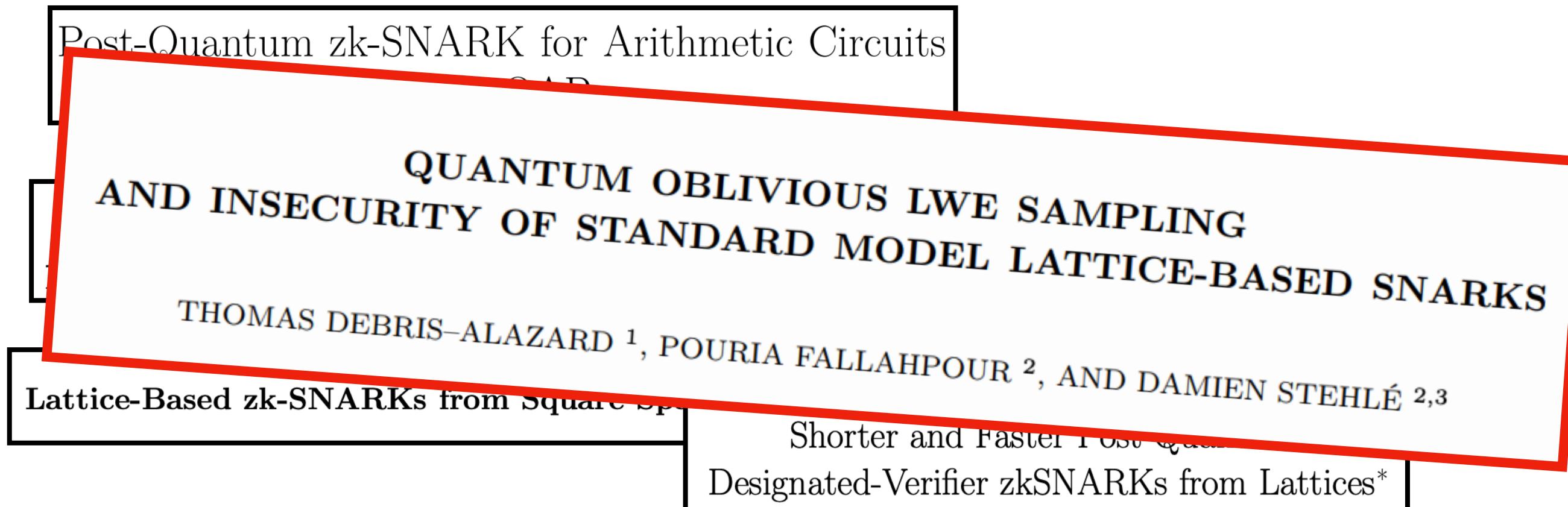
Lattice-Based SNARKs

How to get around [GW11]?

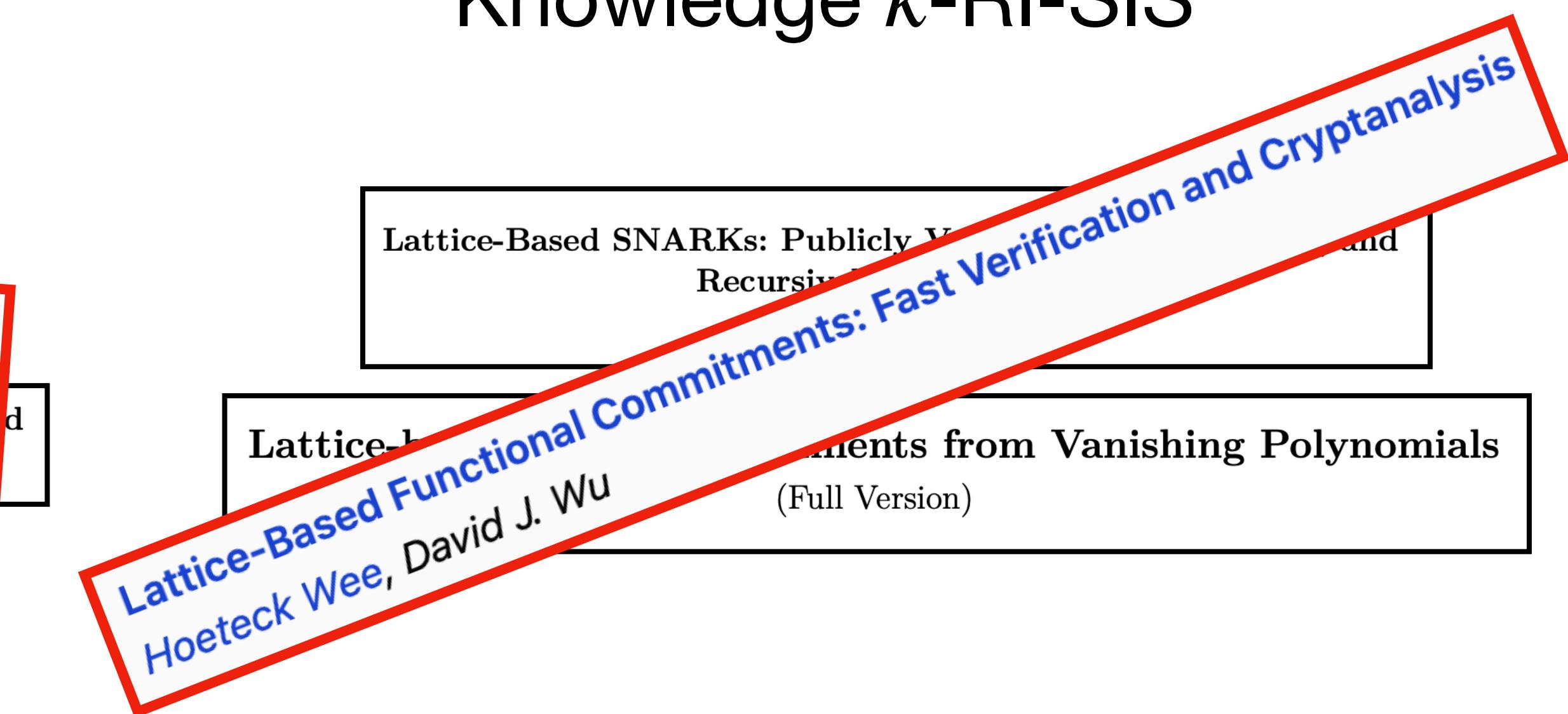
[GW11] - You cannot get **SNARG** from falsifiable assumptions.

Knowledge Assumptions

Oblivious LWE Sampling



Knowledge k -RI-SIS



Lattice Assumptions ❤️ ROM

- Knowledge assumptions in “lattice-land”: hard to define and easy-ish to break
- ROM takes care of extraction and non-interactivity.



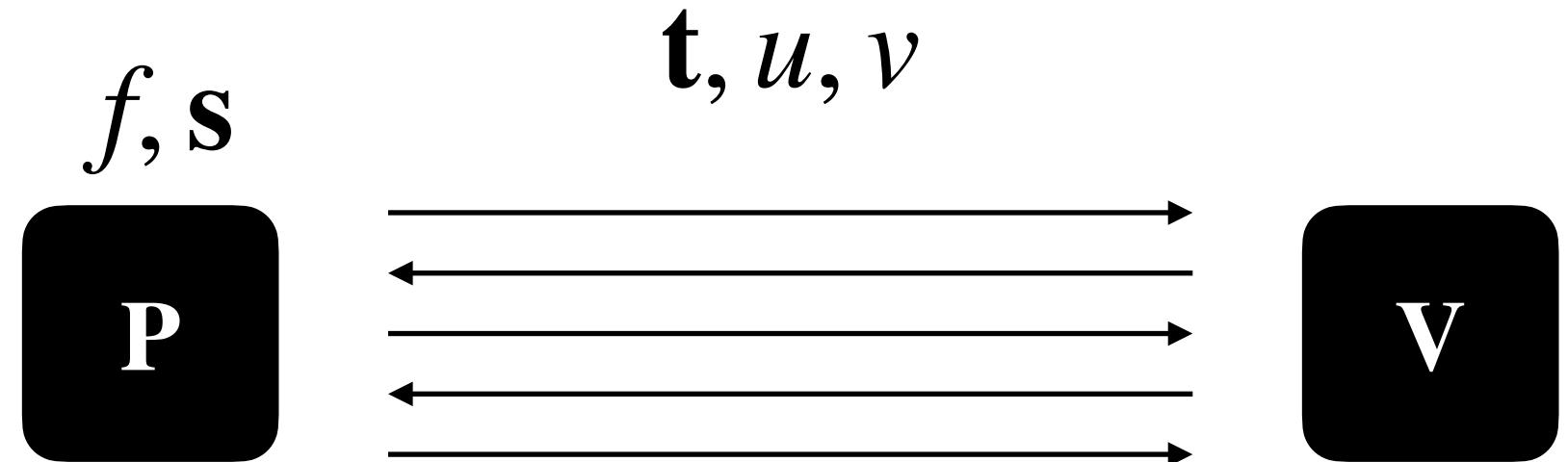
- Use lattices to get succinctness in the interactive protocol.
- **Open Question:** ROM alone is sufficient for efficient PCS (e.g. FRI), what do we gain by using lattices?

Building succinct PCS

Commitment Scheme

- Commit to a vector $\mathbf{f} \in \mathcal{R}_q^d$
- Commitment \mathbf{t} , opening \mathbf{s}
- Binding under lattice assumption

Evaluation Protocol



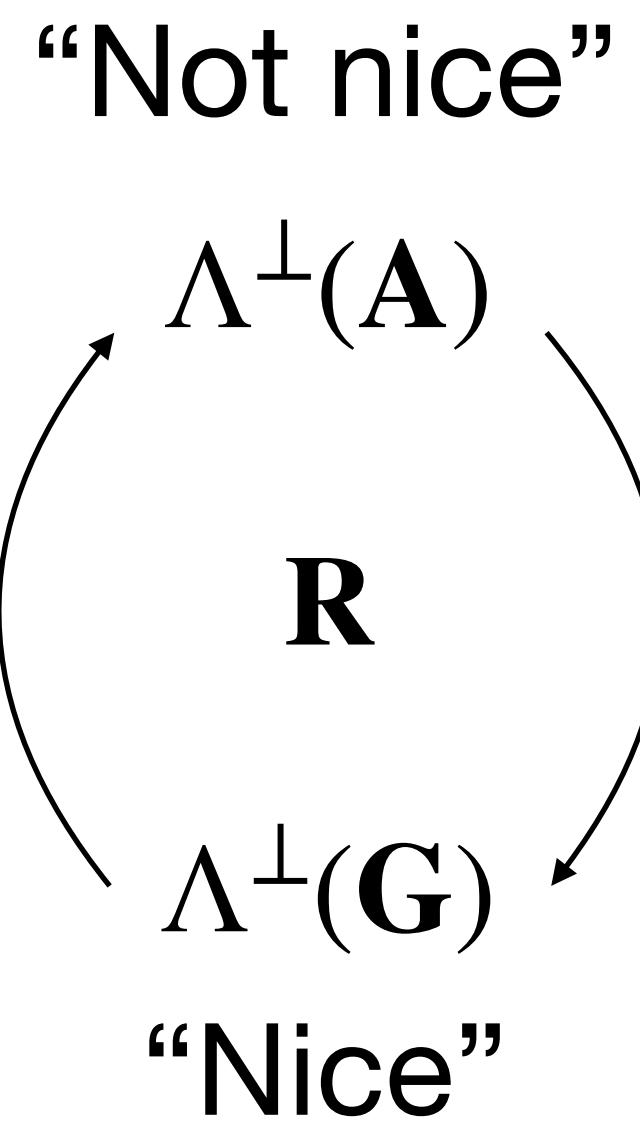
“I know f such that $f(u) = v$ and an opening s for $\mathbf{f} := \text{coeff}(f)$ to \mathbf{t} ”

- Need $t \ll d$, binding for \mathbf{f} of arbitrary norm
- Need communication complexity $\ll d$

- Need V's running time to be $\ll d$

Trapdoors [MP12]

- Let \mathbf{G} be a “gadget matrix”
- Can sample (\mathbf{A}, \mathbf{R}) such that $\mathbf{A}\mathbf{R} = \mathbf{G}$, with \mathbf{R} short.
- Given $\mathbf{A}, \mathbf{R}, \mathbf{v}$, can sample short \mathbf{s} such that $\mathbf{A}\mathbf{s} = \mathbf{v}$.

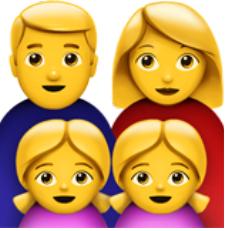


Trapdoor Resampling [WW23]

- Given (\mathbf{A}, \mathbf{R}) , can sample new trapdoor \mathbf{T} for some matrix \mathbf{B} “related” to \mathbf{A}
- BASIS style assumption say:

“Given $\mathbf{A}, \mathbf{B}, \mathbf{T}$, hard to find short \mathbf{x} for $\mathbf{A}\mathbf{x} = \mathbf{0}$ ”

BASIS-[WW23]



```
SampSIS(A★)
return ⊥
```

BASIS Game

$$A^* \leftarrow \mathcal{R}_q^{m \times n}$$

$$\text{aux} \leftarrow \text{Samp}(A^*)$$

return (A[★], aux) to \mathcal{A}

\mathcal{A} wins if it finds x :

- $A^*x = 0$
- $0 < x \leq \beta$

Samp_{BASIS,ℓ}(A[★])

Sample $\mathbf{a}, A_2, \dots, A_\ell$

$$A_1 := \begin{bmatrix} \mathbf{a}^\top \\ A^* \end{bmatrix}, B := \begin{bmatrix} A_1 & \cdots & -\mathbf{G} \\ \ddots & \ddots & \\ \cdots & A_d & -\mathbf{G} \end{bmatrix}$$

return ($\mathbf{a}, (A_i)_i, B^{-1}(\mathbf{G})$)

Samp_{PRISIS,ℓ}(A[★])

Sample \mathbf{a}, w

$$A := \begin{bmatrix} \mathbf{a}^\top \\ A^* \end{bmatrix}, B := \begin{bmatrix} w^0 A & \cdots & -\mathbf{G} \\ \ddots & \ddots & \\ \cdots & w^{\ell-1} A & -\mathbf{G} \end{bmatrix}$$

return ($\mathbf{a}, w, B^{-1}(\mathbf{G})$)

PRISIS Commitments I

A starting point [FMN23]

Given $\mathbf{B} := \begin{bmatrix} w^0 \mathbf{A} & \dots & -\mathbf{G} \\ \ddots & & \\ \dots & w^{\ell-1} \mathbf{A} & -\mathbf{G} \end{bmatrix}$ and trapdoor \mathbf{T} for \mathbf{B}

Use \mathbf{T} to sample short $\mathbf{s}_0, \dots, \mathbf{s}_{\ell-1}, \hat{\mathbf{t}}$ such that:

$$\mathbf{B} \begin{bmatrix} \mathbf{s}_0 \\ \vdots \\ \mathbf{s}_{\ell-1} \\ \hat{\mathbf{t}} \end{bmatrix} = \begin{bmatrix} -f_0 w^0 \mathbf{e}_1 \\ \vdots \\ -f_{\ell-1} w^{\ell-1} \mathbf{e}_1 \end{bmatrix}$$

The commitment is $\mathbf{t} := \mathbf{G}\hat{\mathbf{t}}$ and the openings are $(\mathbf{s}_i)_i$.

To open check that

$$\mathbf{A}\mathbf{s}_i + f_i \mathbf{e}_1 = w^{-i} \mathbf{t} \text{ and } \mathbf{s}_i \text{ short}$$

PRISIS Commitments II

Pros  and Cons 

- Commitment is **succinct**.
- Supports committing to messages of **arbitrary** size.
- Algebraic structure enables **efficient evaluation protocol**.
- Binding under **non-standard** PRISIS assumption.
- Time to commit is **quadratic**.
- Common reference string is **quadratic**.
- **Trusted** setup

Can we do better?

Small-Dimension PRISIS

[FMN23]: $\ell = 2$ reduces to MSIS

Lemma 3.6 (PRISIS \implies MSIS). *Let $n > 0, m \geq n$ and denote $t = (n+1)\tilde{q}$. Let $q = \omega(N)$. Take $\epsilon \in (0, 1/3)$ and $\mathfrak{s} \geq \max(\sqrt{N \ln(8Nq)} \cdot q^{1/2+\epsilon}, \omega(N^{3/2} \ln^{3/2} N))$ such that $2^{10N}q^{-\lfloor \epsilon N \rfloor}$ is negligible. Let*

$$\sigma \geq \delta \sqrt{tN \cdot (N^2 \mathfrak{s}^2 m + 2t)} \cdot \omega(\sqrt{N \log nN}).$$

Then, PRISIS _{$n, m, N, q, 2, \sigma, \beta$} is hard under the MSIS _{n, m, N, q, β} assumption.

Multi-Instance BASIS

h -instance BASIS Game

$$\mathbf{A}_1^\star, \dots, \mathbf{A}_h^\star \leftarrow \mathcal{R}_q^{m \times n}$$

$$\text{aux}_i \leftarrow \text{Samp}(\mathbf{A}_i^\star) \text{ for } i \in [h]$$

return $((\mathbf{A}_i^\star, \text{aux}_i)_i)$ to \mathcal{A}

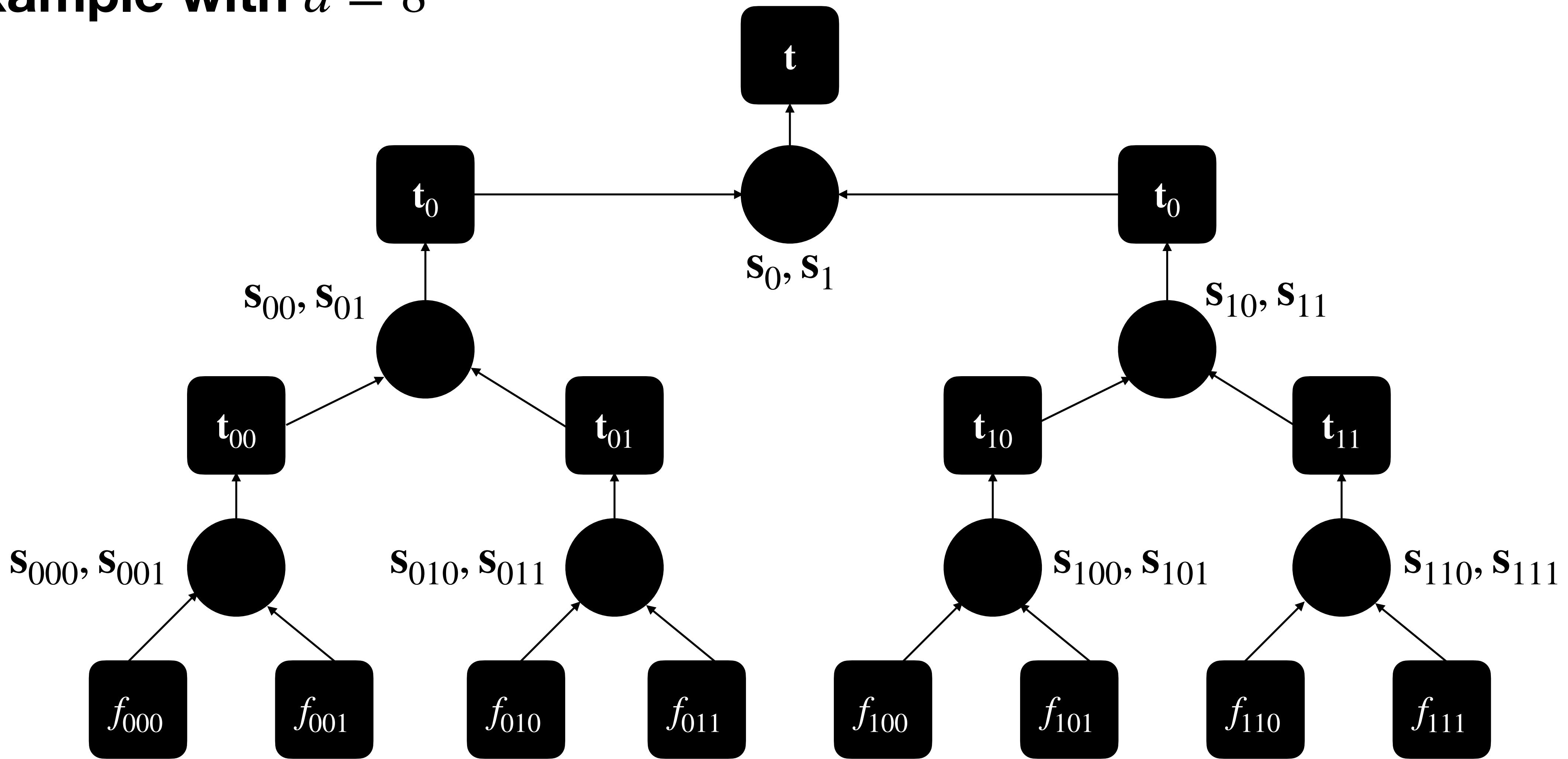
\mathcal{A} wins if it finds \mathbf{x} :

- $[\mathbf{A}_1^\star, \dots, \mathbf{A}_h^\star] \cdot \mathbf{x} = 0$
- $0 < \mathbf{x} \leq \beta$

For $\ell = O(1)$, if PRISIS _{ℓ} is hard so is h -PRISIS _{ℓ} !

Merkle-PRISIS I

Example with $d = 8$



Merkle-PRISIS II

How to check an opening

- Each layer has its own $\text{crs}_j := (\mathbf{A}_j, w_j, \mathbf{T}_j)$ for $j \in [h := \log d]$
- Check that all local openings are correct. I.e. check that, for $\mathbf{b} \in \{0,1\}^h$:

$$\sum_{j \in [h]} w_j^{b_j} \mathbf{A}_j \mathbf{s}_{\mathbf{b}:j} + f_{\mathbf{b}} \cdot \mathbf{e} = \mathbf{t}$$

- And, of course, that all the openings $\mathbf{s}_{\mathbf{b}}$ are short for $\mathbf{b} \in \{0,1\}^{\leq h}$
- **Binding:** subtract two verification equation:

reduces to h -PRISIS $_{\ell}$ i.e. **MSIS!**

Merkle-PRISIS III

Pros  and Cons 

- Commitment is **succinct**.
- Supports committing to messages of **arbitrary** size.
- Time to commit is **quasi-linear**.
- Common reference string is **logarithmic**.
- Binding under **standard** SIS assumption.
- **Trusted** setup

Can we do an efficient evaluation protocol?

Evaluation Protocol I

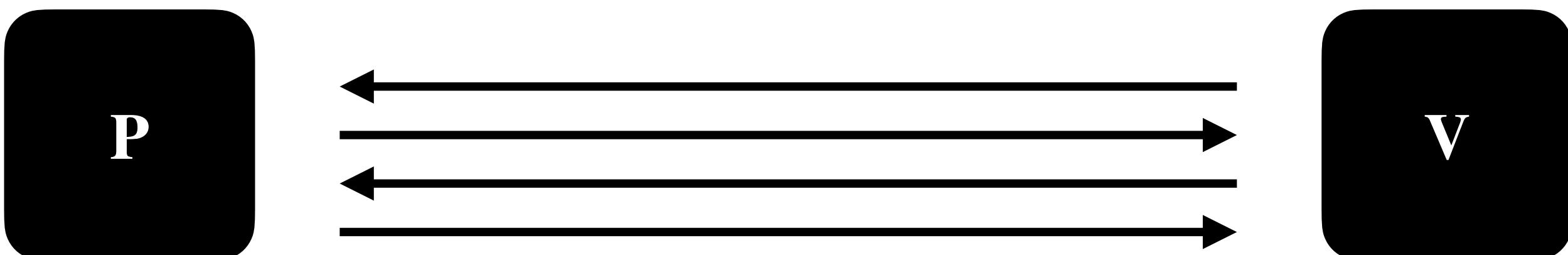
Strategy

Prover knows:

- Polynomial $f \in \mathcal{R}_q^{<d}[X]$ and openings $(s_b)_b$

Verifier knows:

- Common reference string crs
- Commitment t
- Claim: $f(u) = v$ and $\text{Open}(\text{crs}, t, f, (s_b)_b) = 1$



Prover now knows:

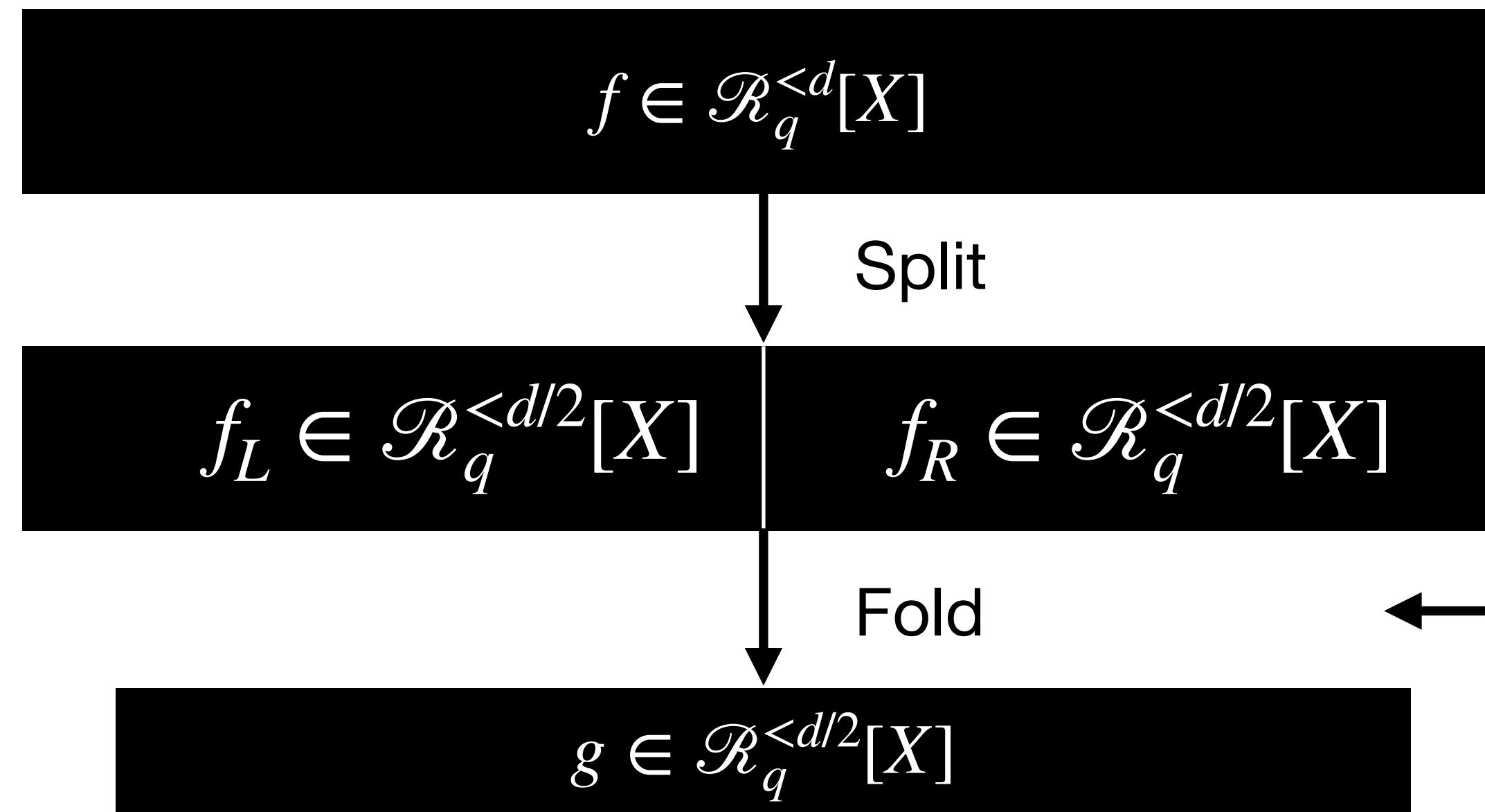
- Polynomial $g \in \mathcal{R}_q^{<d/2}[X]$ and openings $(z_b)_b$

Verifier now knows:

- Common reference string crs'
- Commitment t'
- New claim: $g(u') = v'$ and $\text{Open}(\text{crs}', t', g, (z_b)_b) = 1$

Evaluation Protocol II

Split and fold (Evaluations)



Fast Reed-Solomon Interactive Oracle Proofs of Proximity
 Eli Ben-Sasson* Iddo Bentov† Ynon Horesh* Michael Riabzev*

$$f(X) = f_L(X^2) + X \cdot f_R(X^2)$$



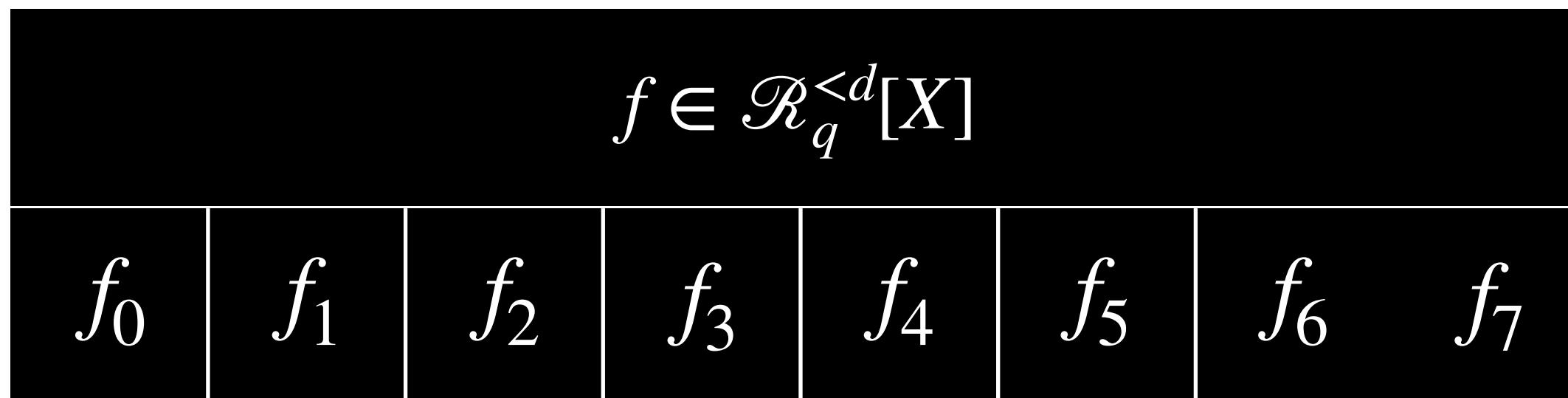
$$g(X) = \alpha_0 f_L(X) + \alpha_1 f_R(X)$$

Ask prover to send $z_0 = f_L(u^2), z_1 = f_R(u^2)$. Check $z_0 + uz_1 = z$

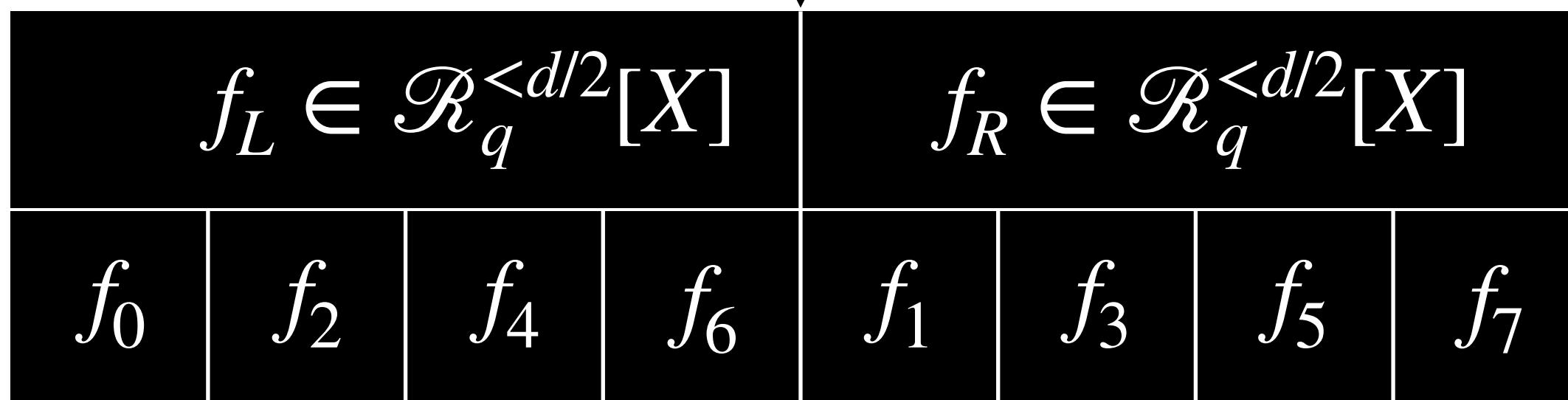
If $f(u) = v$, then $g(u^2) = \alpha_0 z_0 + \alpha_1 z_1$.

Evaluation Protocol III

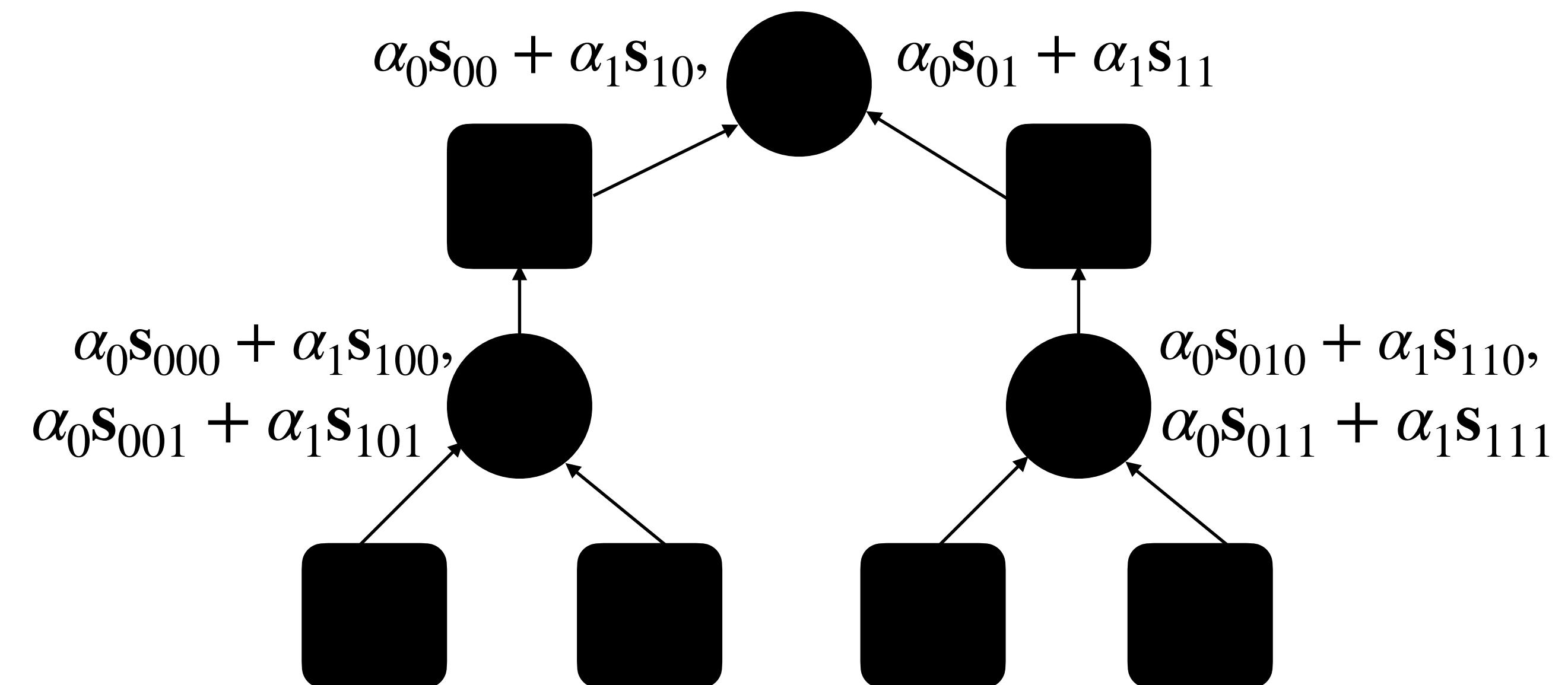
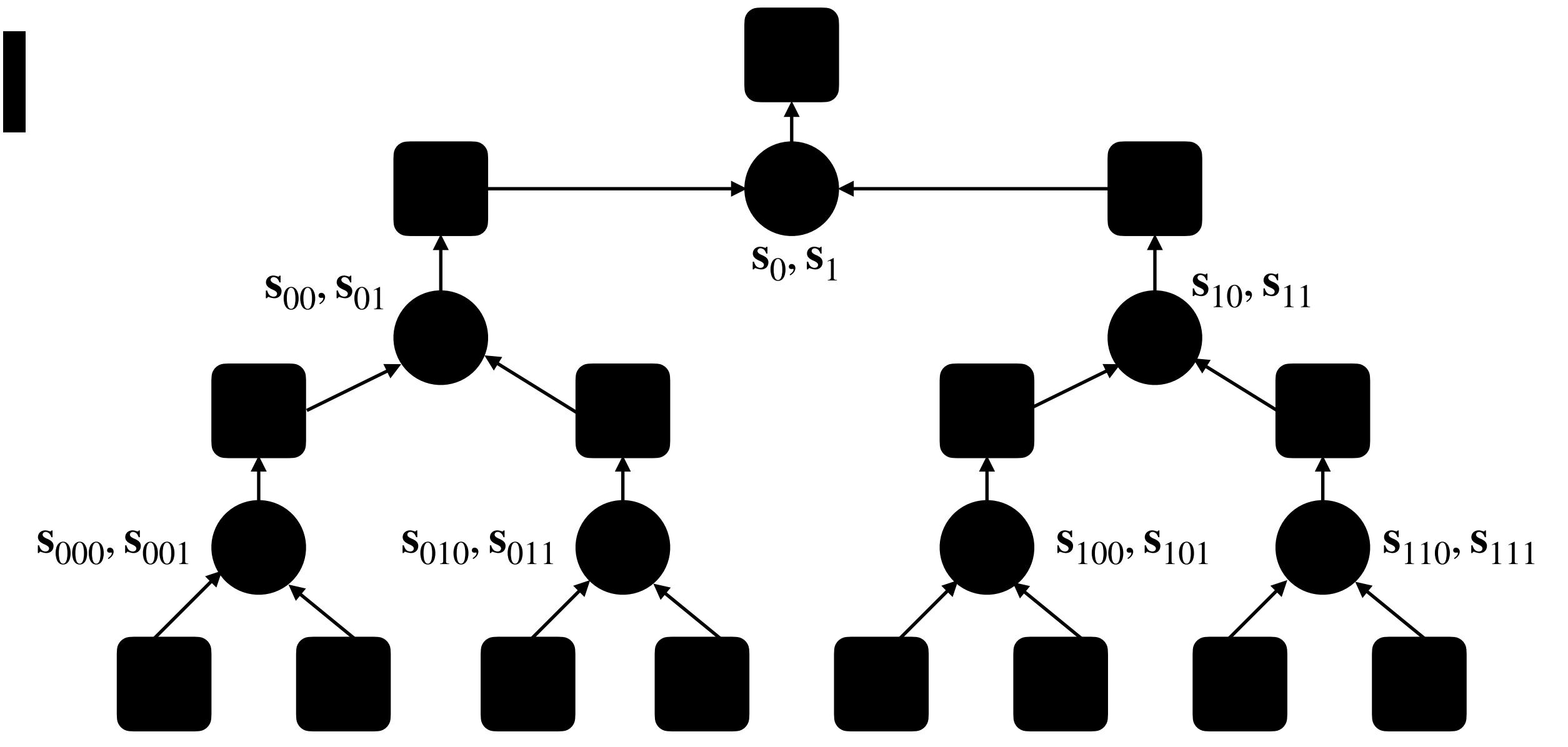
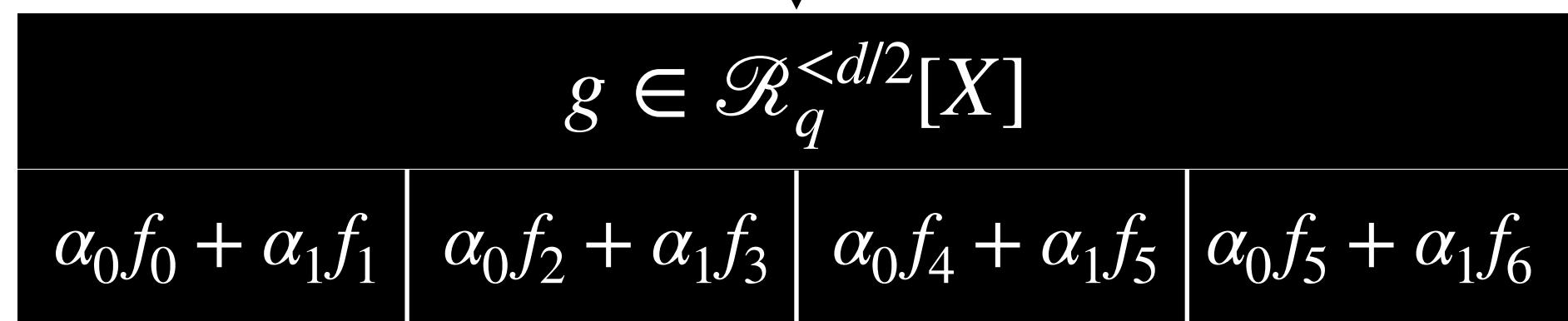
Split and fold (Openings)



Split



Fold



Evaluation Protocol IV

Split and fold (Commitment)

- We have shown how to compute new evaluations and openings
- If α_i are short, the new openings also are.
- How does the verifier compute new commitment? With some magic:

$$\sum_{j \in [h-1]} w_{1+j}^{b_{1+j}} \mathbf{A}_{1+j} \mathbf{s}_{\mathbf{b}:1+j} + g_{\mathbf{b}} \mathbf{e} = \alpha_0 \cdot (\mathbf{t} - w_1^0 \mathbf{A}_1 \mathbf{s}_0) + \alpha_1 \cdot (\mathbf{t} - w_1^1 \mathbf{A}_1 \mathbf{s}_1)$$

- Prover reveals $\mathbf{s}_0, \mathbf{s}_1$. Verifier sets RHS as new updated commitment.

Evaluation Protocol V

Putting it all together

Basic Σ -Protocol

Prover

$$f(\mathbf{X}) = f_0(\mathbf{X}^2) + \mathbf{X}f_1(\mathbf{X}^2)$$

$$z_i := f_i(u^2) \text{ for } i \in \mathbb{Z}_2$$

$$g(\mathbf{X}) := \alpha_0 f_0(\mathbf{X}) + \alpha_1 f_1(\mathbf{X})$$

$$\mathbf{z}_\mathbf{b} := \alpha_0 \mathbf{s}_{\mathbf{b},0} + \alpha_1 \mathbf{s}_{\mathbf{b},1} \text{ for } \mathbf{b} \in \mathbb{Z}_2^{\leq h-1}$$

Verifier

$\xrightarrow{z_0, z_1, \mathbf{s}_0, \mathbf{s}_1}$ Check: $z_0 + uz_1 =? z$; Check: $\mathbf{s}_0, \mathbf{s}_1$ short

$$\alpha_0, \alpha_1 \leftarrow \{ X^i : i \in \mathbb{Z} \}$$

$$\text{crs}' := (\mathbf{A}_{1+t}, w_{1+t}, \mathbf{T}_{1+t})_{t \in [h-1]}$$

$$\mathbf{t}' := \alpha_0 \cdot (\mathbf{t} - w_1^0 \mathbf{A}_1 \mathbf{s}_0) + \alpha_1 \cdot (\mathbf{t} - w_1^1 \mathbf{A}_1 \mathbf{s}_1)$$

$$u' := u^2; z' := \alpha_0 \cdot z_0 + \alpha_1 \cdot z_1$$

$$\text{Check: } g(u') = z'$$

$$\text{Check: } \text{Open}(\text{crs}', \mathbf{t}', g, (\mathbf{z}_\mathbf{b})_\mathbf{b}) = 1$$

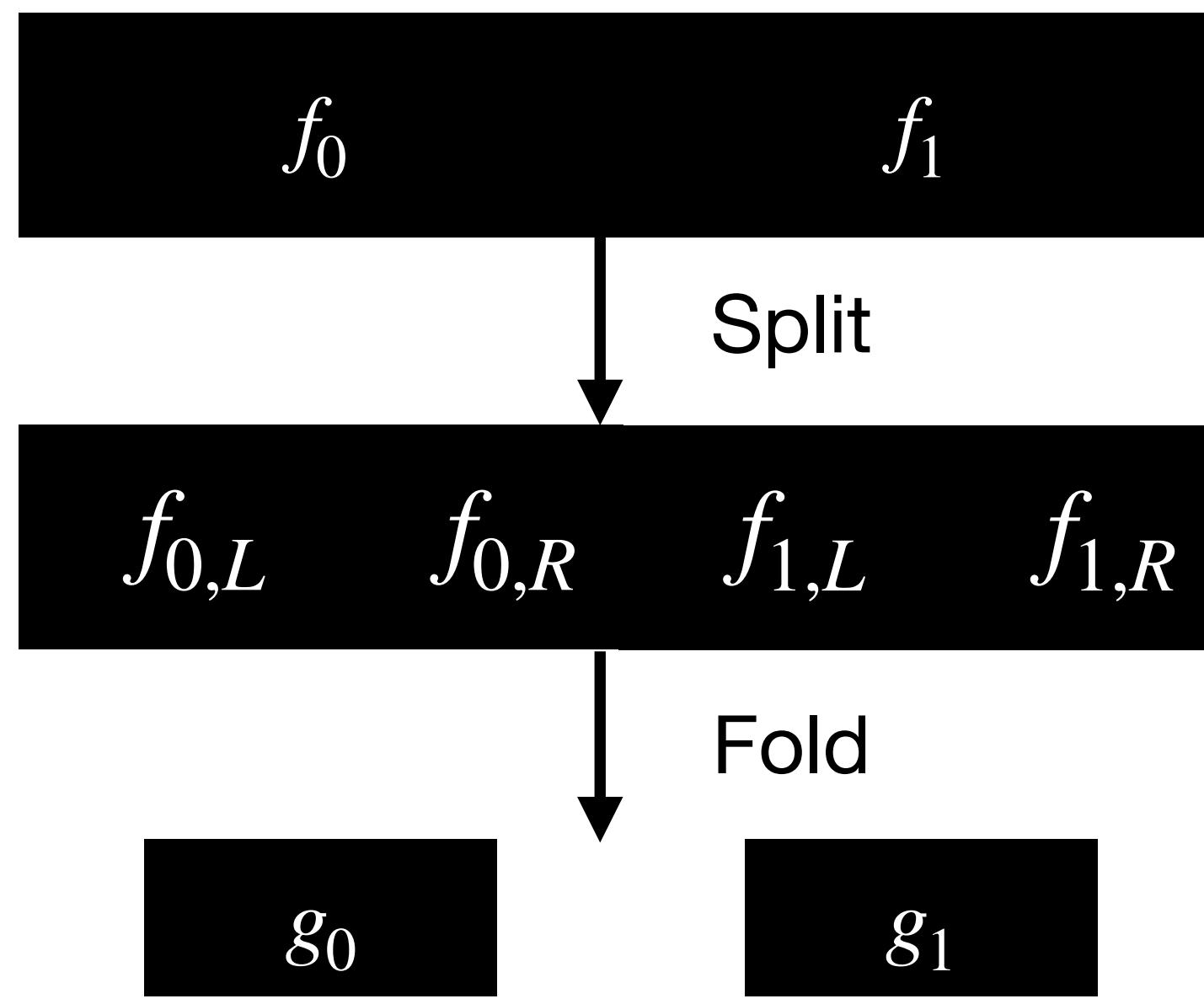
Are we done?

- Apply protocol recursively $\log d$ times and send final opening $O(1)$.
- Knowledge soundness follows from **coordinate-wise special soundness**.
- Commitment is **succinct**, verifier also **succinct**.
- **Problem 🤔:** Knowledge soundness error is $1/\text{poly}(\lambda)$.
- Can be made negligible by parallel repetition, but then **no Fiat-Shamir!**
- Change the challenge space?
 - Non-subtractive challenge space => Blowup in extraction, cannot do more than $\log \log d$ recursions => only **quasi-polylogarithmic** sizes.
 - Subtractive challenge space => Challenge space of size at most $\text{poly}(\lambda)$ [AL21]

Claim bundling I

Let's prove something harder!

- Instead of proving $f(u) = v$, show that, for $\iota \in [r]$, $f_\iota(u) = v_\iota$
- As in [FMN23], our protocol can be easily extended to deal with this.



Randomness is now:

$$\begin{bmatrix} \alpha_{0,L,0}, \alpha_{0,R,0}, \alpha_{1,L,0}, \alpha_{1,R,0} \\ \alpha_{0,L,1}, \alpha_{0,R,1}, \alpha_{1,L,1}, \alpha_{1,R,1} \end{bmatrix} \in (\mathcal{C}^r)^{2r} \quad \begin{matrix} \alpha_{\iota,i,\kappa} \text{ folds } f_{\iota,i} \\ \text{into } g_\kappa \end{matrix}$$

Folded polynomial:

$$g_0 := \alpha_{0,L,0}f_{0,L} + \alpha_{0,R,0}f_{0,R} + \alpha_{1,L,0}f_{1,L} + \alpha_{1,R,0}f_{1,R}$$

$$g_1 := \alpha_{0,L,1}f_{0,L} + \alpha_{0,R,1}f_{0,R} + \alpha_{1,L,1}f_{1,L} + \alpha_{1,R,1}f_{1,R}$$

Claim bundling II

What did we gain?

- Now, protocol is $2r$ coordinate-wise special sound with challenge space of size roughly $\text{poly}(\lambda)^r$
- Setting r to be $\text{polylog}(\lambda)$, we achieve **negligible knowledge error!**
- Our protocol can now be made **non-interactive** using FS.
- To prove a single claim $f(u) = v$, simply set $f_1, \dots, f_r = f$ and $v_1, \dots, v_r = v$.

Recap:

What we talked about

- PRISIS and Merkle-PRISIS commitments
- Multi-instance PRISIS assumptions
- $h\text{-PRISIS}_2$ reduces to MSIS
- Succinct evaluation protocol for Merkle-PRISIS
- Boosting soundness via claim bundling

There is more!

What we did not talk about

- Folding more at each step
- Coordinate-wise special soundness
- Honest-verifier zero knowledge for our PCS
- Transforming PCS for \mathcal{R}_q in those for \mathbb{Z}_q (efficient packing)
- Twin- k - M -ISIS is no easier than $2k$ - M -ISIS
- Setting concrete parameters
- Reductions... all the reductions

Conclusion



- SLAP

A non-interactive lattice-based polynomial commitment with succinct proofs and verification time, from standard lattice assumptions.

Open Questions



- Can we get succinct lattice-based polynomial commitments under **100KB**?
- Can we get $\text{negl}(\lambda)$ knowledge error in one-shot (no claim bundling)?
- Is PRISIS_ℓ with $\ell > 2$ still secure?



Thank you!