

# 数学分析讲义第二册参考答案

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# Chapter 8

## 空间解析几何

### 8.1 向量与坐标系

1: Here only prove (1)

**Proof**

If  $\mathbf{a} = \mathbf{0}$ , or one of  $\lambda, \mu, \lambda + \mu$  is zero, the equation is established.

[1] If  $\lambda\mu > 0$ ,  $(\lambda + \mu)\mathbf{a}$  and  $\lambda\mathbf{a} + \mu\mathbf{a}$  have the same direction, and  $|(\lambda + \mu)\mathbf{a}| =$

$|\lambda + \mu||\mathbf{a}| = (|\lambda| + |\mu|)|\mathbf{a}| = |\lambda\mathbf{a}| + |\mu\mathbf{a}| = |\lambda\mathbf{a} + \mu\mathbf{a}|$  then  $(\lambda + \mu)\mathbf{a} = \lambda\mathbf{a} + \mu\mathbf{a}$

[2] If  $\lambda\mu < 0$ , For convenience, we can set  $\lambda > 0, \mu < 0$ , we only discuss the

case  $\lambda + \mu > 0$ , the case  $\lambda + \mu < 0$  is similar. since  $(\lambda + \mu)\mathbf{a} + (-\mu)\mathbf{a} =$

$[(\lambda + \mu) + (-\mu)]\mathbf{a} = \lambda\mathbf{a}$ , then  $(\lambda + \mu)\mathbf{a} = \lambda\mathbf{a} - (-\mu)\mathbf{a} = \lambda\mathbf{a} + \mu\mathbf{a}$

2: 略

3: 解: (1)不成立。若 $\vec{a}, \vec{b}$ 都不为 $\vec{0}$ , 且有 $\vec{a} \perp \vec{b}$ , 则有 $\vec{a} \cdot \vec{b} = 0$

(2)不成立。如 $\vec{a}, \vec{b}$ 大小相等, 但 $\theta(\vec{a}, \vec{b})$ 与 $\theta(\vec{a}, \vec{c})$ 互补

(3)不成立。 $\vec{e}_1 \cdot \vec{e}_2 = |\vec{e}_1| |\vec{e}_2| \cos \theta(\vec{e}_1, \vec{e}_2) = \cos \theta(\vec{e}_1, \vec{e}_2)$ , 与两单位向量的夹角有关, 大小 $\in [-1, 1]$

(4)不成立。 $(\vec{a} \cdot \vec{b})\vec{c}$ 与 $\vec{c}$ 共线,  $\vec{a}(\vec{b} \cdot \vec{c})$ 与 $\vec{a}$ 共线, 当 $\vec{a}$ 与 $\vec{c}$ 不共线时, 结论显然不成立。

(5)不成立。 $|\vec{a} \cdot \vec{b}|^2 = |\vec{a}|^2 |\vec{b}|^2 \cos^2 \theta(\vec{a}, \vec{b})$ , 当 $\theta = 0$ 或 $\pi$ 时, 即 $\vec{a}, \vec{b}$ 不共线时,  $|\vec{a} \cdot \vec{b}|^2 \neq |\vec{a}|^2 |\vec{b}|^2$

(6)不成立。由向量叉乘的分配律,  $(\vec{a} + \vec{b}) \times (\vec{a} + \vec{b}) = (\vec{a} + \vec{b}) \times \vec{a} + (\vec{a} + \vec{b}) \times \vec{b} = \vec{a} \times \vec{a} + \vec{b} \times \vec{a} + \vec{a} \times \vec{b} + \vec{b} \times \vec{b} = \vec{0}$

4: 这三个式子的大小同为以 $\vec{a}, \vec{b}, \vec{c}$ 为棱的平行六面体体积, 且有序向量组 $\{\vec{a}, \vec{b}, \vec{c}\}, \{\vec{b}, \vec{c}, \vec{a}\}, \{\vec{c}, \vec{a}, \vec{b}\}$ 同为左手系或右手系, 从而有

$$\vec{a} \times \vec{b} \cdot \vec{c} = \vec{b} \times \vec{c} \cdot \vec{a} = \vec{c} \times \vec{a} \cdot \vec{b}.$$

5:

$$\begin{aligned} \vec{OM} &= \vec{OA} + \vec{AM} \\ &= \vec{OA} + \frac{1}{2}\vec{AB} \\ &= \vec{OA} + \frac{1}{2}(\vec{OB} - \vec{OA}) \\ &= \frac{1}{2}(\vec{OA} + \vec{OB}) \end{aligned}$$

6: 解:

$$\begin{aligned}
 \therefore 2(\vec{a} \cdot \vec{b} + \vec{b} \cdot \vec{c} + \vec{c} \cdot \vec{a}) &= (\vec{a} + \vec{c}) \cdot \vec{b} + (\vec{b} + \vec{c}) \cdot \vec{a} + (\vec{a} + \vec{b}) \cdot \vec{c} \\
 &= -\vec{b} \cdot \vec{b} - \vec{a} \cdot \vec{a} - \vec{c} \cdot \vec{c} \\
 &= -3 \\
 \therefore \vec{a} \cdot \vec{b} + \vec{b} \cdot \vec{c} + \vec{c} \cdot \vec{a} &= -\frac{3}{2}
 \end{aligned}$$

7: 不妨设两者均为非零向量 (零向量的情况结论平凡)

$$(\vec{a} + 3\vec{b}) \cdot (7\vec{a} - 5\vec{b}) = 7|\vec{a}|^2 + 16\vec{a} \cdot \vec{b} - 15|\vec{b}|^2 = 0;$$

$$(\vec{a} - 4\vec{b}) \cdot (7\vec{a} - 2\vec{b}) = 7|\vec{a}|^2 - 30\vec{a} \cdot \vec{b} + 8|\vec{b}|^2 = 0;$$

$$\text{则 } |\vec{a}|^2 = 2\vec{a} \cdot \vec{b}, \quad |\vec{b}|^2 = 2\vec{a} \cdot \vec{b}$$

$$\text{于是 } \vec{a}, \vec{b} \text{ 的夹角 } \theta \text{ 满足 } \cos\theta = \frac{\vec{a} \cdot \vec{b}}{|\vec{a}| \cdot |\vec{b}|} = \frac{1}{2}, \text{ 故 } \theta \text{ 为 } \frac{\pi}{3}$$

8: (1)

$$|(\mathbf{a} + \mathbf{b}) \times (\mathbf{a} - \mathbf{b})| = |\mathbf{b} \times \mathbf{a} - \mathbf{a} \times \mathbf{b}| = ||\mathbf{b}||\mathbf{a}| - |\mathbf{a}||\mathbf{b}|| = 0$$

(2)

$$|(3\mathbf{a} - \mathbf{b}) \times (\mathbf{a} - 2\mathbf{b})| = |-6\mathbf{a} \times \mathbf{b} - \mathbf{b} \times \mathbf{a}| = 7|\mathbf{a}||\mathbf{b}| = 84$$

9: Using the operation law of "×", we can get

$$(1)|\mathbf{a} \times \mathbf{b}|^2 = (|\mathbf{a}| \cdot |\mathbf{b}| \cdot \sin\theta)^2 = 1 \cdot 4 \cdot \sin^2\left(\frac{2\pi}{3}\right) = 3$$

$$(2)|(\mathbf{a} + 3\mathbf{b}) \times (3\mathbf{a} - \mathbf{b})|^2 = |10 \cdot (\mathbf{a} \times \mathbf{b})|^2 = 300$$

**10:**  $\vec{a} \times \vec{b} = \vec{a} \times (-\vec{a} - \vec{c}) = -\vec{a} \times \vec{a} - \vec{a} \times \vec{c} = -\vec{a} \times \vec{c} = \vec{c} \times \vec{a}$

**11:** 证明: 由题意知,  $\vec{a}, \vec{b}, \vec{c}$  均不为零向量,  $\therefore (\vec{a} + \vec{b} + \vec{c}) \times \vec{a} = \vec{a} \times \vec{a} + \vec{b} \times \vec{a} + \vec{c} \times \vec{a} = \vec{b} \times \vec{a} + \vec{a} \times \vec{b} = \vec{0}$ , 同理有  $(\vec{a} + \vec{b} + \vec{c}) \times \vec{b} = (\vec{a} + \vec{b} + \vec{c}) \times \vec{c} = \vec{0}$ , 故只有  $\vec{a} + \vec{b} + \vec{c} = \vec{0}$

**12:** 将等式两边展开, 都是  $(|\vec{a}||\vec{b}|\sin\theta)^2$ .

**13:**

$$\begin{aligned} V &= |\vec{a} \times \vec{b} \cdot \vec{c}| \\ &= \begin{vmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{vmatrix} \\ &= \begin{vmatrix} 1 & -3 & 1 \\ 2 & 1 & -3 \\ 1 & 2 & 1 \end{vmatrix} \\ &= 25 \end{aligned}$$

**14:** 解:

$$\begin{aligned} |\vec{a} - \vec{b}| &= |(4, -6, 12)| \\ &= \sqrt{4^2 + (-6)^2 + 12^2} \\ &= 14 \end{aligned}$$

方向余弦为:

$$(\cos \alpha, \cos \beta, \cos \gamma) = \left(\frac{2}{7}, -\frac{3}{7}, \frac{6}{7}\right)$$

**15:**  $|\vec{a}| = \sqrt{3^2 + 4^2 + 12^2} = 13$

故  $\vec{a}^0 = \left(\frac{3}{13}, \frac{4}{13}, -\frac{12}{13}\right)$

**16:** 设 $x$ 轴和 $y$ 轴基向量为 $\hat{i}, \hat{j}$ ,  $\mathbf{a} = a_1\hat{i} + a_2\hat{j}$ ,  $a_1, a_2$ 满足 $a_1^2 + a_2^2 = 4$ ,  
将 $\mathbf{a}$ 与两基向量点乘

$$\mathbf{a} \cdot \hat{i} = a_1 + a_2\hat{i} \cdot \hat{j} = |\mathbf{a}| \cos \alpha = 1$$

$$\mathbf{a} \cdot \hat{j} = a_1\hat{i} \cdot \hat{j} + a_2 = |\mathbf{a}| \cos \beta = -1$$

上两式相加:  $(a_1 + a_2)(1 + \hat{i} \cdot \hat{j}) = 0$  因为 $\hat{i} \cdot \hat{j} \neq -1$ , 所以 $a_0 + a_1 = 0$   
则 $a_1 = \pm\sqrt{2}, a_2 = \pm\mp\sqrt{2}$  所以 $\mathbf{a} = (\sqrt{2}, -\sqrt{2})$ 或 $\mathbf{a} = (-\sqrt{2}, \sqrt{2})$

**17:** Using the coordinate operation law of vector, we can get

$$\vec{AB} = \vec{OB} - \vec{OA} = (1, 3, 4), \vec{AC} = \vec{OC} - \vec{OA} = (2, 6, 8)$$

since  $\vec{AB} \parallel \vec{AC}$  then  $A, B, C$  are collinear

**18:** (1)-6, (2)-61



**19:** 解: (1)  $\vec{a} \cdot \vec{b} = 24 + 6 + 8 = 38$

(2)  $\sqrt{\vec{b} \cdot \vec{b}} = |\vec{b}| = \sqrt{36 + 9 + 4} = 7$

(3)  $(2\vec{a} - 3\vec{b}) \cdot (\vec{a} + 2\vec{b}) = 2|\vec{a}|^2 + \vec{a} \cdot \vec{b} - 6|\vec{b}|^2 = 2 \cdot (16 + 4 + 16) + 38 - 6 \cdot 7^2 = -184$

(4)  $\vec{a} - \vec{b} = (-2, 1, 2), |\vec{a} - \vec{b}|^2 = 4 + 1 + 4 = 9$

**20:**  $\cos \theta = \frac{\mathbf{a} \cdot \mathbf{b}}{|\mathbf{a}||\mathbf{b}|} = \frac{5}{21}.$

**21:**

$$\mathbf{a} \cdot \mathbf{e}_b = \frac{\mathbf{a} \cdot \mathbf{b}}{|\mathbf{b}|} = \frac{18}{3} = 6$$

**22:** 解:

(1)

$$\begin{aligned} \vec{a} \times \vec{b} &= \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 3 & -1 & -2 \\ 1 & 2 & -1 \end{vmatrix} \\ &= 5\vec{i} + \vec{j} + 7\vec{k} \end{aligned}$$

(2)

$$2\vec{a} - \vec{b} = (5, -4, -3)$$

$$2\vec{a} + \vec{b} = (7, 0, -5)$$

$$\begin{aligned} \therefore (2\vec{a} - \vec{b}) \times (2\vec{a} + \vec{b}) &= \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 5 & -4 & -3 \\ 7 & 0 & -5 \end{vmatrix} \\ &= 20\vec{i} + 4\vec{j} + 28\vec{k} \end{aligned}$$

**23:**  $\overrightarrow{AB} = (2, -2, -3), \overrightarrow{AC} = (4, 0, 6)$

则  $S = \frac{1}{2} |\overrightarrow{AB} \times \overrightarrow{AC}| = 14$

**24:** 先求出

$$\mathbf{AB} = (3, 6, 3), \mathbf{AC} = (1, 3, -2), \mathbf{AD} = (2, 2, 2)$$

四点构成的四面体体积为

$$V = |\mathbf{AB} \cdot (\mathbf{AC} \times \mathbf{AD})| = 18$$

**25:** Using the coordinate operation law of the mixed product of vectors, we can get

$$(1) \mathbf{a} \times \mathbf{b} \cdot \mathbf{c} = \begin{vmatrix} 2 & 3 & -1 \\ 1 & -1 & 3 \\ 1 & 9 & -11 \end{vmatrix} = 0$$

since  $\mathbf{a} \times \mathbf{b} \cdot \mathbf{c} = 0$  then  $\mathbf{a}, \mathbf{b}, \mathbf{c}$  are coplanar

$$(2) \mathbf{a} \times \mathbf{b} \cdot \mathbf{c} = \begin{vmatrix} 3 & -2 & 1 \\ 2 & 1 & 2 \\ 3 & -1 & -2 \end{vmatrix} \neq 0$$

since  $\mathbf{a} \times \mathbf{b} \cdot \mathbf{c} \neq 0$  then  $\mathbf{a}, \mathbf{b}, \mathbf{c}$  are non coplanar

/

**26:** 共面

**27:** (1)关于xOy平面的对称点:  $(a, b, -c)$

关于xOz平面的对称点:  $(a, -b, c)$

关于yOz平面的对称点:  $(-a, b, c)$

(2)关于x轴对称点:  $(a, -b, -c)$

关于y轴对称点:  $(-a, b, -c)$

关于z轴对称点:  $(-a, -b, c)$

**28:** 到原点距离 $5\sqrt{2}$ , 到x轴, y轴和z轴距离分别为 $\sqrt{34}$ ,  $\sqrt{41}$ , 5.

**29:** 设所求点为 $(0, y, z)$ , 由条件可列方程

$$9 + (y - 1)^2 + (z - 2)^2 = 16 + (y + 2)^2 + (z + 2)^2 = (y - 5)^2 + (z - 1)^2$$

解得 $y = 1, z = -2$ , 故所求点为 $(0, 1, -2)$

## 8.2 平面与直线

**1:** 略

**2:**  $M_1\vec{M}_2 = (1, 2, -1)$ , 平面的法向量 $\vec{n} = M_1\vec{M}_2 \times \vec{v} = (7, -7, -7)$ . 不妨取 $\vec{n}_0 = (1, -1, -1)$ , 则 $x - 2 + (-1) * (y + 1) - (z - 3) = 0$ , 化简即为 $x - y - z = 0$

**3:** 设平面方程为 $a(x-5)+b(y+7)+c(z-4)=0$

(1)若截距不为零, 则由

$$\frac{a}{5a-7b+4c}x + \frac{b}{5a-7b+4c}y + \frac{c}{5a-7b+4c}z = 1$$

可取 $a = b = c = 1$ 则方程为 $x + y + z - 2 = 0$

(2)若截距为0则存在无数多解, 可用平面束方程。

4: 平行, 相交, 重合, 相交.

5: 两平面法向量分别为 $\mathbf{n}_1 = (2, 0, -1)$ 和 $\mathbf{n}_2 = (0, 1, 0)$ , 所求平面的法向量为

$$\begin{aligned}\mathbf{n} &= \mathbf{n}_1 \times \mathbf{n}_2 \\ &= \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ 2 & 0 & -1 \\ 0 & 1 & 0 \end{vmatrix} \\ &= (1, 0, -2)\end{aligned}$$

又平面过 $M(3, -1, 1)$ , 平面方程为 $x + 2z - 5 = 0$

6: 解:  $\because$ 该平面平行于坐标面 $Oyz$ , 故其一个法向量为 $\vec{n} = (1, 0, 0)$

又 $\because$ 该平面过点 $M$ ,  $\therefore$ 其平面方程为 $x + 5 = 0$ .

7: (1). 两平面对应的法向量为 $\vec{a} = (2, -1, 1)$ 与 $\vec{b} = (1, 1, 2)$

$$\text{则} \cos\theta = \frac{\vec{a} \cdot \vec{b}}{|\vec{a}| |\vec{b}|} = \frac{1}{2}$$

夹角为 $\frac{\pi}{3}$

(2) 两平面对应的法向量为 $\vec{a} = (4, 2, 4)$ 与 $\vec{b} = (3, -4, 0)$

$$\text{则} \cos\theta = \frac{\vec{a} \cdot \vec{b}}{|\vec{a}| |\vec{b}|} = \frac{2}{15}$$

夹角为 $\arccos(\frac{2}{15})$

8: (1)

$$d = \frac{|16 \times 2 - 12 \times (-1) + 15 \times (-1) - 4|}{\sqrt{16^2 + 12^2 + 15^2}} = 1$$

(2)

$$d = \frac{|12 \times 2 - 5 \times (-2) + 5|}{\sqrt{12^2 + 5^2}} = 3$$

9: (1)

$$\frac{|14 + 7|}{\sqrt{9 + 36 + 4}} = 3. \quad (8.1)$$

(2)

$$\frac{|18 + 21|}{\sqrt{16 + 4 + 16}} = \frac{13}{2} \quad (8.2)$$

10: (1)同侧, (2)异侧

11: 两平面平行, 易知所求平面方程为  $x + y - 2z + 1 = 0$ (1)  $x^2 + y^2 - \frac{z^2}{4} = 1$ , 为单叶双曲面(2)  $\sqrt{(y^2 + z^2)} = \sin x (0 \leq x \leq \pi)$ , 名称未知(3)  $4x^2 + 9y^2 + 4z^2 = 36$ , 为椭球面

12: 两平面的法向量  $\vec{v}_1 = (2, -1, 1)$  和  $\vec{v}_2 = (1, 1, 2)$  模长相等, 于是两个平分面的法向量为  $\vec{v}_3 = \vec{v}_1 + \vec{v}_2 = (3, 0, 3)$  与  $\vec{v}_4 = \vec{v}_1 - \vec{v}_2 = (1, -2, -1)$ . 现在选取两平面的交点  $(6, 5, 0)$ , 那么平分面的方程为

$$(x - 6, y - 5, z) \cdot \vec{v}_3 = 0, \quad (x - 6, y - 5, z) \cdot \vec{v}_4 = 0.$$

也就是 $x + z - 6 = 0$ 与 $x - 2y - z + 4 = 0$ .

**13:** 由于该点到三个坐标平面的距离相等,因此设该点为 $P(x, x, x)$ ,现在计算该点到平面 $x + y + z - 1 = 0$ 的距离(用书上公式),

$$d = \frac{|ax + by + cz + d|}{\sqrt{a^2 + b^2 + c^2}} = \frac{|3x - 1|}{\sqrt{3}}$$

**14:** 解:

(1)易知该平面过 $A, B$ 两点所构成线段的中点 $C(\frac{3}{2}, \frac{1}{2}, \frac{7}{2})$ ,且该平面的一个法向量 $\vec{n} = \vec{AB} = (1, -3, 1)$

$\therefore$ 该平面的平面方程为 $x - \frac{3}{2} - 3(y - \frac{1}{2}) + z - \frac{7}{2} = 0$ ,即

$$x - 3y + z - \frac{7}{2} = 0$$

(2) $\therefore$ 该平面与平面 $6x + 3y + 2z + 12 = 0$ 平行

$\therefore$ 可设平面方程为 $6x + 3y + 2z + D = 0$

由题意得, $\frac{|6-2+D|}{\sqrt{6^2+3^2+2^2}} = \frac{|6-2+12|}{\sqrt{6^2+3^2+2^2}}$

$\therefore D = -20$

$\therefore$ 平面方程为

$$6x + 3y + 2z - 20 = 0$$

(3)通过 $x$ 轴的平面方程可设为 $By + z = 0$

$\therefore \frac{|4B+13|}{\sqrt{B^2+1}} = 8, \therefore B = -\frac{3}{4} \text{ 或 } \frac{35}{12},$

$\therefore$ 平面方程为

$$-3y + 4z = 0 \text{ 或 } 35y + 12z = 0$$

(4)由题知,可设平面方程为 $\frac{x}{3} + \frac{y}{m} + \frac{z}{1} = 1$ ,即 $mx + 3y + 3mz - 3m = 0$

$\therefore$ 该平面的一个法向量为 $\vec{n}_1 = (m, 3, 3m)$ ,而 $Oxy$ 平面的法向量为 $\vec{n}_2 =$

$(0, 0, 1)$

$$\therefore \cos \frac{\pi}{3} = \frac{\vec{n}_1 \cdot \vec{n}_2}{|\vec{n}_1||\vec{n}_2|}, \text{即} \frac{3m}{\sqrt{m^2 + (3m)^2 + 9}} = \frac{1}{2}$$

$$\therefore m = \pm \frac{3\sqrt{26}}{26}$$

$\therefore$  平面方程为

$$x + \sqrt{26}y + 3z - 3 = 0 \quad \text{或} \quad x - \sqrt{26}y + 3z - 3 = 0$$

**15:** (1). 直线方向向量为  $(1, 0, 2) \times (0, 1, -3) = (-2, 3, 1)$  从而直线方程为  $\frac{x}{-2} = \frac{y-2}{3} = z - 4$

(2). 直线方向向量为  $(1, 1, -2) \times (1, 2, -1) = (3, -1, 1)$  从而直线方程为  $\frac{x+1}{3} = -y + 2 = z - 1$

$$(3). \frac{x-2}{2} = \frac{y+3}{-3} = \frac{z-4}{0}$$

(4). 先计算两条直线的方向向量, 分别为  $\vec{a} = (-3, 1, 10)$  与  $\vec{b} = (4, -1, 2)$

则  $\vec{l}$  具有方向向量  $\vec{l} = \vec{a} \times \vec{b} = (12, 46, -1)$

从而方程为  $\frac{x+1}{12} = \frac{y+4}{46} = \frac{z-3}{-1}$

**16:** 两平面的方向量为  $\mathbf{n}_1 = (2, 3, -1)$ ,  $\mathbf{n}_2 = (3, -5, 2)$ , 则直线的方向向量为  $\mathbf{v} = \mathbf{n}_1 \times \mathbf{n}_2 = (1, -7, -19)$ . 又有  $(1, 0, -2)$  为直线上的一点, 可求出此直线的参数方程为

$$\frac{x-1}{1} = \frac{y}{-7} = \frac{z+2}{-19}$$

**17:** (1)令

$$\frac{x-1}{1} + \frac{y+1}{-2} + \frac{z}{6} = t \quad (8.3)$$

代入得

$$2(t+1) + 3(-2t-1) + 6t - 1 = 0, \Rightarrow t = 1. \quad (8.4)$$

因此  $x = 2, y = -3, z = 6$ 。

(2)令

$$\frac{x+2}{-2} + \frac{y-1}{3} + \frac{z-3}{2} = t \quad (8.5)$$

代入得

$$-2t - 2 + 2(3t + 1) - 2(2t + 3) + 6 = 0. \quad (8.6)$$

得知  $t$  任意都成立，所以直线在平面上。

**18:** (1)  $\frac{\pi}{2}$ , (2)  $\frac{2\pi}{3}$

**19:** (1):

$$l_1 = n_1 \times n_2 = (-6, 4, -2)$$

直线  $l_2 = (3, -2, 1)$ , 两向量平行，所以两直线平行

在两直线上分别取一点  $A = (-2, 1, 0), B = (1, -2, -1)$

$$\text{距离 } d = \frac{|\vec{AB} \times l_2|}{|l_2|} = \sqrt{5}$$

(2):

同理可得两直线平行

在两直线上分别取一点  $A = (-7, 5, 9), B = (0, -4, -18)$

$$\text{距离 } d = \frac{|\vec{AB} \times l_2|}{|l_2|} = 25$$



**20:** (1)两直线点向式方程分别为 $-x+1=2y=z+1$ 和 $x=y+1=2z+3$ .  
方向向量 $\vec{v}_1 = (-1, \frac{1}{2}, 1)$ 与 $\vec{v}_2 = (1, 1, \frac{1}{2})$ 垂直, 交点 $(1, 0, -1)$ .

(2)两直线的方向向量 $\vec{v}_1 = (1, -4, 0)$ 与 $\vec{v}_2 = (0, 0, 1)$ 垂直, 交点 $(-2, -1, 5)$ .

**21:** (1)直线的点向式方程为

$$\frac{x}{2} = \frac{y+12}{3} = \frac{z-4}{6}$$

所以该直线的方向向量为 $\boldsymbol{l} = (2, 3, 6)$ , 平面法向量为 $\boldsymbol{n} = (6, 15, -10)$ , 用书上公式, 平面与直线的夹角 $\phi$ 满足

$$\sin\phi = \frac{|\boldsymbol{n} \cdot \boldsymbol{l}|}{|\boldsymbol{n}| |\boldsymbol{l}|} = \frac{3}{133}$$

(2)直线的点向式方程为

$$\frac{x}{-2} = \frac{y}{-4} = \frac{z}{2}$$

所以该直线的方向向量为 $\boldsymbol{l} = (-1, -2, 1)$ , 平面法向量为 $\boldsymbol{n} = (1, -1, -1)$ , 用书上公式, 平面与直线的夹角 $\phi$ 满足

$$\sin\phi = \frac{|\boldsymbol{n} \cdot \boldsymbol{l}|}{|\boldsymbol{n}| |\boldsymbol{l}|} = 0$$

故直线与平面平行

**22:** 解:

(1)直线过点 $P_1(0, 1, 0)$ , 方向向量为 $\vec{u} = (1, -2, 1)$

$$\therefore P_1\vec{P}_0 = (1, -1, -1)$$

$$\therefore \text{距离 } d = \frac{|P_1\vec{P}_0 \times \vec{u}|}{|\vec{u}|} = \sqrt{3}$$

(2)直线过点 $M_1(1, 1, 1)$ , 方向向量为 $\vec{u} = (1, 1, 1) \times (2, 0, 1) = (1, -3, -2)$

$$\begin{aligned}\therefore M_1\vec{M}_0 &= (0, 1, 2) \\ \therefore \text{距离} d &= \frac{|M_1\vec{M}_0 \times \vec{u}|}{|\vec{u}|} = \frac{\sqrt{6}}{2}\end{aligned}$$

**23:** 记P, Q分别为两直线上的一点,  $\vec{m}, \vec{n}$ 分别两直线的方向向量, 则两直线的距离 $d = \frac{|\vec{m} \times \vec{n} \cdot \vec{PQ}|}{|\vec{m} \times \vec{n}|}$

(1).(9,-2,0), (0,-7,2)分别为两直线上的一点, (4,-3,1), (-2,9,2)分别两直线的方向向量, 则两直线的距离 $d = \frac{|(4,-3,1) \times (-2,9,2) \cdot (-9,-5,2)|}{|(4,-3,1) \times (-2,9,2)|} = 7$

(2).(1,0,0), (0,0,-2)分别为两直线上的一点,  $(1,1,-1) \times (2,1,-1) = (0,-1,-1)$ ,  $(1,2,-1) \times (1,2,2) = (6,-3,0)$ 分别两直线的方向向量, 则两直线的距离 $d = \frac{|(0,-1,-1) \times (6,-3,0) \cdot (-1,0,-2)|}{|(0,1,-1) \times (6,-3,0)|} = 1$

**24:** 直线方向向量

$$\mathbf{v} = (3, 2, -1) \times (2, -3, 2) = (1, -8, -13)$$

因为平面通过直线, 所以 $\mathbf{n} \perp \mathbf{n}$ .又因为与法向量为 $\mathbf{n}_1 = (1, 2, 3)$ 的平面垂直, 则 $\mathbf{n} \perp \mathbf{n}_1$ 所以

$$\mathbf{n} = \mathbf{v} \times \mathbf{n}_1 = (2, -16, 10)$$

取直线上一点(0,0,-1), 则平面也过此点。

设平面方程为 $2x - 16y + 10z + d = 0$ .代入点(0,0,-1), 得 $d = 10$ .整理后得待求直线方程为

$$x - 8y + 5z + 5 = 0$$

**25:** 直线  $x = 3t + 1, y = 2t + 3, z = -t - 2$ , 方向向量为  $\vec{n}_1 = (3, 2, -1)$ , 其平行于平面。直线

$$\begin{cases} 2x - y + z - 3 = 0 \\ x + 2y - z - 5 = 0 \end{cases} \quad (8.7)$$

方向向量为  $\vec{n}_2 = (-1, 3, 5)$ , 其也平行于平面。所以平面的法向量  $\vec{n}_1 \times \vec{n}_2 = (13, -14, -11)$ 。令  $t = 0$ , 则平面经过点  $(1, 3, -2)$ 。所以平面方程为  $13x - 14y - 11z + 7 = 0$ 。

**26:**  $2 + 6x + 8y - 2z = 0, -x + 2y + 5z - 3 = 0$

**27:** 投影: 过点  $(-1, 2, 0)$  且与平面垂直的直线与平面的交点

直线的方向向量  $\vec{l} = \vec{n} = (1, 2, -1)$

将直线的参数方程带入平面方程, 可得交点坐标为  $(-5/3, 2/3, 2/3)$

**28:** 直线的方向向量  $\vec{v} = (1, 2, 3)$ , 设点  $A(2, 3, 1)$ , 在直线上的投影  $P(t - 7, 2t - 2, 3t - 2)$ , 则由  $\overrightarrow{PA} \cdot \vec{v} = 0$  得  $P(-5, 2, 4)$ 。

**29:** 该平面的法向量为  $(6, 2, -9)$ , 设对称点为  $(x, y, z)$ , 则有

$$(x, y, z) - (0, 0, 0) = t(6, 2, -9)$$

由于  $(0, 0, 0)$  到该平面的距离为

$$d = \frac{|ax + by + cz + d|}{\sqrt{a^2 + b^2 + c^2}} = \frac{121}{11} = 11$$

所求对称点 $(6t, 2t, -9t)$ 到该平面的距离也应为11, 故

$$d = \frac{|36t + 4t + 81t + 121|}{11} = 11$$

解得 $t = -2$ (舍0), 故对称点为 $(-12, -4, 18)$

**30:** 解: 过点 $(1, 2, 3)$ 且与直线垂直的平面方程为 $(x-1) - 3(y-2) - 2(z-3) = 0$ , 即 $x - 3y - 2z + 11 = 0$

联立直线、平面方程得 $x = \frac{1}{2}, y = \frac{5}{2}, z = 2$ ,

∴根据中点坐标公式, 知对称点坐标为 $(0, 3, 1)$

**31:** 两直线上的点可分别设为 $(1 + \lambda u, -4 + 5u, 3 - 3u)$ 和 $(-3 + 3v, 9 - 4v, -14 + 7v)$

$$\text{令 } (1 + \lambda u, -4 + 5u, 3 - 3u) = (-3 + 3v, 9 - 4v, -14 + 7v)$$

$$\text{则可解得 } \begin{cases} \lambda = 2 \\ u = 1 \\ v = 2 \end{cases}$$

从而 $\lambda = 2$ , 交点为 $(3, 1, 0)$

两直线方向向量分别为 $(2, 5, -3)$ 和 $(3, -4, 7)$

则平面法向量为 $(2, 5, -3) \times (3, -4, 7) = (23, -23, -23)$

从而平面方程为 $x - y - z - 2 = 0$

**32:** 设题中给出的两条直线为 $l_1, l_2$ , 取 $l_1$ 上一点 $A(0, 5, -3)$ , 取 $l_2$ 上一点 $B(0, -7, 10)$

$l_1$ 方向向量:  $\mathbf{v}_1 = (3, -1, 0) \times (2, 0, -1) = (1, 3, 2)$

$l_2$ 方向向量:  $\mathbf{v}_2 = (4, -1, 0) \times (5, 0, -1) = (1, 4, 5)$

因此 $l_1$ 上的点可表示为

$$(0, 5, -3) + t_1 \mathbf{v}_1 = (t_1, 5 + 3t_1, -3 + 2t_1)$$

$l_2$ 上的点可表示为

$$(0, -7, 10) + t_2 \mathbf{v}_2 = (t_2, -7 + 4t_2, 10 + 5t_2)$$

待求直线 $l$ 与直线 $l_1, l_2$ 相交, 则 $t_1, t_2$ 应满足 $(0, 5, -3) + t_1 \mathbf{v}_1 = (t_1, 5 + 3t_1, -3 + 2t_1), (0, -7, 10) + t_2 \mathbf{v}_2 = (t_2, -7 + 4t_2, 10 + 5t_2), (-3, 5, -9)$ 三点共线, 则

$$\frac{t_1 + 3}{t_2 + 3} = \frac{5 + 3t_1 - 5}{-7 + 4t_2 - 5} = \frac{-3 + 2t_1 - (-9)}{10 + 5t_2 - (-9)}$$

即

$$\frac{t_1 + 3}{t_2 + 3} = \frac{3t_1}{4t_2 - 12} = \frac{2t_1 + 6}{5t_2 + 19}$$

得到两组解

$$t_1 = -3, t_2 = -3$$

或

$$t_1 = -\frac{66}{19}, t_2 = -\frac{13}{3}$$

对于第一组解, 直线方程为

$$\begin{cases} x = -3 \\ y = -9 \end{cases}$$

对于第二组解, 直线方程为

$$\frac{x + 3}{1} = \frac{y - 5}{22} = \frac{z + 9}{2}$$

**33:** 先求直线与平面的交点坐标 $Q$ , 联立方程

$$\begin{cases} x + y - z - 1 = 0 \\ x - y + z + 1 = 0 \\ x + y + z = 0 \end{cases} \quad (8.8)$$

求得 $(0, \frac{1}{2}, -\frac{1}{2})$ 。任取直线上任意一点 $A(0, 0, -1)$ 。设在平面上的射影 $B(u, v, w)$ 。由于 $AB$ 垂直于平面, 而平面法向量为 $(1, 1, 1)$ , 所以 $AB$ 直线方程为

$$\frac{x}{1} = \frac{y}{1} = \frac{z+1}{1} \quad (8.9)$$

那么 $(u, v, w)$ 满足下面方程

$$u + v + w = 0 \quad (8.10)$$

$$\frac{u}{1} = \frac{v}{1} = \frac{w+1}{1} \quad (8.11)$$

所以解得 $u = \frac{1}{4}, v = \frac{1}{4}, w = -\frac{1}{2}$ 。所以求得的直线方程为

$$\frac{x}{\frac{1}{4}} = \frac{y - \frac{1}{2}}{-\frac{1}{4}} = \frac{z + \frac{1}{2}}{0} \quad (8.12)$$

**34:** 平面 $5x-y+3z-2=0$ 与 $Oxy$ 平面的交线 $l: 5x-y-2=0, z=0$ . 平面的法向量 $\vec{n}_1 = (5, -1, 3)$ , 直线的方向向量 $\vec{n}_2 = (\frac{1}{5}, 1, 0)$ , 所求平面法向量为 $\vec{n} = \vec{n}_1 \times \vec{n}_2 = (-15, 3, 26)$ . 因为平面过点 $(0, 2, 0)$ , 所以方程为 $-15x + 3y + 26z + 6 = 0$

**35:** 按照题28的思路可得点到直线的垂足的坐标为 $(\frac{33}{29}, -\frac{26}{29}, \frac{27}{29})$

所以垂线方程为 $\frac{x}{33} = \frac{y}{-26} = \frac{z}{27}$

### 8.3 二次曲面

1: (1)椭圆 $\frac{x^2}{4} + \frac{r^2}{9} = 1$ 绕x轴旋转, 椭球面

(2)圆绕x,y,z轴其中一轴旋转, 是球面

(4)双曲线 $r^2 - \frac{y^2}{4} = 1$ 绕y轴旋转, 单叶双曲面

(6)双曲线 $x^2 - r^2 = 1$ 绕x轴旋转, 双叶双曲面

(7)抛物线 $r^2 = 4z$ 绕z轴旋转, 抛物面

2: (1)都是直线(2)都是直线(3)Oxy坐标系为圆, Oxyz坐标系为圆柱(4)Oxy坐标系为双曲线, Oxyz坐标系为双曲柱面(5)Oxy坐标系为抛物线, Oxyz坐标系为抛物柱面(6)Oxy坐标系为两个点, Oxyz坐标系为两条直线(7)Oxy坐标系为一个点, Oxyz坐标系为一条直线(8)Oxy坐标系为一个点, Oxyz坐标系为一条直线

3: (1) $x^2 + y^2 - \frac{z^2}{4} = 1$ , 为单叶双曲面

(2) $\sqrt{(y^2 + z^2)} = \sin x (0 \leq x \leq \pi)$ , 名称未知

(3) $4x^2 + 9y^2 + 4z^2 = 36$ , 为椭球面

4:  $Oyz$ 平面上的直线 $y = z$ 的方向向量为 $\vec{v} = (0, 1, 1)$ , 与这条直线垂直的平面为 $y + z - t = 0, t \in \mathbb{R}$ . 平面与 $Oyz$ 平面上的直线 $y - 2z + 1 = 0$ 的交点为 $A(0, \frac{2t-1}{3}, \frac{t+1}{3})$ , 平面上的点 $P(x, y, z)$ 是旋转面上的点意味着 $|\vec{OA} \times \vec{v}| = |\vec{OP} \times \vec{v}|$ , 即 $x^2 + 4y^2 + 4z^2 - 10yz + 2y + 2z - 2 = 0$ .

5: (1)平面 $\pi$ 的法向量为 $\mathbf{n} = (1, -1, 2)$ ,直线 $L$ 的方向向量为 $\mathbf{l} = (1, 1, -1)$ ,因此过 $L$ 且与 $\pi$ 垂直的平面的法向量 $\mathbf{n}' = \mathbf{n} \times \mathbf{l} = (1, -3, -2)$ ,投影直线 $L_0$ 的方向向量 $\mathbf{l}_0 = \mathbf{n}' \times \mathbf{n} = (-4, -2, 1)$ ,联立 $L$ 与 $\pi$ 的方程,可解得交点为 $M(2, 1, 0)$ , $M$ 在 $L_0$ 上,故 $L_0$ 方程为

$$\frac{x-2}{-4} = \frac{y-1}{-2} = \frac{z}{1}$$

(2) $L_0$ 绕 $y$ 轴旋转,所得曲面上点 $P(x, y, z)$ 对应子午线上 $(2y, y, \frac{1-y}{2})$ ,由距离关系

$$x^2 + y^2 + z^2 = (2y)^2 + y^2 + \left(\frac{1-y}{2}\right)^2$$

整理得曲面方程

$$4x^2 - 17y^2 + 4z^2 + 2y - 1 = 0$$

6: 略

7: (1).将 $z=0$ 代入得 $x^2 - y^2 = 9$

从而截痕为双曲线

(2).将 $x=0$ 代入得 $y^2 + z^2 = -9$

从而无截痕

(3).将 $y=0$ 代入得 $x^2 - z^2 = 9$

从而截痕为双曲线

(4).将 $x=5$ 代入得 $y^2 + z^2 = 16$

从而截痕为圆

8: 设动点坐标为 $(x, y, z)$ , 由题意有

$$\sqrt{x^2 + y^2 + z^2} = |z - 4|$$



两边平方, 整理得

$$x^2 + y^2 + 8z - 16 = 0$$

可看出是抛物面

9: 联立两曲面方程, 消去 $z$ 即得母线平行于 $z$ 轴的柱面方程 $5x^2 - 3y^2 = 1$

10: 设球心 $(0, 0, z_0)$ , 半径 $R$ 。构造方程:  $3^2 + (z_0 - 1)^2 = z_0^2 + 16$ 得 $z_0 = -3, R = 5$ 。球的方程为 $x^2 + y^2 + (z + 3)^2 = 25$

11: 利用两个方程消去 $z$ 有 $\frac{x^2}{16} + \frac{y^2}{4} - \frac{(x+3)^2}{20} = 1$ , 即 $\frac{(x-12)^2}{260} + \frac{y^2}{13} = 1$

12: 在平面中 $xy = h$ 在 $h > 0$ 时表示位于一, 三象限的双曲线; 在 $h < 0$ 时表示位于二, 四象限的双曲线, 在 $h = 0$ 时表示两条坐标轴. 在空间坐标系中 $xy = z$ 是马鞍面, 用平面 $z = h$ 去截这个曲面, 在 $h > 0$ 和 $h < 0$ 时得到不同方向的双曲线, 在 $h = 0$ 时得到 $x, y$ 坐标轴.

## 8.4 坐标变换和其他常用坐标系

1:  $(x - 1)^2 - (y - 1)^2 - (z - 1/2)^2 = 3/4$ , 双叶双曲面

2: 平移、旋转变换可得 $z = \frac{y^2}{2} - \frac{x^2}{2}$ , 双曲抛物面。

**3:** 解: 此题需要对二次曲面进行化简, 参考文献见链接。为消去 $yz$ 项, 令 $\cot 2\theta = \frac{-3-3}{8} = \frac{-3}{4}$ , 取 $\tan \theta = 2$ , 且 $\theta$ 为锐角, 做变换

$$\begin{cases} x = x' \\ y = \frac{1}{\sqrt{5}}y' - \frac{2}{\sqrt{5}}z' \\ z = \frac{2}{\sqrt{5}}y' + \frac{1}{\sqrt{5}}z' \end{cases}$$

代入原方程并化简得:  $x'^2 + y'^2 - z'^2 = 1$ , 表示一个单叶双曲面。

**4:** (1)  $r^2 \cos 2\theta = 25, r^2 \sin^2 \theta \cos 2\phi = 25;$

(2)  $r^2 + 4z^2 = 10, r^2 \cos^2 \theta = 3;$

(3)  $\sin^2 \theta = 2 \cos^2 \theta;$

(4)  $r^2 \sin^2 \theta \cos 2\phi - r^2 \cos^2 \theta = 1;$

(5)  $r^2 \cos^2 \theta = 3;$

(6)  $r^2 + z^2 = 2z;$

(7)  $r(\cos \theta + \sin \theta) = 4;$

(8)  $r(\sin \theta \cos \phi + \sin \theta \sin \phi + \cos \theta) = 1;$

(9)  $x^2 + y^2 = 2y;$

(10)  $x^2 - y^2 = z;$

(11)  $x^2 + y^2 = 1.$

**5:** 旋转后的方程为 $z = 2(x^2 + y^2)$ , 柱面坐标系中 $x^2 + y^2 = r^2$ , 故方程为 $z = 2r^2$

6: 设曲面为 $S$ , 双曲线为 $\Gamma$ ,  $\forall(r, \theta, z) \in S, \exists(r, 0, z) \in \Gamma \Leftrightarrow 2r^2 - z^2 = 2$ . 故曲面方程为 $S: 2r^2 - z^2 = 2$

$$7: \begin{cases} x = x_0 + a \cos t \\ y = y_0 + b \sin t \end{cases} \quad t \in [0, 2\pi)$$

8:

$$\begin{cases} x = x_0 + a \sin u \cos v \\ y = y_0 + \sin u \sin v \\ z = z_0 + a \cos u \end{cases}$$

9: 类比球面的参数表示

$$x = a \sin \theta \cos \phi; y = b \sin \theta \sin \phi; z = c \cos \theta$$

$$0 \leq \theta \leq \pi; 0 \leq \phi \leq 2\pi$$

$$10: \begin{cases} x = a \cosh t \\ y = b \sinh t \end{cases}$$

11: 解:

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 1$$

$$\begin{cases} x = a \cosh \theta \cos \varphi \\ y = b \cosh \theta \sin \varphi, \theta \in (-\infty, +\infty), \varphi \in [0, 2\pi) \\ z = c \sinh \theta \end{cases}$$

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = -1$$

$$\begin{cases} x = a \sinh \theta \cos \varphi \\ y = b \sinh \theta \sin \varphi, \theta \in [0, +\infty), \varphi \in [0, 2\pi) \\ z = c \pm \cosh \theta \end{cases}$$

用三角函数也可  $\sec^2 \theta - \tan^2 \theta = 1$ , 双曲函数则是  $\cosh^2 \theta - \sinh^2 \theta = 1$

## 8.5 综合习题

**1:** It's easy to check "M on a plane ABC"  $\Leftrightarrow \vec{AM} = \mu_1 \vec{AB} + \mu_2 \vec{AC} \Leftrightarrow$  The conclusion

**2:** 略

**3:** 任取三维空间中的四个向量

$A = (a_1, a_2, a_3)$   $B = (b_1, b_2, b_3)$

$C = (c_1, c_2, c_3)$   $D = (d_1, d_2, d_3)$

可得矩阵

$$\begin{bmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \\ d_1 & d_2 & d_3 \end{bmatrix} \quad (8.13)$$

矩阵的秩  $\leq 3$ ; 4 = 向量的个数

由线性代数知识可得, 这四个向量线性相关

所以三维空间中的任意四个向量必定线性相关

$$4: (1) \text{系数行列式} \begin{vmatrix} 1 & 2 & -1 \\ 2 & 1 & 1 \\ 3 & 0 & 2 \end{vmatrix} = 3. \text{非退化.}$$

$$(2) \begin{vmatrix} 1 & 2 & -1 \\ 2 & 1 & 1 \\ 3 & x & 2 \end{vmatrix} = 0 \implies x = 1.$$

5: (1)

$$|\mathbf{a} \times \mathbf{b}| = |\mathbf{a}| |\mathbf{b}| \sin \theta = 1 \times 2 \times \left( \frac{\sqrt{3}}{2} \right) = \sqrt{3}$$

所以,

$$|\mathbf{a} \times \mathbf{b}|^2 = 3$$

(2)

$$|(\mathbf{a} + \mathbf{b}) \times (\mathbf{a} - \mathbf{b})| = |(\mathbf{a} + \mathbf{b})| |(\mathbf{a} - \mathbf{b})| \sin \phi$$

分别计算

$$|(\mathbf{a} + \mathbf{b})| = \sqrt{(\mathbf{a} + \mathbf{b})^2} = \sqrt{\mathbf{a}^2 + \mathbf{b}^2 + 2\mathbf{a} \cdot \mathbf{b}} = 7$$

$$|(\mathbf{a} - \mathbf{b})| = \sqrt{(\mathbf{a} - \mathbf{b})^2} = \sqrt{\mathbf{a}^2 + \mathbf{b}^2 - 2\mathbf{a} \cdot \mathbf{b}} = 3$$

$$\cos \phi = \frac{(\mathbf{a} + \mathbf{b}) \cdot (\mathbf{a} - \mathbf{b})}{|\mathbf{a} + \mathbf{b}| |\mathbf{a} - \mathbf{b}|} = \frac{\mathbf{a}^2 - \mathbf{b}^2}{|\mathbf{a} + \mathbf{b}| |\mathbf{a} - \mathbf{b}|} = -\frac{\sqrt{21}}{7}$$

故

$$|(\mathbf{a} + \mathbf{b}) \times (\mathbf{a} - \mathbf{b})| = |(\mathbf{a} + \mathbf{b})| |(\mathbf{a} - \mathbf{b})| \sin \phi = \sqrt{7} \times \sqrt{3} \times \frac{2\sqrt{7}}{7} = 2\sqrt{3}$$

6: 若 $\mathbf{a}, \mathbf{b}, \mathbf{c}$ 共面, 则等式显然成立. 若三者不共面, 则构成空间中的一组基. 利用混合积的轮换性, 有:

$$\begin{aligned} & \mathbf{a} \cdot ((\mathbf{a} \times \mathbf{b}) \times \mathbf{c} + (\mathbf{b} \times \mathbf{c}) \times \mathbf{a} + (\mathbf{c} \times \mathbf{a}) \times \mathbf{b}) \\ &= (\mathbf{a} \times (\mathbf{a} \times \mathbf{b})) \cdot \mathbf{c} + 0 + (\mathbf{a} \times (\mathbf{c} \times \mathbf{a})) \cdot \mathbf{b} \\ &= 0 \end{aligned}$$

同理有:

$$\mathbf{b} \cdot ((\mathbf{a} \times \mathbf{b}) \times \mathbf{c} + (\mathbf{b} \times \mathbf{c}) \times \mathbf{a} + (\mathbf{c} \times \mathbf{a}) \times \mathbf{b}) = 0$$

$$\mathbf{c} \cdot ((\mathbf{a} \times \mathbf{b}) \times \mathbf{c} + (\mathbf{b} \times \mathbf{c}) \times \mathbf{a} + (\mathbf{c} \times \mathbf{a}) \times \mathbf{b}) = 0$$

由 $\mathbf{a}, \mathbf{b}, \mathbf{c}$ 构成空间中的一组基可知, 原式为0.

7: 在准线上任取一点 $A(x_0, y_0, z_0)$

在柱面上任取一点 $B(x, y, z)$ 且 $B$ 为 $A$ 沿母线移动后得到

$$\text{则有} \begin{cases} x = x_0 + 2t \\ y = y_0 + t \\ z = z_0 + t \end{cases}$$

$$\text{由于} \begin{cases} y_0^2 + z_0^2 = 1 \\ x_0 = 1 \end{cases}$$

$$\text{则} \begin{cases} (y - t)^2 + (z - t)^2 = 1 \\ x - 2t = 1 \end{cases}$$

$$\text{消去} t \text{得} (y - \frac{x-1}{2})^2 + (z - \frac{x-1}{2})^2 = 1$$

$$\text{亦即} x^2 + 2y^2 + 2z^2 - 2xy - 2xz - 2x + 2y + 2z - 1 = 0$$

8: 设顶点为  $P_0(2, 1, 1)$ , 任取锥面上一点  $P(x, y, z)$ , 直线  $PP_0$  与准线相交于  $P_1(x_1, y_1, z_1)$ , 满足

$$\overrightarrow{P_0P_1} = t\overrightarrow{P_0P}$$

即

$$\begin{cases} x_1 = 2 + (x - 2)t \\ y_1 = 1 + (y - 1)t \\ z_1 = 1 + (z - 1)t \end{cases}$$

将准线方程表达式代入此方程组, 得到

$$\begin{cases} x[1 + (y - 1)t]^2 + [1 + (z - 1)t]^2 = 1 \\ 2 + (x - 2)t = 1 \end{cases}$$

消去  $t$ , 得

$$2y^2 + z^2 - 2xy - 2yz + 2x + 4y - 2z - 2 = 0$$

此为待求锥面的一般方程。

9: Select a point  $(x_1, y_1, z_1)$  on the line  $\frac{x-1}{1} = \frac{y}{1} = \frac{z}{1}$  It's easy to select a point  $(1, 1, 0)$  on  $\frac{x-1}{0} = \frac{y-1}{0} = \frac{z}{1}$ , then latitude circle can be represented by a sphere whose centre is  $(1, 1, 0)$  intersect a plane.

The equation of sphere is  $(x - 1)^2 + (y - 1)^2 + z^2 = (x_1 - 1)^2 + (y_1 - 1)^2 + z_1^2$

and the plane is  $z - z_1 = 0$ , then the equation of latitude circle is

$$\begin{cases} (x - 1)^2 + (y - 1)^2 + z^2 = (x_1 - 1)^2 + (y_1 - 1)^2 + z_1^2 \\ z - z_1 = 0 \end{cases}$$

since  $(x_1, y_1, z_1)$  on the line  $\frac{x-1}{1} = \frac{y}{1} = \frac{z}{1}$ , then  $\begin{cases} x_1 - 1 = y_1 \\ y_1 = z_1 \end{cases}$

The above four equations eliminate  $x_1, y_1, z_1$ , we get the equation of revolution surface

$$(x-1)^2 + (y-1)^2 - 2\left(z - \frac{1}{2}\right)^2 = \frac{1}{2}$$

The parametric equation is 
$$\begin{cases} x = \frac{\sqrt{2}}{2} \sec \theta \cos \varphi + 1 \\ y = \frac{\sqrt{2}}{2} \sec \theta \sin \varphi + 1 \\ z = \frac{1}{2} \tan \theta + \frac{1}{2} \end{cases}$$

10: 参数方程 
$$\begin{cases} x = (\cos \theta + 2) \cos \varphi \\ y = \sin \theta \\ z = (\cos \theta + 2) \sin \varphi \end{cases} \quad \text{一般方程 } (\sqrt{x^2 + z^2} - 2)^2 + y^2 = 1$$

11: 根据题意可得如下表格(坐标系统绕e1旋转)

	e1	e2	e3
e11	0	$\pi/2$	$\pi/2$
e22	$\pi/2$	$\alpha$	$\pi/2 - \alpha$
e33	$\pi/2$	$\pi/2 + \alpha$	$\alpha$

坐标(e1,e2,e3)与坐标(e11,e22,e33)的变换公式如下

$$\begin{pmatrix} e11 \\ e22 \\ e33 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & \sin \alpha \\ 0 & -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} e1 \\ e2 \\ e3 \end{pmatrix} \quad (8.14)$$



坐标系统绕 $e_2$ 旋转时可得类似表格

	$e_1$	$e_2$	$e_3$
$e_{11}$	$\beta$	$\pi/2$	$\pi/2+\beta$
$e_{22}$	$\pi/2$	0	$\pi/2$
$e_{33}$	$\pi/2-\beta$	$\pi/2$	$\beta$

坐标 $(e_{11}, e_{22}, e_{33})$ 与坐标 $(e_{111}, e_{222}, e_{333})$ 的变换公式如下

$$\begin{pmatrix} e_{111} \\ e_{222} \\ e_{333} \end{pmatrix} = \begin{pmatrix} \cos\beta & 0 & -\sin\beta \\ 0 & 1 & 0 \\ \sin\beta & 0 & \cos\beta \end{pmatrix} \begin{pmatrix} e_{11} \\ e_{22} \\ e_{33} \end{pmatrix} \quad (8.15)$$

综上坐标 $(e_1, e_2, e_3)$ 与坐标 $(e_{111}, e_{222}, e_{333})$ 的变换公式如下

$$\begin{pmatrix} e_{111} \\ e_{222} \\ e_{333} \end{pmatrix} = \begin{pmatrix} \cos\beta & \sin\alpha\sin\beta & -\cos\alpha\sin\beta \\ 0 & \cos\alpha & \sin\alpha \\ \sin\beta & -\sin\alpha\cos\beta & \cos\alpha\cos\beta \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \\ e_3 \end{pmatrix} \quad (8.16)$$

注：若第二步是绕 $e_2$ 旋转，则坐标变换公式为

$$\begin{pmatrix} e_{111} \\ e_{222} \\ e_{333} \end{pmatrix} = \begin{pmatrix} \cos\beta & 0 & -\sin\beta \\ \sin\alpha\sin\beta & \cos\alpha & \sin\alpha\cos\beta \\ \cos\alpha\sin\beta & -\sin\alpha & \cos\alpha\cos\beta \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \\ e_3 \end{pmatrix} \quad (8.17)$$

坐标变换矩阵的逆为旋转变换，可通过对应坐标轴的坐标改变求出坐标系的变换矩阵

**12:** 对称中心为  $P(2, 2, 2)$ , 三条直线的单位方向向量分别为  $\vec{v}_1 = (-\frac{1}{3}, \frac{2}{3}, \frac{2}{3})$ ,  $\vec{v}_2 = (\frac{2}{3}, -\frac{1}{3}, \frac{2}{3})$ ,  $\vec{v}_3 = (\frac{2}{3}, \frac{2}{3}, -\frac{1}{3})$ . 那么椭球面上的点  $A(x, y, z)$  满足

$$\frac{(\overrightarrow{PA} \cdot \vec{v}_1)^2}{a^2} + \frac{(\overrightarrow{PA} \cdot \vec{v}_2)^2}{b^2} + \frac{(\overrightarrow{PA} \cdot \vec{v}_3)^2}{c^2} = 1.$$

即

$$\frac{(-x + 2y + 2z - 6)^2}{9a^2} + \frac{(-y + 2z + 2x - 6)^2}{9b^2} + \frac{(-z + 2x + 2y - 6)^2}{9c^2} = 1.$$

**13:**

$$\begin{aligned} & \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2 + (z_2 - z_1)^2} \\ &= \sqrt{(\rho_2 \sin \theta_2 \cos \phi_2 - \rho_1 \sin \theta_1 \cos \phi_1)^2 + (\rho_2 \sin \theta_2 \sin \phi_2 - \rho_1 \sin \theta_1 \sin \phi_1)^2 + (\rho_2 \cos \theta_2 - \rho_1 \cos \theta_1)^2} \\ &= \sqrt{\rho_1^2 + \rho_2^2 - 2\rho_1\rho_2(\sin \theta_1 \sin \theta_2 \cos \phi_1 \cos \phi_2 + \sin \theta_1 \sin \theta_2 \sin \phi_1 \sin \phi_2 + \cos \theta_1 \cos \theta_2)} \\ &= \sqrt{(\rho_1 - \rho_2)^2 + 2\rho_1\rho_2[1 - \cos(\phi_1 - \phi_2) \sin \theta_1 \sin \theta_2 - \cos \theta_1 \cos \theta_2]} \end{aligned}$$

注: 此题中  $\theta$  与  $\phi$  记号应是弄反

**14:** 两点之间的球面距离即为连接两点的大圆的劣弧长, 由大圆的半径等于球面半径  $a$  以及弧长公式知, 只需验证  $\gamma$  为两点的位置向量的夹角即可.

$$\begin{aligned} \cos \gamma &= \frac{(a \sin \theta_1 \cos \phi_1, a \sin \theta_1 \sin \phi_1, a \cos \theta_1) \cdot (a \sin \theta_2 \cos \phi_2, a \sin \theta_2 \sin \phi_2, a \cos \theta_2)}{a \cdot a} \\ &= (\cos \phi_1 \cos \phi_2 + \sin \phi_1 \sin \phi_2) \sin \theta_1 \sin \theta_2 + \cos \theta_1 \cos \theta_2 \\ &= \cos(\phi_1 - \phi_2) \sin \theta_1 \sin \theta_2 + \cos \theta_1 \cos \theta_2 \end{aligned}$$

因此书中题目可能有误.



## Chapter 9

# 多变量函数的微分学

### 9.1 多变量函数及其连续性

1:

$$\begin{aligned} & \forall x \in (A \cap B)^c \\ & \Leftrightarrow x \notin A \cap B \\ & \Leftrightarrow x \notin A \text{ 或 } x \notin B \\ & \Leftrightarrow x \in A^c \text{ 或 } x \in B^c \\ & \quad x \in (A \cup B)^c \\ & \Leftrightarrow x \notin A \cup B \\ & \Leftrightarrow x \notin A \text{ 且 } x \notin B \\ & \Leftrightarrow x \in A^c \text{ 且 } x \in B^c \\ & \Leftrightarrow x \in A^c \cap B^c \end{aligned}$$

2: 略

**3:** 记满足 $y \leq ax+b$ 的所有点 $(x,y)$ 组成的集合为 $E$ , 任取 $E$ 中一点 $M$

显然,存在正数 $r$ , 使得 $B(M,r) \subseteq E$

所以 $M$ 是 $E$ 的内点, 由 $M$ 的任意性可得,  $E$ 为开集

绘图略, 边界点满足的关系是 $y=ax+b$

**4:** 由三角不等式

$$\rho(M_0, M'_0) - \rho(M_n, M_0) - \rho(M'_n, M'_0) \leq \rho(M_n, M'_n) \leq \rho(M_0, M'_0) + \rho(M_n, M_0) + \rho(M'_n, M'_0).$$

从而根据夹逼准则得到 $\lim \rho(M_n, M'_n) = \rho(M_0, M'_0)$ .

**5:** 假设点列 $\{M_n\}$ 是平面上的点列,收敛到点 $M_0$ ,由点列收敛的定义, $\forall \epsilon >$

$0, \exists N \in \mathbb{N}, s.t. \forall n > N, \rho(M_n, M_0) < \epsilon$ , 即 $M_n \subset B(0, \rho(M_0, 0) + \epsilon), n >$

$N$ , 取 $R_1 = \rho(M_0, 0) + \epsilon, R_2 = \max \{\rho(M_i, 0), i \leq N\}$ , 令 $R = \max \{R_1, R_2\}$ , 则 $M_n \subset B(0, R), \forall n$ , 故 $\{M_n\}$ 为有界数列.

**6:** 若 $\exists \gamma : [a, b] \rightarrow E$ 为连续函数s.t.  $\gamma(a) = (0, 0), \gamma(b) = (\frac{2}{\pi}, 1)$ . 取 $c =$

$\sup \{a \leq t \leq b : x(\gamma(t)) = 0\}$ , 则 $a \leq c < b$ . 取 $t \rightarrow c^+$ , 由 $\gamma$ 的连续性

知 $\lim_{t \rightarrow c^+} \sin \frac{1}{x(t)} = y(c)$ . 由 $x(t) \rightarrow x(c) = 0$ 知前者极限是不存在的, 得到矛盾. 因而 $E$ 不是道路连通的.

记 $E_1 = \{a \in E : x(a) = 0\}, E_2 = \{a \in E : x(a) > 0\}, E_1, E_2$ 均为道路连通

从而为连通集. 若存在 $U_1, U_2 \subset E, U_1 \neq \emptyset, U_2 \neq \emptyset, E = U_1 \cup U_2, U_1 \cap \overline{U_2} =$

$\overline{U_1} \cap U_2 = \emptyset$ , 由  $E_1, E_2$  各自的连通性知, 只可能为  $U_1 = E_1, U_2 = E_2$  或  $U_1 = E_2, U_2 = E_1$ . 但  $(0, 0) \in E_1 \cap \overline{E_2}$ , 矛盾. 因而  $E$  是连通集.

7: 以下画图均略

(1)  $\{(x, y) | x + y \geq 0\}$  是区域, 是闭区域

(2)  $\{(x, y) | x - 2y^2 \geq 0\}$  是区域, 是闭区域

(3)  $\{(x, y) | x^2 + y^2 + 2x \geq 0 \text{ 且 } 2x - x^2 - y^2 > 0\} = \{(x, y) | 2x - x^2 - y^2 > 0\}$   
是区域, 不是闭区域

(4)  $\{(x, y) | 2n\pi \leq x^2 + y^2 \leq (2n+1)\pi, n \in \mathbf{Z}\}$  不是区域

(5)  $\{(x, y) | \frac{x^2}{a^2} + \frac{y^2}{b^2} < 1\}$  是区域, 不是闭区域

(6)  $\{(x, y) | -\frac{\pi}{2} + 2k_1\pi \leq x \leq \frac{\pi}{2} + 2k_1\pi, \text{ 且 } 2k_2\pi \leq y \leq (2k_2+1)\pi, k_1 \in \mathbf{Z}, k_2 \in \mathbf{Z}\} \cup$

$\{(x, y) | \frac{\pi}{2} + 2k_3\pi \leq x \leq \frac{3\pi}{2} + 2k_3\pi, \text{ 且 } (2k_4-1)\pi \leq y \leq 2k_4\pi, k_3 \in \mathbf{Z}, k_4 \in \mathbf{Z}\}$

不是区域

(7)  $(x, y, z) | x^2 + y^2 \leq z^2 \text{ 且 } z \neq 0$  不是区域

(8)  $(x, y, z) | x^2 + y^2 + (z-a)^2 \leq a^2$  是区域, 是闭区域

8: 图略.

等高线:  $z = 0 : 2x + y = (k + \frac{1}{2})\pi, k \in \mathbf{Z}$

$z = 1 : 2x + y = 2k\pi, k \in \mathbf{Z}$

$z = -1 : 2x + y = (2k+1)\pi, k \in \mathbf{Z}$

$z = \frac{1}{2} : 2x + y = (2k\pi \pm \frac{\pi}{3}), k \in \mathbf{Z}$

$$z = -\frac{1}{2} : 2x + y = (2k\pi \pm \frac{2\pi}{3}), k \in \mathbf{Z}$$

**9:** (1) Consider the bivariate  $n$ -degree polynomials  $x^r y^t$ , where  $r + t \leq n$

if  $r = 0$  then  $t \leq n$  there are  $n + 1$  polynomials.

if  $r = 1$  then  $t \leq n - 1$  there are  $n$  polynomials.

...

if  $r = n$  then  $t \leq 0$  there is 1 polynomial.

then there are totally  $\sum_{i=1}^{n+1} i = \frac{(n+1)(n+2)}{2}$

(2) For the polynomial of degree  $n$  of  $k$  variable, let  $p_k^{(n)}$  be the number of polynomials

then similar to the above method we have  $p_k^{(n)} = \sum_{i=0}^n p_{k-1}^{(i)}$  and  $p_2^{(n)} = \frac{(n+1)(n+2)}{2} = C_{n+2}^2$

**Lemma**

$$\sum_{i=0}^n C_{m+i}^m = C_{m+n+1}^{m+1}$$

**Proof**

$$\sum_{i=0}^n C_{m+i}^m = C_m^m + C_{m+1}^m + \dots + C_{m+n}^m = C_{m+1}^{m+1} + C_{m+1}^m + \dots + C_{m+n}^m$$

by the formula  $C_n^m = C_{n-1}^{m-1} + C_{n-1}^m$

$$\text{we have } (C_{m+1}^{m+1} + C_{m+1}^m) + C_{m+2}^m \dots + C_{m+n}^m = C_{m+2}^{m+1} + C_{m+2}^m \dots + C_{m+n}^m = \dots = C_{m+n}^{m+1} + C_{m+n}^m = C_{m+n+1}^{m+1}$$

□

$$\text{then } p_3^{(n)} = \sum_{i=0}^n p_2^{(i)} = \sum_{i=0}^n C_{i+2}^2 = C_{n+3}^3$$

By the lemma, we can get  $p_k^{(n)} = C_{n+k}^k$

**10:**  $f(1, 1) = 1, f(y, x) = \frac{2xy}{x^2+y^2}, f(1, \frac{y}{x}) = \frac{2xy}{x^2+y^2}, f(u, v) = \frac{2uv}{u^2+v^2}, f(\cos t, \sin t) = \sin 2t$

**11:** 根据题意可得

$$F(t) = \begin{cases} 1 & 2k\pi + \pi/4 \leq t \leq 2k\pi + 5\pi/4, k \in Z, \\ 0 & else. \end{cases}$$

**12:**  $f(2, 3) = -2, f(x, y) = \frac{x^2(1-y)}{y+1}.$

**13:**

$$(x+y)^{x-y}, x^y + (x-y), x+y-x^y$$

**14:** 1、

$$\begin{aligned} \frac{x^2+y^2}{|x|+|y|} &\leq \frac{(|x|+|y|)^2}{|x|+|y|} = |x|+|y| \leq 2r \rightarrow 0 \\ \Rightarrow \lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} \frac{x^2+y^2}{|x|+|y|} &= 0 \end{aligned}$$

2、

$$\lim_{\substack{x \rightarrow 0 \\ y \rightarrow a}} \frac{\sin(xy)}{x} = \lim_{\substack{x \rightarrow 0 \\ y \rightarrow a}} \frac{\sin(xy)}{xy} \cdot \lim_{\substack{x \rightarrow 0 \\ y \rightarrow a}} y = 1 \cdot a = a$$

3、

$$\begin{aligned} \left(\frac{xy}{x^2+y^2}\right)^{x^2} &\leq \left(\frac{1}{2}\right)^{x^2} \rightarrow 0 \\ \Rightarrow \lim_{\substack{x \rightarrow +\infty \\ y \rightarrow +\infty}} \left(\frac{xy}{x^2+y^2}\right)^{x^2} &= 0 \end{aligned}$$



4、

$$\begin{aligned}\ln\left(1 + \frac{1}{x}\right)^{\frac{x^2}{x+y}} &= \frac{\ln(1 + \frac{1}{x})}{\frac{1}{x}} \frac{x}{x+y} \rightarrow 1 \\ \Rightarrow \lim_{\substack{x \rightarrow \infty \\ y \rightarrow a}} \left(1 + \frac{1}{x}\right)^{\frac{x^2}{x+y}} &= e\end{aligned}$$

5、

$$\begin{aligned}\left|\frac{x^3 + y^3}{x^2 + y^2}\right| &= \left|\frac{r^3(\cos^3 \theta + \sin^3 \theta)}{r^2}\right| \leq 2r \rightarrow 0 \\ \Rightarrow \lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} \frac{x^3 + y^3}{x^2 + y^2} &= 0\end{aligned}$$

6、

$$\begin{aligned}\left|\frac{x^2 + y^2}{x^4 + y^4}\right| &= \left|\frac{r^2}{r^4(\cos^4 \theta + \sin^4 \theta)}\right| \leq \frac{1}{2r^2} \rightarrow 0 \\ \Rightarrow \lim_{\substack{x \rightarrow \infty \\ y \rightarrow \infty}} \frac{x^2 + y^2}{x^4 + y^4} &= 0\end{aligned}$$

7、

$$\begin{aligned}(x^2 + y^2)e^{-(x+y)} &= r^2 e^{-r(\cos \theta + \sin \theta)} \leq r^2 e^{-r} \rightarrow 0 \\ \Rightarrow \lim_{\substack{x \rightarrow +\infty \\ y \rightarrow +\infty}} (x^2 + y^2)e^{-(x+y)} &= 0\end{aligned}$$

8、

$$\lim_{\substack{x \rightarrow 1 \\ y \rightarrow 0}} \frac{\ln(x + e^y)}{\sqrt{x^2 + y^2}} = \frac{\ln(1 + e^0)}{\sqrt{1 + 0}} = \ln 2$$

9、

$$\lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} \frac{xy}{\sqrt{xy + 1} - 1} = \lim_{t \rightarrow 0} \frac{t}{\sqrt{t + 1} - 1} = 2$$

10、

$$\begin{aligned}\frac{\sqrt{xy + 1} - 1}{x + y} &= \frac{\sqrt{xy + 1} - 1}{xy} \frac{xy}{x + y} \\ \lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} \frac{\sqrt{xy + 1} - 1}{xy} &= \frac{1}{2}\end{aligned}$$

取 $(x, y) = (t, -t + kt^2)$ , 则当 $t \rightarrow 0$ 时,  $(x, y) \rightarrow (0, 0)$ , 此时

$$\frac{xy}{x+y} = t - \frac{1}{k} \rightarrow -\frac{1}{k}$$

极限值与参数选取有关, 因此 $\lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} \frac{\sqrt{xy+1}-1}{x+y}$  极限不存在

11、

$$\frac{1 - \cos(x^2 + y^2)}{(x^2 + y^2)x^2y^2} = \frac{1 - \cos(r^2)}{r^4} \frac{r^2}{r^4 \sin^2 \theta \cos^2 \theta} \geq \frac{1 - \cos(r^2)}{r^4} \frac{1}{r^2} \rightarrow \infty$$

因此 $\lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} \frac{1 - \cos(x^2 + y^2)}{(x^2 + y^2)x^2y^2}$  极限不存在

12、

$$(1 + xy)^{\frac{1}{x+y}} = (1 + xy)^{\frac{1}{xy} \frac{xy}{x+y}}$$

由 $\lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} \frac{xy}{x+y}$  极限不存在知 $\lim_{\substack{x \rightarrow 0 \\ y \rightarrow 0}} (1 + xy)^{\frac{1}{x+y}} = 0$  极限不存在

15: (1)

$$\lim_{\rho \rightarrow 0^+} e^{\frac{1}{x^2 - y^2}} = \lim_{\rho \rightarrow 0^+} e^{\frac{1}{\rho^2 \cos 2\varphi}}$$

若极限存在, 则 $\cos 2\varphi < 0$

又因为 $0 \leq \varphi \leq 2\pi$

则 $\varphi \in (\frac{\pi}{4}, \frac{3\pi}{4}) \cup (\frac{5\pi}{4}, \frac{7\pi}{4})$

(2)

$$\lim_{\rho \rightarrow +\infty} e^{x^2 - y^2} \sin(2xy) = \lim_{\rho \rightarrow +\infty} e^{\rho^2 \cos 2\varphi} \sin(\rho^2 \sin 2\varphi)$$

若极限存在, 则 $\sin 2\varphi = 0$  或  $\cos 2\varphi < 0$

从而 $\varphi \in (\frac{\pi}{4}, \frac{3\pi}{4}) \cup (\frac{5\pi}{4}, \frac{7\pi}{4}) \cup \{0, \pi, 2\pi\}$

**16:** (1) 记  $\lim_{x \rightarrow x_0} f(x, y) = \phi(y) (y_1 \neq y_0)$ . 由题设知, 对任意  $\epsilon > 0$ , 存在  $\delta > 0$ , 只要  $|x - x_0| < \delta, |y_1 - y_0| < \delta, |y_2 - y_0| < \delta$ , 便有

$$|f(x, y_1) - f(x, y_2)| < \epsilon$$

令  $x \rightarrow x_0$ , 则有  $|\phi(y_1) - \phi(y_2)| < \epsilon$ , 故  $\lim_{y \rightarrow y_0} \phi(y)$  存在.

再证明  $\lim_{y \rightarrow y_0} \phi(y) = A$ . 对上述的  $\epsilon, \delta$ , 当  $0 < |x - x_0|, |y_1 - y_0| < \delta$  时, 有

$$|f(x, y_1) - A| < \epsilon, \quad |f(x, y_1) - \phi(y_1)| < \epsilon$$

于是

$$|\phi(y_1) - A| = |\phi(y_1) - f(x, y_1) + f(x, y_1) - A| < 2\epsilon,$$

所以  $\lim_{y \rightarrow y_0} \phi(y) = A$ , 命题得证. 同理可证(2).

**17:** (1) 容易知道在  $y \neq x$  时整个函数是连续的。我们主要讨论  $y = x$  时的连续性。则当  $x$  和  $y$  趋于相等时。第一种情况  $x$  和  $y$  趋于相等且不等于 0, 那么分子不为零, 分母趋于零, 整个趋于无穷, 所以在  $x = y$  的且不等于零的地方肯定不连续。下面再讨论在  $(0, 0)$  处的连续性。分别取  $y = 2x$  和  $y = x - x^2$  所得极限不一样, 所以在原点处也没有极限。因此整个函数在  $y = x$  处不连续, 在其他地方连续。

(2). Consider the point on the line  $y = 0$ , we set it  $(x_0, 0)$

[1]. If  $x_0 = 0$ , that is to say  $(0, 0)$ , then  $f(0, 0) = 0$ .

since  $|x \sin \frac{1}{y}| \leq |x|$ , by the definition of limit we know  $\lim_{(x,y) \rightarrow (0,0)} f(x, y) = \lim_{(x,y) \rightarrow (0,0)} x \sin(\frac{1}{y}) = 0 = f(0, 0)$  and along the  $y$  axis  $\lim_{(x,y) \rightarrow (0,0)} f(x, y) = 0 = f(0, 0)$ , then  $f(x, y)$  is continuous at  $(0, 0)$ .

[2]. If  $x_0 \neq 0$ , we know  $f(x_0, 0) = 0$

along the line  $x = x_0$ ,  $f(x, y) = x_0 \sin(\frac{1}{y})$  since the limit  $\lim_{(x,y) \rightarrow (x_0,0)} f(x, y) = \lim_{(x,y) \rightarrow (x_0,0)} x_0 \sin(\frac{1}{y})$  is not exist, thus  $\lim_{(x,y) \rightarrow (x_0,0)} f(x, y)$  is not exist.  
In short,  $f(x, y)$  is continuous on the set  $\{(x, y) \mid y \neq 0\} \cup \{(0, 0)\}$

(3). 令  $x = \rho \cos \theta, y = \rho \sin \theta$ , 那么

$$|\frac{x^2 y}{x^2 + y^2}| = |\rho \sin \theta \cos^2 \theta| \leq |\rho| \rightarrow 0.$$

所以函数在整个平面是连续的。

(4). 函数在  $x + y \neq 0$  的地方肯定是连续的。下面我们讨论在  $x + y = 0$  地方的连续性。首先在  $(0, 0)$  处, 令  $y = kx$  发现极限的结果与  $k$  的取值有关, 所以在  $(0, 0)$  处不连续。在其他  $x + y = 0$  的地方, 分母会趋于 0, 但是分子是有限非零数, 这个时候整个函数会趋于无穷。所以在  $x + y = 0$  上是不连续的。

**18:** 略

**19:** 不妨设  $f(x, y)$  关于  $y$  是单调递增的

$\forall$  取  $D$  中一点  $(x_0, y_0)$ , 因为  $f(x, y)$  关于  $y$  连续

$\therefore \forall \epsilon > 0, \exists \delta_1$  当  $|y - y_0| \leq \delta_1$  时,  $|f(x_0, y) - f(x_0, y_0)| < \epsilon/2$

对于点  $(x_0, y_0 - \delta_1), (x_0, y_0 + \delta_1)$

$\therefore f(x, y)$  关于  $x$  连续

$\therefore$  对上述  $\epsilon, \exists \delta_2 > 0$ , 当  $|x - x_0| < \delta_2$  时

$|f(x, y_0 - \delta_1) - f(x_0, y_0 - \delta_1)| < \epsilon/2$

$|f(x, y_0 + \delta_1) - f(x_0, y_0 + \delta_1)| < \epsilon/2$

令  $\delta = \min\{\delta_1, \delta_2\}$ , 则当  $|x - x_0| < \delta, |y - y_0| < \delta$  时

$$\begin{aligned} |f(x, y) - f(x_0, y_0)| &\leq \max\{|f(x, y_0 - \delta_1) - f(x_0, y_0)|, |f(x, y_0 + \delta_1) - f(x_0, y_0)|\} \\ |f(x, y_0 - \delta_1) - f(x_0, y_0)| &\leq |f(x, y_0 - \delta_1) - f(x_0, y_0 - \delta_1)| + |f(x_0, y_0 - \delta_1) - f(x_0, y_0)| \leq \epsilon/2 + \epsilon/2 = \epsilon \\ |f(x, y_0 + \delta_1) - f(x_0, y_0)| &\leq |f(x, y_0 + \delta_1) - f(x_0, y_0 + \delta_1)| + |f(x_0, y_0 + \delta_1) - f(x_0, y_0)| \leq \epsilon/2 + \epsilon/2 = \epsilon \\ \therefore |f(x, y) - f(x_0, y_0)| &\leq \epsilon, f(x, y) \text{ 在点 } (x_0, y_0) \text{ 处连续} \end{aligned}$$

由点  $(x_0, y_0)$  的任意性知,  $f(x, y)$  在  $D$  连续, 证毕

**20:** 反例:  $\{(x, y) | y \geq \frac{1}{x} > 0\}$ .

**21:** 二元函数Cauchy收敛准则: 设  $f(x, y)$  是定义在  $D \in \mathbb{R}^2$  上的二元函数, 则  $f(x, y)$  在  $M_0(x_0, y_0)$  处收敛等价于  $\forall \epsilon > 0, \exists \delta > 0, \text{ s.t. } \forall M_1, M_2 \in B(M_0, \delta) \cap D$ , 有  $|f(M_1) - f(M_2)| < \epsilon$   
证明略.

**22:** 证:  $f(x, y)$  在  $(x_0, y_0)$  处连续

$$\begin{aligned} \Rightarrow \forall \epsilon > 0 \exists \delta > 0, \text{ s.t. } \forall |x - x_0| < \delta, |y - y_0| < \delta, \text{ 有 } |f(x, y) - f(x_0, y_0)| &< \epsilon \\ x(u, v), y(u, v) \text{ 在 } (u_0, v_0) \text{ 处连续} \\ \Rightarrow \exists \delta' > 0, \text{ s.t. } \forall |u - u_0| < \delta', |v - v_0| < \delta', \text{ 有 } |x(u, v) - x_0| < \delta, |y(u, v) - y_0| &< \delta \\ \Rightarrow |f(x(u, v), y(u, v)) - f(x_0, y_0)| < \epsilon, \forall |u - u_0| < \delta', |v - v_0| < \delta' \\ \Rightarrow f(x(u, v), y(u, v)) \text{ 在 } (u_0, v_0) \text{ 处连续.} \end{aligned}$$

**23:** 证明:  $f(x) = \frac{1}{1-xy}$  在  $[0, 1] \times [0, 1] \cap \{(x, y) | (x, y) \neq (1, 1)\}$  上由初等函数的四则运算产生, 显然连续

下证其不一致连续:

取  $\epsilon = \frac{1}{8}$

则对  $\forall \delta > 0$  (不妨设  $\delta < 1$ ),

取  $A = (x_1, y_1) = (1 - \delta, 1 - \delta)$ ,  $B = (x, y) = (1 - \frac{\delta}{2}, 1 - \frac{\delta}{2})$

则  $|AB| < \delta$

且  $|f(A) - f(B)| = |\frac{1}{1-x_1y_1} - \frac{1}{1-x_2y_2}| = \frac{4-3\delta}{\delta(4-\delta)(2-\delta)} > \frac{1}{8}$

从而  $f$  不一致连续

## 9.2 多变量函数的微分

1: (1)  $f'_x(x, y) = 1 - \frac{x}{\sqrt{x^2+y^2}}, f'_x(3, 4) = \frac{2}{5}$

(2)  $f'_x(x, y) = 2xy \cos x^2y, f'_x(1, \pi) = -2\pi$

(3)  $f'_x(x, y) = \frac{y^2+2xy+\frac{(xy^2+x^2y)(y^2+2xy)}{\sqrt{1+(xy^2+x^2y)^2}}}{xy^2+x^2y+\sqrt{1+(xy^2+x^2y)^2}}$

由对称性,  $f'_y(x, y) = \frac{x^2+2xy+\frac{(xy^2+x^2y)(x^2+2xy)}{\sqrt{1+(xy^2+x^2y)^2}}}{xy^2+x^2y+\sqrt{1+(xy^2+x^2y)^2}}$

$f'_x(1, y) = \frac{y^2+2y+\frac{(y^2+y)(y^2+2y)}{\sqrt{1+(y^2+y)^2}}}{y^2+y+\sqrt{1+(y^2+y)^2}} = \frac{y^2+2y}{\sqrt{1+(y^2+y)^2}}$

$f'_y(1, y) = \frac{1+2y+\frac{(y^2+y)(1+2y)}{\sqrt{1+(y^2+y)^2}}}{y^2+y+\sqrt{1+(y^2+y)^2}} = \frac{1+2y}{\sqrt{1+(y^2+y)^2}}$

2: (1)  $\frac{\partial z}{\partial x} = \frac{e^y}{y^2}, \frac{\partial z}{\partial y} = \frac{xe^y(y-2)}{y^3}$

(2)  $\frac{\partial z}{\partial x} = \frac{\ln 3 y 3^{-\frac{y}{x}}}{x^2}, \frac{\partial z}{\partial y} = -\frac{3^{-\frac{y}{x}} \ln 3}{x}$

(3)  $\frac{\partial z}{\partial x} = \frac{\cos(\frac{x}{y})\cos(\frac{y}{x})}{y} + \frac{y \sin(\frac{x}{y})\sin(\frac{y}{x})}{x^2}, \frac{\partial z}{\partial y} = -\frac{xcos(\frac{x}{y})cos(\frac{y}{x})}{y^2} - \frac{\sin(\frac{x}{y})\sin(\frac{y}{x})}{x}$

(4)  $\frac{\partial z}{\partial x} = \frac{1}{\sqrt{x^2+y^2}}, \frac{\partial z}{\partial y} = \frac{y}{\sqrt{x^2+y^2}(x+\sqrt{x^2+y^2})}$

(5)  $\frac{\partial z}{\partial x} = -\frac{y}{x^2+y^2}, \frac{\partial z}{\partial y} = \frac{x}{x^2+y^2}$

(6)  $\frac{\partial u}{\partial x} = (3x^2+y^2+z^2)e^{x(x^2+y^2+z^2)}, \frac{\partial u}{\partial y} = 2xye^{x(x^2+y^2+z^2)}, \frac{\partial u}{\partial z} = 2zze^{x(x^2+y^2+z^2)}$

$$(7) \frac{\partial u}{\partial x} = x^{-1+yz}y^z, \frac{\partial u}{\partial y} = \ln(x)zx^{yz}y^{-1+z}, \frac{\partial u}{\partial z} = \ln(x)\ln(y)x^{yz}y^z$$

$$(8) \frac{\partial u}{\partial x} = e^{-z} + \frac{1}{x+\ln(y)}, \frac{\partial u}{\partial y} = \frac{1}{y(x+\ln(y))}, \frac{\partial u}{\partial z} = 1 - xe^{-z}$$

3:

$$\frac{\partial f}{\partial x} = \frac{\sin x^2 y}{x^2 y} 2xy = \frac{2 \sin x^2 y}{x}, \frac{\partial f}{\partial y} = \frac{\sin x^2 y}{x^2 y} x^2 = \frac{\sin x^2 y}{y}$$

4: 直接计算:

$$\begin{aligned} \frac{\partial f}{\partial x} &= \lim_{x \rightarrow 0} \frac{f(x, 0) - f(0, 0)}{x} = 0, \\ \frac{\partial f}{\partial y} &= \lim_{y \rightarrow 0} \frac{f(0, y) - f(0, 0)}{y} = \lim_{y \rightarrow 0} \frac{y \sin \frac{1}{y^2}}{y} = \lim_{y \rightarrow 0} \sin \frac{1}{y^2} \text{ 不存在.} \end{aligned}$$

5: 点 $(x, y)$ 与 $(0, 0)$ 的距离 $\rho = \sqrt{x^2 + y^2}$ . 只需令 $\delta = \epsilon$ , 那么当 $\rho < \delta$ 时, 有 $|z(x, y) - z(0, 0)| = \rho < \delta = \epsilon$ , 即 $z(x, y)$ 在 $(0, 0)$ 处连续.

由于

$$\lim_{x \rightarrow 0} \frac{f(x, 0) - f(0, 0)}{x} = \frac{|x|}{x}$$

极限不存在, 故 $z(x, y)$ 在 $(0, 0)$ 处对 $x$ 偏导数不存在, 对 $y$ 偏导数同理

6: 解:

由题意可设切线的方向向量为 $\vec{\tau} = (x_0, 0, z_0)$ , 且有 $\frac{\partial z}{\partial x} = \frac{1}{2}x$ , 故在 $(2, 4, 5)$ 点取方向向量为 $\vec{\tau} = (1, 0, 1)$ 。而 $Ox$ 轴正向单位方向向量为 $\vec{n} = (1, 0, 0)$ , 则有:

$$\theta = \arccos \frac{\vec{\tau} \cdot \vec{n}}{|\vec{\tau}| |\vec{n}|} = \frac{\pi}{4}$$

故所成角为 $\frac{\pi}{4}$ 。

$$7: \quad \frac{\partial z}{\partial y} = \frac{y}{\sqrt{x^2+y^2+1}}$$

$$\left. \frac{\partial z}{\partial y} \right|_{(x,y)=(1,1)} = \frac{\sqrt{3}}{3}$$

则曲线在 $(1, 1, \sqrt{3})$ 处切线与x轴, y轴, z轴夹角分别为 $\frac{\pi}{2}, \frac{\pi}{6}, \frac{\pi}{3}$

8: 考虑取对数  $\ln u = -\frac{1}{2} \ln t - \frac{x^2}{4t}$ , 则有

$$\frac{\partial \ln u}{\partial t} = -\frac{1}{2t} + \frac{x^2}{4t^2} = \frac{1}{u} \frac{\partial u}{\partial t}$$

$$\frac{\partial \ln u}{\partial x} = -\frac{x}{2t} = \frac{1}{u} \frac{\partial u}{\partial x}$$

于是

$$\frac{\partial u}{\partial t} = u \left( -\frac{1}{2t} + \frac{x^2}{4t^2} \right), \quad \frac{\partial u}{\partial x} = u \left( -\frac{x}{2t} \right),$$

因此

$$\frac{\partial^2 u}{\partial x^2} = \frac{\partial u}{\partial x} \left( -\frac{x}{2t} \right) + u \left( -\frac{1}{2t} \right) = u \left( -\frac{1}{2t} + \frac{x}{2t^2} \right) = \frac{\partial u}{\partial t}.$$

$$9: \quad (1) z_{xx} = -\frac{4y}{(x+y)^3}, z_{yy} = \frac{4x}{(x+y)^3}, z_{xy} = \frac{2(x-y)}{(x+y)^3}$$

$$(2) z_{xx} = -\frac{2x}{(1+x^2)}, z_{yy} = -\frac{2y}{(1+y^2)}, z_{xy} = 0$$

$$(3) z_{xx} = -\frac{x}{(x^2+y^2)^{3/2}}, z_{xy} = -\frac{y}{(x^2+y^2)^{3/2}}$$

$$z_{yy} = \frac{x(x^2+y^2)-xy^2-2y^2\sqrt{x^2+y^2}}{(x\sqrt{x^2+y^2}+x^2+y^2)^2}$$

$$(4) z_{xx} = a^2 \sin 4(ax+by), z_{yy} = b^2 \sin 4(ax+by), z_{xy} = ab \sin 4(ax+by)$$



$$\begin{aligned}
(5) \quad z_{xx} &= \left(-\frac{\ln y}{x^2} + \left(\frac{\ln y}{x}\right)^2\right)e^{\ln x \ln y} \\
z_{yy} &= \left(-\frac{\ln x}{y^2} + \left(\frac{\ln x}{y}\right)^2\right)e^{\ln x \ln y} \\
z_{xy} &= \frac{1 + \ln x \ln y}{xy} e^{\ln x \ln y} \\
(6) \quad z_{xx} &= \frac{y^3 x}{(1-x^2 y^2)^{3/2}}, \quad z_{yy} = \frac{x^3 y}{(1-x^2 y^2)^{3/2}} \\
z_{xy} &= \frac{1}{(1-x^2 y^2)^{3/2}}
\end{aligned}$$

$$10: \quad \frac{\partial^3 u}{\partial x \partial y \partial z} = (1 + 3xyz + x^2 y^2 z^2) e^{xyz}, \quad \frac{\partial^3 u}{\partial x \partial y^2} = (2 + xyz) x z^2 e^{xyz}$$

$$11: \quad (1)$$

$$\begin{aligned}
\frac{\partial r}{\partial x} &= \frac{x}{\sqrt{x^2 + y^2 + z^2}} \\
\frac{\partial^2 r}{\partial x^2} &= \frac{y^2 + z^2}{(x^2 + y^2 + z^2)^{\frac{3}{2}}} \\
\text{同理, } \frac{\partial^2 r}{\partial y^2} &= \frac{x^2 + z^2}{(x^2 + y^2 + z^2)^{\frac{3}{2}}} \\
\frac{\partial^2 r}{\partial z^2} &= \frac{x^2 + y^2}{(x^2 + y^2 + z^2)^{\frac{3}{2}}} \\
\text{故 } \frac{\partial^2 r}{\partial x^2} + \frac{\partial^2 r}{\partial y^2} + \frac{\partial^2 r}{\partial z^2} &= \frac{2(x^2 + y^2 + z^2)}{(x^2 + y^2 + z^2)^{\frac{3}{2}}} = \frac{2}{r}
\end{aligned}$$

$$(2)$$

$$\begin{aligned}
\ln r &= \frac{1}{2} \ln(x^2 + y^2 + z^2) \\
\frac{\partial \ln r}{\partial x} &= \frac{x}{x^2 + y^2 + z^2} \\
\frac{\partial^2 \ln r}{\partial x^2} &= \frac{y^2 + z^2 - x^2}{(x^2 + y^2 + z^2)^2} \\
\therefore \frac{\partial^2 \ln r}{\partial x^2} + \frac{\partial^2 \ln r}{\partial y^2} + \frac{\partial^2 \ln r}{\partial z^2} &= \frac{y^2 + z^2 + x^2}{(x^2 + y^2 + z^2)^2} = \frac{1}{r^2}
\end{aligned}$$

(3)

$$\begin{aligned}
\frac{1}{r} &= (x^2 + y^2 + z^2)^{-\frac{1}{2}} \\
\frac{\partial}{\partial x} \frac{1}{r} &= \frac{-x}{(x^2 + y^2 + z^2)^{\frac{3}{2}}} \\
\frac{\partial^2}{\partial x^2} \frac{1}{r} &= \frac{-(x^2 + y^2 + z^2) + 3x^2}{(x^2 + y^2 + z^2)^{\frac{5}{2}}} \\
\therefore \frac{\partial^2}{\partial x^2} \frac{1}{r} + \frac{\partial^2}{\partial y^2} \frac{1}{r} + \frac{\partial^2}{\partial z^2} \frac{1}{r} &= \frac{-3(x^2 + y^2 + z^2) + 3(x^2 + y^2 + z^2)}{(x^2 + y^2 + z^2)^{\frac{5}{2}}} = 0
\end{aligned}$$

12: 函数的二阶偏导数

$$\begin{aligned}
f''_{xx} &= \begin{cases} \frac{-4x^3y^3+12xy^5}{(x^2+y^2)^3}, & x^2 + y^2 = 0; \\ 0. & x^2 + y^2 \neq 0. \end{cases} & f''_{xy} &= \begin{cases} \frac{x^6+9x^4y^2-9x^2y^4-y^6}{(x^2+y^2)^3}, & x^2 + y^2 = 0; \\ -1. & x^2 + y^2 \neq 0. \end{cases} \\
f''_{yy} &= \begin{cases} \frac{4x^3y^3-12x^5y}{(x^2+y^2)^3}, & x^2 + y^2 = 0; \\ 0. & x^2 + y^2 \neq 0. \end{cases} & f''_{yx} &= \begin{cases} \frac{x^6+9x^4y^2-9x^2y^4-y^6}{(x^2+y^2)^3}, & x^2 + y^2 = 0; \\ 1. & x^2 + y^2 \neq 0. \end{cases}
\end{aligned}$$

沿着  $y = kx$  容易看出它们在  $(0, 0)$  处都不连续, 且  $f''_{xy} \neq f''_{yx}$ .

13: (1)

$$\frac{\partial z}{\partial x} = \frac{2x}{x^2 + y^2}, \quad \frac{\partial z}{\partial y} = \frac{2y}{x^2 + y^2}, \quad dz = \frac{2}{x^2 + y^2}(xdx + ydy)$$

(2)

$$\frac{\partial z}{\partial x} = \frac{y(y^2 - x^2)}{(x^2 + y^2)^2}, \quad \frac{\partial z}{\partial y} = \frac{x(x^2 - y^2)}{(x^2 + y^2)^2}, \quad dz = \frac{y^2 - x^2}{(x^2 + y^2)^2}(ydx - xdy)$$

(3)

$$\frac{\partial u}{\partial s} = \frac{-2t}{(s-t)^2}, \quad \frac{\partial u}{\partial t} = \frac{2s}{(s-t)^2}, \quad du = \frac{2}{(s-t)^2}(sdt - tds)$$

(4)

$$dz = \frac{1}{x^2 + y^2}(xdy - ydx)$$

(5)

$$\frac{\partial z}{\partial x} = y \cos xy, \quad \frac{\partial z}{\partial y} = x \cos xy$$

故在(0,0)处,有

$$\frac{\partial z}{\partial x} = \frac{\partial z}{\partial y} = 0$$

因此

$$dz = 0$$

(6)

$$\frac{\partial z}{\partial x} = 4x^3 - 8xy^2, \quad \frac{\partial z}{\partial y} = 4y^3 - 8x^2y$$

在(0,0)处,有

$$\frac{\partial z}{\partial x} = \frac{\partial z}{\partial y} = 0$$

因此

$$dz = 0$$

在(1,1)处,有

$$\frac{\partial z}{\partial x} = \frac{\partial z}{\partial y} = -4$$

因此

$$dz = -4dx - 4dy$$

**14:** 证明:

由定理9.14知:

$$df = \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy$$

$$dg = \frac{\partial g}{\partial x} dx + \frac{\partial g}{\partial y} dy$$

那么可以得到:

$$d(fg) = \frac{\partial fg}{\partial x} dx + \frac{\partial fg}{\partial y} dy = \left( \frac{\partial f}{\partial x} g + \frac{\partial g}{\partial x} f \right) dx + \left( \frac{\partial f}{\partial y} g + \frac{\partial g}{\partial y} f \right) dy = f dg + g df$$

证毕。

**15:**  $f(x, y) = \sqrt{|xy|}$

若 $f(x, y)$ 在 $(0,0)$ 处可微

则在 $(0,0)$ 的邻域有 $f(x, y) - f(0, 0) = ax + by + o(\rho)$

故 $f(x, y) = ax + by + o(\rho)$

而 $f(x, y) = f(x, -y) = f(-x, y)$

则必有 $a = b = 0$

则 $f(x, y) = o(\rho)$

而沿着直线 $y=kx$

$$\lim_{x \rightarrow 0, y=kx} \frac{\sqrt{|xy|}}{\sqrt{x^2 + y^2}} = \frac{\sqrt{|k|}}{\sqrt{1 + k^2}} \neq 0$$

从而在 $(0,0)$ 的邻域上, $f(x, y) \neq o(\rho)$

$f$ 在 $(0, 0)$ 处不可微

**16:** 对任意  $\epsilon > 0$ , 取  $\delta = \epsilon$ , 则当  $0 < |x|, |y| < \delta$  时有

$$|f(x, y)| = \frac{x^2|y|}{x^2 + y^2} \leq |y| < \epsilon$$

由定义知  $f(x, y)$  在点  $(0, 0)$  处连续. 由定义易知  $f$  在  $(0, 0)$  处的偏导数均为 0.

若  $f$  在点  $(0, 0)$  处可微, 则

$$f(x, y) = f(0, 0) + \frac{\partial f}{\partial x}(0, 0)x + \frac{\partial f}{\partial y}(0, 0)y + o(\rho) \quad (\rho = \sqrt{x^2 + y^2} \rightarrow 0)$$

因此  $f(x, y) = o(\rho)(\rho \rightarrow 0)$ , 又若令  $y = kx (k \neq 0)$ , 则

$$\frac{f(x, y)}{\rho} = \frac{x^2 y}{(x^2 + y^2)^{\frac{3}{2}}} = \frac{k}{(k^2 + 1)^{\frac{3}{2}}}$$

于是矛盾, 故  $f$  在  $(0, 0)$  处不可微.

**17:**  $\frac{\sin r}{r^2} \rightarrow 0, r \rightarrow \infty$  故连续

$$x^2 + y^2 \neq 0, f_x = 2x \sin \frac{1}{\sqrt{x^2 + y^2}} - \frac{x}{\sqrt{x^2 + y^2}} \cos \frac{1}{\sqrt{x^2 + y^2}}$$

$$f_y = 2y \sin \frac{1}{\sqrt{x^2 + y^2}} - \frac{y}{\sqrt{x^2 + y^2}} \cos \frac{1}{\sqrt{x^2 + y^2}}$$

$$x = y = 0, f_x(0, 0) = \lim_{x \rightarrow 0} x \sin \frac{1}{x} = 0, f_y(0, 0) = 0$$

$$\lim_{x \rightarrow 0} f_x(x, 0) = \lim_{x \rightarrow 0} \cos \frac{1}{x} \text{ not exist, 偏导数不连续}$$

$$x, y \rightarrow 0, \text{count}, \frac{(x^2 + y^2) \sin \frac{1}{\sqrt{x^2 + y^2}}}{\sqrt{x^2 + y^2}}$$

$$= \frac{\sin r}{r} \rightarrow 0, r \rightarrow \infty$$

**18:** (1)  $\frac{\partial z}{\partial x} = 2x \ln(\frac{1}{1+y}), \frac{\partial z}{\partial y} = -\frac{x^2}{1+y}$

$$\frac{\partial^2 z}{\partial x^2} = 2 \ln(\frac{1}{1+y}), \frac{\partial^2 z}{\partial x \partial y} = -\frac{2x}{1+y}, \frac{\partial^2 z}{\partial y^2} = \frac{x^2}{(1+y)^2}$$

$$(2) \frac{\partial z}{\partial x} = -\frac{xy(1-2xy)}{(x-y)(1+(x-y-x^2y)^2)} + \frac{xy \arctan(x-y-x^2y)}{(x-y)^2} - \frac{y \arctan(x-y-x^2y)}{x-y}$$

$$\frac{\partial z}{\partial y} = -\frac{x(-1-x^2)y}{(x-y)(1+(x-y-x^2y)^2)} - \frac{x \arctan(x-y-x^2y)}{x-y} - \frac{xy \arctan(x-y-x^2y)}{(x-y)^2}$$

$$\frac{\partial^2 z}{\partial x^2} = \frac{2xy(1-2xy)^2(x-y-x^2y)}{(x-y)(1+(x-y-x^2y)^2)^2} + \frac{2xy^2}{(x-y)(1+(x-y-x^2y)^2)} + \frac{2xy(1-2xy)}{(x-y)^2(1+(x-y-x^2y)^2)} - \frac{2y(1-2xy)}{(x-y)(1+(x-y-x^2y)^2)} -$$

$$\begin{aligned}
& \frac{2xy \arctan(x-y-x^2y)}{(x-y)^3} + \frac{2y \arctan(x-y-x^2y)}{(x-y)^2} \\
\frac{\partial^2 z}{\partial x \partial y} &= \frac{2x(-1-x^2)y(1-2xy)(x-y-x^2y)}{(x-y)(1+(x-y-x^2y)^2)^2} + \frac{x(-1-x^2)y}{(x-y)^2(1+(x-y-x^2y)^2)} + \frac{2x^2y}{(x-y)(1+(x-y-x^2y)^2)} - \\
& \frac{(-1-x^2)y}{(x-y)(1+(x-y-x^2y)^2)} - \frac{x(1-2xy)}{(x-y)(1+(x-y-x^2y)^2)} - \frac{xy(1-2xy)}{(x-y)^2(1+(x-y-x^2y)^2)} + \frac{x \arctan(x-y-x^2y)}{(x-y)^2} - \\
& \frac{\arctan(x-y-x^2y)}{x-y} + \frac{2xy \arctan(x-y-x^2y)}{(x-y)^3} - \frac{y \arctan(x-y-x^2y)}{(x-y)^2} \\
\frac{\partial^2 z}{\partial y^2} &= \frac{2x(-1-x^2)^2y(x-y-x^2y)}{(x-y)(1+(x-y-x^2y)^2)^2} - \frac{2x(-1-x^2)}{(x-y)(1+(x-y-x^2y)^2)} - \frac{2x(-1-x^2)y}{(x-y)^2(1+(x-y-x^2y)^2)} - \frac{2x \arctan(x-y-x^2y)}{(x-y)^2} - \\
& \frac{2xy \arctan(x-y-x^2y)}{(x-y)^3}
\end{aligned}$$

19: (1)

$$\begin{aligned}
\frac{\partial u}{\partial x} &= \frac{\partial u}{\partial t} \frac{\partial t}{\partial x} = e^t y x^{y-1} + \frac{2t}{1+t^2} y x^{y-1} = e^{x^y} y x^{y-1} + \frac{2y x^{2y-1}}{1+x^{2y}} \\
\frac{\partial u}{\partial y} &= \frac{\partial u}{\partial t} \frac{\partial t}{\partial y} = e^t x^y \ln x + \frac{2t}{1+t^2} x^y \ln x = e^{x^y} x^y \ln x + \frac{2 \ln x x^{2y}}{1+x^{2y}}
\end{aligned}$$

(2)

$$\begin{aligned}
\frac{\partial u}{\partial r} &= \frac{\partial u}{\partial x} \frac{\partial x}{\partial r} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial r} + \frac{\partial u}{\partial z} \frac{\partial z}{\partial r} = y z e^{xyz} s + x z e^{xyz} / s + x y e^{xyz} s r^{s-1} \\
&= 2(r^{s+1} e^{r^{s+2}}) + r^{s+1} s e^{r^{s+2}} \\
\frac{\partial u}{\partial s} &= \frac{\partial u}{\partial x} \frac{\partial x}{\partial s} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial s} + \frac{\partial u}{\partial z} \frac{\partial z}{\partial s} = y z e^{xyz} r + x z e^{xyz} \left(-\frac{r}{s^2}\right) + x y e^{xyz} r^s \\
&= r^{s+2} e^{r^{s+2}} \ln r
\end{aligned}$$

(3)

$$\begin{aligned}
\frac{\partial u}{\partial r} &= \frac{\partial u}{\partial x} \frac{\partial x}{\partial r} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial r} = \frac{2x}{x^2+y^2} e^{t+s+r} + \frac{2y}{x^2+y^2} * 0 = \frac{2e^{2(t+s+r)}}{e^{2(t+s+r)} + 16(s^2+t^2)^2} \\
\frac{\partial u}{\partial s} &= \frac{\partial u}{\partial x} \frac{\partial x}{\partial s} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial s} = \frac{2x}{x^2+y^2} e^{t+s+r} + \frac{2y}{x^2+y^2} 8s = \frac{2e^{2(t+s+r)} + 64s(s^2+t^2)}{e^{2(t+s+r)} + 16(s^2+t^2)^2} \\
\frac{\partial u}{\partial t} &= \frac{\partial u}{\partial x} \frac{\partial x}{\partial t} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial t} = \frac{2x}{x^2+y^2} e^{t+s+r} + \frac{2y}{x^2+y^2} 8t = \frac{2e^{2(t+s+r)} + 64t(s^2+t^2)}{e^{2(t+s+r)} + 16(s^2+t^2)^2}
\end{aligned}$$

(4)

$$\frac{du}{dx} = \frac{\partial u}{\partial y} \frac{dy}{dx} + \frac{\partial u}{\partial z} \frac{dz}{dx} = \frac{e^{ax}}{a^2+1} a \cos x + \frac{e^{ax}}{a^2+1} \sin x = \frac{e^{ax}}{a^2+1} (\sin x + a \cos x)$$

**20:** (1)

$$\frac{du}{dt} = 3t^2 f'_1 + 4t f'_2$$

.

(2)

$$\frac{du}{dt} = \cos t f'_1 - \sin t f'_2 + e^t f'_3$$

.

(3)

$$\frac{\partial u}{\partial x} = 2x f'_1 + y e^{xy} f'_2,$$

$$\frac{\partial^2 u}{\partial x \partial y} = -4xy f''_{11} + (2x^2 - 2y^2) e^{xy} f''_{12} + xy e^{2xy} f''_{22} + (1 + xy) e^{xy} f'_2.$$

(4)

$$\frac{\partial u}{\partial x} = f'_1 + 2x f'_2, \quad \frac{\partial^2 u}{\partial x^2} = f''_{11} + 4x f''_{12} + 2f'_2 + 4x^2 f''_{22},$$

$$\frac{\partial^2 u}{\partial x \partial y} = f''_{11} + (2x + 2y) f''_{12} + 4xy f''_{22}.$$

**21:** 根据方向微商的计算公式

$$\frac{\partial u}{\partial \boldsymbol{l}} = \left( \frac{\partial u}{\partial x}, \frac{\partial u}{\partial y}, \frac{\partial u}{\partial z} \right) \cdot \frac{\boldsymbol{l}}{|\boldsymbol{l}|} = (-2, -1, 2) \cdot \frac{(3, -1, 1)}{\sqrt{11}} = -\frac{3\sqrt{11}}{11}$$

**22:** 解:

设 $\vec{l}$ 为 $P$ 点沿圆周逆时针方向的单位方向向量, 易知:

$$\vec{l} = \left( -\frac{\sqrt{3}}{2}, -\frac{1}{2} \right)$$

由定理知:

$$\text{grad}(z) = \frac{\partial z}{\partial x} \vec{i} + \frac{\partial z}{\partial y} \vec{j}$$

且:

$$\frac{\partial z}{\partial x} = -\frac{y}{x^2 + y^2}, \quad \frac{\partial z}{\partial y} = \frac{x}{x^2 + y^2}$$

则在 $\left(\frac{1}{2}, \frac{\sqrt{3}}{2}\right)$ 点的方向微商为:

$$\text{grad}(z) \cdot \vec{l}_{x=\frac{1}{2}, y=\frac{\sqrt{3}}{2}} = \frac{1}{2}$$

**23:**  $u'_x = 2x + y + 3, u'_y = x + 4y - 2, u'_z = 6z - 2$

从而 $u'_x(1, 1, -1) = 6, u'_y(1, 1, -1) = 4, u'_z(1, 1, -1) = -12$

$\text{grad}u|_{(1,1,-1)} = (6, 3, -12)$

$(\frac{\partial f}{\partial \vec{e}})_{\max} = |\text{grad}u|_{(1,1,-1)}| = 3\sqrt{21}$

**24:** (1) 由 $\frac{1}{r^2} = \frac{1}{x^2+y^2+z^2}$  有

$$\begin{aligned} \frac{\partial \frac{1}{r^2}}{\partial x} &= -\frac{2x}{(x^2 + y^2 + z^2)^2} = -\frac{2x}{r^4}, \\ \frac{\partial \frac{1}{r^2}}{\partial y} &= -\frac{2y}{(x^2 + y^2 + z^2)^2} = -\frac{2y}{r^4}, \\ \frac{\partial \frac{1}{r^2}}{\partial z} &= -\frac{2z}{(x^2 + y^2 + z^2)^2} = -\frac{2z}{r^4}, \end{aligned} \tag{9.1}$$



所以  $\mathbf{grad} \frac{1}{r^2} = -\frac{2}{r^4} \mathbf{r}$

(2) 由  $\ln r = \frac{1}{2} \ln(x^2 + y^2 + z^2)$  易有  $\mathbf{grad} \ln r = \frac{1}{r^2} \mathbf{r}$ .

**25:**  $u_x = f'(\phi_1 y + \phi_2), u_y = f'(\phi_1 x + \phi_2)$

$$u_{xy} = f''(\phi_1 x + \phi_2)(\phi_1 y + \phi_2) + f'(\phi_{11}xy + \phi_{12}y + \phi_{21}x + \phi_{22} + \phi_1)$$

**26:** 略

**27:** 证明: 可设中间变量  $u = xy$ , 则  $z = f(u)$

$$\begin{aligned} \frac{\partial z}{\partial x} &= \frac{\partial z}{\partial u} \frac{\partial u}{\partial x} = y \frac{\partial z}{\partial u} \\ \frac{\partial z}{\partial y} &= \frac{\partial z}{\partial u} \frac{\partial u}{\partial y} = x \frac{\partial z}{\partial u} \\ \therefore x \frac{\partial z}{\partial x} - y \frac{\partial z}{\partial y} &= xy \frac{\partial z}{\partial u} - xy \frac{\partial z}{\partial u} = 0 \end{aligned}$$

**28:**

$$\frac{\partial^2 u}{\partial t^2} = a^2 \phi''(x - at) + a^2 \psi''(x + at).$$

$$\frac{\partial^2 u}{\partial x^2} = \phi''(x - at) + \psi''(x + at).$$

从而  $\frac{\partial^2 u}{\partial t^2} = a^2 \frac{\partial^2 u}{\partial x^2}$ .

**29:** 证明: 有

$$\frac{\partial u}{\partial r} = \frac{\partial u}{\partial x} \frac{\partial x}{\partial r} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial r} = \cos \varphi \frac{\partial u}{\partial x} + \sin \varphi \frac{\partial u}{\partial y}$$

$$\frac{\partial u}{\partial \varphi} = \frac{\partial u}{\partial x} \frac{\partial x}{\partial \varphi} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial \varphi} = -r \sin \varphi \frac{\partial u}{\partial x} + r \cos \varphi \frac{\partial u}{\partial y}$$

因此,有

$$\begin{aligned} left &= \left( \cos \varphi \frac{\partial u}{\partial x} + \sin \varphi \frac{\partial u}{\partial y} \right)^2 + \left( -\sin \varphi \frac{\partial u}{\partial x} + \cos \varphi \frac{\partial u}{\partial y} \right)^2 \\ &= \left( \frac{\partial u}{\partial x} \right)^2 + \left( \frac{\partial u}{\partial y} \right)^2 \\ &= right \end{aligned}$$

**30:** 证明:

由:

$$\begin{cases} \xi = x + y \\ \eta = 3x - y \end{cases}$$

可知:

$$\begin{cases} x = \frac{1}{4}(\xi + \eta) \\ y = \frac{1}{4}(3\xi - \eta) \end{cases}$$

得到:

$$\frac{\partial x}{\partial \xi} = \frac{\partial x}{\partial \eta} = \frac{1}{3} \frac{\partial y}{\partial \xi} = -\frac{\partial y}{\partial \eta} = \frac{1}{4}$$

求得:

$$\begin{aligned} \frac{\partial u}{\partial \xi} &= \frac{\partial u}{\partial x} \frac{\partial x}{\partial \xi} + \frac{\partial u}{\partial y} \frac{\partial y}{\partial \xi} = \frac{1}{4} \left( \frac{\partial u}{\partial x} + 3 \frac{\partial u}{\partial y} \right) \\ \frac{\partial^2 u}{\partial \eta \partial \xi} &= \frac{1}{16} \left( \frac{\partial^2 u}{\partial x^2} + 4 \frac{\partial^2 u}{\partial x \partial y} + 3 \frac{\partial^2 u}{\partial y^2} \right) \end{aligned}$$

代入得:

$$\frac{\partial^2 u}{\partial \eta \partial \xi} + \frac{1}{2} \frac{\partial u}{\partial \xi} = \frac{1}{16} \left( \frac{\partial^2 u}{\partial x^2} + 2 \frac{\partial^2 u}{\partial x \partial y} - 3 \frac{\partial^2 u}{\partial y^2} + 2 \frac{\partial u}{\partial \xi} + 6 \frac{\partial u}{\partial \xi} \right) = 0$$

证毕。

**31:**  $\xi = x - \sin x + y, \eta = x + \sin x - y$

$$\text{则 } \frac{\partial u}{\partial x} = \frac{\partial u}{\partial \xi}(1 - \cos x) + \frac{\partial u}{\partial \eta}(1 + \cos x)$$

$$\frac{\partial u}{\partial y} = \frac{\partial u}{\partial \xi} - \frac{\partial u}{\partial \eta}$$

$$\frac{\partial^2 u}{\partial x^2} = \frac{\partial^2 u}{\partial \xi^2}(1 - \cos x)^2 + \frac{\partial^2 u}{\partial \eta \partial \xi}(1 - \cos^2 x) + \frac{\partial^2 u}{\partial \xi} \sin x + \frac{\partial^2 u}{\partial \eta^2}(1 + \cos x)^2 + \frac{\partial^2 u}{\partial \xi \partial \eta}(1 - \cos^2 x) + \frac{\partial u}{\partial \eta}(-\sin x)$$

$$\frac{\partial^2 u}{\partial x \partial y} = \frac{\partial^2 u}{\partial \xi^2}(1 - \cos x) + \frac{\partial^2 u}{\partial \eta \partial \xi}(1 + \cos x) - \frac{\partial^2 u}{\partial \eta^2}(1 + \cos x) - \frac{\partial^2 u}{\partial \xi \partial \eta}(1 - \cos x)$$

$$\frac{\partial^2 u}{\partial y^2} = \frac{\partial^2 u}{\partial \xi^2} - \frac{\partial^2 u}{\partial \eta \partial \xi} - \frac{\partial^2 u}{\partial \xi \partial \eta} + \frac{\partial^2 u}{\partial \eta^2}$$

$$\text{再由 } \frac{\partial^2 u}{\partial \eta \partial \xi} = \frac{\partial^2 u}{\partial \xi \partial \eta}$$

$$\text{则 } \frac{\partial^2 u}{\partial x^2} + 2 \frac{\partial^2 u}{\partial x \partial y} \cos x - \frac{\partial^2 u}{\partial y^2} \sin^2 x - \frac{\partial u}{\partial y} \sin x = 4 \frac{\partial^2 u}{\partial \xi \partial \eta} = 0$$

$$\text{故 } \frac{\partial^2 u}{\partial \xi \partial \eta} = 0$$

**32:** 由求导的链式法则有

$$\frac{\partial z}{\partial x} = \frac{\partial z}{\partial u} \frac{\partial u}{\partial x} = \frac{\partial z}{\partial u} \quad (9.2)$$

$$\frac{\partial z}{\partial y} = \frac{\partial z}{\partial u} \frac{\partial u}{\partial y} = -2 \frac{\partial z}{\partial u} \quad (9.3)$$

$$\frac{\partial^2 z}{\partial x^2} = \frac{\partial^2 z}{\partial u \partial v} \quad (9.4)$$

同理可有  $\frac{\partial^2 z}{\partial x \partial y} = a \frac{\partial^2 z}{\partial u \partial v}, \frac{\partial^2 z}{\partial y^2} = -2a \frac{\partial^2 z}{\partial u \partial v}$ , 于是可得  $a = 6$

**33:**  $\int z_y dy = \int (x^2 + 2y) dy = yx^2 + y^2 + c(x)$

$$z(x, x^2) = 1 = x^4 + x^4 + c(x) = 1$$

$$z(x, y) = yx^2 + y^2 + 1 - 2x^4$$

**34:**  $u(x, x^2) = 1$  两边对  $x$  求导, 得  $u'_x(x, x^2) + 2xu'_y(x, x^2) = 0$

从而  $u'_y(x, x^2) = -u'_x(x, x^2)/2x = -\frac{1}{2}$

**35:** 解: 对 $u(x, 2x) = x$ 两边关于 $x$ 求导可得:

$$u'_x(x, 2x) + 2u'_y(x, 2x) = 1,$$

再由已知

$$u'_x(x, 2x) = x^2,$$

则

$$u'_y(x, 2x) = \frac{1 - x^2}{2},$$

以上两式关于 $x$ 求导可得:

$$\begin{cases} u''_{xx}(x, 2x) + 2u''_{xy}(x, 2x) = 2x \\ u''_{yx}(x, 2x) + 2u''_{yy}(x, 2x) = -x \end{cases}$$

由题设条件知

$$u''_{xx} = u''_{yy}, u''_{xy} = u''_{yx}$$

联立解得

$$u''_{xx}(x, 2x) = u''_{yy}(x, 2x) = -\frac{4x}{3}, u''_{xy}(x, 2x) = \frac{5x}{3}$$

**36:** (1) $du = f'dx + f'dy$ .

(2) $du = (f'_1y + \frac{f'_2}{y})dx + (f'_1x - \frac{xf'_2}{y^2})dy$ .

(3) $du = (f'_1 + 2tf'_2 + 3t^2f'_3)dt$ .

(4) $du = (f'_1 + 2xf'_2 + 2xf'_3)dx + (2yf'_2 + 2yf'_3)dy + 2zf'_3dz$ .

(5) $du = (2xf'_1 + 2xf'_2 + 2yf'_3)dx + (2yf'_1 - 2yf'_2 + 2xf'_3)dy$ .

**37:** 球坐标下的Laplace方程的形式:

$$\frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \varphi^2} = 0$$

或:

$$\frac{\partial^2}{\partial r^2} + \frac{2}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} + \frac{\cos \theta}{r^2 \sin \theta} \frac{\partial}{\partial \theta} + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \varphi^2} = 0$$

**38:** 解:

由题意得:

$$\frac{\partial (x, y)}{\partial (r, \theta)} = \begin{vmatrix} \frac{\partial x}{\partial r} & \frac{\partial x}{\partial \theta} \\ \frac{\partial y}{\partial r} & \frac{\partial y}{\partial \theta} \end{vmatrix}$$

又知:

$$\frac{\partial x}{\partial r} = \cos \theta, \frac{\partial x}{\partial \theta} = -r \sin \theta, \frac{\partial y}{\partial r} = \sin \theta, \frac{\partial y}{\partial \theta} = r \cos \theta$$

代入得:

$$\frac{\partial (x, y)}{\partial (r, \theta)} = r \cos^2 \theta + r \sin^2 \theta = r$$

### 9.3 隐函数定理和逆映射定理

**1:** (1) Let  $F(x, y) = x^2 + xy + y^2 - 7$ , then it's easy to check

$$[1] F(x, y) \in C^1$$

$$[2] F(2, 1) = 2^2 + 2 \cdot 1 + 1^2 - 7 = 0$$

$$[3] F_y(2, 1) = 2 + 2 \cdot 1 \neq 0$$

By implicit function theorem, there exist  $y = y(x)$  who is determined by  $x^2 + xy + y^2 - 7 = 0$  near point  $(2, 1)$ .

derivation of  $x$  on both sides of the equation, we get  $2x + y + xy' + 2yy' = 0$ , then  $y'(2, 1) = -\frac{5}{4}$

derivation of  $x$  on both sides of the equation,  $2 + y' + y' + xy'' + 2y'y' + 2yy'' = 0$ ,

then  $y''(2, 1) = -\frac{21}{32}$

(2) Similar to (1).  $y'(1, \frac{\pi}{2}) = -\frac{\pi}{2}$ ,  $y''(1, \frac{\pi}{2}) = \pi$

$$2: (1) \frac{dy}{dx} = \frac{2xy + ye^{xy} - y \cos(xy)}{x \cos(xy) - xe^{xy} - x^2}$$

$$(2) \frac{dy}{dx} = \frac{x+y}{x-y}, \frac{d^2y}{dx^2} = \frac{2(x^2+y^2)}{(x-y)^3}$$

$$(3) \frac{dy}{dx} = \frac{\ln(y) - \frac{y}{x}}{\ln(x) - \frac{x}{y}}$$

$$\frac{d^2y}{dx^2} = \frac{2(\frac{1}{y} - \frac{1}{x})(\ln(y) - \frac{y}{x})(\ln(x) - \frac{x}{y}) + \frac{y}{x^2}(\ln(x) - \frac{x}{y})^2 - \frac{x}{y^2}(\ln(y) - \frac{y}{x})^2}{(\ln(x) - \frac{x}{y})^3}$$

$$(4) \frac{\partial z}{\partial x} = \frac{ye^{-xy}}{e^z - 2}, \frac{\partial z}{\partial y} = \frac{xe^{-xy}}{e^z - 2}$$

$$\frac{\partial x}{\partial y} = -\frac{y}{x}, \frac{\partial^2 z}{\partial x^2} = \frac{-y^2 e^{-xy}((e^z - 2)^2 + ez - xy)}{(e^z - 2)^3}$$

$$(5) \frac{\partial z}{\partial x} = \frac{xz}{x^2 + z^2}, \frac{\partial z}{\partial y} = \frac{z^3}{y(x^2 + z^2)}$$

$$(6) \frac{\partial z}{\partial x} = -\frac{F_1' + F_2' + F_3'}{F_3'}, \frac{\partial z}{\partial y} = -\frac{F_2' + F_3'}{F_3'}$$

$$(7) \frac{\partial z}{\partial x} = -\frac{zF_1'}{xF_1' + yF_2'}, \frac{\partial z}{\partial y} = -\frac{zF_2'}{xF_1' + yF_2'}$$

3: 令  $F(x, y) = x^2 + xy + y^2 - 27$ , 易知道(3,3)和(-3,-3)为F的零点。对F求偏导有  $F'_x = 2x + y$ ,  $F'_y = x + 2y$ , 因此

$$\frac{dy}{dx} = -\frac{F'_x(x, y)}{F'_y(x, y)} = -\frac{2x + y}{x + 2y}$$

令  $\frac{dy}{dx} = 0$  有  $y = -2x$ , 代入原方程得到  $x^2 + x(-2x) + (-2x)^2 = 0$ , 得到  $x = 3, y = -6$  或  $x = -3, y = 6$ 。由于  $F(x, y)$  为椭圆, 可知道  $y=6$  为极大值,  $y=-6$  为极小值

也可用二阶导确定是极大值还是极小值。对  $y'(x + 2y) = -(2x + y)$  两边对  $x$  求导有

$$y''(x + 2y) + y'(1 + 2y') = -2 - y'$$

化简有  $y'' = -2\frac{1+y'+(y')^2}{x+2y} = -6\frac{x^2+xy+y^2}{(x+2y)^3}$ ,  $x = 3, y = -6$  时  $y'' = \frac{2}{9}$  因此  $y=-6$  为极小值, 而  $x = -3, y = 6$  时  $y'' = -\frac{2}{9}$  因此  $y=6$  为极大值点。

4: (1) 两边求微分有

$$-2 \cos x \sin x dx - 2 \cos y \sin y dy - 2 \cos z \sin z dz = 0.$$

$$\text{于是 } dz = -\frac{\cos x \sin x dx + \cos y \sin y dy}{\cos z \sin z}.$$

(2) 两边求微分有

$$yz dx + xz dy + xy dz = dx + dy + dz.$$

$$\text{于是 } dz = -\frac{(yz - 1)dx + (xz - 1)dy}{xy - 1}.$$

(3) 两边求微分

$$3u^2 du - (3dx + 3dy)u^2 - 6(x + y)u du + 3z^2 dz = 0.$$

$$\text{于是 } du = \frac{u^2 dx + u^2 dy - z^2 dz}{u^2 - 2(x + y)u}.$$

(4) 两边求微分

$$F'_1(dx - dy) + F'_2(dy - dz) + F'_3(dz - dx) = 0.$$

$$\text{于是 } dz = \frac{(F'_1 - F'_2)dy + (F'_3 - F'_1)dx}{F'_3 - F'_2}.$$

5: 等式  $1 + xy = k(x - y)$  两边同时求微分, 有

$$x dy + y dx = k(dx - dy)$$

两边同除  $dx$ , 得

$$\frac{dy}{dx} = \frac{k - y}{k - x}$$

把  $k = \frac{1+xy}{x-y}$  代入上式,消去  $k$ ,得到

$$\frac{dy}{dx} = \frac{1+y^2}{1+x^2}$$

得证.

**6:** 证明:

令

$$F(x, y, z) = 2 \sin(x + 2y - 3z) - x - 2y + 3z$$

可得:

$$F'_x = 2 \cos(x + 2y - 3z) - 1$$

$$F'_y = 4 \cos(x + 2y - 3z) - 2$$

$$F'_z = -6 \cos(x + 2y - 3z) + 3$$

则有

$$\frac{\partial z}{\partial x} = -\frac{F'_x}{F'_z} = 3$$

$$\frac{\partial z}{\partial y} = -\frac{F'_y}{F'_z} = -2$$

代入得

$$\frac{\partial z}{\partial y} + \frac{\partial z}{\partial x} = 1$$

证毕。



$$\begin{aligned}
 \text{7: } \quad \frac{\partial z}{\partial x} &= -\frac{\partial F}{\partial x} \bigg/ \frac{\partial F}{\partial z} = \frac{c\varphi_1}{a\varphi_1+b\varphi_2} \\
 \frac{\partial z}{\partial y} &= -\frac{\partial F}{\partial y} \bigg/ \frac{\partial F}{\partial z} = \frac{c\varphi_2}{a\varphi_1+b\varphi_2} \\
 \text{从而} \quad a\frac{\partial z}{\partial x} + b\frac{\partial z}{\partial y} &= c
 \end{aligned}$$

8: 由  $d(x^2 - xy - y^2) = 2xdx - ydx - xdy + 2ydy = 0$  有  $\frac{dy}{dx} = \frac{2x-y}{x-2y} (x \neq 2y)$ ,  
于是

$$\begin{aligned}
 \frac{dz}{dx} &= 2x + 2y \frac{dy}{dx} = 2x + y \frac{2x-y}{x-2y}, \quad x \neq 2y \\
 \frac{d^2z}{dx^2} &= 2 + 2 \left( \frac{dy}{dx} \right)^2 + 2y \frac{d^2y}{dx^2} = 2 + \left( \frac{2x-y}{x-2y} \right)^2 + 6y \frac{x-y}{(x-2y)^2}
 \end{aligned}$$

9: 对下面两个式子同时做全微分

$$\begin{cases} y = f(x+t) \\ y + g(x,t) = 0 \end{cases}$$

得到

$$\begin{cases} dy = \frac{\partial g}{\partial x} dx + \frac{\partial g}{\partial t} dt = 0 \\ dy = f' dx + f' dt \end{cases}$$

联立两个方程, 消去  $dt$  得到

$$\frac{dy}{dx} = \frac{1 - \frac{\partial g}{\partial x}}{\frac{\partial g}{\partial t} + 1}$$

10: 在两个方程两端对 $z$ 求导得到 
$$\begin{cases} \frac{dx}{dz} + \frac{dy}{dz} + 1 = 0 \\ 2\frac{dx}{dz}x + 2\frac{dy}{dz}y + 2z = 0 \end{cases} \quad \text{从而解得} \begin{cases} \frac{dx}{dz} = \frac{y-z}{x-y} \\ \frac{dy}{dz} = \frac{x-z}{y-x} \end{cases}$$

12: (1)求微分得到

$$\begin{pmatrix} dx \\ dy \end{pmatrix} = \begin{pmatrix} f'_1 & f'_2 \\ g'_1 & g'_2 \end{pmatrix} \begin{pmatrix} du \\ dv \end{pmatrix}.$$

从而

$$\begin{pmatrix} du \\ dv \end{pmatrix} = \begin{pmatrix} f'_1 & f'_2 \\ g'_1 & g'_2 \end{pmatrix}^{-1} \begin{pmatrix} dx \\ dy \end{pmatrix} = \frac{1}{f'_1g'_2 - f'_2g'_1} \begin{pmatrix} g'_2 & -f'_2 \\ -g'_1 & f'_1 \end{pmatrix} \begin{pmatrix} dx \\ dy \end{pmatrix}.$$

也就是

$$\begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix} = \frac{1}{f'_1g'_2 - f'_2g'_1} \begin{pmatrix} g'_2 & -f'_2 \\ -g'_1 & f'_1 \end{pmatrix}.$$

(2) 取 $f(u, v) = e^u + u \sin v$ ,  $g(u, v) = e^u - u \cos v$ 代入(1)得到

$$\begin{aligned} \begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix} &= \frac{1}{f'_1g'_2 - f'_2g'_1} \begin{pmatrix} g'_2 & -f'_2 \\ -g'_1 & f'_1 \end{pmatrix} \\ &= \frac{1}{ue^u(\sin v - \cos v) + u} \begin{pmatrix} u \sin v & -u \cos v \\ -e^u + \cos v & e^u + \sin v \end{pmatrix}. \end{aligned}$$

13: 方程 $\varphi(x^2, e^y, z) = 0$ 两边同时对 $x$ 求导, 得

$$2x\varphi'_1 + \cos x e^{\sin x} \varphi'_2 + \frac{dz}{dx} \varphi'_3 = 0$$

从中解出

$$\frac{dz}{dx} = -\frac{2x\varphi'_1 + \cos x e^{\sin x} \varphi'_2}{\varphi'_3}$$

再将方程  $u = f(x, y, z)$  两边同时对  $x$  求导, 有

$$\frac{du}{dx} = f'_1 + f'_2 \frac{dy}{dx} + f'_3 \frac{dz}{dx} = f'_1 + f'_2 \cos x + f'_3 \frac{dz}{dx}$$

将  $\frac{dz}{dx}$  的表达式代入上式, 得

$$\frac{du}{dx} = f'_1 + f'_2 \cos x - f'_3 \frac{2x\varphi'_1 + \cos x e^{\sin x} \varphi'_2}{\varphi'_3}$$

**14:** 解: 令

$$G(x, y, z) = xf(x+y) - z$$

则有:

$$G'_x = f(x+y) + xf'(x+y)$$

$$G'_y = xf'(x+y)$$

$$G'_z = -1$$

由隐函数定理可知:

$$\frac{dz}{dx} = -\frac{F'_x G'_y - F'_y G'_x}{F'_y G'_z - F'_z G'_y}$$

代入得:

$$\frac{dz}{dx} = \frac{F'_x f'(x+y)x - F'_y f(x+y) - F'_y f'(x+y)x}{F'_y + F'_z f'(x+y)x}$$

**15:**  $F(x, y, u, v) = 0, G(x, y, u, v) = 0, u = u(x, y), v = v(x, y)$

$$\text{则} \begin{cases} \frac{\partial F}{\partial x} = F'_1 + F'_3 u'_x + F'_4 v'_x = 0 \\ \frac{\partial F}{\partial y} = F'_2 + F'_3 u'_y + F'_4 v'_y = 0 \\ \frac{\partial G}{\partial x} = G'_1 + G'_3 u'_x + G'_4 v'_x = 0 \\ \frac{\partial G}{\partial y} = G'_2 + G'_3 u'_y + G'_4 v'_y = 0 \end{cases}$$

$$\text{从而 } u'_x = -\frac{\partial(F,G)}{\partial(x,v)} \bigg/ \frac{\partial(F,G)}{\partial(u,v)}$$

$$u'_y = -\frac{\partial(F,G)}{\partial(y,v)} \bigg/ \frac{\partial(F,G)}{\partial(u,v)}$$

$$v'_x = -\frac{\partial(F,G)}{\partial(u,x)} \bigg/ \frac{\partial(F,G)}{\partial(u,v)}$$

$$v'_y = -\frac{\partial(F,G)}{\partial(u,y)} \bigg/ \frac{\partial(F,G)}{\partial(u,v)}$$

$$\text{故 } du = u'_x dx + u'_y dy$$

$$dv = v'_x dx + v'_y dy$$

将  $u'_x, u'_y, v'_x, v'_y$  代入即可

**16:** 由  $u(x, y) = f(x, y, z, t)$  知  $z = z(x, y), t = t(x, y)$ . 由方程  $g(y, z, t) = 0, h(z, t) = 0$  有

$$g_z z_y + g_t t_y = -g_y$$

$$h_z z_y + h_t t_y = 0$$

联立解得

$$\begin{pmatrix} z_y \\ t_y \end{pmatrix} = \begin{pmatrix} g_z & g_t \\ h_z & h_t \end{pmatrix}^{-1} \begin{pmatrix} -g_y \\ 0 \end{pmatrix} \quad (9.5)$$

$$u_y = f_y + f_z z_y + f_t t_y = f_y + (f_z, f_t) \begin{pmatrix} z_y \\ t_y \end{pmatrix} \quad (9.6)$$

$$= f_y + (f_z, f_t) \begin{pmatrix} g_z & g_t \\ h_z & h_t \end{pmatrix}^{-1} \begin{pmatrix} -g_y \\ 0 \end{pmatrix} \quad (9.7)$$

类似的有

$$g_z z_x + g_t t_x = 0 \quad (9.8)$$

$$h_z z_x + h_t t_x = 0 \quad (9.9)$$

易得  $z_x = t_x = 0$ . 于是  $u_x = f_x + f_z z_x + f_t t_x = f_x$ .

## 9.4 空间曲线与曲面

1:

$$\vec{r}' = (a \cos t, a \sin t, 2bt)$$

$$\vec{r}'' = (-a \sin t, a \cos t, 2b)$$

2: 设  $\vec{r}(t) = (r_1(t), \dots, r_n(t))$ , 则  $\vec{r}'(t) = (r_1'(t), \dots, r_n'(t))$ .

由  $r_1^2(t) + \dots + r_n^2(t) = 1$  两边对  $t$  求导得到  $2(r_1(t)r_1'(t) + \dots + r_n(t)r_n'(t)) = 0$ , 即得结论.

几何意义: 长度不变的向量函数在其上每一点与其切向量正交.

3: 由题意可得曲线的切向量

$$\mathbf{r}'(t) = (-a \sin t, a \cos t, b)$$

$z$  轴的方向向量是  $\mathbf{k} = (0, 0, 1)$

所以切线与  $z$  轴的夹角余弦为

$$\cos \theta = \frac{\mathbf{r}' \cdot \mathbf{k}}{|\mathbf{r}'| \cdot |\mathbf{k}|} = \frac{b}{\sqrt{a^2 + b^2}} \text{ 为常数}$$

$\therefore$  曲线的切线与  $Oz$  轴夹角为常值

4: 是简单曲线也是光滑曲线.  $\mathbf{r}'(t) = (\frac{1}{(1+t)^2}, -\frac{1}{t^2}, 2t)$ ,

将  $t = 1$  代入得切线的方向向量  $\vec{v} = (\frac{1}{4}, -1, 2)$ , 又  $\mathbf{r}(1) = (\frac{1}{2}, 2, 1)$ .

从而切线方程:  $\frac{4x-2}{1} = \frac{y-2}{-1} = \frac{z-1}{2}$ .

法平面方程:  $\frac{1}{4}x - y + 2z - \frac{1}{8} = 0$ .

**5:** (1) 曲线切向量为  $(2a \sin t \cos t, -b \sin^2 t + b \cos^2 t, -2c \sin t \cos t)$

在  $t_0 = \pi/4$  处切向量为  $(a, 0, -c)$ , 且  $t_0 = \pi/4$  对应曲线上点  $(\frac{a}{2}, \frac{b}{2}, \frac{c}{2})$

故切线方程为

$$\frac{x - \frac{a}{2}}{a} = \frac{y - \frac{b}{2}}{0} = -\frac{z - \frac{c}{2}}{c}$$

法平面方程为

$$a \left( x - \frac{a}{2} \right) - c \left( z - \frac{c}{2} \right) = 0$$

(2) 曲线切向量为  $(1 + \sin t, 2 \sin t \cos t, -3 \sin 3t)$

在  $t_0 = \pi/2$  处切向量为  $(2, 0, 3)$ , 且  $t_0 = \pi/2$  对应曲线上点  $(\frac{\pi}{2}, 4, 1)$

故切线方程为

$$\frac{x - \frac{\pi}{2}}{2} = \frac{y - 4}{0} = \frac{z - 1}{3}$$

法平面方程为

$$2 \left( x - \frac{\pi}{2} \right) + 3(z - 1) = 0$$

**6:** 解: (1)

$$x'_u = \cos v, y'_u = \sin v, z'_u = 0$$

$$x'_v = -u \sin v, y'_v = u \cos v, z'_v = a$$

所以法向量为  $\vec{n} = (x'_u, y'_u, z'_u) \times (x'_v, y'_v, z'_v) = (a \sin v, -a \cos v, u)$ , 则在  $(u_0, v_0)$  处的切平面方程为:

$$a \sin v_0 (x - u_0 \cos v_0) - a \cos v_0 (y - u_0 \sin v_0) + u_0 (z - av_0) = 0$$

法线方程为:

$$\frac{x - u_0 \cos v_0}{a \sin v_0} = \frac{y - u_0 \sin v_0}{-a \cos v_0} = \frac{z - av_0}{u_0}$$

(2)

$$x'_\theta = a \cos \theta \cos \varphi, y'_\theta = b \cos \theta \sin \varphi, z'_\theta = -c \sin \theta$$

$$x'_\varphi = -a \sin \theta \sin \varphi, y'_\varphi = b \sin \theta \cos \varphi, z'_\varphi = 0$$

所以法向量为  $\vec{n} = (x'_\theta, y'_\theta, z'_\theta) \times (x'_\varphi, y'_\varphi, z'_\varphi) = (bc \sin^2 \theta \cos \varphi, ac \sin^2 \theta \sin \varphi, ab \sin \theta \cos \theta)$ , 则在  $(u_0, v_0)$  处的切平面方程为:

$$bc \sin^2 \theta_0 \cos \varphi_0 (x - a \sin \theta_0 \cos \varphi_0) + ac \sin^2 \theta_0 \sin \varphi_0 (y - b \sin \theta_0 \sin \varphi_0) +$$

$$ab \sin \theta_0 \cos \theta_0 (z - c \cos \theta_0) = 0$$

法线方程为:

$$\frac{x - a \sin \theta_0 \cos \varphi_0}{bc \sin^2 \theta_0 \cos \varphi_0} = \frac{y - b \sin \theta_0 \sin \varphi_0}{ac \sin^2 \theta_0 \sin \varphi_0} = \frac{z - c \cos \theta_0}{ab \sin \theta_0 \cos \theta_0}$$

$$7: F(x, y) = F(x, F(x)) = 0$$

$$\frac{dF}{dx} = F'_1 + F'_2 f'(x) = 0$$

$$\text{则 } f'(x) = -\frac{F'_1}{F'_2}, f'(x_0) = -\frac{F'_1(x_0, y_0)}{F'_2(x_0, y_0)}$$

$$\text{故 } \vec{n}_1 = (1, -\frac{F'_1(x_0, y_0)}{F'_2(x_0, y_0)})$$

$$\text{同理 } \vec{n}_2 = (1, -\frac{G'_1(x_0, y_0)}{G'_2(x_0, y_0)})$$

$$\langle \vec{n}_1, \vec{n}_2 \rangle = \arccos \frac{\vec{n}_1 \cdot \vec{n}_2}{|\vec{n}_1| |\vec{n}_2|} = \arccos \frac{F'_1(x_0, y_0)G'_1(x_0, y_0) + F'_2(x_0, y_0)G'_2(x_0, y_0)}{\sqrt{(F'_1(x_0, y_0))^2 + (F'_2(x_0, y_0))^2} \cdot \sqrt{(G'_1(x_0, y_0))^2 + (G'_2(x_0, y_0))^2}}$$

$$8: (1) \mathbf{n} = (17, 11, 5), \quad \pi : 17x + 11y + 5z - 60 = 0$$

$$(2) \mathbf{n} = (1, -1, 2), \quad \pi : x - y + 2z - \frac{\pi}{2} = 0$$

$$(3) \mathbf{n} = (1, 2, 0), \quad \pi : x + 2y - 4 = 0$$

$$(4) \mathbf{n} = (5, 4, 1), \quad \pi: 5x + 4y + z - 28 = 0$$

9: 椭球面在 $(x_0, y_0, z_0)$ 处的切平面为

$$xx_0 + 2yy_0 + zz_0 = 1$$

$$\frac{x_0}{1} = \frac{2y_0}{-1} = \frac{z_0}{2}$$

$$x_0^2 + 2y_0^2 + z_0^2 = 1$$

解得 $(\frac{\sqrt{22}}{2}, -\frac{\sqrt{22}}{4}, \sqrt{22})$ 和 $(-\frac{\sqrt{22}}{2}, \frac{\sqrt{22}}{4}, -\sqrt{22})$ , 再根据法向量即可得到平面方程。

10: 显然平面 $x+3y+z=0$ 的法向量为 $\vec{n}=(1,3,1)$ ,而曲面上 $(x,y,z)$ 点处的

法向量为 $\vec{n}_s=(z'_x, z'_y, -1)=(y, x, -1)$ ,由两法向量平行即可解出 $\begin{cases} x=-3 \\ y=-1 \end{cases}$ ,故

所求曲线上的点为 $(-3, -1, 3)$ ,法线方程为 $\frac{x+3}{1} = \frac{y+1}{3} = \frac{z-3}{1}$

11: 记点M的坐标为 $(x_0, y_0, z_0)$

椭球面在M点的梯度 $\text{grad}F(M)=(x_0, 2y_0, 3z_0)$

$\therefore$ 过点M的切平面的法向量为 $(x_0, 2y_0, 3z_0)$

直线的方向向量为 $(2, 1, -1)$ , 过点 $(6, 3, 1/2)$ , 联立可得如下方程

$$2x_0 + 2y_0 - 3z_0 = 0$$

$$x_0(6-x_0) + 2y_0(3-y_0) + 3z_0(1/2-z_0) = 0$$

$$x_0^2 + 2y_0^2 + 3z_0^2 = 21$$

求解可得 $x_0=1, y_0=2, z_0=2$ 或者 $x_0=3, y_0=0, z_0=2$



当M为(1,2,2)时, 切平面方程为 $x+4y+6z-21=0$

当M为(3,0,2)时, 切平面方程为 $3x+6z-21=0$

**12:** 曲面于点 $(1, -2, 5)$ 处的法向量为 $(2, -4, -1)$ ,

因此平面 $\pi: 2x - 4y - z - 5 = 0$ . 任意选取直线上两点代入平面 $\pi$ , 得 $a = -5, b = -2$ .

**13:** 两个曲面在 $(x, y, z)$ 处的法向量分别为

$$\mathbf{n}_1 = (2x - a, 2y, 2z), \mathbf{n}_2 = (2x, 2y - b, 2z)$$

$$\begin{aligned} \mathbf{n}_1 \cdot \mathbf{n}_2 &= 4(x^2 + y^2 + z^2) - 2ax - 2by \\ &= 2(x^2 + y^2 + z^2 - ax) + 2(x^2 + y^2 + z^2 - by) \\ &= 0 \end{aligned}$$

因此两曲面正交.

**14:** 解: 曲面 $x + 2y - \ln z + 4 = 0$ 在点 $(x, y, z)$ 处的法向量为 $(1, 2, -\frac{1}{z})$ , 曲面 $x^2 - xy - 8x + z + 5 = 0$ 在点 $(x, y, z)$ 处的法向量为 $(2x - y - 8, -x, 1)$ , 所以将点 $(2, -3, 1)$ 分别代入上面的两个法向量, 得到 $\vec{n}_1 = (1, 2, -1), \vec{n}_2 = (-1, -2, 1)$ , 即 $\vec{n}_1 \parallel \vec{n}_2$ , 则两曲面在该点有公共的切平面:

$$(x - 2) + 2(y + 3) - (z - 1) = 0$$

**15:** 在  $z = xe^{x/y}$  上任取一点  $(x_0, y_0, x_0e^{x_0/y_0})$

$$\left. \frac{\partial z}{\partial x} \right|_{(x_0, y_0)} = \left( \frac{x_0}{y_0} + 1 \right) e^{x_0/y_0}$$

$$\left. \frac{\partial z}{\partial y} \right|_{(x_0, y_0)} = -\frac{x_0^2}{y_0^2} e^{x_0/y_0}$$

$$\text{则 } \vec{n}_1 = (1, 0, \left( \frac{x_0}{y_0} + 1 \right) e^{x_0/y_0})$$

$$\vec{n}_2 = (0, 1, -\frac{x_0^2}{y_0^2} e^{x_0/y_0})$$

$$\vec{n}_1 \times \vec{n}_2 = \left( -\left( \frac{x_0}{y_0} + 1 \right) e^{x_0/y_0}, \frac{x_0^2}{y_0^2} e^{x_0/y_0}, 1 \right)$$

$$\text{在该点的切平面为 } -\left( \frac{x_0}{y_0} + 1 \right) e^{x_0/y_0} (x - x_0) + \frac{x_0^2}{y_0^2} e^{x_0/y_0} (y - y_0) + (z - x_0 e^{x_0/y_0}) = 0$$

将  $(x, y, z) = (0, 0, 0)$  代入得

$$\left( \frac{x_0}{y_0} + 1 \right) e^{x_0/y_0} x_0 - \frac{x_0^2}{y_0^2} e^{x_0/y_0} y_0 - x_0 e^{x_0/y_0} = 0$$

该式恒成立，从而命题得证

**16:** (1)  $l : x + y - 2 = 0, \quad l_n : x - y = 0$

(2)  $l : x + 2y - 1 = 0, \quad l_n : 2x - y - 2 = 0$

**17:** (1) Let  $F_1(x, y, z) = y^2 + z^2 - 25, F_2(x, y, z) = x^2 + y^2 - 10$ , then for  $F_1(x, y, z) = 0$  the point  $(1, 3, 4)$  follows the normal vector is  $\mathbf{n}_1 = (0, 6, 8)$ , for  $F_2(x, y, z) = 0$  the point  $(1, 3, 4)$  follows the normal vector is  $\mathbf{n}_2 = (2, 6, 0)$  then the tangent direction is  $\mathbf{n}_1 \times \mathbf{n}_2 = (-48, 16, -12)$  it can be instead of  $(-12, 4, -3)$

the equation of tangent line is  $\frac{x-1}{-12} = \frac{y-3}{4} = \frac{z-4}{-3}$ ,

the equation of normal plane is  $-12(x-1) + 4(y-3) - 3(z-4) = 0$

(2) Similar to (1). the tangent direction is  $(27, 28, 4)$ , the equation of tangent line is  $\frac{x+2}{27} = \frac{y-1}{28} = \frac{z-6}{4}$

the equation of normal plane is  $27(x+2) + 28(y-1) + 4(z-6) = 0$

18: 略

## 9.5 多变量函数的Taylor公式与极值

1: (1)  $F(t) = \sin((x+th)^2 + y+tk)$ ,  $F'(t) = \cos((x+th)^2 + y+tk)(2(x+th)h+k)$ ,  $F'(1) = \cos((x+h)^2 + y+k)(2(x+h)h+k)$

(2)  $F(t) = (x+th)^2 + 2(x+th)(y+tk)^2 - (y+tk)^4$ ,  $F'(t) = 2h(x+th) + 2h(y+tk)^2 + 4k(x+th)(y+tk) - 3k(y+tk)^3$

2: (1)  $f(x_0+h, y_0+k) - f(x_0, y_0) = 106 - 39(5+h) + (5+h)^3 + 18(6+k) - 6(5+h)(6+k) + (6+k)^2 = 15h^2 + h^3 - 6hk + k^2$

(2)  $f(x_0+h, y_0+k) - f(x_0, y_0) = -2 - 2(1+h)(-1+k) + (1+h)^2(-1+k) + (1+h)(-1+k)^2 = h^2(-1+k) + h(-1+k)^2 + (-3+k)k$

4: (1)成立区域:  $\{(x, y) | y > -1\}$ .

$$\begin{aligned} f(x, y) &= (1+x+\frac{x^2}{2!}+\frac{x^3}{3!}+o(x^3))(y-\frac{1}{2}y^2+\frac{1}{3}y^3+o(y^3)) \\ &= y+xy-\frac{1}{2}y^2+\frac{1}{2}x^2y-\frac{1}{2}xy^2+\frac{1}{3}y^3+o(\rho^3). \end{aligned}$$

(2)成立区域:  $\{(x, y) | x^2 + y^2 < 1\}$ .

$$\begin{aligned} f(x, y) &= \sqrt{1-\rho^2} = 1 - \frac{1}{2}\rho^2 - \frac{1}{8}\rho^4 + o(\rho^4) \\ &= 1 - \frac{1}{2}(x^2 + y^2) - \frac{1}{8}(x^2 + y^2)^2 + o(\rho^4). \end{aligned}$$

(3)成立区域:  $\{(x, y) | x < -1, y < -1\}$ .

$$\begin{aligned} f(x, y) &= \frac{1}{(1-x)(1-y)} = \left( \sum_{i=0}^n x^i + o(x^n) \right) \left( \sum_{i=0}^n y^i + o(y^n) \right) \\ &= \sum_{k=0}^n \sum_{i=0}^k x^i y^{k-i} + o(\rho). \end{aligned}$$

(4)成立区域:  $\{(x, y) | 1 - x + y > 0\}$ .

$$\begin{aligned} f(0, 0) &= \frac{\pi}{4}, \quad \frac{\partial f}{\partial x}(0, 0) = 1, \quad \frac{\partial f}{\partial y}(0, 0) = 0, \\ \frac{\partial^2 f}{\partial x^2}(0, 0) &= 0, \quad \frac{\partial^2 f}{\partial x \partial y}(0, 0) = -1, \quad \frac{\partial^2 f}{\partial y^2}(0, 0) = 0. \end{aligned}$$

从而

$$f(x, y) = \frac{\pi}{4} + x - xy + o(\rho^2).$$

(5)成立区域:  $\mathbb{R}^2$ . 下面  $\rho = \sqrt{x^2 + y^2}$ ,  $m \in \mathbb{Z}$ .

$$f(x, y) = \sin \rho^2 = \begin{cases} \sum_{k=0}^m \frac{\rho^{4k+2}}{(2k+1)!} + o(\rho^{4m+2}), & n = 4m+2, 4m+3, 4m+4. \\ \sum_{k=0}^m \frac{\rho^{4k-2}}{(2k-1)!} + o(\rho^{4m-2}), & n = 4m+1. \end{cases}$$

(6)成立区域:  $\mathbb{R} \times (-\frac{\pi}{2}, \frac{\pi}{2})$ .

$$\begin{aligned} f(0, 0) &= 1, \quad \frac{\partial f}{\partial x}(0, 0) = 0, \quad \frac{\partial f}{\partial y}(0, 0) = 0, \\ \frac{\partial^2 f}{\partial x^2}(0, 0) &= -1, \quad \frac{\partial^2 f}{\partial x \partial y}(0, 0) = 0, \quad \frac{\partial^2 f}{\partial y^2}(0, 0) = 1. \end{aligned}$$

从而

$$f(x, y) = 1 - \frac{1}{2}x^2 + \frac{1}{2}y^2 + o(\rho^2).$$

(7)成立区域:  $\mathbb{R}^2$ . 配方得:

$$f(x, y) = 2(x-1)^2 - (y+2)^2 - (x-1)(y+2) + 5.$$

5: 用多元函数Taylor公式将 $z = z(x, y)$ 展开至二阶:

$$z = z_0 + \frac{\partial z}{\partial x}(x-x_0) + \frac{\partial z}{\partial y}(y-y_0) + \frac{1}{2} \frac{\partial^2 z}{\partial x^2}(x-x_0)^2 + \frac{1}{2} \frac{\partial^2 z}{\partial y^2}(y-y_0)^2 + \frac{\partial^2 z}{\partial x \partial y}(x-x_0)(y-y_0) + o(\rho^2)$$

方程 $z^3 - 2xz + y = 0$ 两边同时对 $x$ 求导,得

$$3z^2 \frac{\partial z}{\partial x} - 2x \frac{\partial z}{\partial x} - 2z = 0$$

再将此式对 $x$ 求导,得

$$\left(6z \frac{\partial z}{\partial x} - 2\right) \frac{\partial z}{\partial x} + (3z^2 - 2x) \frac{\partial^2 z}{\partial x^2} - 2 \frac{\partial z}{\partial x} = 0$$

从上两式中解得

$$\frac{\partial z}{\partial x} = \frac{2z}{3z^2 - 2x}, \quad \frac{\partial^2 z}{\partial x^2} = \frac{2 \frac{\partial z}{\partial x} - (6z \frac{\partial z}{\partial x} - 2) \frac{\partial z}{\partial x}}{3z^2 - 2x}$$

再将方程 $z^3 - 2xz + y = 0$ 两边同时对 $y$ 求导,得

$$3z^2 \frac{\partial z}{\partial y} - 2x \frac{\partial z}{\partial y} + 1 = 0$$

再将此式分别对 $x, y$ 求导,得

$$\left(6z \frac{\partial z}{\partial x} - 2\right) \frac{\partial z}{\partial y} + (3z^2 - 2x) \frac{\partial^2 z}{\partial x \partial y} = 0, \quad 6z \frac{\partial z}{\partial y} \frac{\partial z}{\partial y} + (3z^2 - 2x) \frac{\partial^2 z}{\partial y^2} = 0$$

从上三式中解得

$$\frac{\partial z}{\partial y} = -\frac{1}{3z^2 - 2x}, \quad \frac{\partial^2 z}{\partial x \partial y} = -\frac{(6z \frac{\partial z}{\partial x} - 2) \frac{\partial z}{\partial y}}{3z^2 - 2x}, \quad \frac{\partial^2 z}{\partial y^2} = -\frac{6z \frac{\partial z}{\partial y} \frac{\partial z}{\partial y}}{3z^2 - 2x}$$

代入点 $(1, 1, 1)$ ,得

$$\frac{\partial z}{\partial x} = 2, \quad \frac{\partial z}{\partial y} = -1, \quad \frac{\partial^2 z}{\partial x^2} = -16, \quad \frac{\partial^2 z}{\partial x \partial y} = 10, \quad \frac{\partial^2 z}{\partial y^2} = -6$$

因此,

$$z(x, y) = 1 + 2(x-1) - (y-1) - 8(x-1)^2 - 3(y-1)^2 + 10(x-1)(y-1) + o(\rho^2)$$

6: 证明:  $\cos x, \cos y, \cos z$  在  $(0,0)$  点的二阶泰勒展开式为:

$$\cos x = 1 - \frac{x^2}{2} + o(x^2)$$

$$\cos y = 1 - \frac{y^2}{2} + o(y^2)$$

$$\cos z = 1 - \frac{z^2}{2} + o(z^2)$$

$\sin x, \sin y$  的二阶泰勒展开式为:

$$\sin x = x + o(x^2)$$

$$\sin y = y + o(y^2)$$

$\therefore \cos x \cos y + \sin x \sin y \cos \theta$  在  $(0,0)$  处的二阶泰勒展开式为:

$$\cos x \cos y + \sin x \sin y \cos \theta = 1 - \frac{x^2}{2} - \frac{y^2}{2} + xy \cos \theta + o(x^2 + y^2)$$

又:  $\cos z = \cos x \cos y + \sin x \sin y \cos \theta$ ,  $\therefore$  在原点的邻域内有:

$$1 - \frac{z^2}{2} = 1 - \frac{x^2}{2} - \frac{y^2}{2} + xy \cos \theta$$

即  $z^2 = x^2 + y^2 - 2xy \cos \theta$ .

7:

$$(1) \frac{\partial f}{\partial x} = y - \frac{50}{x^2}, \frac{\partial f}{\partial y} = x - \frac{20}{y^2}, \frac{\partial^2 f}{\partial x^2} = \frac{100}{x^3}, \frac{\partial^2 f}{\partial x \partial y} = 1, \frac{\partial^2 f}{\partial y^2} = \frac{40}{y^3}.$$

$$\text{令 } \frac{\partial f}{\partial x} = 0, \frac{\partial f}{\partial y} = 0,$$

可解得  $x = 5, y = 2$

当  $x > 0, y > 0$  时,  $Q(h, k) = 0.8h^2 + 2hk + 5k^2$  是正定的,

因此  $(x, y) = (5, 2)$  是小极值点, 极小值为 30.

$$(2) \quad \frac{\partial f}{\partial x} = 4 - 2x, \frac{\partial f}{\partial y} = -4 - 2y, \frac{\partial^2 f}{\partial x^2} = -2, \frac{\partial^2 f}{\partial x \partial y} = 0, \frac{\partial^2 f}{\partial y^2} = -2.$$

$$\text{令 } \frac{\partial f}{\partial x} = 0, \frac{\partial f}{\partial y} = 0,$$

可解得  $x = 2, y = -2$ .

由于  $Q(h, k) = -2h^2 - 2k^2$  是负定的. 因此  $(x, y) = (2, -2)$  是极大值点, 极大值为 8

$$(3) \quad \frac{\partial f}{\partial x} = 2e^{2x}(x + 2y + y^2) + e^{2x}, \frac{\partial f}{\partial y} = e^{2x}(2 + 2y), \frac{\partial^2 f}{\partial x^2} = e^{2x}(4x + 8y + 4y^2 + 4), \frac{\partial^2 f}{\partial x \partial y} = e^{2x}(4 + 4y), \frac{\partial^2 f}{\partial y^2} = 2e^{2x}.$$

$$\text{令 } \frac{\partial f}{\partial x} = 0, \frac{\partial f}{\partial y} = 0, \text{ 可解得 } x = 0.5, y = -1.$$

由于  $Q(h, k) = e(2h^2 + 2k^2)$  是正定的, 因此  $(x, y) = (0.5, -1)$  是极小值点, 极小值为  $-\frac{1}{2}e$

$$(4) \quad \text{记 } f(x, y) = (x^2 + y^2)^2 - a^2(x^2 - y^2),$$

$$\text{则 } \frac{\partial f}{\partial x} = 4x(x^2 + y^2) - 2a^2x, \frac{\partial f}{\partial y} = 4y(x^2 + y^2) + 2a^2y.$$

$$\text{因此 } \frac{dy}{dx} = -\frac{2x(x^2 + y^2) - a^2x}{2y(x^2 + y^2) + a^2y} = 0 \Leftrightarrow x = 0, \text{ 或 } 2(x^2 + y^2) = a^2.$$

若  $x = 0$ , 那么  $f(x, y) = 0 \rightarrow y = 0$ , 从而  $\frac{\partial f}{\partial y} = 0$ , 这说明  $y(x)$  不存在.

若  $2(x^2 + y^2) = a^2$ , 那么  $f(x, y) = 0 \rightarrow x^2 = \frac{3}{8}a^2, y^2 = \frac{1}{8}a^2, a \neq 0$ . 再通过计算  $\frac{d^2y}{dx^2}$  可知,  $(\pm\sqrt{\frac{3}{8}}|a|, \pm\sqrt{\frac{1}{8}}|a|)$  是极值点,  $y$  极大值为  $\sqrt{\frac{1}{8}}|a|$ , 极小值为  $-\sqrt{\frac{1}{8}}|a|$

$$(5) \quad \text{原方程可化为 } (x - 1)^2 + (y + 1)^2 + (z - 2)^2 = 16.$$

这是以  $(1, -1, 2)$  为圆心半径为 4 的圆.

故  $z(x, y)$  再  $(1, -1)$  处取得极值, 极大值为 6, 极小值为 -2

**8:** 设该三角形的两个内角分别为  $x, y, z, 0 < x, y, z < \pi, x + y + z = \pi$ . 记  $f(x, y, z) = \sin x \sin y \sin z, F(x, y, z) = f(x, y, z) - \lambda(x + y + z - \pi)$ , 对  $F$  分别关于  $x, y, z, \lambda$  求导并令其为0有

$$\frac{\partial F}{\partial x} = \cos x \sin y \sin z - \lambda = 0 \quad (9.10)$$

$$\frac{\partial F}{\partial y} = \sin x \cos y \sin z - \lambda = 0 \quad (9.11)$$

$$\frac{\partial F}{\partial z} = \sin x \sin y \cos z - \lambda = 0 \quad (9.12)$$

$$\frac{\partial F}{\partial \lambda} = x + y + z - \pi = 0 \quad (9.13)$$

解上述方程得  $x = y = z = \frac{\pi}{3}$ , 显而易见, 此即为  $f$  得条件最值点. 故正三角形的三个内角的正弦乘积最大, 为  $(\frac{\sqrt{3}}{2})^3$ .

**9:** 按照  $xy = 1, 2, \dots$  作图, 易见和圆的切点处是最大最小值

**10:** (1)极小值  $f(\frac{ab^2}{a^2+b^2}, \frac{a^2b}{a^2+b^2}) = \frac{a^2b^2}{a^2+b^2}$

(2)极小值  $f(3, 3, 3) = 9$

(3)极小值  $f(\frac{\pi}{6}, \frac{\pi}{6}, \frac{\pi}{6}) = \frac{1}{8}$

(4)极大值  $\frac{\sqrt{6}}{18}$ , 极小值  $-\frac{\sqrt{6}}{18}$

**12:** 只需求出函数

$$f(x, y, z) = (x-1)^2 + (y-1)^2 + (z-1)^2 + (x-2)^2 + (y-3)^2 + (z-4)^2,$$



在约束条件 $3x - 2z = 0$ 下的最小值即可.

取Lagrange函数为 $F(x, y, z, \lambda) = f(x, y, z) + \lambda(3x - 2z)$ . 那么

$$\begin{cases} \frac{\partial F}{\partial x} = 4x - 6 + 3\lambda = 0, \\ \frac{\partial F}{\partial y} = 4y - 8 = 0, \\ \frac{\partial F}{\partial z} = 4z - 10 - 2\lambda = 0, \\ \frac{\partial F}{\partial \lambda} = 3x - 2z = 0. \end{cases} \implies \begin{cases} x = 2, \\ y = 2, \\ z = 3. \end{cases}$$

依题意知最小值一定存在, 从而最小距离为 $f(2, 2, 3) = 8$ .

**13:** 联立二式得 $x^2 + y^2 - 2 = 0$ , 此为约束条件, 为求 $z = x^2 + 2y^2$ 的最值, 令

$$F(x, y, \lambda) = x^2 + 2y^2 + \lambda(x^2 + y^2 - 2)$$

求得驻点方程组

$$\begin{cases} F'_x = 2x(1 + \lambda) = 0 \\ F'_y = 2y(2 + \lambda) = 0 \\ F'_\lambda = x^2 + y^2 - 2 = 0 \end{cases}$$

解得驻点有4个: $(0, \pm\sqrt{2})$ ,  $(\pm\sqrt{2}, 0)$ . 一一代入, 可得到使 $z$ 为最大值的点为 $(0, \pm\sqrt{2})$ , 最大值为4; 使 $z$ 为最小值的点为 $(\pm\sqrt{2}, 0)$ , 最小值为2.

**14:** 证明:

对点 $O(0, 0)$ 的任意 $B(0, \epsilon)$ 邻域, 取 $x = 0, 0 < y < \epsilon$ , 则有:

$$f(x, y) = -2y^2 < f(0, 0) = 0$$

而取  $0 < x < \epsilon, 0 < y < \epsilon$ , 由基本不等式可知:

$$f(x, y) = 3x^2y - x^4 - 2y^2 \leq 3x^2y - 2\sqrt{2}x^2y = (3 - 2\sqrt{2})x^2y > f(0, 0) = 0$$

因为原点的任意邻域内既存在  $f(x, y) > 0$  的点, 亦存在  $f(x, y) < 0$  的点, 所以原点不是极值点。

过原点的直线的参数式方程为:

$$x = t \cos \alpha, y = t \sin \alpha$$

易知:

$$f(x, y) = f(t \cos \alpha, t \sin \alpha) = 3t^3 \cos^2 \alpha \sin \alpha - t^4 \cos^4 \alpha - 2t^2 \sin^2 \alpha$$

求其二阶导数为:

$$f''(t) = 18t \cos^2 \alpha \sin \alpha - 12t^2 \cos^4 \alpha - 4 \sin^2 \alpha$$

由:

$$f''(0) = -4 \sin^2 \alpha < 0 (\alpha \neq 0)$$

和:

$$f(t) = -t^4 (\alpha = 0), \text{Max}(f) = f(0) = 0$$

(最大值必为极大值) 可知: 沿过原点的每条直线, 原点均是其极大值点。证毕。

**15:** 帐篷的表面积  $S(H, R, h) = \pi R(2H + \sqrt{R^2 + h^2})$ , 体积是  $V(H, R, h) = \pi R^2(H + \frac{1}{3}h) = V_0$ .

$$\text{记 } f(H, R, h) = S - \lambda(V - V_0),$$

$$\text{令} \begin{cases} f_H = 2\pi R - \lambda\pi R^2 = 0, \\ f_R = 2\pi H + \pi\sqrt{R^2 + h^2} + \pi R^2 \frac{1}{\sqrt{R^2 + h^2}} - \lambda(2\pi R(H + \frac{1}{3}h)) = 0, \\ f_h = \pi R h \frac{1}{\sqrt{R^2 + h^2}} - \lambda(\frac{1}{3}\pi R^2) = 0, \\ \pi R^2(H + \frac{1}{3}h) - V_0 = 0, \end{cases}$$

$$\text{因此} \lambda R = 2\pi, \frac{\pi h}{\sqrt{h^2 + R^2}} = \frac{\lambda R}{3} = \frac{2\pi}{3} \Rightarrow h = \frac{2R}{\sqrt{5}},$$

$$\text{故} R = \sqrt{5}H, h = 2H.$$

由于极值点是唯一的, 且该问题是中最小值存在, 则  $R = \sqrt{5}H, h = 2H$  即为最小值

**16:** 设该平行六面体的底面平行四边形的边长分别为  $x, y$ , 侧棱长为  $z$ , 则有

$$4(x + y) + 4z = 12a, \quad i.e. \quad x + y + z - 3a = 0.$$

易见, 同底面时, 四棱柱比平行六面体的体积大, 因此只需考虑四棱柱的体积. 更进一步, 边长对应相等的长方形比平行四边形面积更大, 因此只需考虑长方体的情形. 设体积  $v(x, y, z) = xyz$ , 问题等价于求  $V$  在条件  $x + y + z - 3a = 0$  下的最大值. 记

$$F(x, y, z) = V(x, y, z) + \lambda(x + y + z - 3a)$$

$F$  分别对  $x, y, z, \lambda$  求导并令其等于0有

$$yz + \lambda = 0 \tag{9.14}$$

$$xz + \lambda = 0 \tag{9.15}$$

$$xy + \lambda = 0 \tag{9.16}$$

$$x + y + z - 3a = 0 \tag{9.17}$$

于是得  $x = y = z = a$ , 由题知,  $V$  必存在最大值, 于是  $V_{\max} = V(a, a, a) = a^3$ , 此时该平行六面体为棱长为  $a$  的正方体, 体积为  $a^3$ .

17: 不妨在第一象限讨论

$$\begin{aligned}\frac{x_0 dx}{a^2} + \frac{y_0 dy}{b^2} &= 0, dy/dx = -\frac{x_0 b^2}{y_0 a^2} \\ x &= 0, y_0 + \frac{x_0^2 b^2}{y_0 a^2}; y = 0, x_0 + \frac{y_0^2 a^2}{x_0 b^2} \\ S(x, y) &= (y + \frac{x^2 b^2}{y a^2})(x + \frac{y^2 a^2}{x b^2})\end{aligned}$$

18:  $(\frac{\sum_{i=1}^n x_i}{n}, \frac{\sum_{i=1}^n y_i}{n})$

20. 点  $(x, y, z)$  到平面  $x + 2y + z = 9$  的距离为  $\frac{|x + y + 2z - 9|}{\sqrt{6}}$ .

因此只需求出函数  $f(x, y, z) = d^2 = \frac{(x + y + 2z - 9)^2}{6}$

在约束条件  $\frac{x^2}{4} + y^2 + z^2 - 1 = 0$  下取最大值、最小值的点.

取Lagrange函数为  $F(x, y, z, \lambda) = f(x, y, z) + \lambda(\frac{x^2}{4} + y^2 + z^2 - 1)$ . 那么

$$\begin{cases} \frac{\partial F}{\partial x} = \frac{x + y + 2z - 9}{3} + \frac{\lambda}{2}x = 0, \\ \frac{\partial F}{\partial y} = \frac{x + y + 2z - 9}{3} + 2\lambda y = 0, \\ \frac{\partial F}{\partial z} = \frac{2(x + y + 2z - 9)}{3} + 2\lambda z = 0, \\ \frac{\partial F}{\partial \lambda} = \frac{x^2}{4} + y^2 + z^2 - 1 = 0. \end{cases} \implies \begin{cases} x = \frac{4}{3}, \\ y = \frac{1}{3}, \\ z = \frac{2}{3}. \end{cases} \quad \text{或} \quad \begin{cases} x = -\frac{4}{3}, \\ y = -\frac{1}{3}, \\ z = -\frac{2}{3}. \end{cases}$$

代入得  $f(\frac{4}{3}, \frac{1}{3}, \frac{2}{3}) = 6, f(-\frac{4}{3}, -\frac{1}{3}, -\frac{2}{3}) = 24$ .

依题意知  $f$  一定有最大值、最小值. 因此距离平面最近、最远的点分别是  $(\frac{4}{3}, \frac{1}{3}, \frac{2}{3})$  和  $(-\frac{4}{3}, -\frac{1}{3}, -\frac{2}{3})$ .

**21:** (1) 曲面S在 $(x_0, y_0, z_0)$ 处的切平面方程为

$$\frac{1}{2\sqrt{x_0}}(x - x_0) + \frac{1}{2\sqrt{y_0}}(y - y_0) + \frac{1}{2\sqrt{z_0}}(z - z_0) = 0$$

即

$$\frac{x}{\sqrt{x_0}} + \frac{y}{\sqrt{y_0}} + \frac{z}{\sqrt{z_0}} = \sqrt{a}$$

与 $x, y, z$ 轴截距分别为 $\sqrt{ax_0}, \sqrt{ay_0}, \sqrt{az_0}$ , 截距之和为 $\sqrt{a}(\sqrt{x_0} + \sqrt{y_0} + \sqrt{z_0}) = \sqrt{a} \cdot \sqrt{a} = a$ .

(2) 记切平面与各坐标轴截距分别为 $r, s, t$ , 不妨考虑 $r, s, t > 0$ 的情形. 围成四面体体积为 $V(r, s, t) = \frac{1}{6}rst$ . 由(1)知 $r + s + t - a = 0$ . 令

$$F(r, s, t, \lambda) = \frac{1}{6}rst + \lambda(r + s + t - a)$$

求得驻点方程组

$$\begin{cases} F'_r = \frac{1}{6}st + \lambda = 0 \\ F'_s = \frac{1}{6}rt + \lambda = 0 \\ F'_t = \frac{1}{6}rs + \lambda = 0 \\ F'_\lambda = r + s + t - a = 0 \end{cases}$$

解得

$$r = s = t = \frac{a}{3}$$

最大体积为

$$V = \frac{1}{6} \left( \frac{a}{3} \right)^3 = \frac{a^3}{162}$$

由(1)结果可知切点为 $(\frac{a}{9}, \frac{a}{9}, \frac{a}{9})$ , 从而切平面方程为 $x + y + z = \frac{a}{3}$ .

注: 或用基本不等式求解, 简单快捷

## 9.6 向量场的微商

4: (1)

$$\operatorname{div}[(\mathbf{r} \cdot \mathbf{w})\mathbf{w}] = (\mathbf{r} \cdot \mathbf{w})(\nabla \cdot \mathbf{w}) + \mathbf{w} \cdot \nabla(\mathbf{r} \cdot \mathbf{w}) = \mathbf{w} \cdot \mathbf{w}.$$

(2)

$$\operatorname{div} \frac{\mathbf{r}}{r} = \frac{y^2 + z^2}{r^3} + \frac{x^2 + z^2}{r^3} + \frac{y^2 + x^2}{r^3} = \frac{2}{r}.$$

(3)

$$\operatorname{div}(\mathbf{w} \times \mathbf{r}) = \mathbf{r} \cdot \nabla \times \mathbf{w} - \mathbf{w} \cdot \nabla \times \mathbf{r} = 0.$$

(4)

$$\operatorname{div}(r^2 \mathbf{w}) = r^2 \nabla \cdot \mathbf{w} + \mathbf{w} \cdot \nabla r^2 = 2\mathbf{w} \cdot \mathbf{r}.$$

5: (1)

$$\operatorname{rot} \mathbf{v} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ y^2 & z^2 & x^2 \end{vmatrix} = -2z\mathbf{i} - 2x\mathbf{j} - 2y\mathbf{k}$$

(2)

$$\operatorname{rot} \mathbf{v} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ xe^y + y & z + e^y & y + 2ze^y \end{vmatrix} = (2ze^y)\mathbf{i} - (xe^y + 1)\mathbf{k}$$

6: 1、 $\operatorname{rot}(\mathbf{w} \times \mathbf{r}) = 2\mathbf{w}$

2、 $\operatorname{rot}[f(r)\mathbf{r}] = \mathbf{0}$

3、 $\operatorname{rot}[f(r)\mathbf{w}] = \frac{f'(r)}{r}\mathbf{r} \times \mathbf{w}$

4、 $\operatorname{div}[\mathbf{r} \times f(r)\mathbf{w}] = 0$

7: (1)

$$\begin{aligned}\nabla(\vec{\omega} \cdot f(r) \vec{r}) &= \vec{\omega} \cdot \vec{r} \nabla f(r) + f(r) \vec{\omega} \\ &= (\vec{\omega} \cdot \vec{r}) f'(r) \frac{\vec{r}}{|\vec{r}|} + f(r) \vec{\omega}\end{aligned}$$

(2)

$$\begin{aligned}\nabla \cdot (\vec{\omega} \times f(r) \vec{r}) &= f(r) \vec{r} \cdot \nabla \times \vec{\omega} - \vec{\omega} \cdot \nabla \times [f(r) \vec{r}] \\ &= f(r) \vec{r} \cdot \nabla \times \vec{\omega} - \vec{\omega} \cdot (\nabla f(r) \times \vec{r} + f(r) \nabla \times \vec{r}) \\ &= f(r) \vec{r} \cdot \nabla \times \vec{\omega} \\ &= 0\end{aligned}$$

(3).

$$\begin{aligned}\vec{\nabla} \times (\vec{\omega} \times f(r) \vec{r}) &= \nabla f(r) \times (\vec{\omega} \times \vec{r}) + f(r) \nabla \times (\vec{\omega} \times \vec{r}) \\ &= f'(r) \frac{\vec{r}}{|\vec{r}|} \times (\vec{\omega} \times \vec{r}) + f(r) \nabla \times (\omega_2 z - \omega_3 y, \omega_3 x - \omega_1 z, \omega_1 y - \omega_2 x) \\ &= f'(r) r \vec{\omega} - f'(r) \left( \frac{\vec{r}}{r} \cdot \vec{\omega} \right) \vec{r} + 2f(r) \vec{\omega}\end{aligned}$$

8: 设  $\phi = \phi(x, y, z), \psi = \psi(x, y, z), \mathbf{a} = P_1 \mathbf{i} + Q_1 \mathbf{j} + Z_1 \mathbf{k}, \mathbf{b} = P_2 \mathbf{i} + Q_2 \mathbf{j} + Z_2 \mathbf{k}$ .

(1) 按定义计算可得.

(2) 按定义计算可得.

(3) 由行列式的知识知

$$\begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P_1 + P_2 & Q_1 + Q_2 & R_1 + R_2 \end{vmatrix} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P_1 & Q_1 & R_1 \end{vmatrix} + \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P_2 & Q_2 & R_2 \end{vmatrix} \quad (9.18)$$

于是  $\nabla \times (\mathbf{a} + \mathbf{b}) = \nabla \times \mathbf{a} + \nabla \times \mathbf{b}$

(4) 由乘积的求导法则易得.

(5) 由乘积的求导法则易得.

(6) 直接计算得.

(7) 直接计算得.

## 9.7 微分形式\*

## 9.8 综合习题

4: 由齐次函数的Euler定理,  $f$  是  $n$  次齐次函数等价于

$$xf'_x + yf'_y + zf'_z = nf.$$

当  $f(x, y, z) = 0$  时, 有

$$z = z - nf = -\frac{xf'_x + yf'_y}{f'_z} = x\phi'_x + y\phi'_y.$$

这意味着  $\phi(x, y)$  是一次齐次函数.

6: 证明:

令:

$$f(x, y) = \frac{1}{4}(x^2 + y^2) - e^{x+y-2}$$

易知:

$$f'_x = \frac{1}{2}x - e^{x+y-2}, f'_y = \frac{1}{2}y - e^{x+y-2}$$



联立其偏导均为0, 可以得到其在定义域上无驻点, 且在边界有:

$$f(x, 0) = \frac{1}{4}x^2 - e^{x-2} \leq 0, f(0, y) = \frac{1}{4}y^2 - e^{y-2} \leq 0$$

$$\lim_{x \rightarrow \infty, y \rightarrow \infty} f(x, y) = -\infty$$

所以  $f(x, y)$  在定义域上不大于0, 由此:

$$\frac{x^2 + y^2}{4} \leq e^{x+y-2}$$

证毕

**7:** 任取  $(x_0, y_0, z_0) \in R^2$

$$\text{记 } D = \{(x, y, z) \mid |x - x_0| \leq 1, |y - y_0| \leq 1, |z - z_0| \leq 1\}$$

$$\text{则 } \exists M, \text{ s.t. } ((x, y, z) \in D \implies |\frac{\partial f(x, y, z)}{\partial x}| \leq M, |\frac{\partial f(x, y, z)}{\partial y}| \leq M)$$

$$\forall \epsilon > 0,$$

$$\text{由 } f \text{ 关于 } z \text{ 的连续性, } \exists \delta_0 > 0, \text{ s.t. } (|z - z_0| < \delta_0 \implies |f(x_0, y_0, z_0 + \Delta z) - f(x_0, y_0, z_0)| < \frac{\epsilon}{3})$$

$$\text{取 } \delta = \min\{\delta_0, \frac{\epsilon}{3M}, 1\}$$

$$\text{则当 } |(x, y, z) - (x_0, y_0, z_0)| < \delta \text{ 时, } (x, y, z) \in D$$

$$\text{记 } \Delta x = x - x_0, \Delta y = y - y_0, \Delta z = z - z_0$$

$$\text{则 } |\Delta x| < \frac{\epsilon}{3M}, |\Delta y| < \frac{\epsilon}{3M}, |\Delta z| < \delta_0$$

故

$$\begin{aligned}
& |f(x_0 + \Delta x, y_0 + \Delta y, z_0 + \Delta z) - f(x_0, y_0, z_0)| \\
& \leq |f(x_0 + \Delta x, y_0 + \Delta y, z_0 + \Delta z) - f(x_0, y_0 + \Delta y, z_0 + \Delta z)| + |f(x_0, y_0 + \Delta y, z_0 + \Delta z) \\
& \quad - f(x_0, y_0, z_0 + \Delta z)| + |f(x_0, y_0, z_0 + \Delta z) - f(x_0, y_0, z_0)| \\
& = \left| \frac{\partial f(x + p\Delta x, y + \Delta y, z + \Delta z)}{\partial x} \Delta x \right| + \left| \frac{\partial f(x, y + q\Delta y, z + \Delta z)}{\partial y} \Delta y \right| \\
& \quad + |f(x_0, y_0, z_0 + \Delta z) - f(x_0, y_0, z_0)| \text{ (其中 } 0 \leq p, q \leq 1) \\
& < \epsilon
\end{aligned}$$

由此即说明了 $f$ 的连续性

**8:** 对任意 $(x, y) \in \mathcal{D}$ , 由二元函数的中值定理有

$$f(x, y) - f(0, 0) = xf'_x(\theta x, \theta y) + yf'_y(\theta x, \theta y), \quad \theta \in [0, 1],$$

由题设知 $f(x, y) = f(0, 0)$ . 由 $(x, y)$  的任意性知 $f$ 为常值函数.

**12:** 令 $\phi(t) = (x(b) - x(a))x(t) + (y(b) - y(a))y(t)$ , 则由微分中值定理, 存在 $\theta \in (a, b)$ , 使得

$$\phi(b) - \phi(a) = \phi'(\theta)(b - a).$$

又因为

$$\begin{aligned}
 |\mathbf{r}(b) - \mathbf{r}(a)|^2 &= (x(b) - x(a))^2 + (y(b) - y(a))^2 \\
 &= \phi(b) - \phi(a) = \phi'(\theta)(b - a) \\
 &= [(x(b) - x(a))x'(\theta) + (y(b) - y(a))y'(\theta)](b - a) \\
 &\leq \sqrt{(x(b) - x(a))^2 + (y(b) - y(a))^2} \cdot \sqrt{x'(\theta)^2 + y'(\theta)^2}(b - a) \\
 &= |\mathbf{r}(b) - \mathbf{r}(a)| |\mathbf{r}'(\theta)| (b - a).
 \end{aligned}$$

移项就有

$$|\mathbf{r}(b) - \mathbf{r}(a)| \leq |\mathbf{r}'(\theta)|(b - a).$$

**14:** 证明: 对于:

$$f(x, y) = x^2 + xy^2 - x$$

分别对  $x, y$  求偏导为:

$$f'_x = 2x + y^2 - 1, f'_y = 2xy$$

由此, 求得驻点为:

$$(0, \pm 1), \left(\frac{1}{2}, 0\right)$$

函数在各个驻点取值分别为:

$$f(0, \pm 1) = 0, f\left(\frac{1}{2}, 0\right) = -\frac{1}{4}$$

而在边界  $x^2 + y^2 = 2$  上, 令:

$$g(x, y) = f(x, y) - \lambda(x^2 + y^2 - 2)$$

求其偏导为:

$$g'_x = f'_x - 2\lambda x, g'_y = f'_y - 2\lambda y, g'_\lambda = x^2 + y^2 - 2$$

得到驻点为:

$$\left(-\frac{1}{3}, \pm \frac{\sqrt{17}}{3}\right), (1, \pm 1), (\sqrt{2}, 0), (-\sqrt{2}, 0)$$

求得在边界的最大值和最小值分别为:

$$\text{Min}(f, x^2 + y^2 = 2) = \frac{11}{27}, \text{Max}(f, x^2 + y^2 = 2) = 2 + \sqrt{2}$$

综上, 可以得到函数在定义域上的最大值和最小值分别为:

$$\text{Min}(f) = -\frac{1}{4}, \text{Max}(f) = 2 + \sqrt{2}$$

$$15: f(x_1, x_2, \dots, x_n) = \prod_{i=1}^n x_i \sum_{j=1}^n \frac{1}{x_j}$$

$$\varphi(x_1, x_2, \dots, x_n) = \sum_{j=1}^n x_j - n$$

$$F(x_1, x_2, \dots, x_n) = f(x_1, x_2, \dots, x_n) + \lambda \varphi(x_1, x_2, \dots, x_n)$$

令

$$\begin{aligned} \frac{\partial F}{\partial x_i} &= \prod_{k=1, k \neq i}^n x_k \sum_{j=1}^n \frac{1}{x_j} + \prod_{j=1}^n x_j \left(-\frac{1}{x_i^2}\right) + \lambda \\ &= \prod_{k=1, k \neq i}^n x_k \sum_{j=1, j \neq i}^n \frac{1}{x_j} = 0 \end{aligned}$$

$$\varphi(x_1, x_2, \dots, x_n) = 0$$

从而可解得  $x_1 = x_2 = \dots = x_n = 1$  为唯一极值点, 从而为极大值

则  $\prod_{i=1}^n x_i \sum_{j=1}^n \frac{1}{x_j} \leq n$

等号成立当且仅当  $x_1 = x_2 = \dots = x_n = 1$

16: 考虑函数

$$f(x_1, x_2, \dots, x_n) = \frac{x_1^p + x_2^p + \dots + x_n^p}{n}$$

在条件  $\frac{x_1+x_2+\cdots+x_n}{n} = A \geq 0$  下的极值. 不妨设  $A > 0$ , 令

$$F(x_1, x_2, \dots, x_n) = f(x_1, x_2, \dots, x_n) + \lambda \left( \frac{x_1 + x_2 + \cdots + x_n}{n} - A \right)$$

分别对  $x_1, x_2, \dots, x_n, \lambda$  求导有

$$\frac{1}{n}(px_i^{p-1} + \lambda) = 0, \quad i = 1, \dots, n \quad (9.19)$$

$$\frac{x_1 + x_2 + \cdots + x_n}{n} - A = 0 \quad (9.20)$$

解的  $x_1 = x_2 = \cdots = x_n = A$ . 由题意知,  $f$  的最小值一定存在, 故  $(A, A, \dots, A)$  为最小值点, 且

$$f(x_1, \dots, x_n) \geq f(A, \dots, A) = A^p = \left( \frac{x_1 + x_2 + \cdots + x_n}{n} \right)^p$$

且等号当且仅当

$$x_i = \begin{cases} \frac{x_1 + x_2 + \cdots + x_n}{1(x_1 > 0) + 1(x_2 > 0) + \cdots + 1(x_n > 0)}, & x_i \neq 0 \\ 0 \end{cases}$$

或者考虑 *Hessian* 矩阵的正定性:  $H(A, A, \dots, A) = \frac{p(p-1)}{n} A^{p-2} \mathbf{I} > 0$  ( $p > 1, A > 0$ ).

# Chapter 10

## 多变量函数的重积分

### 10.1 二重积分

1: 通过积分的边界确定积分区域, 然后换序, 一定要画图!

(1) 积分区域是一个半圆, 边界方程是  $x^2 + y^2 = 1$

$$\int_0^1 dy \int_{-\sqrt{1-y^2}}^{\sqrt{1-y^2}} f(x, y) dx$$

(2) 积分区域是三角形, 边界为  $x = 0, y = 2x, x + y = 6$

$$\int_0^4 dy \int_0^{\frac{y}{2}} f(x, y) dx + \int_4^6 dy \int_0^{6-y} f(x, y) dx$$

(3) 积分区域是半圆, 边界是  $(x - a)^2 + y^2 = a^2$

$$\int_0^{2a} dx \int_0^{\sqrt{a^2 - (x-a)^2}} f(x, y) dy$$

(4)积分区域是三角形, 边界为 $x = b, y = a, y = x$

$$\int_a^b dy \int_a^x f(x, y) dy$$

(5)积分区域是三角形, 边界为 $y = 0, y = x, x + y = 2$

$$\int_0^1 dy \int_y^{2-y} f(x, y) dx$$

(6)积分区域是曲边三角形, 边界为 $x = \frac{1}{2}, x = 1, xy = 1$

$$\int_{\frac{1}{2}}^1 dx \int_0^{\frac{1}{x}} f(x, y) dy$$

$$\mathbf{2:} \quad (1) \iint_D \frac{y}{(1+x^2+y^2)^{\frac{3}{2}}} dx dy = \int_0^1 dx \int_0^1 \frac{y}{(1+x^2+y^2)^{\frac{3}{2}}} dy = \int_0^1 \left( \frac{-1}{\sqrt{x^2+2}} + \frac{1}{\sqrt{x^2+1}} \right) dx = \ln\left(\frac{2+\sqrt{2}}{\sqrt{3}+1}\right)$$

$$(2) \iint_D \sin(x+y) dx dy = \int_0^\pi dx \int_0^\pi \sin(x+y) dy = \int_0^\pi 2\cos x dx = 0$$

$$(3) \iint_D \cos(x+y) dx dy = \int_0^\pi dx \int_x^\pi \cos(x+y) dy = \int_0^\pi (-\sin x - \sin(2x)) dx = -2$$

$$(4) \iint_D (x+y) dx dy = \int_0^a dx \int_0^{\sqrt{a^2-x^2}} (x+y) dy = \int_0^a (x\sqrt{a^2-x^2} + \frac{1}{2}(a^2-x^2)) dx = \frac{2}{3}a^3$$

$$(5) \iint_D (x+y-1) dx dy = \int_a^{3a} dy \int_{y-a}^y (x+y-1) dx = \int_a^{3a} (2ay - \frac{a^2}{2} - a) dy = 7a^3 - 2a^2$$

$$(6) \iint_D \frac{\sin y}{y} dx dy = \int_0^1 dy \int_y^y \frac{\sin y}{y} dx = \int_0^1 (\sin y - y \sin y) dx = 1 - \sin 1$$

$$(7) \iint_D \frac{x^2}{y^2} dx dy = \int_1^2 dx \int_{\frac{1}{x}}^{\frac{x^2}{y^2}} dy = \int_1^2 (x^3 - x) dx = \frac{9}{4}$$

$$(8) \iint_D |\cos(x+y)| dx dy = \int_0^{\frac{\pi}{4}} dy \int_y^{\frac{\pi}{2}-y} \cos(x+y) dx + \int_{\frac{\pi}{4}}^{\frac{\pi}{2}} dx \int_{\frac{\pi}{2}-x}^x -\cos(x+y) dy = \int_0^{\frac{\pi}{4}} (1 - \sin 2y) dy + \int_{\frac{\pi}{4}}^{\frac{\pi}{2}} (1 - \sin 2x) dx = \frac{\pi}{2} - 1$$

**3:** 解:

(1) 由积分区域的对称性和被积函数对  $x, y$  都是偶函数, 可得:

$$\iint_D (x^2 + y^2) dx dy = 4 \int_0^1 dx \int_0^1 (x^2 + y^2) dy = \frac{8}{3}$$

(2) 由积分区域的对称性和被积函数对  $x, y$  都是奇函数, 可得:

$$\iint_D (\sin x + \sin y) dx dy = 0$$

**6:** 解:

$\because f(x)$  有二阶连续偏导数,  $\therefore \frac{\partial^2 f(x, y)}{\partial x \partial y}$  在  $D$  上可积, 且

$$\begin{aligned} \iint_D \frac{\partial^2 f(x, y)}{\partial x \partial y} dx dy &= \int_a^b dx \int_c^d \frac{\partial^2 f(x, y)}{\partial x \partial y} dy \\ &= \int_a^b dx \int_c^d \frac{\partial^2 f(x, y)}{\partial y \partial x} dy \\ &= \int_a^b \frac{\partial f(x, y)}{\partial x} \Big|_{y=c}^{y=d} dx \\ &= \int_a^b \left( \frac{\partial f(x, d)}{\partial x} - \frac{\partial f(x, c)}{\partial x} \right) dx \\ &= [f(x, d) - f(x, c)] \Big|_{x=a}^{x=b} \\ &= f(b, d) + f(a, c) - f(b, c) - f(a, d) \end{aligned}$$

**7:** 由积分中值定理

$$\exists (x_0, y_0) \in D = \{(x, y) | x^2 + y^2 \leq r^2\} \text{ s.t. } \iint_{x^2+y^2 \leq r^2} f(x, y) dx dy = f(x_0, y_0) \pi r^2$$



故

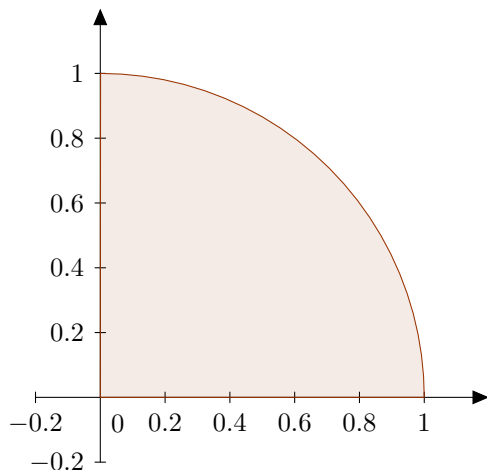
$$\lim_{r \rightarrow 0} \frac{1}{\pi r^2} \iint_{x^2+y^2 \leq r^2} f(x, y) dx dy = \lim_{r \rightarrow 0} f(x_0, y_0) = f(0, 0)$$

## 10.2 二重积分的换元

1: (1)

做极坐标换元, 令  $x = r \cos \theta$ ,  $y = r \sin \theta$ , 其中  $r \in [0, R]$ ,  $\theta \in [0, \frac{\pi}{2}]$ 。那么则有

$$\begin{aligned} & \int_0^R dx \int_0^{\sqrt{R^2-x^2}} \ln(1+x^2+y^2) dy \\ &= \int_0^{\frac{\pi}{2}} d\theta \int_0^R \ln(1+r^2) r dr \\ &= \frac{\pi}{2} \times \frac{1}{2} [(1+R^2) \ln(1+R^2) - (1+R^2) + 1] \\ &= \frac{\pi}{4} [(1+R^2) \ln(1+R^2) - R^2] \end{aligned}$$



(2)

$$\begin{aligned} & \int_0^a dx \int_0^b xy(x^2 - y^2) dy \\ & \int_0^a \frac{1}{2} b^2 x^3 - \frac{1}{4} b^4 x dx \\ &= \frac{1}{8} b^2 a^4 - \frac{1}{8} b^4 a^2 \end{aligned}$$

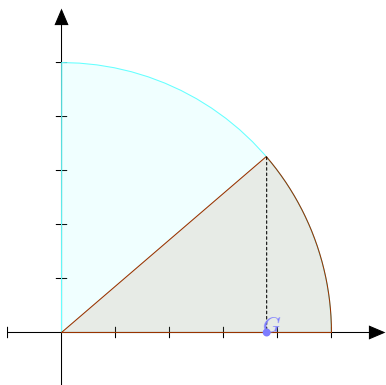
(3)

$$\begin{aligned}
& \int_0^\pi \int_0^\pi \cos(x+y) dx dy \\
&= \int_0^\pi \sin(x+\pi) - \sin(x) dx \\
&= -2 \int_0^\pi \sin x dx = -4
\end{aligned}$$

(4)

$$\begin{aligned}
& \int_0^{\frac{1}{\sqrt{2}}} dx \int_x^{\sqrt{1-x^2}} xy(1+y) dy \\
&= \int_0^{\frac{1}{\sqrt{2}}} \frac{1}{2} x^2 (1-2x^2) + \frac{1}{3} x [(1-x^2)^{\frac{3}{2}} - x^3] dx \\
&= \frac{1}{6} \left(\frac{1}{\sqrt{2}}\right)^3 - \frac{1}{5} \left(\frac{1}{\sqrt{2}}\right)^5 - \frac{1}{15} \left(\frac{1}{\sqrt{2}}\right)^5 + \int_0^{\frac{1}{\sqrt{2}}} \frac{1}{3} x (1-x^2)^{\frac{3}{2}} dx = \frac{1}{15}
\end{aligned}$$

(5)



$$\begin{aligned}
& \int_0^{\frac{R^2}{\sqrt{1+R^2}}} dy \int_{\frac{R}{y}}^{\sqrt{R^2-y^2}} \left(1 + \frac{y^2}{x^2}\right) dx \\
&= \int_0^{\frac{R^2}{\sqrt{1+R^2}}} \sqrt{R^2-y^2} - \frac{y}{R} + Ry - \frac{y^2}{\sqrt{R^2-y^2}} dy \\
&= \frac{1}{2} \frac{R^3(R^2-1)}{R^2+1} + \int_0^{\frac{R^2}{\sqrt{1+R^2}}} \frac{R^2-2y^2}{\sqrt{R^2-y^2}} dy
\end{aligned}$$

使用极坐标换元  $x = r \cos \theta$ ,  $y = r \sin \theta$ , 此时  $\theta \in [0, \arcsin \frac{R}{\sqrt{1+R^2}}]$ 。不妨

设  $\theta_0 = \arcsin \frac{R}{\sqrt{1+R^2}}$ . 那么则有

$$\begin{aligned} \frac{R^2 - 2y^2}{\sqrt{R^2 - y^2}} dy &= \int_0^{\arcsin \frac{R}{\sqrt{1+R^2}}} R^2 (1 - 2 \sin^2 \theta) d\theta \\ &= R^2 \sin \theta_0 \cos \theta_0 \\ &= \frac{R^3}{1 + R^2} \end{aligned}$$

所以原结果即为

$$\frac{1}{2} \frac{R^3(R^2 - 1)}{1 + R^2} + \frac{R^3}{1 + R^2} = \frac{R^3(1 + R^2)}{2(1 + R^2)} = \frac{1}{2} R^3.$$

2: (1) 做极坐标变换  $\begin{cases} x = r \cos \theta \\ y = r \sin \theta \end{cases}$

则  $D = \{(x, y) | x^2 + y^2 < x + y\} = \{(r, \theta) | 0 \leq r \leq \sin \theta + \cos \theta, -\frac{\pi}{4} \leq \theta \leq \frac{3\pi}{4}\}$

故  $\iint_D \sqrt{x^2 + y^2} dx dy = \int_{-\frac{\pi}{4}}^{\frac{3\pi}{4}} d\theta \int_0^{\sin \theta + \cos \theta} r^2 dr = \int_{-\frac{\pi}{4}}^{\frac{3\pi}{4}} \frac{1}{3} (\sin \theta + \cos \theta)^3 d\theta = \frac{8\sqrt{2}}{9}$

(2) 做类极坐标变换  $\begin{cases} x = a \cos \theta \\ y = b \sin \theta \end{cases}$

则  $D = \{(x, y) | \dots\} = \{(r, \theta) | a \leq r \leq 2, 0 \leq \theta \leq \arctan \frac{a}{b}\}$

故  $\iint_D \sqrt{\frac{x^2}{a^2} + \frac{y^2}{b^2}} dx dy = ab \int_0^{\arctan \frac{a}{b}} d\theta \int_a^2 r^2 dr = \frac{8ab}{3} \int_0^{\arctan \frac{a}{b}} d\theta = \frac{8ab}{3} \arctan \frac{a}{b}$

(3) 做如下变换  $\begin{cases} u = xy \\ v = \frac{y}{x} \end{cases}$

则  $D = \{(x, y) | \dots\} = \{(u, v) | 1 \leq u \leq 2, 1 \leq v \leq 2\}$

故  $\iint_D (x^2 + y^2) dx dy = \int_1^2 dv \int_1^2 (\frac{u}{v} + uv) \frac{1}{2v} du = \frac{3}{4} \int_1^2 (1 + \frac{1}{v^2}) dv = \frac{9}{8}$

(4) 做如下变换  $\begin{cases} u = \frac{y^2}{x} \\ v = \frac{x^2}{y} \end{cases}$

则  $D = \{(x, y) | \dots\} = \{(u, v) | b \leq u \leq a, n \leq v \leq m\}$

$$\text{故} \iint_D dx dy = \int_a^b du \int_n^m \frac{1}{3} dv = \frac{1}{3}(a-b)(m-n)$$

$$(5) \text{做如下变换} \begin{cases} u = xy \\ v = \frac{y^2}{x} \end{cases}$$

$$\text{则} D = \{(x, y) | \dots\} = \{(u, v) | a \leq u \leq b, c \leq v \leq d\}$$

$$\text{故} \iint_D dx dy = \int_a^b du \int_c^d \frac{u}{3v} dv = \frac{1}{3} \ln \frac{d}{c} \int_a^b u du = \frac{1}{6} \ln \frac{d}{c} (b^2 - a^2)$$

$$(6) \text{做极坐标变换} \begin{cases} x = \sqrt{r} \cos \theta \\ y = \sqrt{r} \sin \theta \end{cases}$$

$$\text{则} D = \{(x, y) | x^4 + y^4 < 1, x \geq 0, y \geq 0\} = \{(r, \theta) | 0 \leq r \leq 1, 0 \leq \theta \leq \frac{\pi}{2}\}$$

$$\text{故} \iint_D 4xy dx dy = \int_0^{\pi/2} d\theta \int_0^1 r dr = \frac{\pi}{4}$$

$$(7) \text{做如下变换} \begin{cases} u = x + y \\ v = x - y \end{cases}$$

$$\text{则} D = \{(x, y) | |x| + |y| \leq 1\} = \{(u, v) | -1 \leq u \leq 1, -1 \leq v \leq 1\}$$

$$\text{故} \iint_D \frac{x^2 - y^2}{\sqrt{x+y+3}} dx dy = \int_{-1}^1 du \int_{-1}^1 \frac{uv}{2\sqrt{u+3}} dv = 0$$

$$(8) \text{做如下变换} \begin{cases} u = x + y \\ v = y \end{cases}$$

$$\text{则} D = \{(x, y) | \dots\} = \{(u, v) | 0 \leq u \leq 1, 0 \leq v \leq u\}$$

$$\text{故} \iint_D \sin \frac{y}{x+y} dx dy = \int_0^1 du \int_0^u \sin \frac{v}{u} dv = (1 - \cos 1) \int_0^1 u du = \frac{1}{2}(1 - \cos 1)$$

$$(9) \text{做极坐标变换} \begin{cases} x = r \cos \theta \\ y = r \sin \theta \end{cases}$$

$$\text{则} D = \{(x, y) | x^2 + y^2 < a^2\} = \{(r, \theta) | 0 \leq r \leq a, 0 \leq \theta \leq 2\pi\}$$

$$\text{故} \iint_D |xy| dx dy = \int_0^{2\pi} d\theta \int_0^a |\sin \theta \cos \theta| r^3 dr = 4 \int_0^{\frac{\pi}{2}} d\theta \int_0^a |\sin \theta \cos \theta| r^3 dr = \frac{a^4}{2}$$

**3:** (1)所求的区域D是由关于原点对称的两部分组成, 为第一象限D1面积的两倍。对于第一象限做变量代换  $x = r \cos \theta, y = \frac{1}{\sqrt{2}} r \sin \theta$ , 由所围成的区

域可表示为

$$\begin{cases} 0 \leq r^2 \leq 3 \\ \frac{1}{\sqrt{2}} r^2 \cos \theta \sin \theta \geq 1 \end{cases}$$

$$\Rightarrow \begin{cases} 0 \leq r^2 \leq 3 \\ \frac{2\sqrt{2}}{r^2} \leq \sin 2\theta \leq 1 \end{cases}$$

$$\Rightarrow \begin{cases} 2\sqrt{2} \leq r^2 \leq 3 \\ \frac{\arcsin(\frac{2\sqrt{2}}{r^2})}{2} \leq \theta \leq \frac{\pi}{2} - \frac{\arcsin(\frac{2\sqrt{2}}{r^2})}{2} \end{cases}$$

并且

$$\left| \frac{\partial(x, y)}{\partial(r, \theta)} \right| = \begin{vmatrix} \cos \theta & \frac{1}{\sqrt{2}} \sin \theta \\ -r \sin \theta & \frac{1}{\sqrt{2}} r \cos \theta \end{vmatrix} = \frac{1}{\sqrt{2}} r$$

因此我们有

$$\begin{aligned} \iint_D 1 dx dy &= 2 \int_{\sqrt{2\sqrt{2}}}^{\sqrt{3}} \int_{\frac{\arcsin(\frac{2\sqrt{2}}{r^2})}{2}}^{\frac{\pi}{2} - \frac{\arcsin(\frac{2\sqrt{2}}{r^2})}{2}} \frac{1}{\sqrt{2}} r d\theta dr = \sqrt{2} \int_{\sqrt{2\sqrt{2}}}^{\sqrt{3}} \left( \frac{\pi}{2} - \arcsin\left(\frac{2\sqrt{2}}{r^2}\right) \right) r dr \\ &\stackrel{t=\frac{r^2}{2\sqrt{2}}}{=} \frac{(3\sqrt{2}-4)\pi}{4} - 2 \int_1^{\frac{3}{2\sqrt{2}}} \arcsin\left(\frac{1}{t}\right) dt \end{aligned}$$

计算有

$$\begin{aligned} \int \arcsin\left(\frac{1}{t}\right) dt &= t \arcsin\left(\frac{1}{t}\right) + \int \frac{1}{t\sqrt{1-t^2}} dt \\ &= \ln(\sqrt{t^2-1} + t) + t \arcsin\left(\frac{1}{t}\right) + \text{constant} \end{aligned}$$

所以

$$\begin{aligned}
 \iint_D 1 dx dy &= \frac{(3\sqrt{2}-4)\pi}{4} - 2(\ln(\sqrt{t^2-1}+t) + t \arcsin\left(\frac{1}{t}\right)) \Big|_1^{\frac{3}{2\sqrt{2}}} \\
 &= \frac{(3\sqrt{2}-4)\pi}{4} - 2(\ln\sqrt{2} + \frac{3}{2\sqrt{2}} \arcsin(\frac{2\sqrt{2}}{3}) - \arcsin(1)) \\
 &= -\ln 2 + \frac{3\sqrt{2}}{2}(\frac{\pi}{2} - \arcsin(\frac{2\sqrt{2}}{3})) \\
 &= -\ln 2 + \frac{3\sqrt{2}}{2}(\arcsin\frac{1}{3})
 \end{aligned}$$

(2) 做变量代换  $x-y = r\cos\theta, x = r\sin\theta$  就把区域  $D' : 0 \leq r \leq a, 0 \leq \theta \leq 2\pi$  映成  $D : (x-y)^2 + x^2 \leq a^2$  可知

$$\left| \frac{\partial(x,y)}{\partial(r,\theta)} \right| = \begin{vmatrix} \sin\theta & \sin\theta - \cos\theta \\ r\cos\theta & r(\cos\theta + \sin\theta) \end{vmatrix} = r$$

所以

$$\iint_{(x-y)^2+x^2 \leq a^2} 1 dx dy = \int_0^{2\pi} d\theta \int_0^a r dr = \pi a^2$$

(3) 变量代换  $x+y = u, y = vx$  就把  $O'uv$  平面上的区域  $D' : a \leq u \leq b, k \leq v \leq m$  映成  $Oxy$  平面上的区域  $D$ , 解出

$$x = \frac{u}{1+v}, y = \frac{uv}{1+v}$$

可知

$$\left| \frac{\partial(x,y)}{\partial(u,v)} \right| = \begin{vmatrix} \frac{1}{1+v} & \frac{v}{1+v} \\ -\frac{u}{(1+v)^2} & \frac{u}{(1+v)^2} \end{vmatrix} = \frac{u}{(1+v)^2}$$

故

$$\iint_D 1 dx dy = \int_k^m \frac{1}{(1+v)^2} dv \int_a^b u du = \left( \frac{1}{1+k} - \frac{1}{1+m} \right) \frac{b^2 - a^2}{2}$$

6: 证明:

因为区域  $D: |x| + |y| \leq 1$  关于原点对称, 所以有

$$\begin{aligned}
 \iint_{|x|+|y|\leq 1} e^{f(x+y)} dx dy &= \iint_{D_1 \cup D_2} e^{f(x+y)} dx dy \\
 &= \iint_{D_1} e^{f(x+y)} dx dy + \iint_{D_2} e^{f(x+y)} dx dy \\
 &= \iint_{D_1} e^{f(x+y)} dx dy + \iint_{D_1} e^{f(-x-y)} dx dy \\
 &= \iint_{D_1} [e^{f(x+y)} + e^{f(-x-y)}] dx dy \\
 &= \iint_{D_1} [e^{f(x+y)} + e^{-f(x+y)}] dx dy \\
 &\geq \iint_{D_1} 2 dx dy \\
 &= 2 \times \frac{1}{2} \times 2 \times 1 \\
 &= 2
 \end{aligned}$$

其中,  $D_1: |x| + |y| \leq 1, x \geq 0$ ;  $D_2: |x| + |y| \leq 1, x \leq 0$ .

7: 令  $t=x-y, m=x$

$$\text{则 } \iint_D f(x-y) dx dy = \iint_{D'} f(t) dt dm = \iint_{D'} f(x) dx dy$$

$$\text{其中 } D' = \{(t, m) | |t-m| < \frac{A}{2}, |m| < \frac{A}{2}\}$$

$$= \{(x, y) | 0 \leq x < A, x - \frac{A}{2} \leq y < \frac{A}{2}\} \cup \{(x, y) | -A < x \leq 0, -\frac{A}{2} \leq y < x + \frac{A}{2}\}$$

$$\begin{aligned}
 \text{故 } \iint_{D'} f(x) dx dy &= \int_0^A f(x) dx \int_{x-\frac{A}{2}}^{\frac{A}{2}} dy + \int_{-A}^0 f(x) dx \int_{-\frac{A}{2}}^{x+\frac{A}{2}} dy \\
 &= \int_0^A (A-x) f(x) dx + \int_{-A}^0 (A+x) f(x) dx \\
 &= \int_{-A}^A (A-|x|) f(x) dx
 \end{aligned}$$

## 10.3 三重积分

**1:** 此类题目被积函数不是关键之处，重要的是确定积分区域

把题目给出的积分区域转成 $\int_{?}^{?} \int_{?}^{?} \int_{?}^{?}$ 的形式

(1) 直接了当，x, y, z之间没有纠缠关系

$$\int_0^{1/2} \int_{-2}^1 \int_1^2 xy dx dy dz$$

(2) 先粗略画图，投影在xy平面上是一个三角区域，如果是投影在z轴相关平面 $z=xy$ 的投影不好观察出

$$\int_0^1 \int_0^x \int_0^{xy} (xy^2 z^3) dz dy dx$$

(3) 观察图像，和式子，先定x，再由x定y和z

$$\int_0^{\pi/2} \int_0^{\pi/2-x} \int_0^{\sqrt{x}} y \cos(x+z) dy dz dx$$

(4) 画图注意到，如果先定x的话，xy平面的图像是两部分，要拆成两部分去算

所以这里我们先定y，可以进一步定出x和z

$$\int_0^a \int_{(a-y)/2}^{a-y} \int_0^{a-y} (a-y) dz dx dy$$

**2:** (1) 柱坐标变换， $\frac{16}{9}$

$$(2) \frac{4\pi R^5}{15}$$

$$(3) \pi$$

$$(4) \frac{2}{5}(2^{3/2} - 1)\pi$$

**3:** (1)  $\iiint_V (x^2 + y^2) dx dy dz = \iint_{x^2+y^2 \leq 4} dx dy \int_{\frac{x^2+y^2}{2}}^2 x^2 + y^2 dz$

使用参数变换  $x=r\cos\theta$   $y=r\sin\theta$

$$0 \leq r \leq 2 \quad 0 \leq \theta \leq 2\pi \quad dx dy = r dr d\theta$$

$$\text{原式} = \int_0^{2\pi} d\theta \int_0^2 2r^3 - \frac{r^5}{2} dr = \frac{16\pi}{3} \quad (2) \quad \iiint_V \sqrt{x^2 + y^2} dx dy dz = \iint_{x^2+y^2 \leq 1} dx dy$$



$$\int_0^1 \sqrt{x^2+y^2} \sqrt{x^2+y^2} dz$$

使用参数变换  $x=r\cos\theta$   $y=r\sin\theta$

$$0 \leq r \leq 1 \quad 0 \leq \theta \leq 2\pi \quad dx dy = r dr d\theta$$

$$\text{原式} = \int_0^{2\pi} d\theta \int_0^1 r^2 - r^3 dr = \frac{\pi}{6} \quad (3) \quad \iiint_V z dx dy dz = \iint_{x^2+y^2 \leq 3} dx dy \int_{\frac{x^2+y^2}{3}}^{\sqrt{4-x^2-y^2}} z dz$$

使用参数变换  $x=r\cos\theta$   $y=r\sin\theta$

$$0 \leq r \leq \sqrt{3} \quad 0 \leq \theta \leq 2\pi \quad dx dy = r dr d\theta$$

$$\text{原式} = \int_0^{2\pi} d\theta \int_0^{\sqrt{3}} 2r - \frac{r^3}{2} - \frac{r^5}{18} dr = \frac{13\pi}{4} \quad (4) \quad \iiint_V xyz dx dy dz = \iint_{x^2+y^2 \leq 1} dx dy \int_0^{\sqrt{1-x^2-y^2}} xyz dz$$

使用参数变换  $x=r\cos\theta$   $y=r\sin\theta$

$$0 \leq r \leq 1 \quad 0 \leq \theta \leq \pi/2 \quad dx dy = r dr d\theta$$

$$\text{原式} = \int_0^{\pi/2} \sin\theta \cos\theta d\theta \int_0^1 \frac{r^3}{2} - \frac{r^5}{2} dr = \frac{1}{48} \quad (5) \quad V \text{关于} z \text{轴的截面是由} y=\sqrt{z}, y=\frac{\sqrt{z}}{2}, x=z, x=z/2 \text{围成}$$

先xy后z的累次积分是

$$\int_0^1 dz \int_{\frac{\sqrt{z}}{2}}^{\sqrt{z}} dy \int_{z/2}^z x^2 dx = \frac{7}{216} \quad (6) \quad \text{作球坐标变换}$$

$$\text{原式} = \int_0^{2\pi} d\varphi \int_0^\pi \sin\theta d\theta (\int_0^1 r^2 - r^4 dr + \int_1^2 r^4 - r^2 dr) = 16\pi \quad (7) \quad \text{由对称性,先xy后z得}$$

$$\text{原式} = 2 \int_0^1 dz \iint_{D_z} e^z dx dy = 2 \int_0^1 e^z \pi (1 - z^2) dz = 2\pi \quad (8) \quad \text{由对称性得}$$

$$\text{原式} = \iiint_V |x| e^{-(x^2+y^2+z^2)} dx dy dz, \text{作球坐标变换}$$

$$= 2 \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \cos\varphi d\varphi \int_0^\pi \sin^2\theta d\theta \int_1^2 r^3 e^{-r^2} dr = \pi \left( \frac{2}{e} - \frac{5}{e^4} \right)$$

7:

$$\begin{aligned} F(t) &= \iiint_{x^2+y^2+z^2 \leq t^2} f(x^2+y^2+z^2) dx dy dz \\ &= \iiint_{r^2 \leq t^2} f(r^2) r^2 \sin\theta dr d\theta d\varphi \\ &= 4\pi \int_0^{|t|} f(r^2) r^2 dr \end{aligned}$$

故  $F'(t) = 4\pi t^2 f(t^2) \text{Sgn}(t), \forall t \neq 0$ , 其中Sgn为符号函数

9: 这显然是一个用球极坐标换元的题目

使用球极坐标换元,  $0 \leq r \leq t, 0 \leq \phi \leq \pi, 0 \leq \theta \leq 2\pi$

$$\text{原式} = \int_0^{2\pi} \int_0^\pi \int_0^t f(r^2) r^2 \sin \phi dr d\phi d\theta$$

易见只要知道  $G(t) = \int_0^t f(r^2) r^2 dr$  的导数

一元变上限积分的导数利用莱布尼兹公式即得

$$10: \int_D \rho dx dy = \frac{\pi}{2} ab$$

11: 任取圆环上一圆周, 圆周到圆心的距离为  $x$

该圆周的面积  $ds = 2\pi x dx$ , 密度  $\rho = \frac{1}{x}$

面质量  $dm = \rho ds = 2\pi dx$

$$\text{质量 } m = \int_L dm = \int_r^R 2\pi dx = 2\pi(R-r)$$

15: 不妨设  $a, b, c > 0$

$$\text{考虑坐标变换} \begin{cases} x = ar \cos \theta \\ y = br \sin \theta \\ z = z \end{cases}$$

$$\frac{\partial(x, y, z)}{\partial(r, \theta, z)} = abr \neq 0$$

$$\text{则 } z_G = \frac{\iiint_V z dx dy dz}{\iiint_V dx dy dz} = \frac{\int_0^c dz \int_0^{2\pi} d\theta \int_0^{\frac{z}{c}} ab r z dr}{\int_0^c dz \int_0^{2\pi} d\theta \int_0^{\frac{z}{c}} ab r dr} = \frac{\frac{1}{4} \pi abc^2}{\frac{1}{3} \pi abc} = \frac{3}{4} \pi$$

由对称性可知  $x_G = y_G = 0$

故重心为  $(0, 0, \frac{3}{4}\pi)$

17: 本题直接套用本章正文的质心计算公式不难算出

注意到对称性  $x, y$  不用去算, 只要算  $z$

两个计算区域可以分别用柱坐标换元和球坐标换元计算, 然后再相加

$$\begin{aligned}
 \mathbf{18:} \quad (1)(a) I &= \int_0^{2\pi} \int_0^R \rho r^3 dr d\theta = \frac{1}{2} m R^2 \\
 (b) I &= \int_{-R}^R dx \int_{-\sqrt{R^2-x^2}}^{\sqrt{R^2-x^2}} \rho x^2 dx dy = \frac{1}{4} m R^2 \\
 (2) &\frac{3}{5} m R^2
 \end{aligned}$$

**19:** 取球心为坐标原点,  $z$ 轴过顶点

则顶点坐标为  $(0, 0, l = \frac{R}{\tan \alpha})$

由积分对称性可得  $F_x = F_y = 0$

$$F_z = k m \rho \iiint_V \frac{z-l}{r^3} dx dy dz$$

$$r = \sqrt{x^2 + y^2 + (z-l)^2}, V = V_1 + V_2$$

$V_1$  为半球体  $x^2 + y^2 + z^2 \leq R^2, (z \leq 0)$

$V_2$  为锥体  $x^2 + y^2 = ((l-z)\tan \alpha)^2 \leq R^2, (0 \leq z \leq l)$

将物体带入积分区域, 仿照书本10.3节例10.3.13积分可得最终结果

## 10.4 $n$ 重积分

**1:**  $n$ 重积分的计算转化为累次积分的计算

(1)

$$\int \cdots \int_{[0,1]^n} x_1^2 + \cdots + x_n^2 dx_1 \cdots dx_n = \int_0^1 dx_n \cdots \int_0^1 dx_2 \int_0^1 x_1^2 + \cdots + x_n^2 dx_1 \quad (10.1)$$

$$= \int_0^1 x_n^2 dx_n + \cdots + \int_0^1 x_1^2 dx_1 \quad (10.2)$$

$$= \frac{n}{3} \quad (10.3)$$

(2) 引理: 对任意  $0 \leq i \leq n$  有

$$\int_0^1 (x_i + \cdots + x_n)^2 dx_i = \frac{1}{12} + \left( \frac{1}{2} + x_{i+1} + \cdots + x_n \right)^2$$

证明: 只需要关于  $x_i$  展开, 积分后重新配方即可, 易证

$$\int \cdots \int_{[0,1]^n} (x_1 + \cdots + x_n)^2 dx_1 \cdots dx_n = \frac{1}{12} + \int \cdots \int_{[0,1]^{n-1}} \left( \frac{1}{2} + x_2 + \cdots + x_n \right)^2 dx_2 \cdots dx_n \quad (10.4)$$

$$= \cdots = \frac{n}{12} + \left( \frac{n}{2} \right)^2 \quad (10.5)$$

$$(10.6)$$

(3)

$$\int_0^1 dx_1 \int_0^{x_1} dx_2 \cdots \int_0^{x_{n-1}} x_1 \cdots x_n dx_n = \int_0^1 dx_1 \int_0^{x_1} dx_2 \cdots \int_0^{x_{n-2}} \frac{1}{2} x_1 \cdots x_{n-1}^3 dx_{n-1} \quad (10.7)$$

$$= \cdots = \int_0^1 \frac{1}{2^{n-1}(n-1)!} x_1^{2n-1} dx_1 = \frac{1}{2^n n!} \quad (10.8)$$

$$(10.9)$$

$$2: \frac{\prod_{i=1}^n a_i}{n!}$$

3: 证明: 采用数学归纳法, 当  $n = 1$  时, 结论显然成立。假设  $n = k$  时, 结论成立, 即

$$\int_0^a dx_1 \int_0^{x_1} dx_2 \cdots \int_0^{x_{k-1}} f(x_k) dx_k = \frac{1}{(k-1)!} \int_0^a f(t)(a-t)^{k-1} dt$$

那么, 当  $n = k+1$  时, 有

$$\begin{aligned} \int_0^a dx_1 \int_0^{x_1} dx_2 \cdots \int_0^{x_{k-1}} dx_k \int_0^{x_k} f(x_{k+1}) dx_{k+1} &= \int_0^a dx_1 \frac{1}{(k-1)!} \int_0^{x_1} f(t)(x_1-t)^{k-1} dt \\ &= \int_0^a dt \frac{1}{(k-1)!} \int_t^a f(t)(x_1-t)^{k-1} dx_1 = \int_0^a \frac{1}{k!} f(t)(a-t)^k dt \end{aligned}$$

## 10.5 综合习题

2: I 可以化简为  $\int_{-1}^1 \int_{-1}^1 \frac{\text{ArcTan}(\frac{1}{\sqrt{1+x^2+y^2}})}{\sqrt{1+x^2+y^2}} dy dx$

结果的数值近似是 0.308425

3: (1)

$$I_1 = \int_0^1 \sin(\ln \frac{1}{x}) \cdot \frac{x^b - x^a}{\ln x} dx = \int_0^1 \sin(\ln \frac{1}{x}) \int_a^b x^y dy dx = \int_a^b dy \int_0^1 \sin(\ln \frac{1}{x}) x^y dx$$

计算

$$\begin{aligned} J_1 &= \int_0^1 \sin(\ln \frac{1}{x}) x^y dx = \int_0^1 \frac{\sin(\ln(\frac{1}{x}))}{y+1} dx^{y+1} = \frac{\sin(\ln(\frac{1}{x})) x^{y+1}}{y+1} \Big|_0^1 + \int_0^1 \frac{x^{y+1}}{y+1} \frac{\cos(\ln \frac{1}{x})}{x} dx \\ &= \int_0^1 \frac{\cos(\ln \frac{1}{x})}{(y+1)^2} dx^{y+1} = \frac{\cos(\ln \frac{1}{x}) x^{y+1}}{(y+1)^2} \Big|_0^1 - \int_0^1 \frac{x^y}{(y+1)^2} \sin(\ln \frac{1}{x}) dx = \frac{1}{(y+1)^2} - \frac{1}{(y+1)^2} J_1 \end{aligned}$$

解得

$$J_1 = \frac{1}{(y+1)^2 + 1}$$

那么

$$\begin{aligned} I_1 &= \int_a^b J_1 dy = \int_a^b \frac{1}{(y+1)^2+1} dy = \int_{a+1}^{b+1} \frac{1}{t^2+1} dt \\ &= \arctan(t) \Big|_{a+1}^{b+1} = \arctan(b+1) - \arctan(a+1) = \arctan \frac{b-a}{1+(a+1)(b+1)} \end{aligned}$$

(2) 同理

$$I_2 = \int_0^1 \cos(\ln \frac{1}{x}) \cdot \frac{x^b - x^a}{\ln x} dx = \int_0^1 \cos(\ln \frac{1}{x}) \int_a^b x^y dy dx = \int_a^b dy \int_0^1 \cos(\ln \frac{1}{x}) x^y dx$$

计算

$$\begin{aligned} J_2 &= \int_0^1 \cos(\ln \frac{1}{x}) x^y dx = \int_0^1 \frac{\cos(\ln(\frac{1}{x}))}{y+1} dx^{y+1} = \frac{\cos(\ln(\frac{1}{x})) x^{y+1}}{y+1} \Big|_0^1 - \int_0^1 \frac{x^{y+1}}{y+1} \frac{\sin(\ln \frac{1}{x})}{x} dx \\ &= \frac{1}{y+1} - \int_0^1 \frac{\sin(\ln \frac{1}{x})}{(y+1)^2} dx^{y+1} = \frac{1}{y+1} - \frac{\sin(\ln \frac{1}{x}) x^{y+1}}{(y+1)^2} \Big|_0^1 - \int_0^1 \frac{\sin(\ln \frac{1}{x}) x^y}{(y+1)^2} dx \\ &= \frac{1}{y+1} - \frac{1}{(y+1)^2} J_2 \end{aligned}$$

解得

$$J_2 = \frac{y+1}{(y+1)^2+1}$$

那么

$$\begin{aligned} I_2 &= \int_a^b J_2 dy = \int_a^b \frac{y+1}{(y+1)^2+1} dy \stackrel{t=y+1}{=} \int_{a+1}^{b+1} \frac{t}{t^2+1} dt \stackrel{u=t^2}{=} \int_{(a+1)^2}^{(b+1)^2} \frac{1}{2(u+1)} du \\ &= \frac{1}{2} \ln(u+1) \Big|_{(a+1)^2}^{(b+1)^2} = \frac{1}{2} \ln \frac{(b+1)^2+1}{(a+1)^2+1} \end{aligned}$$

**6:** 解: 考虑到  $y \geq 0$  和曲面关于  $x, z$  对称, 因此对于此体积分可以化归为第一象限内的体积分, 也即:

$$\iiint_V dx dy dz = 4 \iiint_{V'} dx dy dz$$

其中 $V'$ 是 $V$ 在第一象限的部分。根据重积分的定理, 可知:

$$\iiint_{V'} dx dy dz = \int_0^{z_0} dz \iint_D dx dy$$

其中 $z_0$ 可以根据柱坐标系代换得知:

$$z^4 = r \sin \theta - r^4 \geq r - r^4 \geq \frac{3}{4} \cdot 2^{-\frac{2}{3}}$$

因此:

$$z_0 = \left(\frac{3}{4} \cdot 2^{-\frac{2}{3}}\right)^{\frac{1}{4}}$$

而对于内部的二重积分, 则有 $r, \theta$ 满足:

$$z^4 = r \sin \theta - r^4$$

其中 $r, \theta$ 具体关系, 涉及到四次方程的判别式因此该问题难以求得解析解。若使用数学软件Mathematica, 亦无法获得解析解, 但可以求得数值解, 其解为: 0.3702402451

$$\text{7: 考虑坐标变换} \begin{cases} t &= xy \\ m &= x \end{cases}$$

$$\text{则} \begin{cases} x &= m \\ y &= \frac{m}{t} \end{cases}$$

$$\frac{\partial(x,y)}{\partial(m,t)} = \frac{1}{m} \neq 0$$

$$\text{记 } D = \{(x, y) | 0 \leq x \leq 1, 0 \leq y \leq 1\}$$

$$\text{则 } D' = \{(m, t) | 0 \leq m \leq 1, 0 \leq \frac{t}{m} \leq 1\} = \{(m, t) | 0 \leq t \leq 1, t \leq m \leq 1\}$$

而

$$\lim_{t \rightarrow 0^+} t^t = \lim_{t \rightarrow 0^+} e^{t \log t} = 1$$

$$\begin{aligned}
\text{则 } \iint_D (xy)^{xy} dx dy &= \iint_{D'} t^t \frac{1}{m} dm dt \\
&= \int_0^1 -t^t \log t dt \\
&= -t^t \Big|_{t \rightarrow 0^+} + \int_0^1 t^t dt \\
&= \int_0^1 t^t dt
\end{aligned}$$

10:

$$\int_a^b dx \int_{\phi(x)}^{\psi(x)} f^2(x, y) dy = \int_a^b dx \int_{\phi(x)}^{\psi(x)} \left( \int_{\phi(x)}^y f'_y(x, t) dt \right)^2 dy \quad (10.10)$$

$$\leq \int_a^b dx \int_{\phi(x)}^{\psi(x)} dy \int_{\phi(x)}^y (f'_y(x, t))^2 dt \int_{\phi(x)}^y dt \quad (10.11)$$

$$\leq \int_a^b dx \int_{\phi(x)}^{\psi(x)} dy \int_{\phi(x)}^{\psi(x)} (f'_y(x, t))^2 dt \int_{\phi(x)}^{\psi(x)} dt \quad (\text{using Cauchy inequality})$$

(10.12)

$$\leq \int_a^b dx \int_{\phi(x)}^{\psi(x)} dy (f'(x, y))^2 \quad (\text{define } M := \max_{a \leq x \leq b} |\psi(x) - \phi(x)|)$$

(10.13)

11: 做变元代换

$$x_i = at_i, i = 1, \dots, n, \quad \frac{\partial (x_1, \dots, x_n)}{\partial (t_1, \dots, t_n)} = a^n$$

因此积分可以写成

$$I_n(a) = \int \cdots \int_{\Omega_n(a)} x_1 x_2 \cdots x_n dx_1 dx_2 \cdots dx_n = a^{2n} \int \cdots \int_{\Omega_n(1)} t_1 t_2 \cdots t_n dt_1 dt_2 \cdots dt_n = a^{2n} I_n(1)$$

区域也可写成

$$\Omega_n(1) : 0 \leq x_n \leq 1, 0 \leq \sum_{i=1}^{n-1} x_i \leq 1 - x_n, x_1, x_2, \dots, x_{n-1} \geq 0$$



因此积分可以写成

$$\begin{aligned}
 I_n(1) &= \int_0^1 t dt \int \cdots \int_{\Omega_{n-1}(1-t)} t_1 t_2 \cdots t_{n-1} dt_1 t_2 \cdots t_{n-1} = \int_0^1 t I_{n-1}(1-t) dt \\
 &= \int_0^1 t(1-t)^{2n-2} I_{n-1}(1) dt = I_{n-1}(1) \int_0^1 t(1-t)^{2n-2} dt = \int_0^1 (1-t)^{2n-2} dt - \int_0^1 (1-t)^{2n-1} dt \\
 &= \frac{1}{2n-1} - \frac{1}{2n} = \frac{1}{2n(2n-1)}
 \end{aligned}$$

又显然的  $I_1(1) = \int_0^1 x dx = \frac{1}{2}$ , 所以

$$I_n(1) = \frac{1}{(2n)!}, I_n(a) = \frac{a^{2n}}{(2n)!}$$

# Chapter 11

## 曲线积分和曲面积分

### 11.1 数量场在曲线上的积分

1: (1)

根据弧长公式

$$\int_0^{2\pi} \sqrt{[(e^t \cos t - e^t \sin t)^2 + (e^t \sin t + e^t \cos t)^2 + e^{2t}]} dt = \sqrt{3} \int_0^{2\pi} e^t dt = \sqrt{3}(e^{2\pi} - 1)$$

(2)

根据弧长公式有

$$\begin{aligned} & \int_0^1 \sqrt{9 + (6t)^2 + (6t^2)^2} \\ &= \int_0^1 \sqrt{9 + 36t^2 + 36t^4} \\ &= \int_0^1 6t^2 + 3 dt = 5 \end{aligned}$$

(3)

由弧长公式得到

$$\begin{aligned} & \sqrt{(a \sin t)^2 + (a \cos t)^2 + \left[ \frac{a \sin t}{\cos t} \right]^2} \\ &= \int_0^{\frac{\pi}{4}} \frac{a}{\cos t} dt \\ &= \int_0^{\frac{\pi}{4}} \frac{a \cot t}{\cos^2 t} dt = \int_0^{\frac{\sqrt{2}}{2}} \frac{a}{1-x^2} dx = \frac{a}{2} \ln(3+2\sqrt{2}) \end{aligned}$$

(4)

可以解出曲线的参数方程为

$$\begin{cases} x &= \frac{z^2}{2a} \\ y &= \sqrt{\frac{8z^3}{9a}} \\ z &= z \end{cases}$$

所以由曲线的弧长公式

$$\int_0^{2a} \sqrt{\left(\frac{z}{a}\right)^2 + \frac{2z}{a} + 1} dz = 4|a|$$

(5)

令  $y+z=0$ , 那么可以解出参数方程为

$$\begin{cases} x &= \frac{t^2}{4a} \\ y &= \frac{1}{2} \left( t - \frac{t^3}{12a^2} \right) \\ z &= \frac{1}{2} \left( t + \frac{t^3}{12a^2} \right) \end{cases}$$

所以根据弧长公式

$$\begin{aligned} & \int \sqrt{\left(\frac{t}{2a}\right)^2 + \frac{1}{4}\left(1 - \frac{t^2}{4a^2}\right)^2 + \frac{1}{4}\left(1 + \frac{t^2}{4a^2}\right)^2} \\ &= \int \frac{t^2 + 4a^2}{4\sqrt{2}a^2} = \int_0^2 \sqrt{2}z dz = \frac{\sqrt{2}}{2} z^2 \end{aligned}$$

## 11.2 数量场在表面上的积分

## 11.3 向量场在曲线上的积分

1: 第二型曲线积分基本计算方法是利用积分曲线的参数方程转化为第一型曲线积分

(1) 两段分别为:  $L_1: y = x, L_2: x + y = 2$ , 可以都选取  $x$  为参数

$$\int_{L_1} (x^2 + y^2)dx + (x^2 - y^2)dy = \int_0^1 2x^2 dx = \frac{2}{3}$$

$$\int_{L_2} (x^2 + y^2)dx + (x^2 - y^2)dy = \int_1^2 2(2-x)^2 dx = \frac{2}{3}$$

故, 原积分为  $\int_L (x^2 + y^2)dx + (x^2 - y^2)dy = \frac{2}{3} + \frac{2}{3} = \frac{4}{3}$  注: 也可以用Green公式

(2) 积分曲线为:  $|x| + |y| = 1$ , 故在此曲线上, 被积表达式为  $\int_L dx + dy$

因为在直线  $AB, CD$  上,  $dx = -dy$ , 积分为0

在直线  $BC, DA$  上,  $dx = dy$ , 但是由于方向相反, 在用参数方程表达时上下限相反, 故求和为0

综上, 此积分在正方形  $ABCD$  上的值为0

(3) 思路同2, 此积分表达式限制在积分曲线上可以表示为  $\frac{1}{a^2} \int_L -x dx + y dy$

利用圆的参数方程  $x(t) = a \cos t, y(t) = a \sin t$  以及相应地  $dx = -a \sin t dt, dy = a \cos t dt$ ,

带入表达式有  $\int_0^{2\pi} \sin 2t dt = 0$

(4)  $OA: 0 \leq x \leq 1, y = 0, z = 0$ , 此时对应表达式为0, 相应的积分值为0

$AB: x = 1, 0 \leq y \leq 1, z = 0$ , 此时对应表达式为  $\int_0^1 y dy = \frac{1}{2}$   $BC: x = 1, y = 1, 0 \leq z \leq 1$ , 此时对应表达式为  $\int_0^1 z dz = \frac{1}{2}$

故积分值为1

(5)  $A$ 对应  $\phi = 0$ ,  $B$ 对应  $\phi = \frac{\pi}{2}$ , 故原积分表达式可化为

$$\int_0^{\frac{\pi}{2}} e^{\cos \phi + \sin \phi + \frac{\phi}{\pi}} \left( \cos \phi - \sin \phi + \frac{1}{\pi} \right) d\phi = e^{\cos \phi + \sin \phi + \frac{\phi}{\pi}} \Big|_0^{\frac{\pi}{2}} = e^{\frac{3}{2}} - e$$

(6)  $L$ 的参数方程为  $x = 1 - \cos t, y = 1 + \cos t, z = \sqrt{2} \sin t$ , 注意到原点看是顺时针,  $0 \leq t \leq 2\pi$ , 带入被积表达式有  $\int_0^{2\pi} (1 + \cos t) \sin t dt = 0$

## 11.4 向量场在曲面上的积分

## 11.5 Gauss定理和Stokes定理

## 11.6 其它形式的曲线曲面积分\*

1: 参照课本推导, 结果为

$$\frac{1}{r} \frac{\partial r F_r}{\partial r} + \frac{1}{r} \frac{\partial F_\phi}{\partial \phi} + \frac{\partial F_z}{\partial z}$$