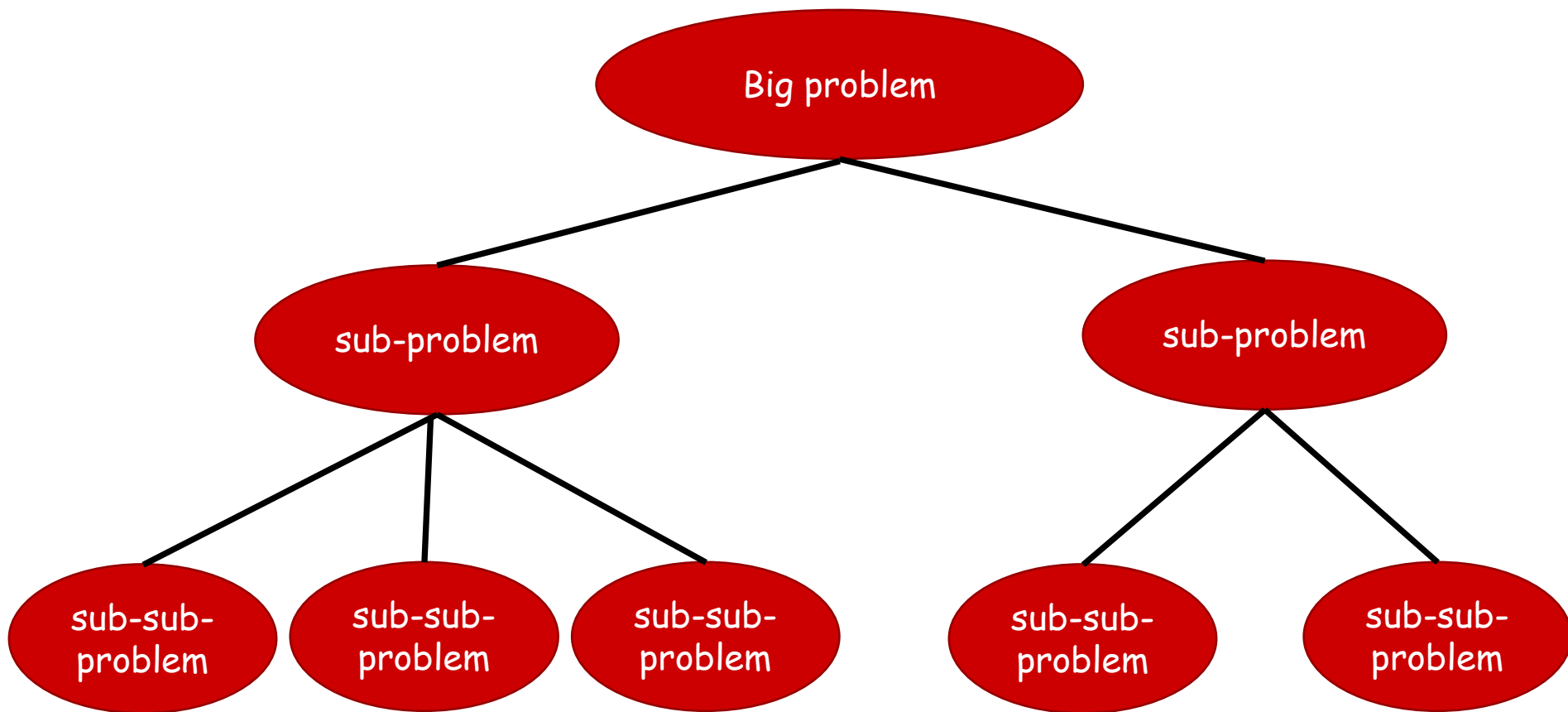


# Greedy Algorithms

- Interval Scheduling
- Interval Partitioning
- Scheduling to Minimize Lateness
- Coin Changing
- Fractional Knapsack

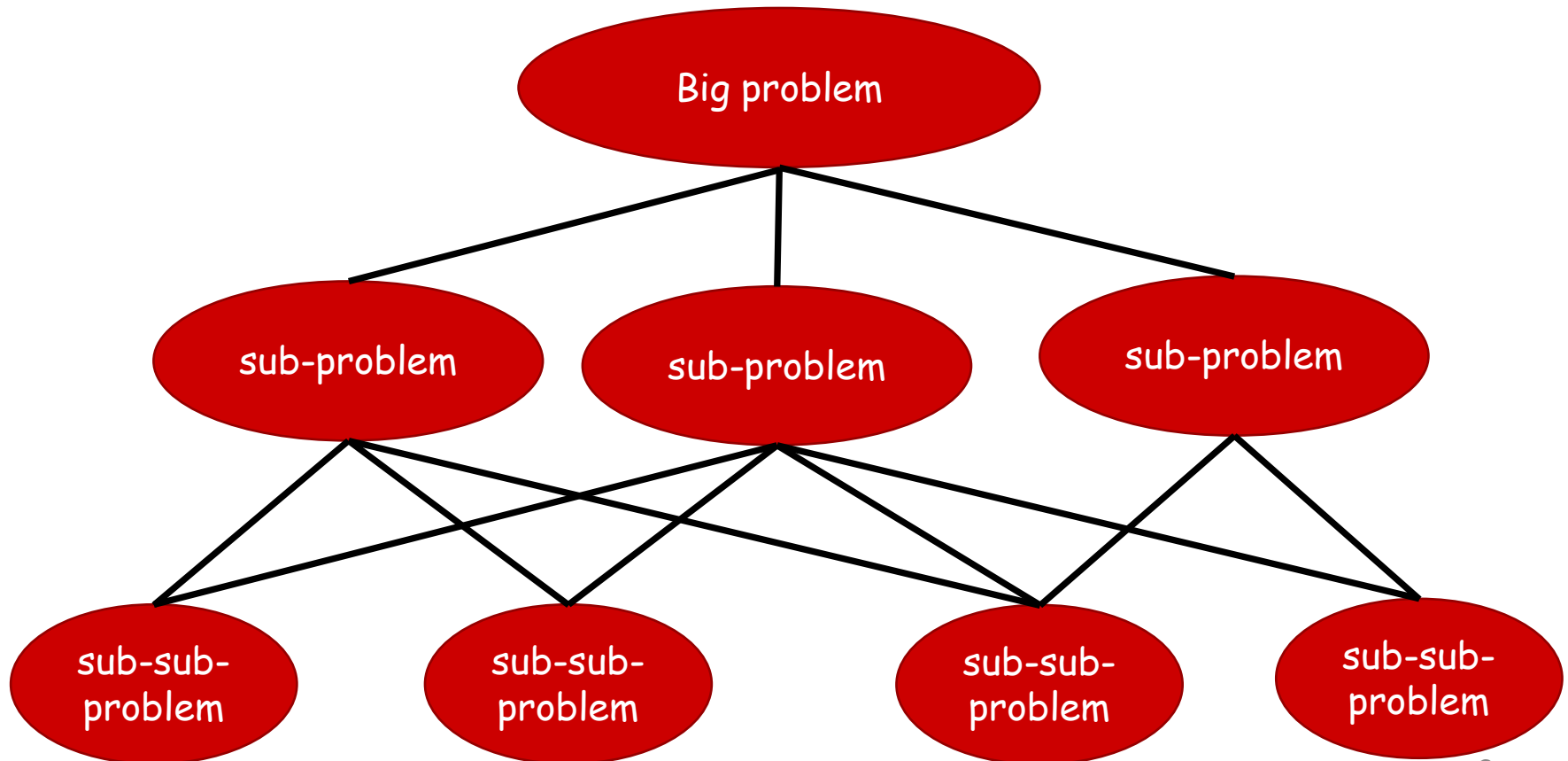
# Sub-problem graph view

Divide-and-conquer:



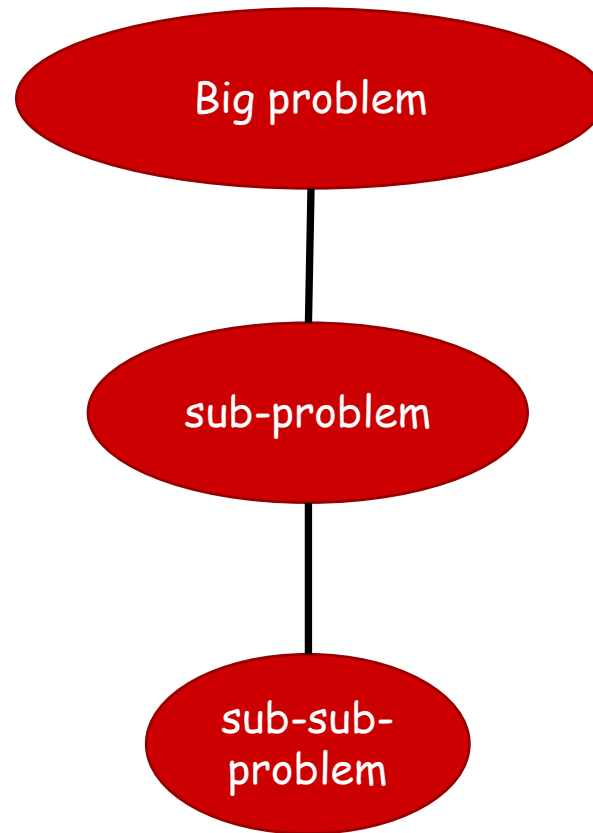
# Sub-problem graph view

Dynamic Programming:



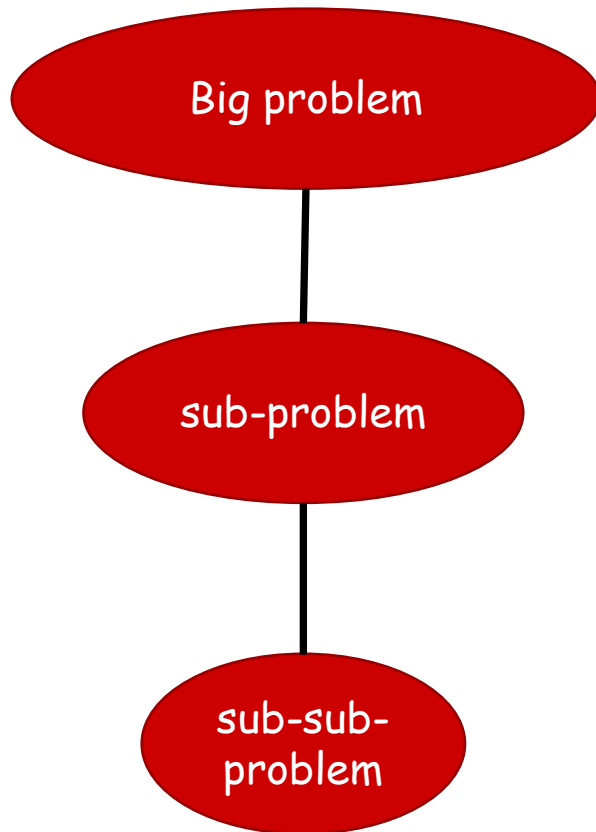
# Sub-problem graph view

Greedy algorithms:



# Sub-problem graph view

Greedy algorithms:



- Not only is there **optimal sub-structure**:
  - optimal solutions to a problem are made up from optimal solutions of sub-problems
- but each problem **depends on only one sub-problem**.



# Interval Scheduling

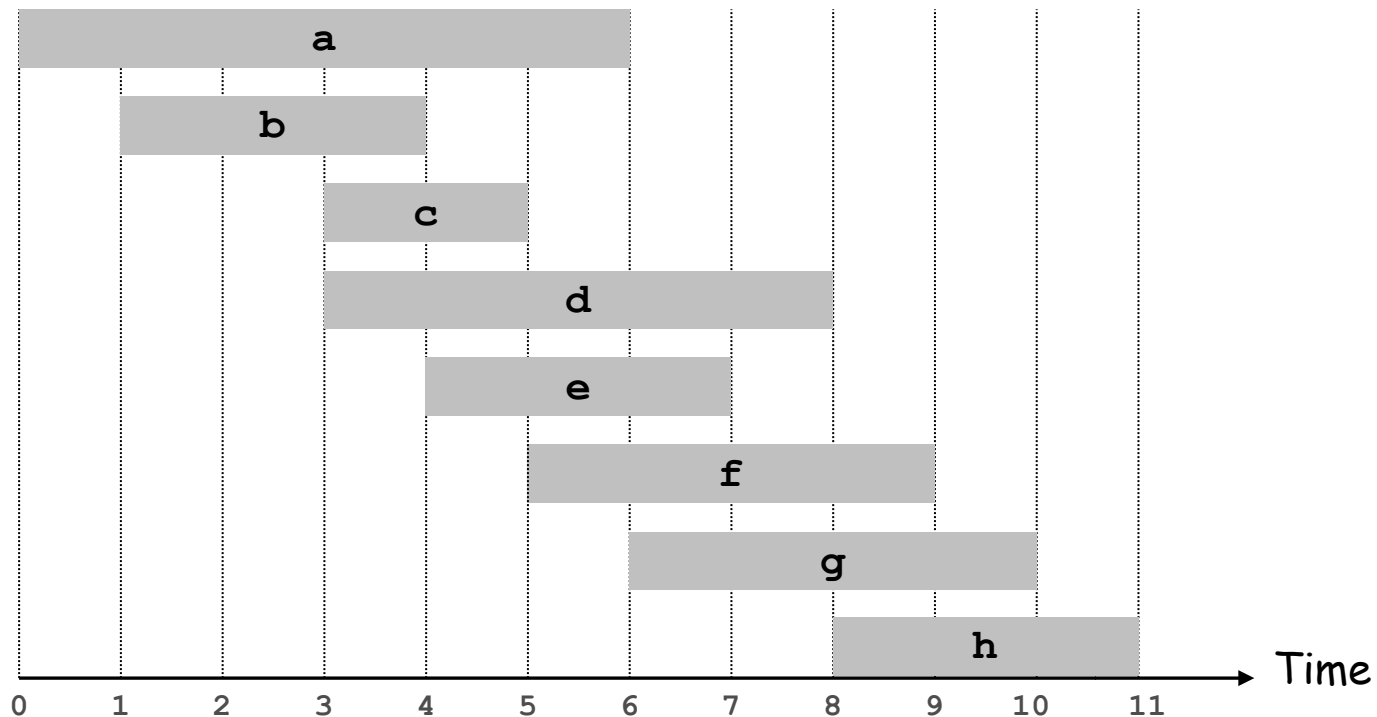
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# Interval Scheduling

## Interval scheduling.

- Job  $j$  starts at  $s_j$  and finishes at  $f_j$ .
- Two jobs **compatible** if they don't overlap.
- Goal: find maximum subset of mutually compatible jobs.



# Interval Scheduling: Greedy Algorithms

**Greedy template.** Consider jobs in some natural order.

Take each job provided it's compatible with the ones already taken.

- [Earliest start time] Consider jobs in ascending order of  $s_j$ .
- [Earliest finish time] Consider jobs in ascending order of  $f_j$ .
- [Shortest interval] Consider jobs in ascending order of  $f_j - s_j$ .
- [Fewest conflicts] For each job  $j$ , count the number of conflicting jobs  $c_j$ . Schedule in ascending order of  $c_j$ .



# Interval Scheduling: Greedy Algorithms

*Greedy template.* Consider jobs in some natural order.  
Take each job provided it's compatible with the ones already taken.



counterexample for earliest start time



counterexample for shortest interval



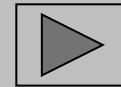
counterexample for fewest conflicts

# Interval Scheduling: Greedy Algorithm

**Greedy algorithm.** Consider jobs in increasing order of finish time. Take each job provided it's compatible with the ones already taken.

```
Sort jobs by finish times so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .
```

```
    ↙ set of jobs selected  
A ←  $\phi$   
for j = 1 to n do  
    if (job j compatible with A) then  
        A ← A  $\cup$  {j}  
}  
return A
```



**Implementation.**  $O(n \log n)$ .

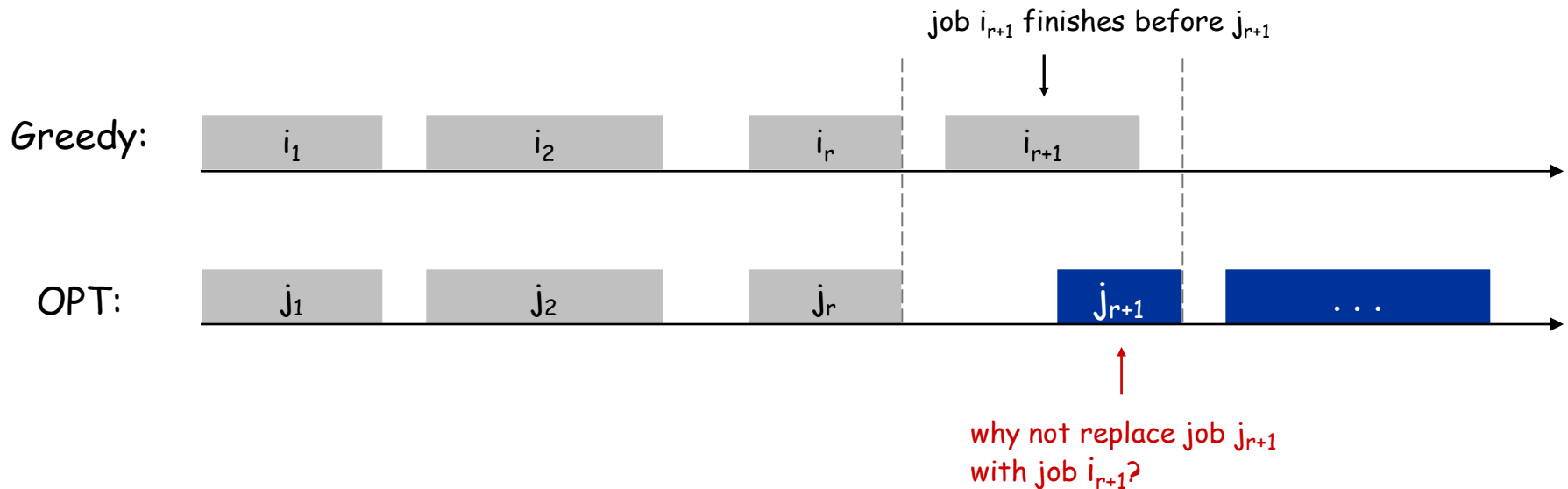
- Remember job  $j^*$  that was added last to A.
- Job j is compatible with A if  $s_j \geq f_{j^*}$ .

# Interval Scheduling: Analysis

**Theorem.** Greedy algorithm is optimal.

**Pf.** (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let  $i_1, i_2, \dots, i_k$  denote set of jobs selected by greedy.
- Let  $j_1, j_2, \dots, j_m$  denote set of jobs in the optimal solution with  $i_1 = j_1, i_2 = j_2, \dots, i_r = j_r$  for the largest possible value of  $r$ .

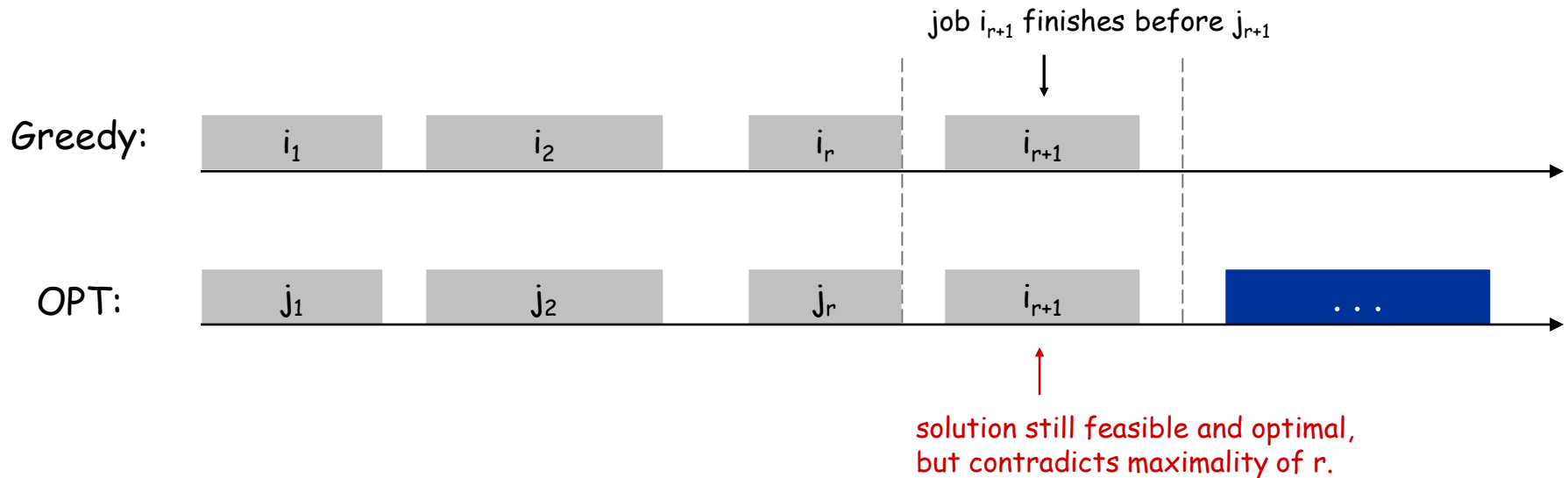


# Interval Scheduling: Analysis

**Theorem.** Greedy algorithm is optimal.

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# Interval Partitioning

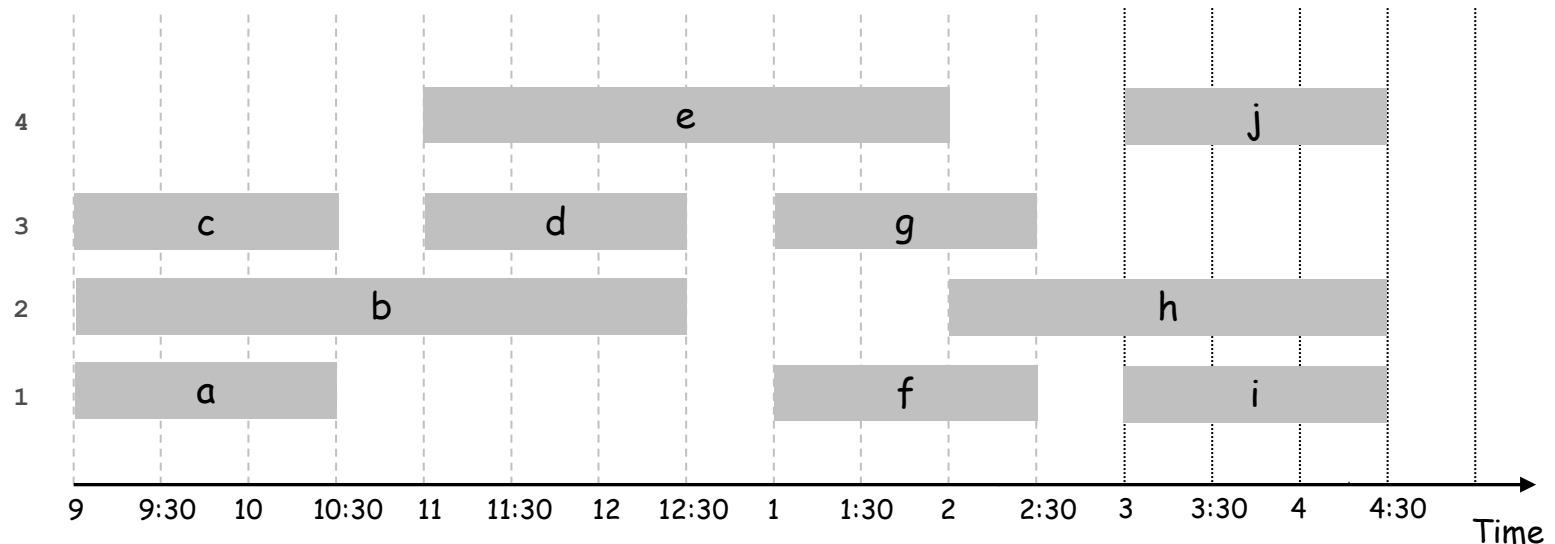
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# Interval Partitioning

## Interval partitioning.

- Lecture  $j$  starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two occur at the same time in the same room.

Ex: This schedule uses 4 classrooms to schedule 10 lectures.



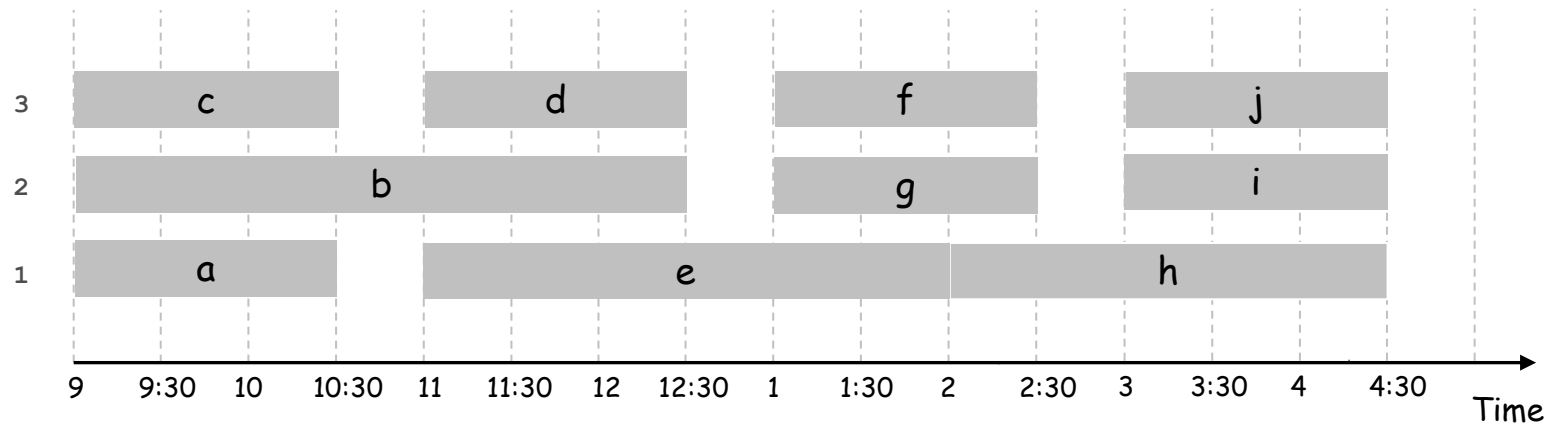


# Interval Partitioning

## Interval partitioning.

- Lecture  $j$  starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two occur at the same time in the same room.

Ex: This schedule uses only 3.





# Interval Partitioning: Lower Bound on Optimal Solution

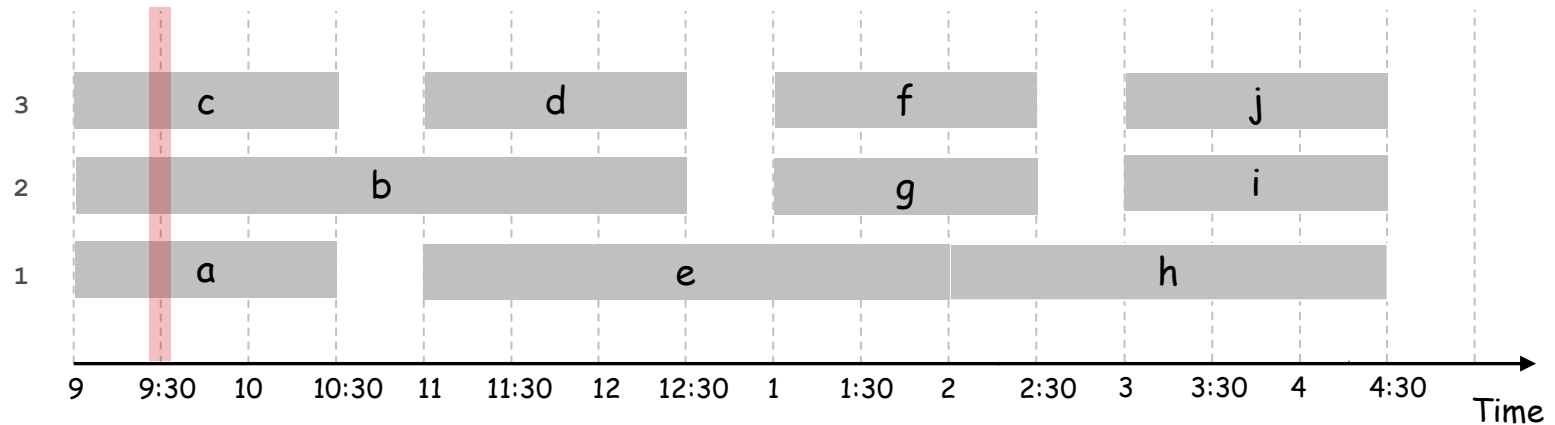
**Def.** The **depth** of a set of open intervals is the maximum number that contain any given time.

**Key observation.** Number of classrooms needed  $\geq$  depth.

**Ex:** Depth of schedule below = 3  $\Rightarrow$  schedule below is optimal.

$\nwarrow$   
a, b, c all contain 9:30

**Q.** Does there always exist a schedule equal to depth of intervals?





# Interval Partitioning: Greedy Algorithm

**Greedy algorithm.** Consider lectures in increasing order of start time: assign lecture to any compatible classroom.

```
Sort intervals by starting time so that  $s_1 \leq s_2 \leq \dots \leq s_n$ .  
 $d \leftarrow 0$   $\leftarrow$  number of allocated classrooms  
  
for  $j = 1$  to  $n$  do  
    if (lecture  $j$  is compatible with some classroom  $k$ ) then  
        schedule lecture  $j$  in classroom  $k$   
    else  
        allocate a new classroom  $d + 1$   
        schedule lecture  $j$  in classroom  $d + 1$   
         $d \leftarrow d + 1$   
}
```

**Implementation.**  $O(n \log n)$ .

- For each classroom  $k$ , maintain the finish time of the last job added.
- Keep the classrooms in a priority queue.



## Interval Partitioning: Greedy Analysis

**Observation.** Greedy algorithm never schedules two incompatible lectures in the same classroom.

**Theorem.** Greedy algorithm is optimal.

**Pf.**

- Let  $d$  = number of classrooms that the greedy algorithm allocates.
- Classroom  $d$  is opened because we needed to schedule a job, say  $j$ , that is incompatible with all  $d-1$  other classrooms.
- These  $d$  jobs each end after  $s_j$ .
- Since we sorted by start time, all these incompatibilities are caused by lectures that start no later than  $s_j$ .
- Thus, we have  $d$  lectures overlapping at time  $s_j + \varepsilon$ .
- Key observation  $\Rightarrow$  all schedules use  $\geq d$  classrooms. ▀

# Scheduling to Minimize Lateness

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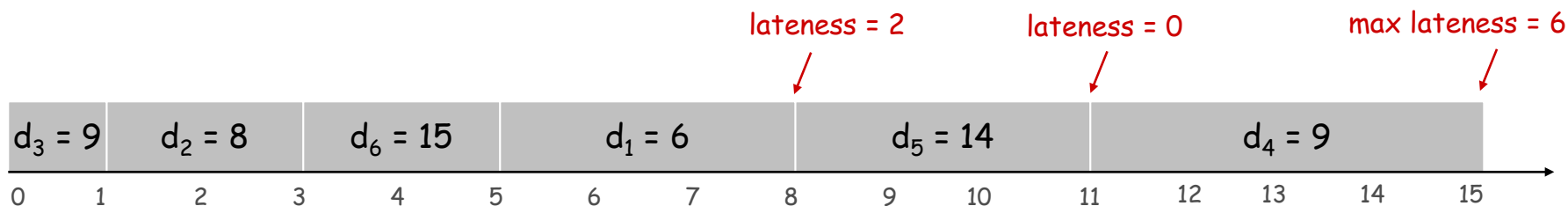
# Scheduling to Minimizing Lateness

## Minimizing lateness problem.

- Single resource processes one job at a time.
- Job  $j$  requires  $t_j$  units of processing time and is due at time  $d_j$ .
- If  $j$  starts at time  $s_j$ , it finishes at time  $f_j = s_j + t_j$ .
- Lateness:  $\ell_j = \max \{ 0, f_j - d_j \}$ .
- Goal: schedule all jobs to minimize **maximum** lateness  $L = \max \ell_j$ .

Ex:

	1	2	3	4	5	6
$t_j$	3	2	1	4	3	2
$d_j$	6	8	9	9	14	15



# Minimizing Lateness: Greedy Algorithms

*Greedy template.* Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time  $t_j$ .
- [Earliest deadline first] Consider jobs in ascending order of deadline  $d_j$ .
- [Smallest slack] Consider jobs in ascending order of slack  $d_j - t_j$ .

# Minimizing Lateness: Greedy Algorithms

*Greedy template.* Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time  $t_j$ .

	1	2
$t_j$	1	10
$d_j$	100	10

counterexample

- [Smallest slack] Consider jobs in ascending order of slack  $d_j - t_j$ .

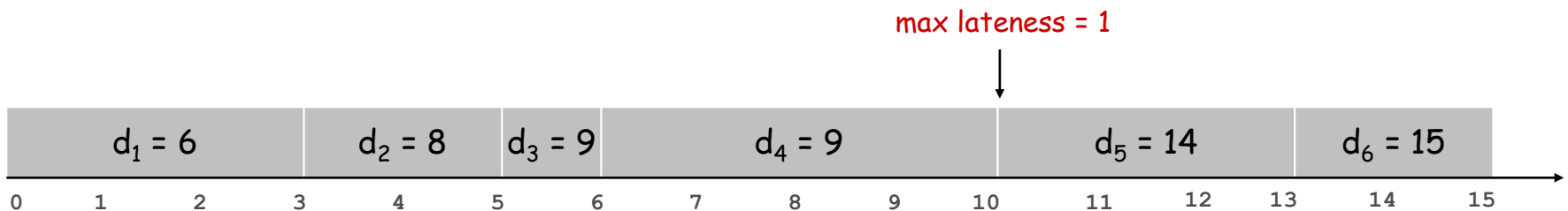
	1	2
$t_j$	1	10
$d_j$	2	10

counterexample

# Minimizing Lateness: Greedy Algorithm

Greedy algorithm. Earliest deadline first.

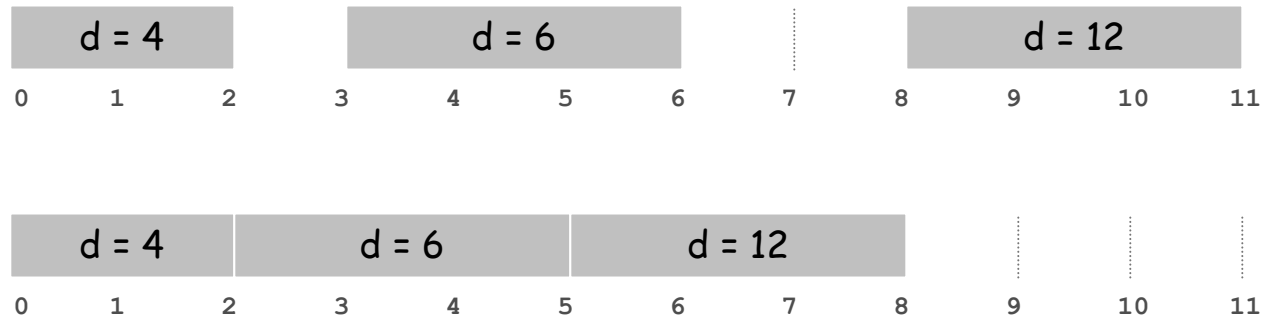
```
Sort n jobs by deadline so that  $d_1 \leq d_2 \leq \dots \leq d_n$   
  
 $t \leftarrow 0$   
for  $j = 1$  to  $n$   
    Assign job  $j$  to interval  $[t, t + t_j]$   
     $s_j \leftarrow t, f_j \leftarrow t + t_j$   
     $t \leftarrow t + t_j$   
output intervals  $[s_j, f_j]$ 
```





## Minimizing Lateness: No Idle Time

**Observation.** There exists an optimal schedule with no **idle time**.



**Observation.** The greedy schedule has no idle time.





## Minimizing Lateness: Inversions

**Def.** Given a schedule  $S$ , an **inversion** is a pair of jobs  $i$  and  $j$  such that:  $i < j$  but  $j$  scheduled before  $i$ .



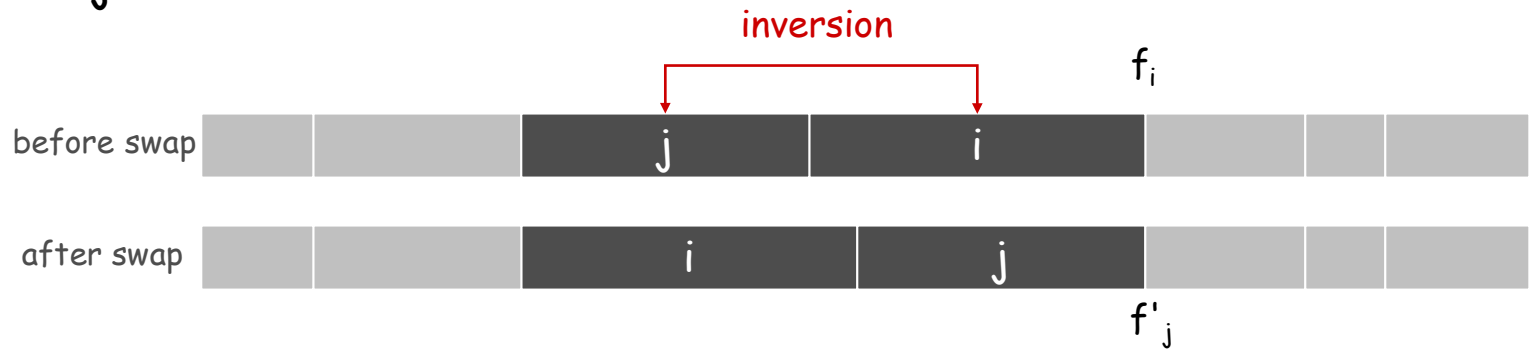
[ as before, we assume jobs are numbered so that  $d_1 \leq d_2 \leq \dots \leq d_n$  ]

**Observation.** Greedy schedule has no inversions.

**Observation.** If a schedule (with no idle time) has an inversion, it has one with a pair of inverted jobs scheduled consecutively.

## Minimizing Lateness: Inversions

**Def.** Given a schedule  $S$ , an **inversion** is a pair of jobs  $i$  and  $j$  such that:  $d_i < d_j$  but  $j$  scheduled before  $i$ .



**Claim.** Swapping two consecutive, inverted jobs reduces the number of inversions by one and does not increase the max lateness.

**Pf.** Let  $\ell$  be the lateness before the swap, and let  $\ell'$  be it afterwards.

- $\ell'_k = \ell_k$  for all  $k \neq i, j$
- $\ell'_i \leq \ell_i$
- If job  $j$  is late:

$$\begin{aligned}\ell'_j &= f'_j - d_j && \text{(definition)} \\ &= f_i - d_j && (j \text{ finishes at time } f_i) \\ &\leq f_i - d_i && (i < j) \\ &\leq \ell_i && \text{(definition)}\end{aligned}$$

# Minimizing Lateness: Analysis of Greedy Algorithm

**Theorem.** Greedy schedule  $S$  is optimal.

**Pf.** Define  $S^*$  to be an optimal schedule that has the fewest number of inversions, and let's see what happens.

- Can assume  $S^*$  has no idle time.
- If  $S^*$  has no inversions, then  $S = S^*$ .
- If  $S^*$  has an inversion, let  $i$ - $j$  be an adjacent inversion.
  - swapping  $i$  and  $j$  does not increase the maximum lateness and strictly decreases the number of inversions
  - this contradicts definition of  $S^*$  ▫



## Greedy Analysis Strategies

**Greedy algorithm stays ahead.** Show that after each step of the greedy algorithm, its solution is at least as good as any other algorithm's.

**Structural.** Discover a simple "structural" bound asserting that every possible solution must have a certain value. Then show that your algorithm always achieves this bound.

**Exchange argument.** Gradually transform any solution to the one found by the greedy algorithm without hurting its quality.

**Other greedy algorithms.** Kruskal, Prim, Dijkstra, Huffman, ...



# Coin Changing

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# Coin Changing

**Goal.** Given currency denominations: 1, 5, 10, 25, 100, devise a method to pay amount to customer using fewest number of coins.

**Ex:** 34¢.



**Cashier's algorithm.** At each iteration, add coin of the largest value that does not take us past the amount to be paid.

**Ex:** \$2.89.





## Coin-Changing: Greedy Algorithm

**Cashier's algorithm.** At each iteration, add coin of the largest value that does not take us past the amount to be paid.

```
Sort coins denominations by value:  $c_1 < c_2 < \dots < c_n$ .
```

```
    coins selected  
    ↙  
S ←  $\phi$   
while (x  $\neq$  0) do  
    let k be largest integer such that  $c_k \leq x$   
    if (k = 0) then  
        return "no solution found"  
    x ← x -  $c_k$   
    S ← S  $\cup$  {k}  
return S
```

Q. Is cashier's algorithm optimal?

# Coin-Changing: Analysis of Greedy Algorithm

**Theorem.** Greedy algorithm is optimal for U.S. coinage: 1, 5, 10, 25, 100.

**Pf.** (by induction on  $x$ )

- Consider optimal way to change  $c_k \leq x < c_{k+1}$  : greedy takes coin  $k$ .
- We claim that any optimal solution must also take coin  $k$ .
  - if not, it needs enough coins of type  $c_1, \dots, c_{k-1}$  to add up to  $x$
  - table below indicates no optimal solution can do this
- Problem reduces to coin-changing  $x - c_k$  cents, which, by induction, is optimally solved by greedy algorithm. ▀

$k$	$c_k$	All optimal solutions must satisfy	Max value of coins 1, 2, ..., $k-1$ in any OPT
1	1	$P \leq 4$	-
2	5	$N \leq 1$	4
3	10	$N + D \leq 2$	$4 + 5 = 9$
4	25	$Q \leq 3$	$20 + 4 = 24$
5	100	no limit	$75 + 24 = 99$

$P$  = pennies,  $N$  = nickels,  $D$  = dimes,  $Q$  = quarters



# Coin-Changing: Analysis of Greedy Algorithm

**Observation.** Greedy algorithm is sub-optimal for US postal denominations: 1, 10, 21, 34, 70, 100, 350, 1225, 1500.

**Counterexample.** 140¢.

- Greedy: 100, 34, 1, 1, 1, 1, 1, 1.
- Optimal: 70, 70.



# Fractional Knapsack

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# Fractional Knapsack

## Input:

- Given  $n$  liquids. we have  $v_i$  liters from the  $i$ -th liquid and it is worth  $w_i$  dollars
- We have a container with capacity of  $W$  liters

## Goal:

- Fill the container such that the total worth gets maximized

# Fractional Knapsack: Greedy Algorithm

**Greedy algorithm.** Consider liquids in decreasing order of  $w_i / v_i$ . Fill the container as much as possible with the  $i$ -th liquid in this order.

```
Sort liquids by worth/volume so that  $w_1/v_1 \geq w_2/v_2 \geq \dots \geq w_n/v_n$ .  
  
for j = 1 to n do  
    if (the container has free space) then  
        pour the  $i$ -th liquid into the container as much as possible
```

**Implementation.**  $O(n \log n)$

- Just sorting.

# Fractional Knapsack: Correctness

**Theorem.** Greedy algorithm is optimal.

**Pf.**

- It is easy to see if we have two items with the same volume, we select the one which is worth more.
- As we can take any portion of any liquid, the above observation shows the correctness of the greedy algorithm.



# References

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## References

- Section 4.1 and 4.2 of the text book "algorithm design" by Jon Kleinberg and Eva Tardos
- The original slides were prepared by Kevin Wayne. The slides are distributed by Pearson Addison-Wesley.