

Lock In

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Abstract

In this experiment,

1 Introduction

1.1 Lock In detection

1.2 Data Analysis Module

While performing a Lock-In experiment, detected oscilloscope results can be returned in the form of **.csv** or **.png** files. However, there are too many time points in each **.csv** file, it is recommended to read the oscilloscope screen for each detection. Also, each experiment has various parameters controlled by each panel so the modification of each data takes complicated forms. Therefore, I build a clean module for a Lock-In experiment result analysis that can help the successor in two big ways.

First, it supports **lock_in_data** type and **read_png()** method so that helps to read **.png** file fast. To run this module, we need a labeled Excel file that informs the parameters and the picture name. For example, let the preamplifier experiment of gain 2, input signal frequency of 50[kHz] be saved by the file name "TEK000056.png". Then the labeled Excel file should contain a row 2, 50, and 56. And also the column of the picture name should be "name". We must modify the **get_data_labels()**, which is the detected value of the oscilloscope **.png** for each experiment. I assumed that each experiment is placed in a different sheet of Excel file.

```
reading 183 out of 701
Commit data: 1960
Commit data: 208
Commit data: 45.72
Commit data: -45.58
1_amplitude : 1960
2_amplitude : 208
12_phase : 45.72
21_phase : -45.58
{'gain': 10.0, 'frequency(kHz)': 200}
```

By running **main.py**, the example output above prints out. The module crops the exact position of the oscilloscope screenshots in **./ERROR.PNG**, the only work to do is to read the number in the image. The commission of data is grouped simultaneously in **lock_in_data** type and saved by **datum.pkl**. The **datum** is a dictionary that contains each experiment and data conveniently. For example, **datum['preamplifier'] [0]** is data collected in the preamplifier circuit the first time.

Second, this module makes the plotting even more convenient. The Lock-In experiment needs to control some parameters and only plots a graph with selected parameters and results. Therefore, there must be a repeated structure of a plotting code scheme, which is very uncomfortable and crummy. In `lock_in_data.py` header have the method of `phys_plot()` which supports the faster way to plot a list of `lock_in_data` type. For example, the code following plots the ratio of `data.results[0]` and `data.results[1]` in the function of signal frequency, only for the gain parameter set by 1.

```
fig = lock_in_data.phys_plot(
    datum[experiment],
    'frequency(kHz)',
    lambda x : x.results[0]/x.results[1],
    {'gain': 1},
    x_label="frequency [kHz]",
    y_label= "Amplitude_ratio",
    fmt = 'ko'
)
```

Every code and raw data is uploaded in [1], it is complete by itself so that only running `main.py` will help the successor to use this module. For window system users must change the `./` to `.\` to detour compatibility.

2 Methods

Apparatus: Signal Processor ([2]), oscilloscope, function generator, MG910 Hall element([3]) The Lock-In module has been set up in the intermediate physics experiment laboratory, at Seoul National University. The signal processor from Teach Spin is modular architecture with multiple circuits such as a preamplifier, and low pass amplifier. I subtly improve the experimental method, especially the circuit modification, to specify the phase difference between the signal and reference input. Every result of each experiment is in 3 with the same subsection name.

2.1 Preamplifier

The preamplifier circuit is not modified. Fig. 1(a) shows the preamplifier circuit I used. Fig. 1(b) is one of the raw data results while performing the circuit.

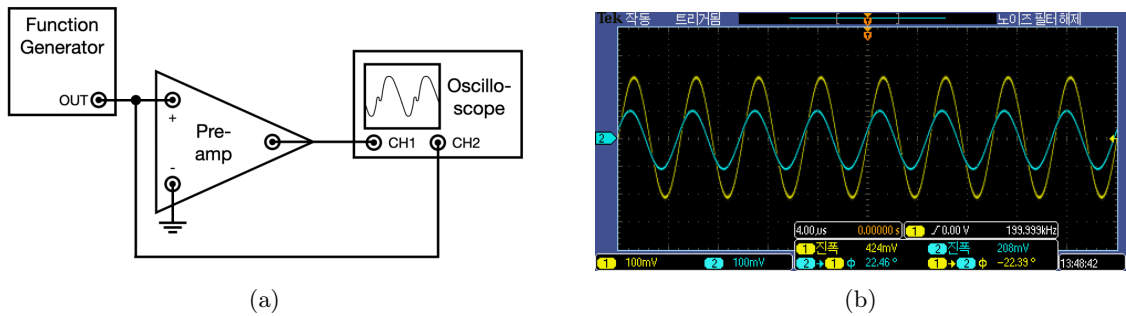


Figure 1: Schematic diagram of (a) preamplifier circuit, adapted form [2]. (b)The oscilloscope screen capture of frequency 200 kHz and gain= 2. The blue line is the reference input and the yellow line is the signal output.

I measured 57 different frequencies in each gain 1, 2, 5, 10, 20.

2.2 Phase Shifter: Lagging phase detection

The phase shifter provided in the signal processor is not uniformly performing, so the shifted phase is dependent on input signal frequency. I define the word lagged phase as the difference between the real shifted phase and the displayed phase shift. The manual suggests using the linear regression results of this measurement to complement the lagged phase, but as 3.2 shows that the shifted phase amount is too big to cause errors. Therefore I use three channels in the oscilloscope at the base of this circuit. The first two of them are a reference and the phase-shifted signal just like this experiment circuit. And the last one is set to the final signal of each experiment. I have emphasized the modified circuit in each subsection.

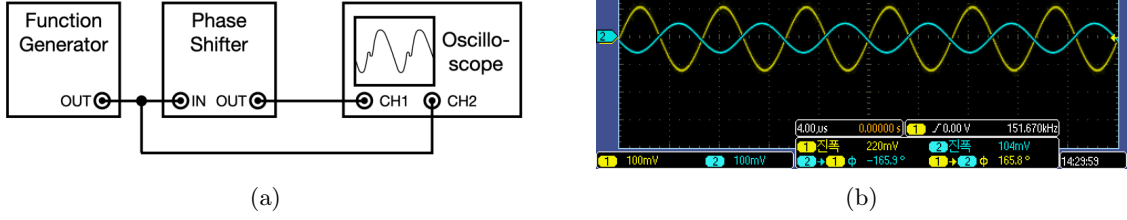


Figure 2: Schematic diagram of (a) phase shifter circuit, adapted form [2]. (b)The oscilloscope screen capture of frequency 150 Hz and $\pi/2$ phase shifted. The blue line is the reference input and the yellow line is the signal output.

Fig. 2(b) shows the exact lagged phase in the module. The phase shifted amount displayed on the signal processor is $\pi/2$ but its real shifted phase is about π . I measured 17 different frequencies in each displayed phase shift value.

2.3 Lock In detection: DBM

The Lock-In detection is implemented by Double Balanced Mixer(DBM) explained at 1.1. In this experiment, the DBM performance is checked. However, the lagged phase induced by the phase shifter and preamplifier huts the accurate measurement of the following experiments, I also display the phase-shifted input signal of the DBM module in the third channel of the oscilloscope. Fig. 3(a) is the manual circuit arrangement, which has an inevitable error by the lagged phase.

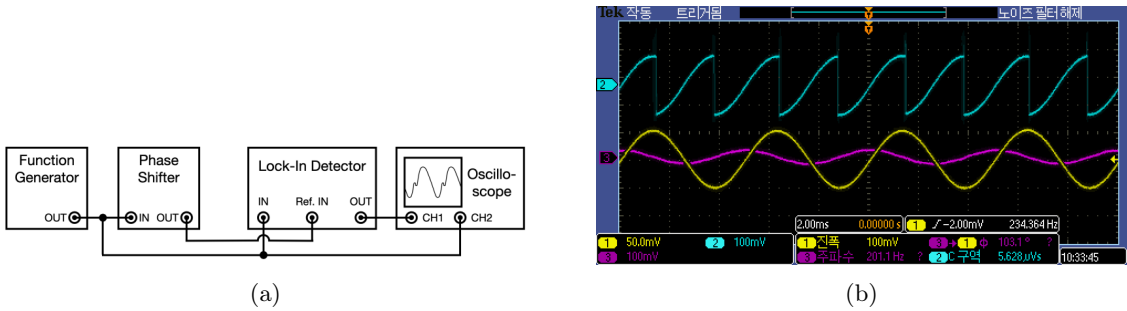


Figure 3: Schematic diagram of (a) DBM circuit, adapted form [2]. (b)The oscilloscope screen capture of frequency 200 Hz and $\pi/2$ phase shifted. The yellow line is the reference input and the purple line is the phase-shifted input. The blue line is the DBM output which performs well.

But by the subtle line connection, we can display the exact phase shift in the oscilloscope and can measure the real shift phase. So in the rest of the experiments, I modulate the phase difference by the measured value displayed in the oscilloscope. Fig. 3(b) is the example results of the DBM

experiment. It is definite to claim the phase difference between two input waves is $\pi/2$. But by the unavoidable error, the phase difference value fluctuates about 5° , I read the median value while experimenting. I measured 21 different frequencies in each phase shift value of $0, \pi/2, \pi$, and $3\pi/2$.

2.4 Low-Pass Amplifier

The direct current offset is filtered by the low-pass amplifier. The amplifier has three parameters of dB/oct and the time constant of the LC circuit.

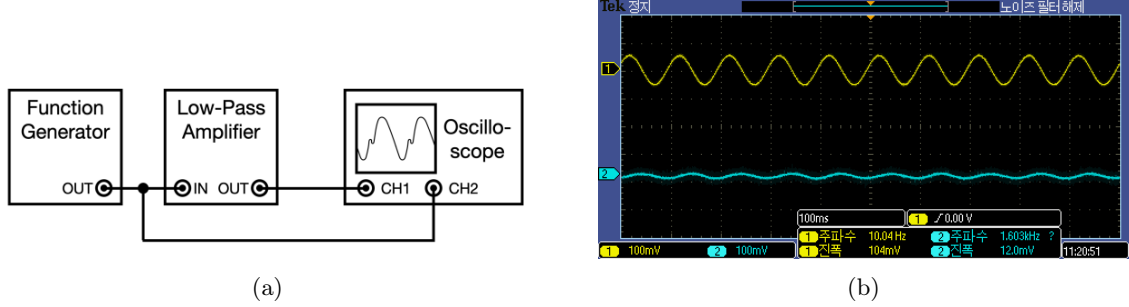


Figure 4: Schematic diagram of (a) low pass amplifier circuit, adapted form [2]. (b)The oscilloscope screen capture of frequency 10 Hz and $6dB/oct$, the time constant of 0.1 s . The yellow line is the reference input and the blue line is the low-pass amplifier output which performs well.

Since it is applied to filter the high-frequency signals, in order of time constants, I have measured the signal for 0.1 Hz to 50 Hz . The 10 Hz result is even undetectable, as Fig. 4(b) shows. Also widely broaden bandlike signal is observed, which is detailed in 3.4

2.5 Lock-In Amplifier: Noise detection

There are two steps in the noise detection experiment. The first is to check whether the noise provided by the signal processor is qualified by Fast Fourier Transform (FFT) included in the oscilloscope. The second is to form a Lock-In detection experiment to figure out a signal from the noise. Fig. 5(a) shows the circuit of the first step. I put one plain channel to emphasize the noise

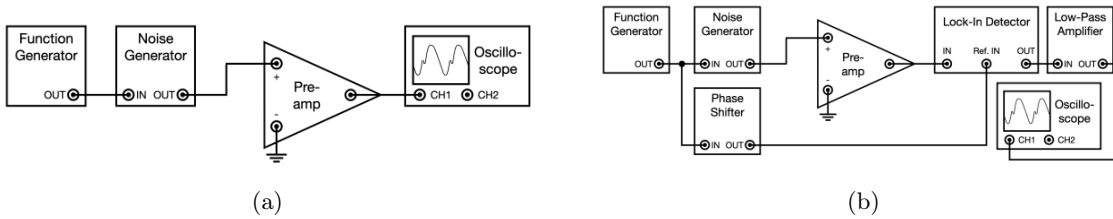


Figure 5: Schematic diagram of (a) noise generator and (b)the noise detection circuit, adapted form [2].

signal with the unperturbed one. Fig. 5(b) represents the circuit of the second step. In this case, I plot a gain in the function of phase difference which must be measured precisely so that can give reliability to a regression. Therefore, I put two more channels in the oscilloscope, a reference and the noise signal each. The raw data of this experiment is plotted 3.5.

2.6 Lock-In detection: DC offset stability

To find a drift slope of a DC offset, the experiment is performed by the following circuit. Also, in this experiment the phase difference is fixed up to π , the circuit contains two more channels of

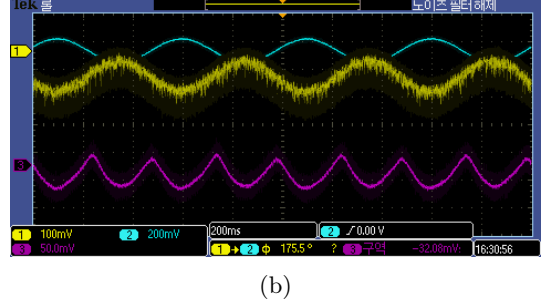
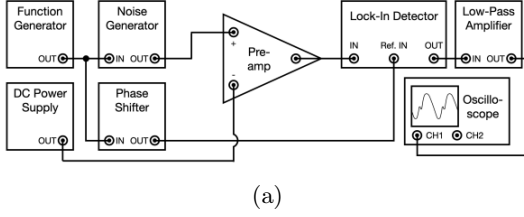


Figure 6: Schematic diagram of (a) Lock-In DC offset stability measure circuit, adapted form [2]. (b) The oscilloscope screen capture of frequency 2 Hz and 12 dB/oct , the time constant of 0.03 s the phase shifted value is π the noise is added amount of 10^{-2} . The blue line is the phase-shifted reference input and the yellow line is the noise-added signal. The purple line is the DBM Lock-In results.

reference and phase-shifted reference signal. Fig. 6(a) is the circuit of the experiment. I used signal splitters in the Lock-In detector input and output to directly measure the phase difference between two inputs. Therefore, it can be very precisely controlled in this experiment, I have measured the gain from 25 different amounts of DC offset fixing phase difference in π .

2.7 Lock-In detection: Hall effect

The hall element signal is small so that the noise dominates the signal. Therefore, the frequency fixed Lock-In detection helps the signal distinguishable. The circuit is formed as the manual, but the phase difference is also directly measured as above experiments.

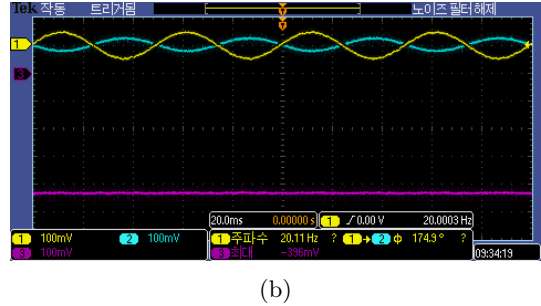
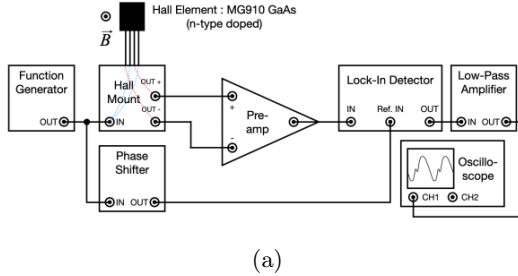


Figure 7: Schematic diagram of (a) Hall element experiment circuit, adapted form [4]. (b) The oscilloscope screen capture of frequency 20 Hz and 12 dB/oct , the time constant of 0.1 s , and the phase-shifted value is π . The yellow line is the phase-shifted reference input and the blue line is the Hall effect signal. The purple line is the Lock-In detected results.

I measure the signal at 20 different distances at two different frequencies each. The Hall element sticks hard on the table, and the magnet distance is controlled by the wooden stick. Therefore, I can read the exact distance between the magnet and the Hall element in the measurement error of a few mm .

3 Results and Discussion

Each subsection is labeled just as same with subsection from 2 respectively. The total codes and raw data of each parameter are uploaded to GitHub. ([1], <https://github.com/WoojinHan24/>)

Lock_In) The folder is grouped in **raw_data**, **additional_raw_data** which contain raw screenshots of the oscilloscope. **results** folder is for plots which appendix is obtained in Fig. 8.

file name	details
LI picturename.xlsx	The labeled Excel file
preamplifier gain freq plot(gain x).png	preamplifier gain-frequency plot in displayed gain x
preamplifier phase freq plot(gain x).png	preamplifier phase shift-frequency plot in displayed gain x
preamplifier log plot (gain x).png	preamplifier log scaled figure for displayed gain x
phase shifter gain freq plot(phase x).png	phase shifter gain-frequency plot in displayed phase shift x
phase shifter phase freq plot(phase x).png	phase shifter lagged phase-frequency plot in displayed phase shift x
low pass filter gains freq plot(db oct x)(time constant y).png	low pass filter gain in dB - log frequency plot in displayed db/Oct x , time constant y
lock-in phase plot(Noise x).png	lock-in detection results plots by phase in the signal-to-noise ratio x .

Figure 8: file appendix of [1]

3.1 Preamplifier

The output signal from the preamplifier is not uniformly amplified even in the short frequency range. The term $\text{gain}(G)$ means the real amplitude ratio between the input and the output signal. In each, $(\text{gain}) = (\text{output amplitude})/(\text{input amplitude})$ in the unit of voltage. Fig. 9 shows the gain by frequency plot in different displayed gains for 2, 5, and 10. The results of displayed gain 1 and 20 are uploaded at [1], the following appendix in 8. The experimental error of the voltage measurement is about $2mV$, which gives 1% error which is disregarded to be plotted. The blue line represents each displayed gain, which is far different from the real gain value. Also, I found that the phase is shifted by the frequency increases which is not expected. Fig. 10 shows the regularity of the lagged phase in the output signal of the preamplifier. The preamplifier circuit must contain the transistor device, the phase and slew rate response are very weird to occur. A lot of points in this condition are measured, it is obvious that there is some linear relation between lagged phase and frequency.

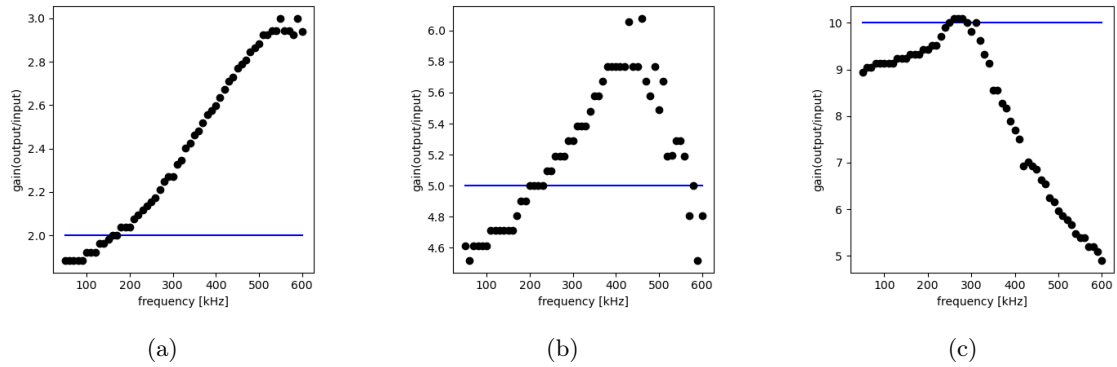


Figure 9: Preamplifier Gain data for displayed gain (a) 2 (b) 5 (c) 10 in gain - frequency $[kHz]$ (black dots), its displayed gain is in the blue line. The error bar is too small(under 1%) to be neglected.

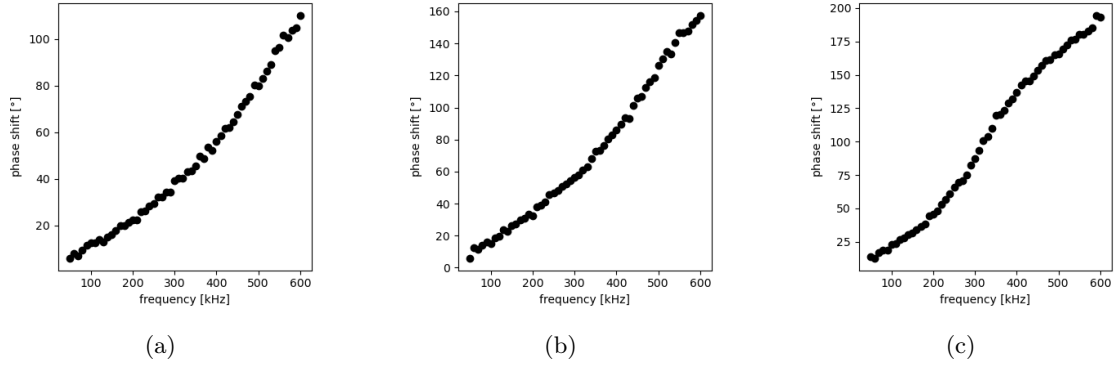


Figure 10: Preamplifier phase shift data for displayed gain (a) 2 (b) 5 (c) 10 in phase[°] - frequency [kHz] (black dots). The error bar is too small(under 1%) to be neglected.

In the log scale experiment, the 3dB frequency can be found. The amplified results of the frequency of $1kHz - 10MHz$ are measured, I measure several more points near 3dB frequencies. Fig. 11 shows the log scale plot near 3dB frequency. The blue line represents the -3dB line, which highlights the -3dB position from the displayed gain. The red line is linear regression results near -3dB frequency. The specific linear regression coefficients and found -3dB frequency are listed in Fig. 12. I can find that the -3dB frequency decreases as the gain increases, which means that the filter bandwidth gets worse by increasing the gain value. Therefore, I use the gain value as 1 in the preamplifier module in the rest of the experiment. Experimentally, the real gain fits well near $1kHz$, and even the phase shift does not found. But my experiment uses near $20Hz$ signal, the preamplifier gain and phase shift must be considered.

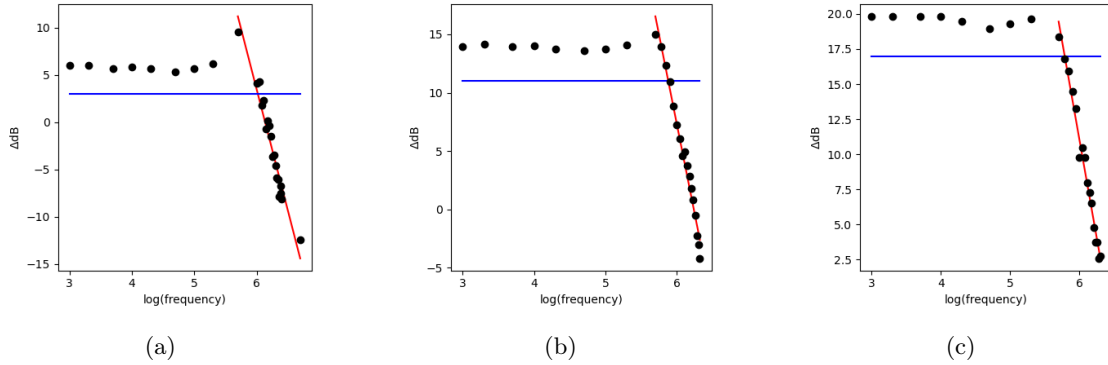


Figure 11: Preamplifier $\log(G)$ data for displayed gain (a) 2 (b) 5 (c) 10 in $\Delta dB - \log(f)$ (black dots), its -3dB line is in the blue line. The red line is linear regression results near -3dB frequency, the statics are given at 12. The error bar is too small(under 1%) to be neglected. The $\log(f)$ is in the frequency unit of [Hz]

gain	a []	b []	R^2	-3dB frequency [MHz]
2.0	-26 ± 2	150 ± 47	0.945	1.04 ± 0.11
5.0	-31 ± 1	192 ± 21	0.979	0.759 ± 0.073
10.0	-28 ± 1	179 ± 20	0.981	0.612 ± 0.052

Figure 12: The linear regression statics of Fig. 11. The gain stands for displayed gain in the preamplifier. Each coefficient is dimension-free since the plot x, and y-axis does not have one.

3.2 Phase Shifter: Lagging phase detection

The phase shifter also has an unexpected phase response. The displayed phase shift value is controlled by two dials. The first dial controls the phase in a unit of 90° , and the secondary fine phase dial controls the accurate proportion to the first dial. For example, to shift 75° the phase dial is set up to 0° and the fine phase should point out 75° . It is experimentally sure that in fixed frequency, the total rotation of a phase dial spans 360° phase shift. However, the lagged phase is too definite to ignore, having a linear relationship with frequency. Fig. 13 shows the frequency dependant lagged phase results. The red lines are linear regression results and fit very well. Specific regression results are given in Fig. 14. Also, the gain has changed in the response of the circuit. Fig. 15 shows the frequency dependant gain results. In the ideal circuit, the gain should never change from 1. But the experimental results do not doubt claim the phase shifter gives an unexpected gain response. And it is even worse, the gain data is far above 1, and peak natured. The data shows there are somehow resonance phenomena near $400[Hz]$. There are two big meanings in these results. First, I can never trust the displayed phase in this group of experiments. Since the lagged phase extends over 180° , the displayed phase shift can not be trusted. Moreover, the preamplifier gives meaningful phase shifts and fluctuating gain results. This reasoning leads me to fix the circuit to directly measure the real shifted phase in the experiment. I don't use the linear regression results to convert the displayed phase into the real shifted phase but to put two of the signal directly in the oscilloscope. Secondly, the peaked nature of the gain-frequency plot means this phase shifter circuit contains RC and an operational amplifier module inside. The phase shifter is usually formed based on an RC circuit. Contrary expectation to the ideal operational amplifier in the core of the circuit, the operational amplifier may follow Shockley's equation to ruin the frequency-independent phase shift property. There are no specific circuit diagrams revealed in [2], no more analysis is available.

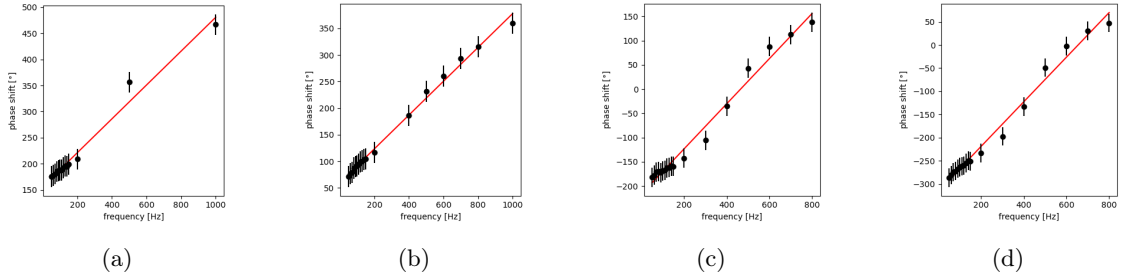


Figure 13: Phaseshifter lagged phase data for displayed phase shift (a) 0° (b) 90° (c) 180° (d) 270° in lagged phase $^\circ$ - frequency $[Hz]$ (black dots) The error bar stands for $2\sigma = 20^\circ$, the red line is linear regression results.

displayed phase $^\circ$	a $^\circ/[Hz]$	b $^\circ$	R^2
0	0.324 ± 0.03	156 ± 5	0.979
90	0.319 ± 0.03	60 ± 5	0.997
180	0.466 ± 0.03	-217 ± 5	0.983
270	0.481 ± 0.03	-315 ± 5	0.986

Figure 14: The linear regression statics of Fig. 13

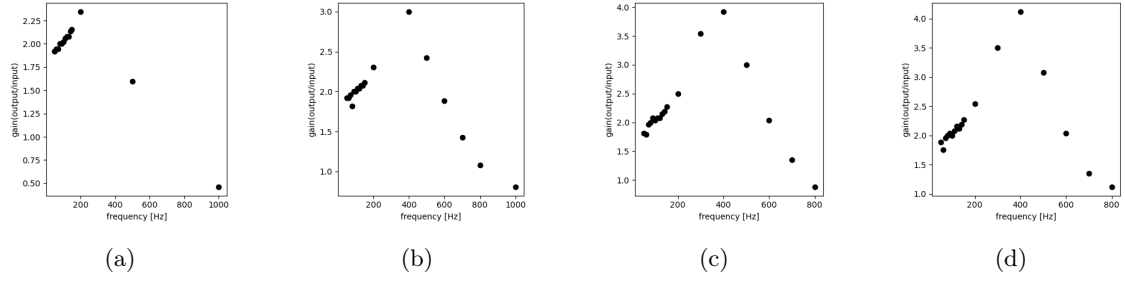


Figure 15: Phaseshifter gain data for displayed phase shift (a) 0° (b) 90° (c) 180° (d) 270° in gain - frequency [Hz] (black dots) The error bar is under 5% to be disregarded.

3.3 DBM

As mentioned at 3.2, the yellow and purple results in Fig. 16 are very effective. The phase difference between the two inputs is directly measured and intuitively matches the DBM results. The DBM filter works very fine but, the edgy cut at the end of the wavelength has been detected. It is because of the fluctuation of the frequencies between two inputs caused by the phase shifter, especially in low frequencies (10-100 [Hz]). But the area of those DBM results is about a few $\mu V \cdot s$, which means those edge effects can be neglectable in the calculation of Lock-In detection.

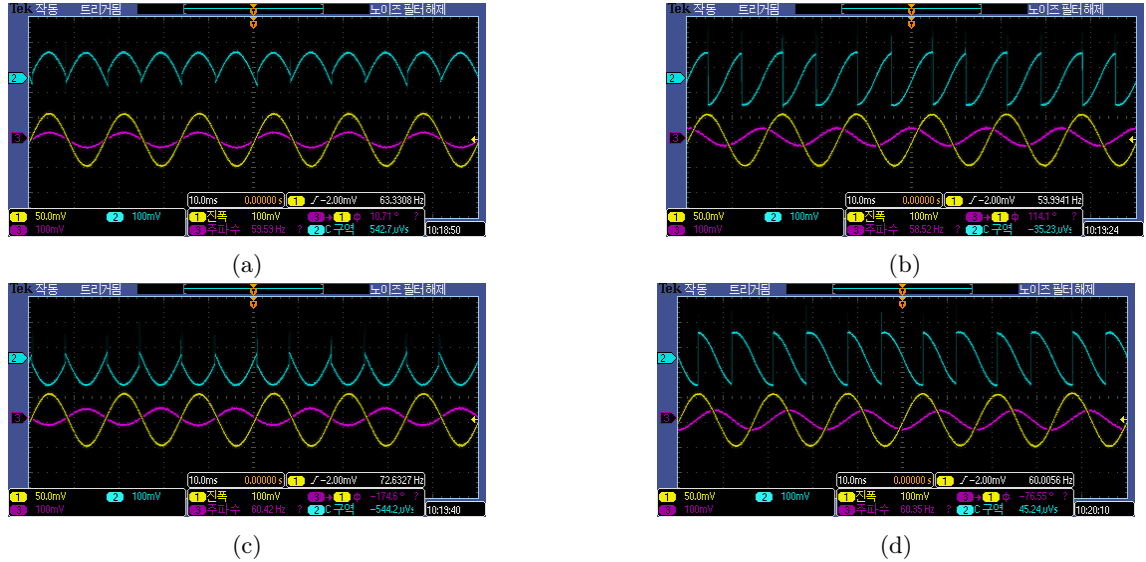


Figure 16: DBM signal results of phase difference (a) 0° (b) 90° (c) 180° (d) 270° [$^\circ$], of 50[Hz] frequency input. The yellow signal is the reference input voltage, and the purple one represents the phase-shifted signal. The blue signal is the signal after the DBM calculation.

The measurement error of voltage is about $1mV$, and the time interval must be calculated by $1/f$. For the null hypothesis, the DBM edge effect affects the Lock-In detection is rejected. The phase detection error is about 5° , by the fluctuation. The standard error of the area is $40\mu V \cdot s$. The experimental results are found around the standard error.

3.4 Low Pass Filter

The low pass filter works with the impedance ratio of the input and output channels. An inductor does not help to amplify low-frequency signals, the fitting function is as follows equation 1. The roll-off factor (dB/Oct) is the term that after filtering area, the amount of amplitude

decay by 1 octave. This means, the gradient in $\log(f) - 20\log(G)$ plot value can be modified by the circuit. Moreover, the noise from this filter is devastating to read accurate values in the oscilloscope. Fig. 17 is the oscilloscope screenshots of $20[Hz]$ signal input, $12dB/oct$ roll-off and $0.03[s]$ -time constant. To find a 3dB frequency, the measurement in high-frequency signal in low pass filter is unavoidable. But, the noise is not neglectable in high-frequency response, I read the median value in each data in the grid. Some of the data in high-frequency is even unreadable, so the measurement error is about 5dB.

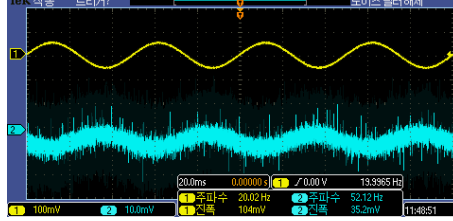


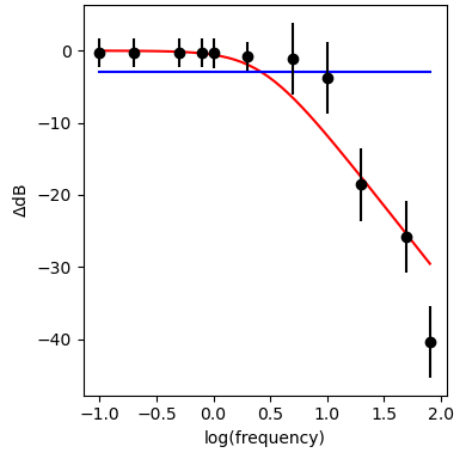
Figure 17: The oscilloscope screenshots of $20[Hz]$ signal input, $12dB/oct$ roll-off and 0.03 time constant

Fig. 19 shows the gain in dB to frequencies in log scale plots. The red line is the fitted line following equation 1. The blue line is a $-3dB$ line and the intersection point with the fitted line gives 3dB frequency, the useful parameter of the filter. The specific results of the fitting parameter are given in Fig. 18. The gain value has a big measurement error and dominates the statics error. The measured time constant has a large difference from a displayed time constant, and it is because of the dB/Oct modification module. To modify dB/Oct in the low pass filter, the simple fitting function as equation 1 is invalid. The RC circuit must contain secondary propagation of an operational amplifier, which makes the fitting function more complex than before. But the fact is clear and the higher time constant, the lower dB/Oct gives less 3dB frequency. The less 3dB frequency implies the sharp filtering performance of the low pass filter, I fixed these parameters in dB/Oct 12, time constant of $0.03[s]$ in other experiments.

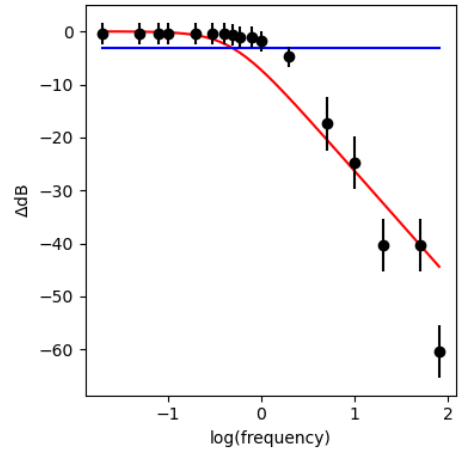
$$G = \frac{1}{\sqrt{(2\pi f\tau)^2 + 1}} \quad (1)$$

displayed dB/Oct	displayed time constant[s]	$\tau[s]$	R^2	3dB frequency [Hz]
6	0.03	0.06	0.89	2.7
	0.1	0.33	0.92	0.49
	0.3	0.43	0.98	0.37
12	0.03	0.12	0.98	1.3
	0.1	0.35	0.82	0.46
	0.3	0.96	0.88	0.16

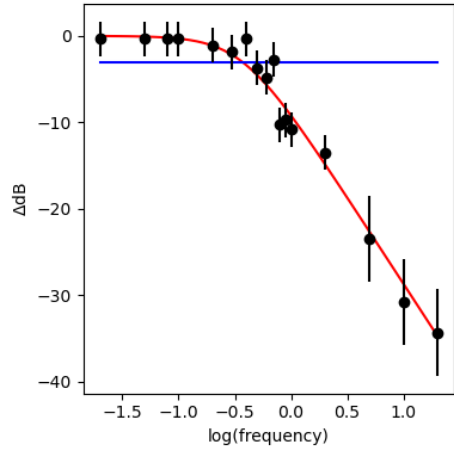
Figure 18: The linear regression statics of Fig. 13, std error of τ and 3dB frequency are $0.03[s]$, $0.2[Hz]$ respectively. This error is from a measurement error, not a statistic error.



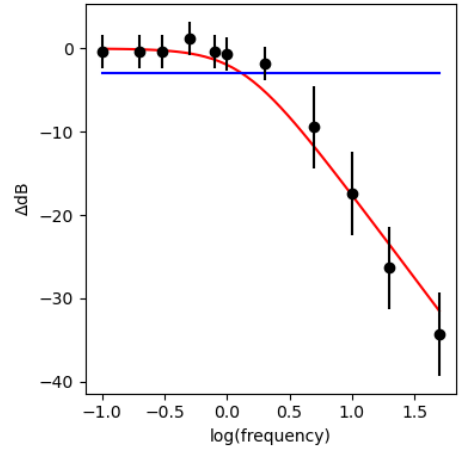
(a)



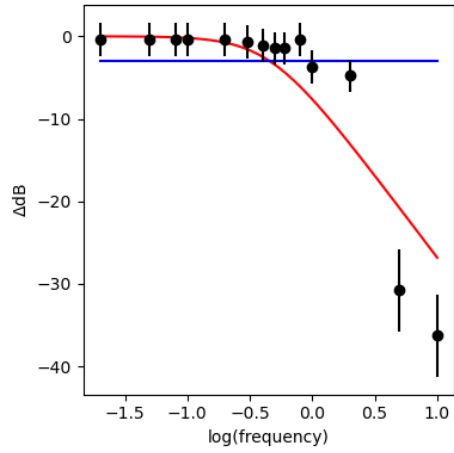
(b)



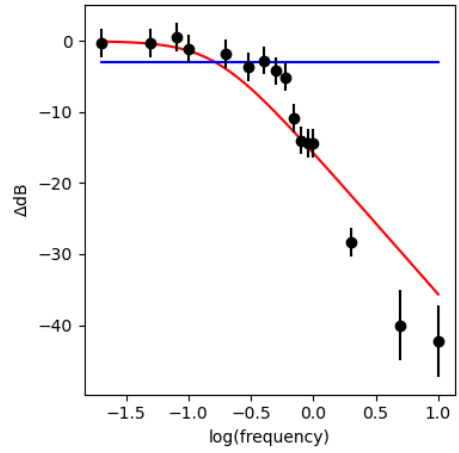
(c)



(d)



(e)



(f)

Figure 19: The low pass filter gain results ($20 \log G$) of dB/Oct 6, time constant (a) 0.03 (b) 0.1 (c) 0.3, dB/Oct 12, time constant (d) 0.03, (e) 0.1, (f) 0.3 [s], in the function of $\log(f)$, the black dot. The blue line is the $-3dB$ line and the red line is the fitted results, following equation (1).

3.5 Lock-In Amplifier: Noise detection

The lock-in amplifier is stable with the noise in the signal. To check this in experimental backgrounds, the two steps are carried out. First, the Fast Fourier Transform (FFT) of the signal with noise is measured in Fig. 20. As the signal-to-noise ratio (S/N) value increases, the FFT results get broadened from the frequency value of 0. The data shows that the FFT results in a frequency $600[kHz]$ have the same value regarding the S/N values. This step checks the noise generator can make pure random noises. If the noise is not pure enough, there must be a repeated amplitude by a certain time. The certain time must leave its trace in FFT results at the high-frequency tail, which the experiment never showed. Therefore, the noise is random enough to examine the lock-in detection stability. The oscilloscope FFT results can only move its frequency in $200[kHz]$ unit, I use $600[kHz]$ signal frequency to check the randomness. The assumption is used here that, the pureness of noise generator is not related to signal frequency a lot, which is a very valid assumption.

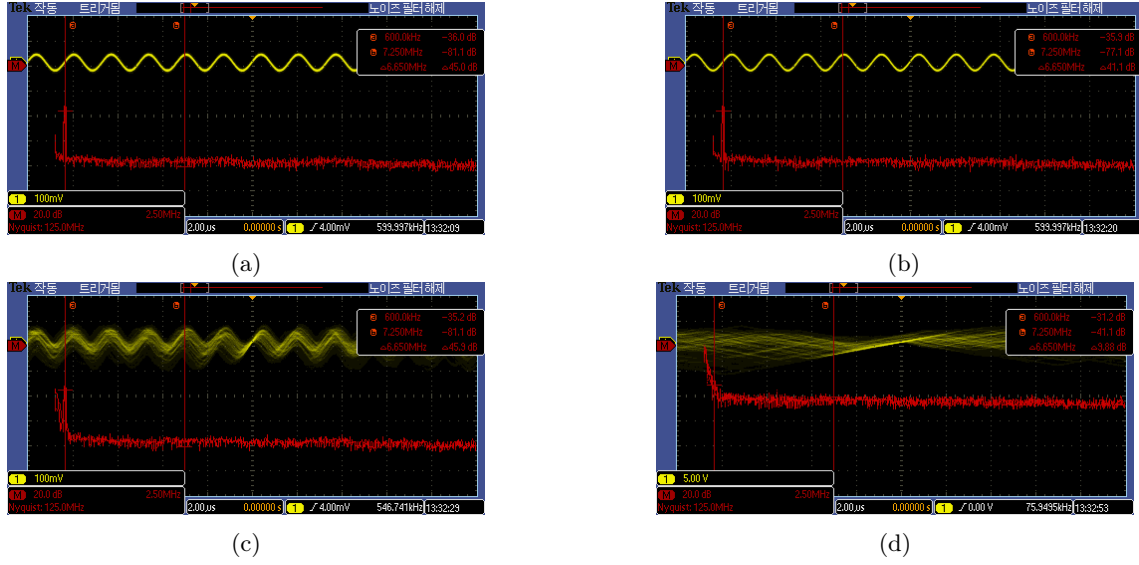


Figure 20: FFT results in S/N ratio (a) 0 (b) 10^{-4} (c) 10^{-2} (d) 1. The base signal frequency is 600 [kHz], the signal attenuator value is 1, and the gain is set to 1 in the preamplifier.

The second step of this experiment is to prove the lock-in detection stability of signal in differing S/N values. I also make two input channels in the oscilloscope in reference signal and phase shifted signal to directly measure the phase difference. Fig. 21 is the plot of signal results by phase in different S/N values. The plot and the data never change by the Noise amplitude, since the noise is never able to pass the lock-in detection filter. Fig. 22 is the statics of the fitting line in Fig. 21. The fitting function is used a quadratic equation, $a(\phi - \phi_{max})^2 + V_0$, with high accuracy. The maximum voltage occurs at the phase difference in ϕ_{max} , its value is stable by the S/N values. It is definite to be maximum at $\phi_{max} = 0^\circ$, since the two coherent sine functions will give low-frequency signal high, to be filtered after the low pass filter. Through this experiment, it is proved that the random noise does not affect the lock-in signal at all.

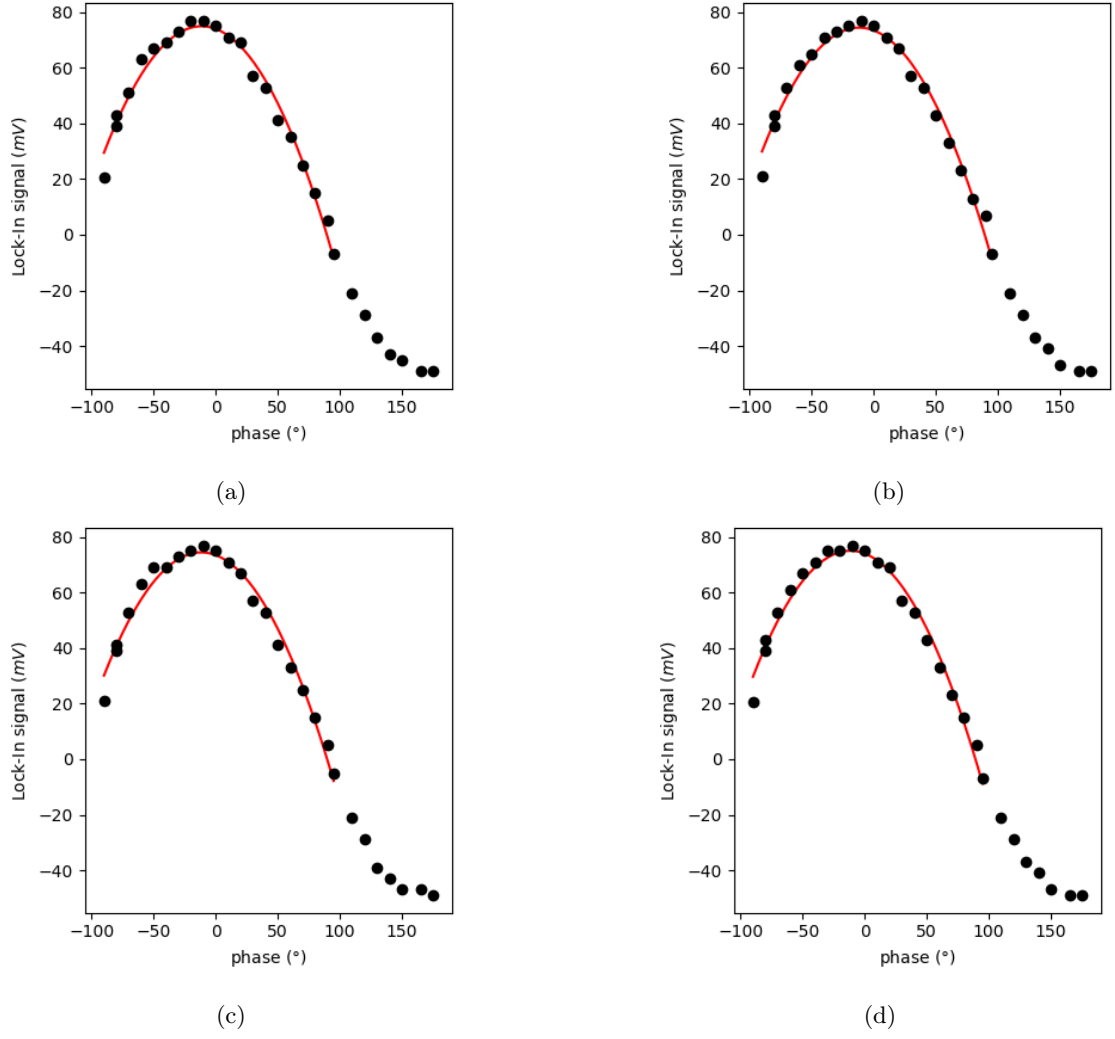


Figure 21: Lock-In detection results in S/N ratio (a) 0 (b) 10^{-4} (c) 10^{-3} (d) 10^{-2} . The base signal frequency is 20 [Hz], the dB/Oct value is 12, and the time constant value is 0.03 [s]. S/N 10^{-5} plot is uploaded but not attached here.

S/N	$\phi_{max} [^\circ]$	$a [mV/^\circ^2]$	$V_0 [mV]$	R^2
0	-11 ± 1	$(-7.35 \pm 0.01) \times 10^{-3}$	75 ± 1	0.979
10^{-5}	-12 ± 2	$(-7.35 \pm 0.03) \times 10^{-3}$	75 ± 2	0.973
10^{-4}	-12 ± 1	$(-7.27 \pm 0.03) \times 10^{-3}$	74 ± 2	0.980
10^{-3}	-12 ± 1	$(-7.23 \pm 0.01) \times 10^{-3}$	74 ± 2	0.977
10^{-2}	-12 ± 1	$(-7.4 \pm 0.1) \times 10^{-3}$	75 ± 2	0.980

Figure 22: The quadratic regression results in Fig. 21, the red line. $(signal) = a \times (\phi - \phi_{max})^2 + V_0$ is the specific definition of each parameter respectively.

3.6 Lock-In detection: DC offset stability

3.7 Lock-In detection: Hall effect

4 Summary

[5]

References

[1] someone. something.

[2] someone. something.

[3] someone. something.

[4] someone. something.

[5] someone. something.