

# Upper-Body Motion Mode Recognition Based on IMUs for a Dynamic Spine Brace

Pihsaia S. Sun, Jingeng Mai, Zhihao Zhou, Sunil Agrawal, and Qining Wang\*

**Abstract**—This paper presents an upper-body motion mode recognition method based on inertial measurement units (IMUs) using cascaded classification approaches and integrated machine learning algorithms. The proposed method is designed to be applied on a dynamic spine brace in the future to assess its usability. This study focuses on the problem of classifying upper-body motion modes by using four IMUs worn on the upper-body of the subjects. Six locomotion modes and ten locomotion transitions were investigated. A quadratic discriminant analysis (QDA) classifier and a support vector machine (SVM) classifier were deployed in our study. With selected cascade classification strategies, the system is demonstrated to achieve a satisfactory performance with an average of 96.77% (QDA) and 97.64% (SVM) recognition accuracy. The obtained results prove the effectiveness of the proposed method.

## I. INTRODUCTION

Wearable robotics is a promising and challenging field of robotic research. As rehabilitation is a key application of wearable robotics, orthoses and exoskeletons have gained increasing research interests recently [1]–[3]. Exoskeletons for rehabilitation have been developed in different types of mechanical structures, actuators and interfaces [1].

Generally, wearable exoskeletons are classified according to the human segments on which the robot kinematic chains are applied. Thus, robotic exoskeletons can be classified as upper limb, lower limb and full-body exoskeletons [4]. Although rehabilitation robots have different forms and functionality, most current research still focus mainly on limbs or full-body suits. There are only few studies concerning robotic spine exoskeletons. For example, a SpineCor brace was designed using a series of elastic straps to provide spine correction [5]. However, the force application of this brace is still passive and requires extensive training.

A dynamic spine brace was recently proposed by the Robotics and Rehabilitation Laboratory in Columbia University [6]. It is a wearable upper-body suit that can provide dynamic controlled forces on different regions of spine to help correct abnormal postures (shown in Fig. 1(a)). There is a potential need for this functionality in rehabilitation

of adolescents with idiopathic or neuromuscular scoliosis. Compared to passive spine braces that apply rigid and passive support, the dynamic spine brace is able to actively control the motion of different regions of the spine by using three rings where the motion of successive rings is controlled by using six parallel actuators. The novel design of the dynamic spine brace ensures its functionality in modifying the spine posture. In addition, it does not restrict mobility in the daily activities of the user.

However, for the dynamic spine brace, there are still challenges in achieving responsive human-robot interaction through this interface. One of the most difficult challenges is to provide the robotic system with sensors and appropriate software to allow it to respond to the environment, and react intuitively to human motion with both accuracy and immediacy. This is especially pertinent for situations where the interface must compensate with unexpected sudden changes in human intention. Therefore, motion mode recognition holds an important role in the high level decision making and this is one of the factors that may potentially differentiate and make this system more usable.

Recent studies have demonstrated human motion recognition techniques by using wearable sensors combined with machine learning algorithms. Inertial Measurement Units (IMUs) are widely used in upper-limb and lower-limb motion detection [7], [8]. Other studies have chosen surface electromyograph (sEMG), capacitive sensing or multi-sensor fusion strategies for lower-limb motion detection [9]–[11]. Though these studies have focused on different motion sensing methods, they are typically looking at motion of individual limbs instead of the complex coordinated motions of the upper-body. Only a few studies are addressing upper-body motion recognition, e.g. [12].

In this study, we utilized upper-body motion mode recognition methods in order to implement on the dynamic spine brace for improved active motion adaptation and response. Four IMUs were used to detect upper-body motions. We designed several upper-body motion tasks that can utilize up to sixteen locomotion modes. Three able-bodied subjects participated in the experiments. By using cascaded classification methods and integrated machine learning algorithms, an effective motion mode recognition method was designed which will be applied to the dynamic spine brace in the future.

The rest of this paper is organized as follows. Section II shows the method for upper body motion mode recognition. Section III shows the results and related discussion. We conclude in Section IV.

This work was supported by the National Natural Science Foundation of China (No. 91648207) and the National Key R&D Program of China (No. 2018YFF0300606). Additional funding was provided by Beijing Goodoing SpeedSmart, Co. Ltd. (Corresponding author: Qining Wang.)

P. S. Sun, J. Mai, Z. Zhou and Q. Wang are with the Robotics Research Group, College of Engineering, Peking University, Beijing 100871, China, with the Beijing Innovation Center for Engineering Science and Advanced Technology (BIC-ESAT), Peking University, China and also with the Beijing Engineering Research Center of Intelligent Rehabilitation Engineering, Beijing 100871, China. (e-mail: qiningwang@pku.edu.cn)

S. Agrawal is with the Robotics and Rehabilitation (ROAR) Laboratory, Department of Mechanical Engineering in Columbia University, New York, NY 10027, USA.



### C. Classification method

Cascaded classification methods combining with a quadratic discriminant analysis (QDA) classifier and a support vector machine (SVM) classifier were deployed for motion modes detection in this study (shown in Fig. 3). All data collected from the motion task experiment were divided into sixteen different modes of locomotion. First, the locomotion modes were divided into static and dynamic modes. When static mode and dynamic mode were discriminated, data of dynamic mode were imported for the next classification process. Two classifiers were trained here for the data of forward modes and the data of return modes. Finally, data sets of static mode were trained into 6 phases, and data sets of dynamic mode were trained into 5 phases respectively.

Among these sixteen modes, we categorized them into static mode and dynamic mode. The static mode refers to the phase when the subject's movement remains static, and the dynamic mode is when the subject stretches the arm and the return movement. In dynamic mode, we further distinguished the transition movement by its direction, which are forward and return. We eventually measured the data of 6 static modes and 10 locomotion transitions.

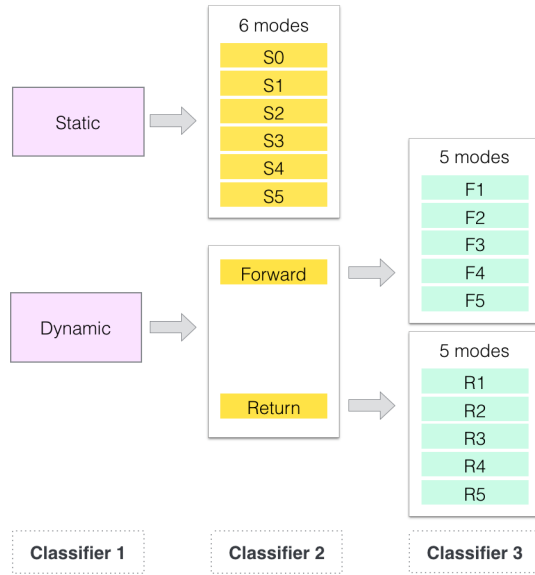


Fig. 3. Cascaded classification: (1)static and dynamic, (2)static modes and locomotion transitions, (3)forward modes and return modes.

### D. Evaluation methods

In this study, 10-fold leave-one-out cross validation (LOOCV) was used for the training and testing of the classifiers. In this procedure, data of one fold was used as the testing set, and the remaining data was used as the training set. The process was repeated ten times until all group data was used as testing set. In this analysis, the overall recognition error (RE) was defined as

$$RE = N_{min}/N_{total} \times 100\% \quad (1)$$

where  $N_{min}$  is the number of wrongly recognized testing data and  $N_{total}$  is the number of all testing data. Each ambulation mode was evaluated separately. In order to understand the recognition performance of each locomotion pattern, we used a confusion matrix to present the recognition results in our dynamic mode evaluation. The confusion matrix was defined as

$$C = \begin{pmatrix} c_{11} & c_{12} & \dots & c_{1m} \\ c_{21} & c_{22} & \dots & c_{2m} \\ \dots & \dots & \dots & \dots \\ c_{m1} & c_{m2} & \dots & c_{mm} \end{pmatrix} \quad (2)$$

where each element  $C_{ij}$  was defined as

$$C_{ij} = n_{ij}/n_i \times 100\% \quad (3)$$

$n_{ij}$  is the number of testing data in mode  $i$  but wrongly recognized as mode  $j$ .  $n_i$  is the total number of testing data in mode  $i$ .

## III. RESULTS AND DISCUSSION

### A. Cascaded classification

As cascaded classification methods were applied in this study, there were recognition results of sixteen modes in three classifiers: (1) static and dynamic, (2) static modes and locomotion transitions, and (3) forward modes and return modes. First, all data was classified as either static or dynamic mode; the data of static mode was then classified into its six designated modes. Meanwhile, another classification on data of dynamic mode was deployed to determine the locomotion transition modes based on the direction of the movement (forward and return). All data of forward motion mode was classified according to the direction of motion. The same method was applied to return motion mode as well. Recognition results were calculated by a 150-ms sliding window length for classification with QDA classifier and SVM classifier. The recognition performance in the first classifier was good, with an average  $98.04\% \pm 1.54$  recognition accuracy with QDA classifier, and  $98.90\% \pm 1.63$  recognition accuracy with SVM classifier. Compared with the first layer classification, it was more difficult to distinguish the locomotion transitions (forward and return) in the second classifier. In locomotion transition mode recognition, the average recognition accuracy was  $97.46\% \pm 1.14$  with QDA classifier and  $98\% \pm 1.47$  recognition accuracy with SVM classifier.

### B. Classification of each mode

In static mode recognition, the accuracy rate could reach up to 100%, which points out that all six static modes were recognized accurately. On the other hand, the results of each locomotion transition mode has a high accuracy with average  $99.98\% \pm 0.01$  and  $99.34\% \pm 0.38$  for forward transition mode and return transition mode, respectively. The recognition performance of each locomotion transition mode is shown in Table I and Table II. There were no errors in classification of right and left side motion. The reason why F4 had 0.14% misclassification error with QDA classifier and

0.63% misclassification error with SVM classifier was due to its adjacent location to F1. This reason also applied on the errors of R1 as R3 (2.63% with QDA classifier and 0.61% with SVM classifier), and R5 as R4 (1.92% error rate).

TABLE I  
RECOGNITION ACCURACY COMFUSION MATRIX

Forward	F1	F2	F3	F4	F5
F1	100%	0%	0%	0%	0%
F2	0%	100%	0%	0%	0%
F3	0%	0%	100%	0%	0%
F4	0.14%	0%	0%	99.86%	0%
F5	0%	0%	0%	0%	100%
Return	R1	R2	R3	R4	R5
R1	97.37%	0%	2.63%	0%	0%
R2	0%	100%	0%	0%	0%
R3	0%	0	100%	0%	0%
R4	0%	0%	0%	100%	0%
R5	0%	0%	0%	1.92%	98.08%

TABLE II  
RECOGNITION ACCURACY COMFUSION MATRIX WITH SVM  
CLASSIFIER

Forward	F1	F2	F3	F4	F5
F1	100%	0 %	0 %	0 %	0%
F2	0%	100%	0%	0 %	0%
F3	0%	0 %	100%	0%	0%
F4	0.63 %	0 %	0%	99.37%	0%
F5	0%	0 %	0 %	0 %	100%
Return	R1	R2	R3	R4	R5
R1	99.39%	0%	0.61%	0%	0%
R2	0 %	100%	0%	0 %	0%
R3	0 %	0 %	100%	0%	0%
R4	0 %	0 %	0%	100%	0%
R5	0%	0 %	0%	0%	100%

### C. Overall recognition accuracy

The results of recognition accuracy of each mode of locomotion and transitions were satisfactory (100% in static mode,  $99.98\% \pm 0.01$  in forward mode and  $99.34\% \pm 0.38$  in return mode). The recognition accuracy of static and dynamic modes was  $98.04\% \pm 1.54$  (QDA) and  $98.90\% \pm 1.63$  (SVM). The recognition accuracy of locomotion transition modes was  $97.46\% \pm 1.14$  (QDA) and  $98\% \pm 1.47$  (SVM). Since a three-layer cascaded classifier was applied, the overall recognition accuracy was calculated by gathering the final results of each mode. The recognition accuracy of three-cascade classification was  $96.77\% \pm 1.25$  with QDA classifier and  $97.64\% \pm 2.08$  with SVM classifier. Results show that using SVM classifiers could boost the recognition accuracy, even though the recognition accuracy was slightly higher than the recognition accuracy from QDA classifier. However, it required much longer time in training models for SVM classifier (compared to QDA classifier) due to its complexity of the algorithm. To sum up, our experimental

results show that our improved recognition method obtained a high accuracy in upper-body motion mode recognition.

## IV. CONCLUSION

Upper-body motion mode recognition is an important consideration in the use of a robotic spine exoskeleton. Motivated by mobility and safety of human motion, we utilized an integrated motion sensing method with improved machine learning techniques that can be applied on a dynamic spine brace to enhance its usability. Six locomotion modes and ten locomotion transitions were investigated. With selected cascade classification strategies, the system achieved good recognition performance among these sixteen modes. The overall recognition accuracy were  $96.77\% \pm 1.25$  and  $97.64\% \pm 2.08$  corresponding to QDA classifier and SVM classifier. Future work will focus on real-time motion mode recognition and integration of the proposed method in the actual control of the dynamic spine brace. More upper-body motion recognition experiments will be conducted with different parameters and sensing systems.

## REFERENCES

- [1] T. Yan, M. Cempini, C. M. Oddo, and N. Vitiello, "Review of assistive strategies in powered lower-limb orthoses and exoskeletons," *Robotics and Autonomous Systems*, vol. 64, pp. 120-136, 2015.
- [2] A. J. Young and D. P. Ferris, "State of the art and future directions for lower limb robotic exoskeletons," *IEEE Transactions on Neural Systems and Rehabilitation Engineering*, Vol. 25, no. 2, pp. 171-182, 2017.
- [3] A. M. Dollar and H. Herr, "Lower extremity exoskeletons and active orthoses: challenges and state-of-the-art," *IEEE Trans. Robot.*, vol. 24, no. 1, pp. 144-158, 2008.
- [4] E. Rocon, A. F. Ruiz, R. Raya, A. Schiele, and J. L. Pons, Human-Robot Physical Interaction, *Wearable Robots: Biomechatronic Exoskeletons.*, pp. 127-163, 2009.
- [5] M. S. Wong, J. C. Cheng, T. P. Lam, B. K. Ng, S. W. Sin, S. L. Lee-Shum, D. H. Chow, and S. Y. Tam, "The effect of rigid versus flexible spinal orthosis on the clinical efficacy and acceptance of the patients with adolescent idiopathic scoliosis," *Spine*, vol. 33, no. 12, pp. 1360-1365, 2008.
- [6] J. H. Park, P. Stegall, and S. K. Agrawal, "Dynamic brace for correction of abnormal postures of the human spine," *Proc. of the IEEE International Conference on Robotics and Automation*, 2015, pp. 5922-5927.
- [7] N. Ahmad, R. A. R. Ghazilla, N. M. Khairi, and V. Kasi, "Reviews on various inertial measurement unit (IMU) sensor applications," *International Journal of Signal Processing Systems.*, vol. 1, no. 2, pp. 256-262, 2013.
- [8] A. J. Young, A. M. Simon, and L. J. Hargrove, "A training method for locomotion mode prediction using powered lower limb prostheses," *IEEE Trans. Neur. Syst. Reh. Eng.*, vol. 22, no. 3, pp. 671-677, 2014.
- [9] E. Zheng, L. Wang, K. Wei, and Q. Wang, "A noncontact capacitive sensing system for recognizing motion modes of transtibial amputees," *IEEE Trans. Biomed. Eng.*, vol. 61, no. 12, pp. 2911-2920, 2014.
- [10] H. Huang, F. Zhang, L. J. Hargrove, Z. Dou, D. R. Rogers, and K. B. Englehart, "Continuous locomotion-mode identification for prosthetic legs based on neuromuscular-mechanical fusion," *IEEE Trans. Biomed. Eng.*, vol. 58, no. 10, pp. 2867-2875, 2011.
- [11] L. J. Hargrove, A. M. Simon, A. J. Young, R. D. Lipschutz, S. B. Finucane, D. G. Smith, and T. A. Kuiken, "Robotic leg control with EMG decoding in an amputee with nerve transfers," *New Engl. J. Med.*, vol. 369, no. 13, pp. 1237-1242, 2013.
- [12] J. Cheng, O. Amft, and P. Lukowicz, "Active capacitive sensing: Exploring a new wearable sensing modality for activity recognition," *International Conference on Pervasive Computing*, 2010.