

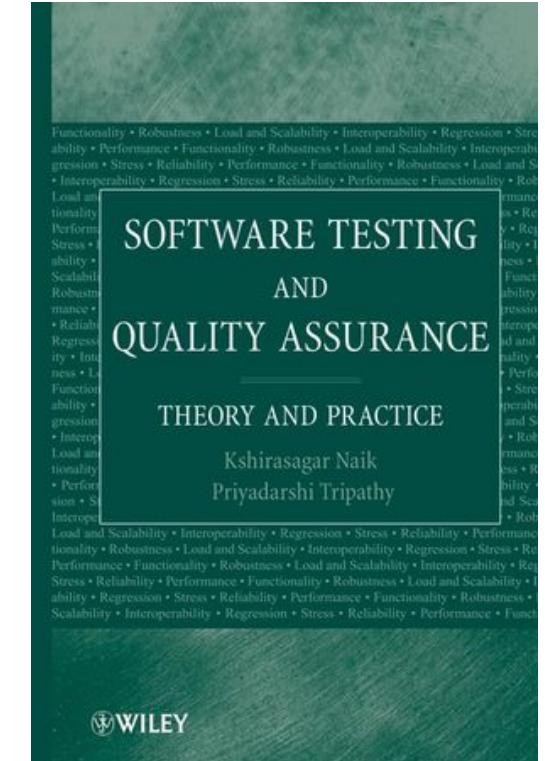
# Software Testing

ETSN20

<http://cs.lth.se/etsn20>

Chapter 4, 5, 3.5

Prof. Per Runeson



# Lecture

- White-box testing techniques (Lab 1)
  - Control flow (Chapter 4)
  - Data flow (Chapter 5)
- Mutation testing (Section 3.5)



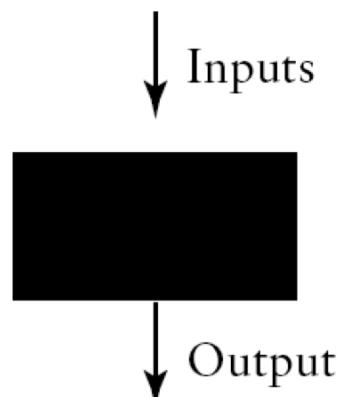
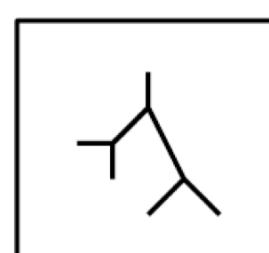
# Why Test Case Design Techniques?

- Exhaustive testing (use of all possible inputs and conditions) is impractical
  - Must use a subset of all possible test cases
  - Must have high probability of detecting faults
- Need processes that help us selecting test cases
  - Different people – equal probability to detect faults
- Effective testing – detect more faults
  - Focus attention on specific types of faults
  - Know you're testing the right thing
- Efficient testing – detect faults with less effort
  - Avoid duplication
  - Systematic techniques are measurable and repeatable



# Basic Testing Strategies



Test Strategy	Tester's View	Knowledge Sources	Methods
Black box	 A large black rectangle representing a black box. An arrow labeled "Inputs" points into the top of the box, and an arrow labeled "Outputs" points out from the bottom of the box.	Requirements document Specifications Domain knowledge Defect analysis data	Equivalence class partitioning Boundary value analysis State transition testing Cause and effect graphing Error guessing
White box	 A white rectangle containing a control flow graph (CFG) with several nodes connected by lines representing branches.	High-level design Detailed design Control flow graphs Cyclomatic complexity	Statement testing Branch testing Path testing Data flow testing Mutation testing Loop testing



# Black-Box vs. White-Box



- External/user view:
  - Check conformance with specification
- Abstraction from details:
  - Source code not needed
- Scales up:
  - Different techniques at different levels of granularity

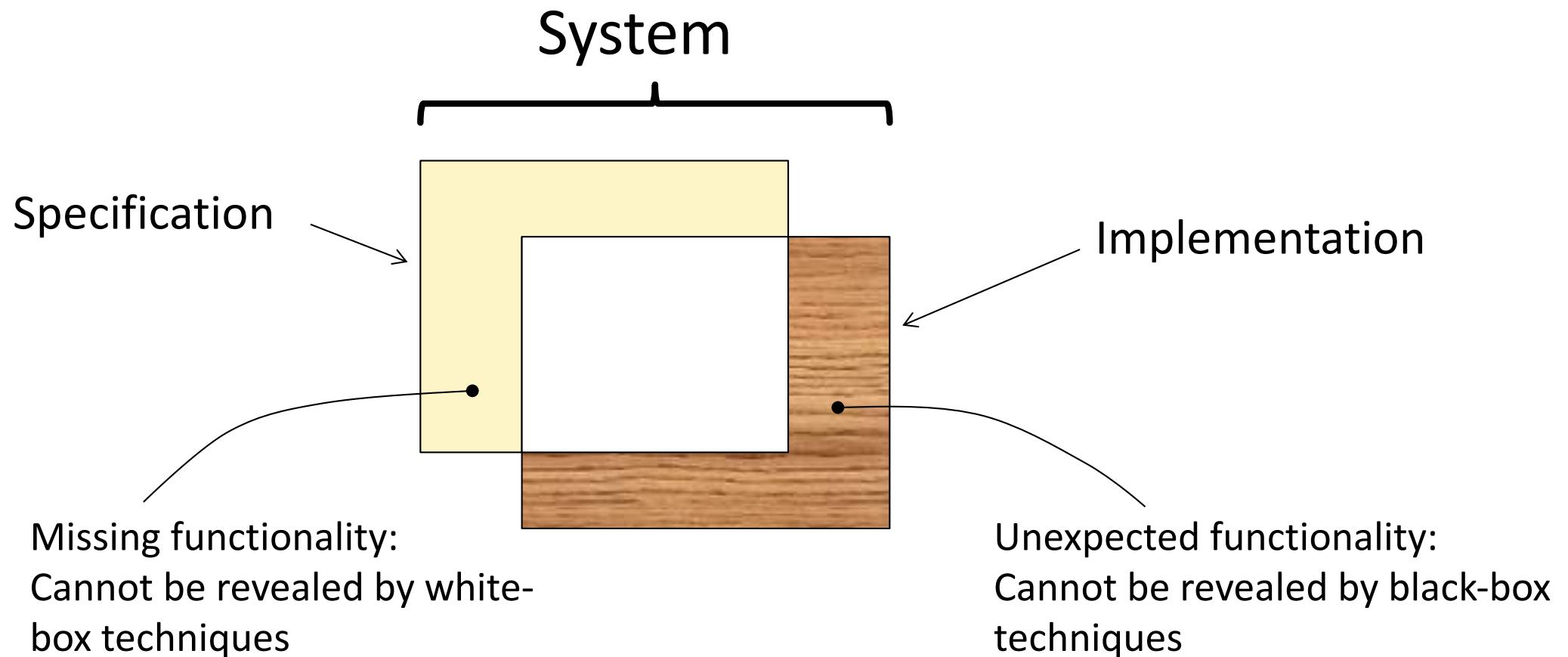
USE

- Internal/developer view:
  - Allows tester to be confident about test coverage
- Based on control or data flow:
  - Easier debugging
- Does not scale up:
  - Mostly applicable at unit and integration testing levels

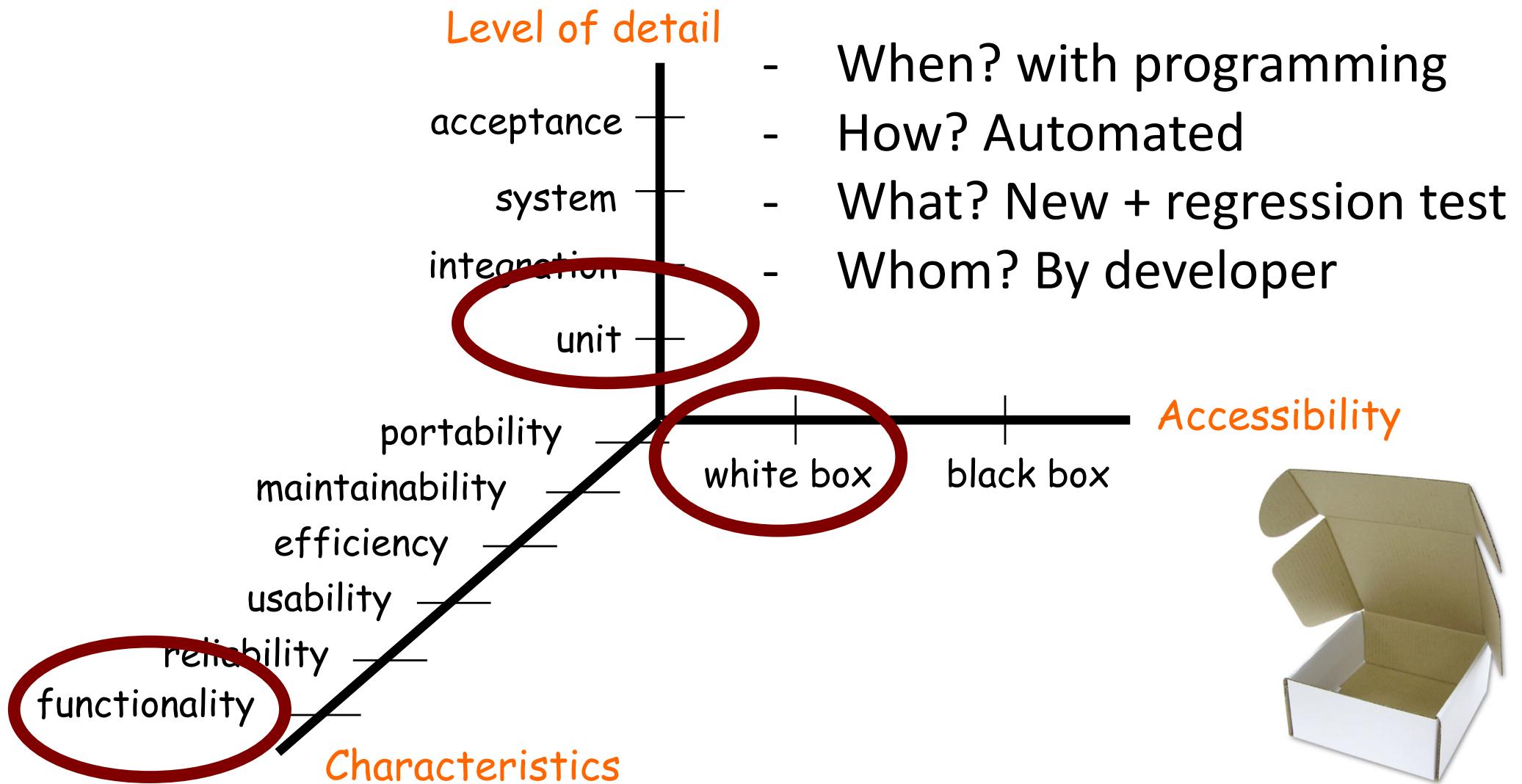
BOTH!



# Black-Box vs. White-Box

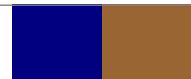


# Types of Testing



# Control Flow Graph (CFG)

- Structurally, a path is a sequence of statements in a program unit
- Semantically, it is an execution instance of the unit
- For a given set of input data, the program unit executes a certain path.



# CFG symbols

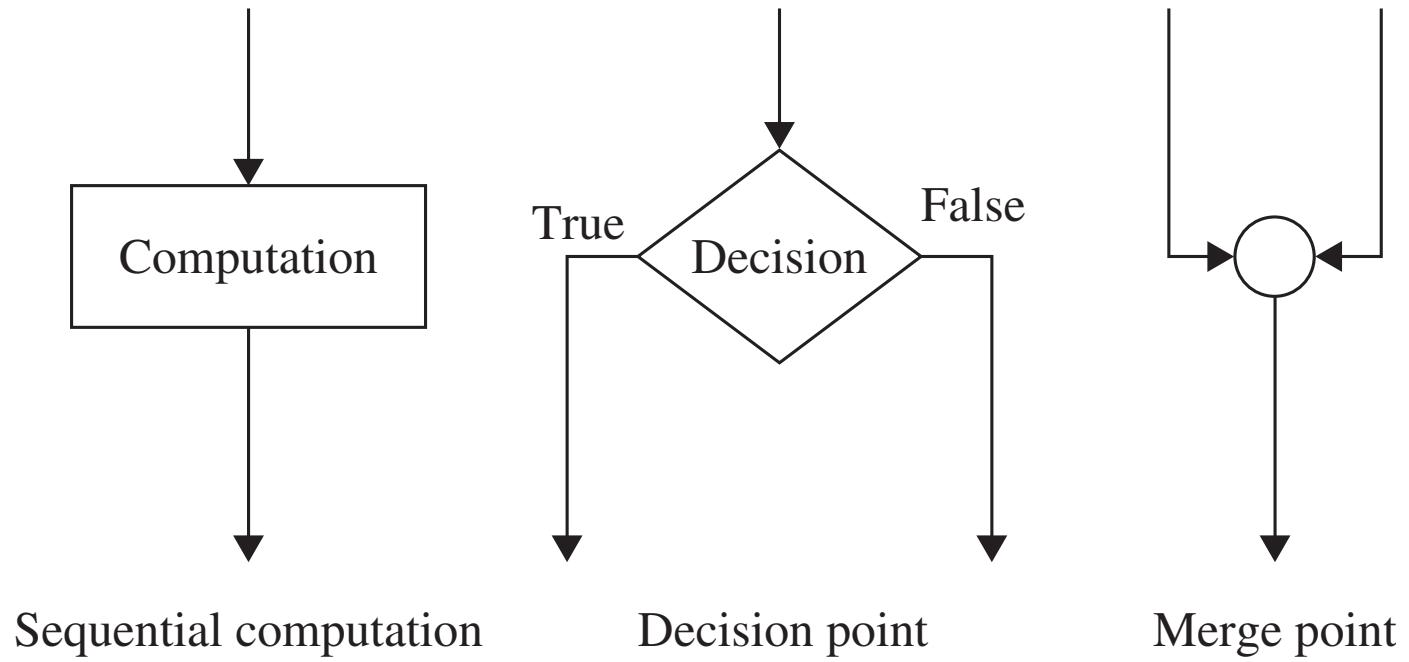
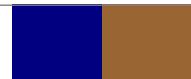


Figure 4.2 Symbols in a CFG.



```
FILE *fptr1, *fptr2, *fptr3; /* These are global variables. */

int openfiles(){
/*
    This function tries to open files "file1", "file2", and
    "file3" for read access, and returns the number of files
    successfully opened. The file pointers of the opened files
    are put in the global variables.
*/
    int i = 0;
    if(
        ((( fptr1 = fopen("file1", "r") ) != NULL) && (i++)  

                                     && (0)) ||  

        ((( fptr2 = fopen("file2", "r") ) != NULL) && (i++)  

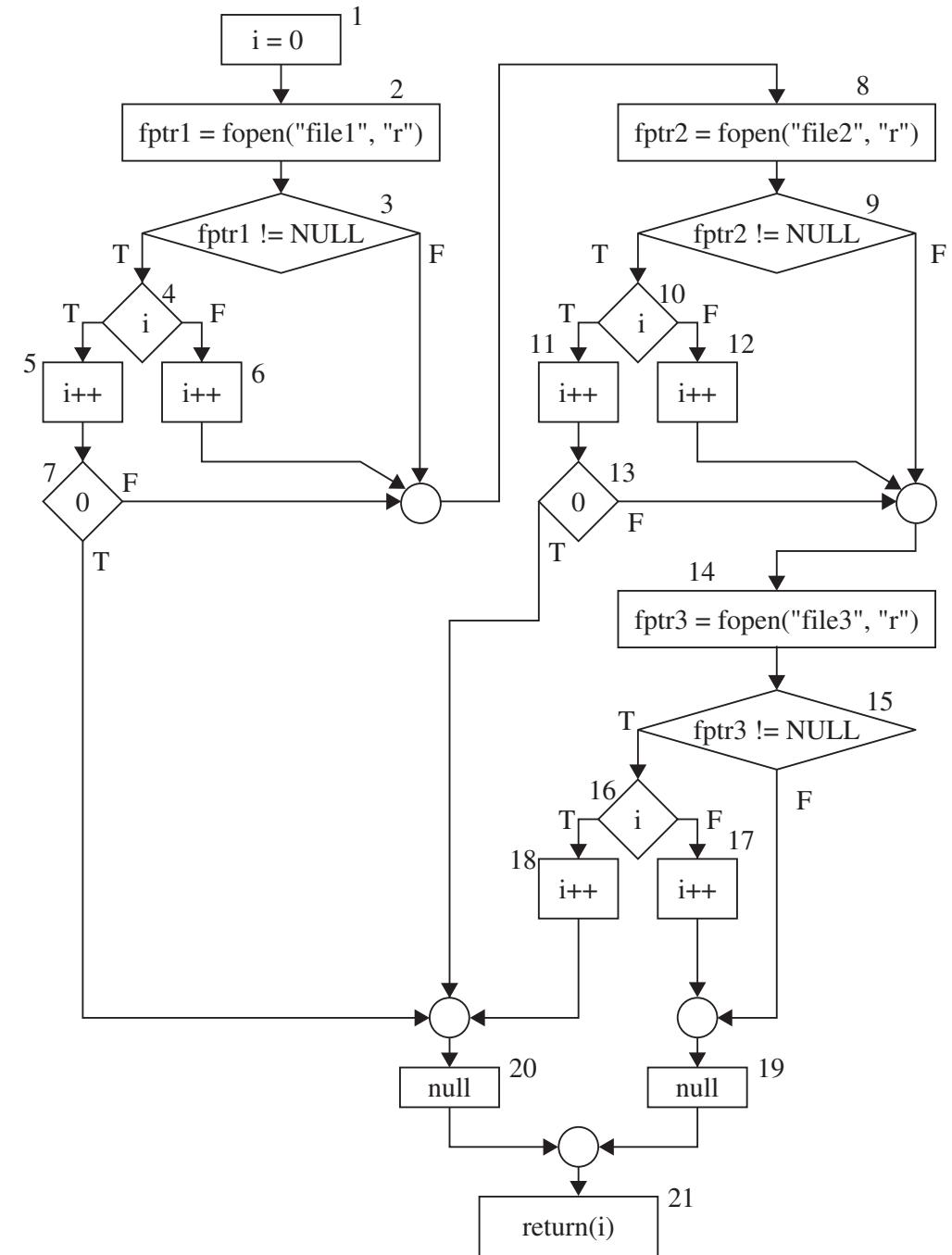
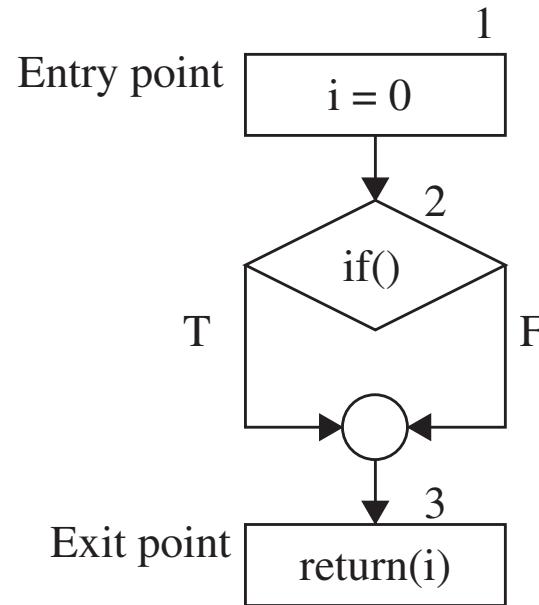
                                     && (0)) ||  

        ((( fptr3 = fopen("file3", "r") ) != NULL) && (i++) )
    );
    return(i);
}
```

Fig 4.3

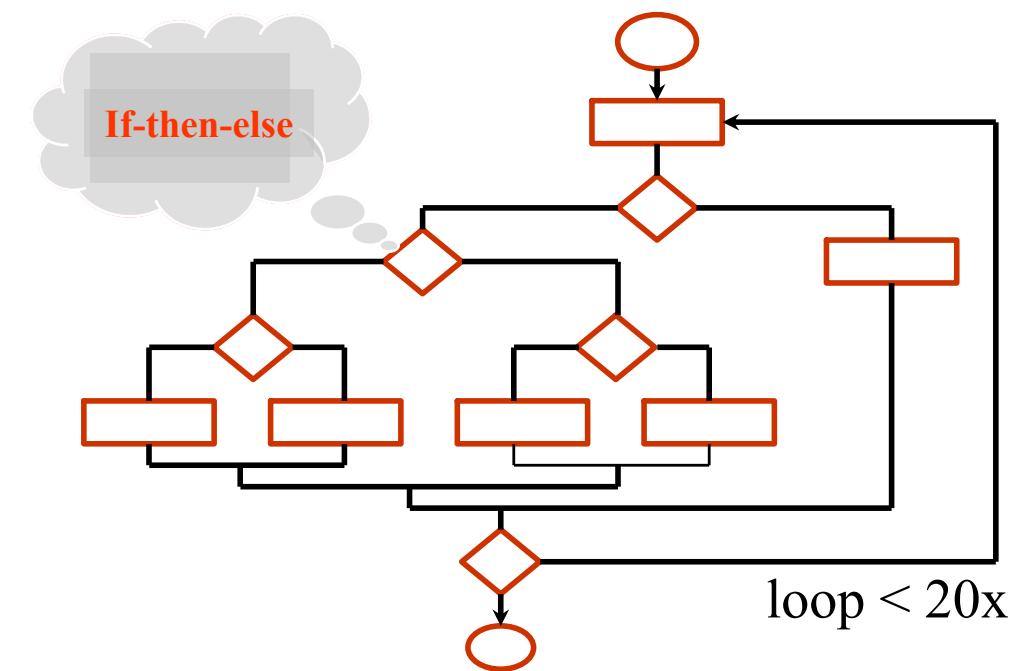


# Example CFG (Fig 4.4. vs 4.5)

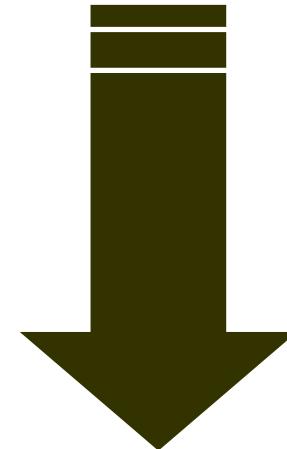


# White-Box Testing (Ch 4, 5)

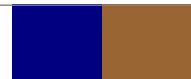
## Exhaustive Testing



There are many possible paths!  
 $5^{20}$  ( $\sim 10^{14}$ ) different paths

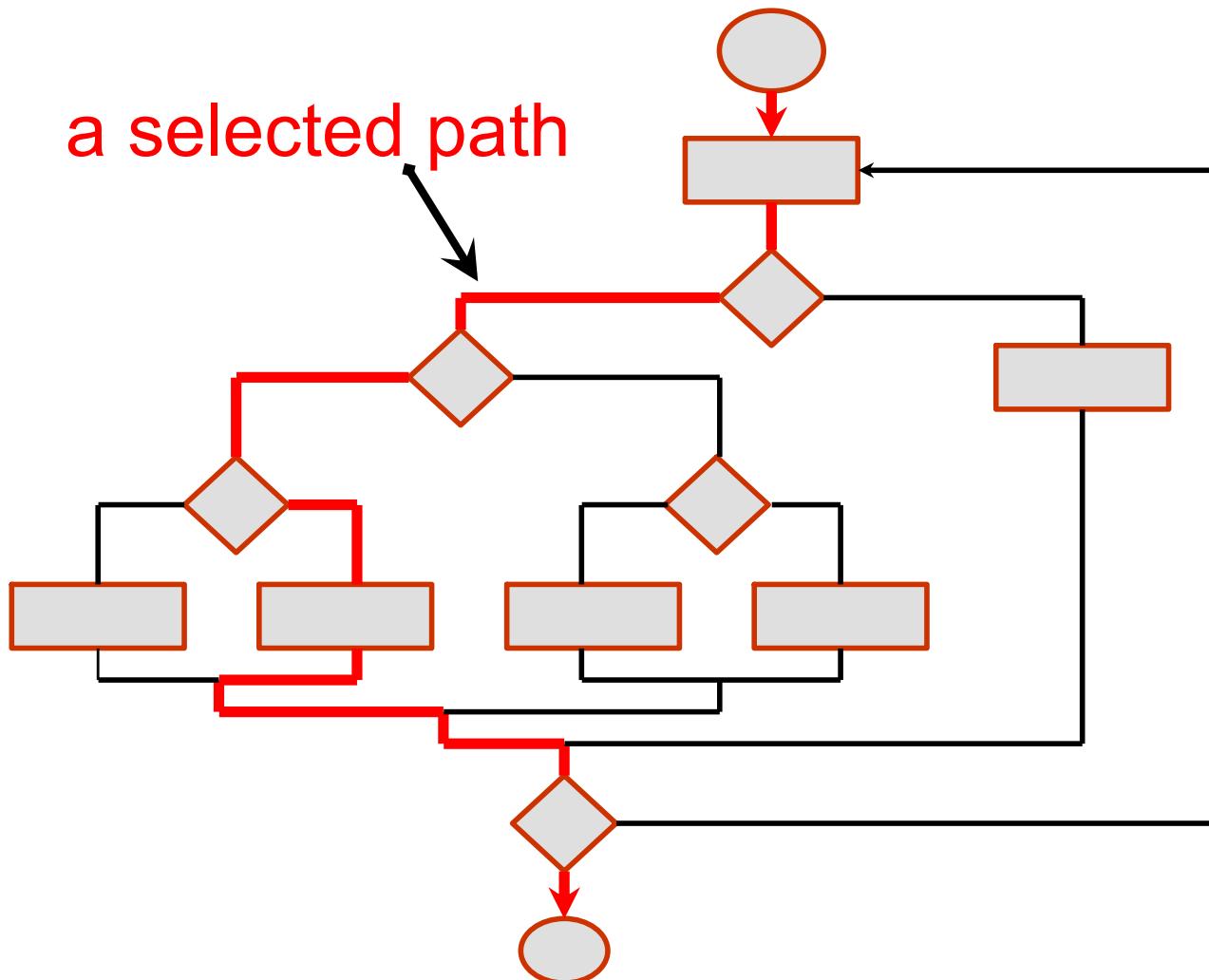


Selective Testing

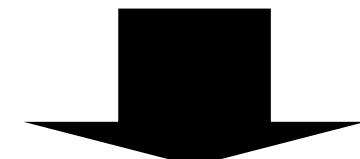


# Selective White-box Testing

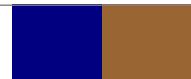
a selected path



**Selective:**



- ✓ Control flow testing
- ✓ Data flow testing
- ✓ Loop testing
- ✓ Fault-based testing



# Work process

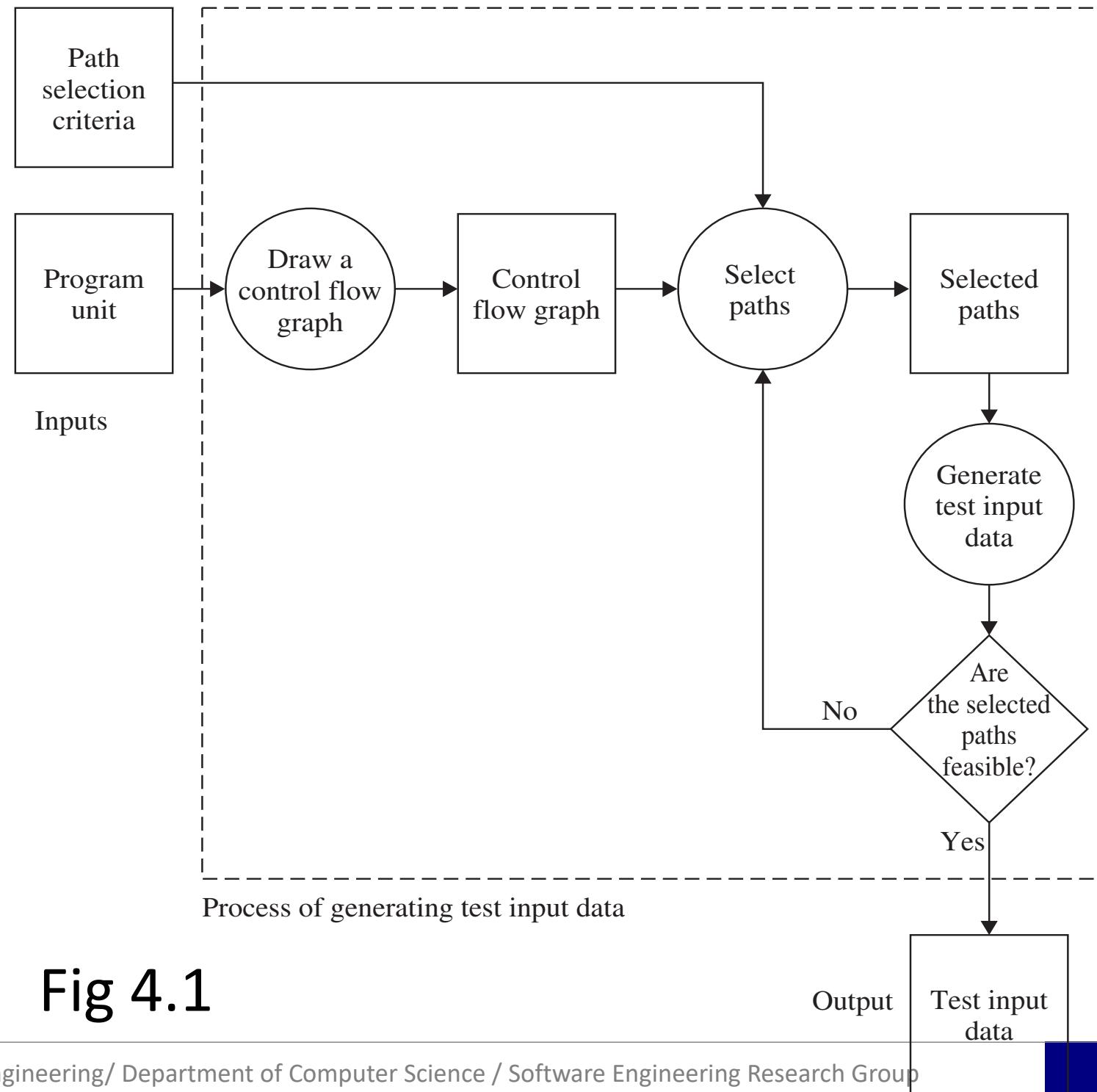


Fig 4.1

# Path selection criteria

- All paths
- Statement coverage
- Branch coverage
- Predicate coverage



# A Word of Warning

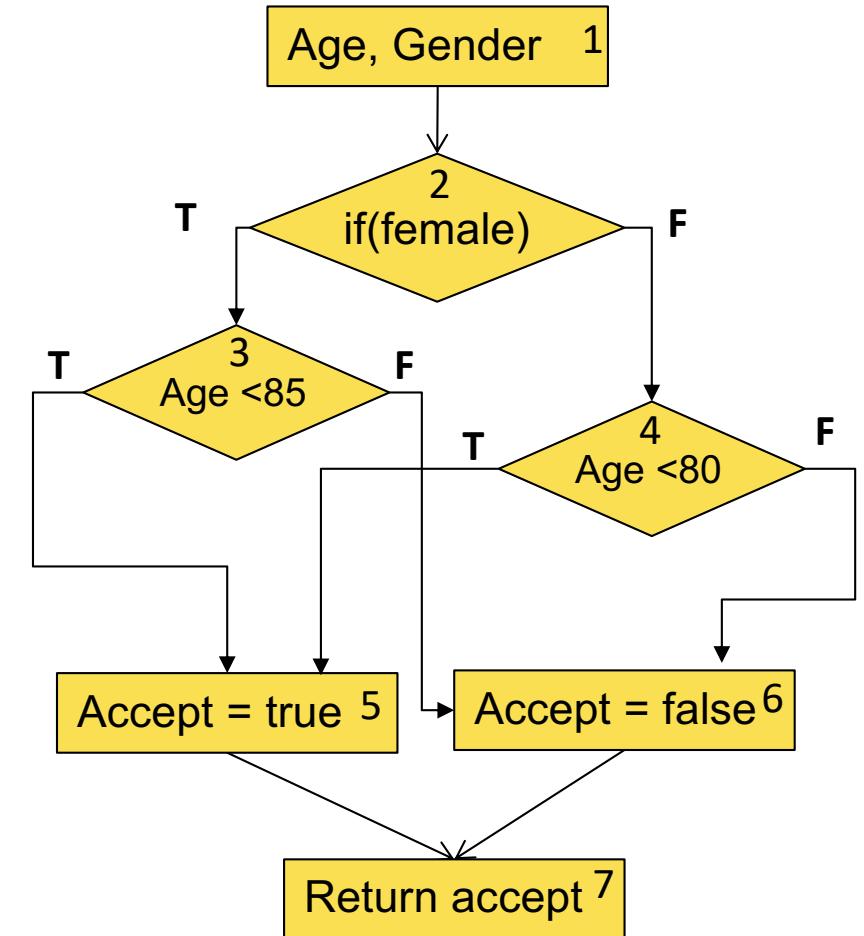
- First, coverage criteria satisfaction *alone is a poor* indication of test suite effectiveness.
- Second, the use of structural coverage as a *supplement*—not a target—for test generation can have a positive impact.

G. Gay, M. Staats, M. Whalen and M. P. E. Heimdahl, "The Risks of Coverage-Directed Test Case Generation," in *IEEE Transactions on Software Engineering*, vol. 41, no. 8, pp. 803-819, Aug. 1 2015.  
doi: 10.1109/TSE.2015.2421011



# Life Insurance Example

```
bool AccClient (ageType  
    age; genderType gender)  
bool accept  
if (gender==female)  
    accept := age < 85;  
else  
    accept := age < 80;  
return accept
```



# All paths

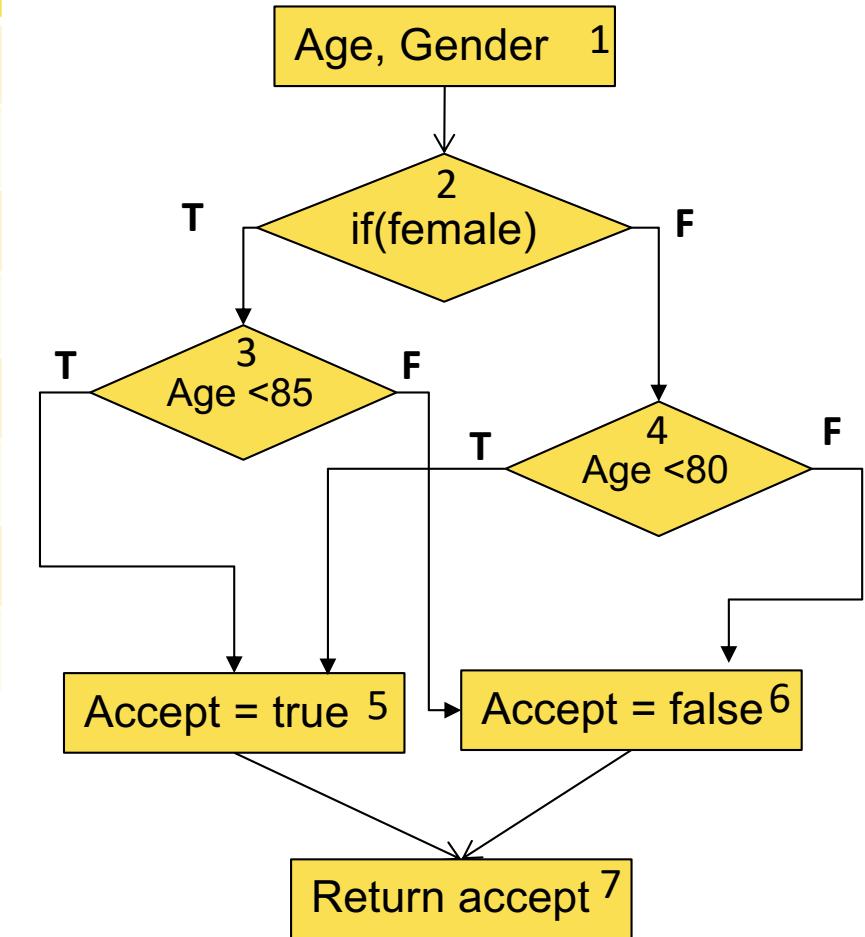
Female	Age < 85	Age < 80
Yes	Yes	Yes
Yes	Yes	No
<del>Yes</del>	<del>No</del>	<del>Yes</del>
Yes	No	No
No	Yes	Yes
No	Yes	No
<del>No</del>	<del>No</del>	<del>Yes</del>
No	No	No

<Yes, Yes, \*> 1-2(T)-3(T)-5-7

<Yes, No, No> 1-2(T)-3(F)-6-7

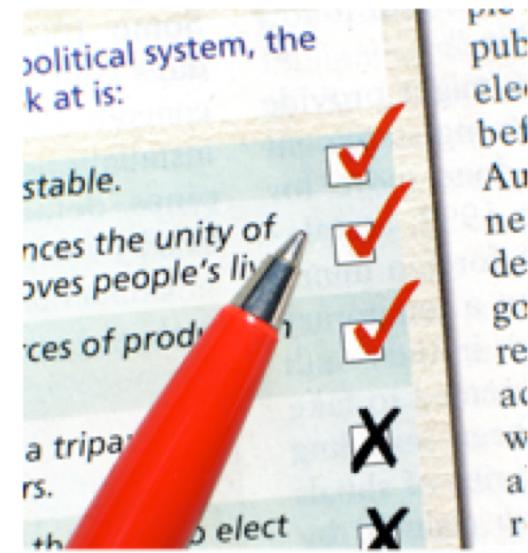
<No, Yes, Yes> 1-2(F)-4(T)-5-7

<No, \*, No> 1-2(F)-4(F)-6-7



# Statement Coverage

- Execute each statement at least once
- A possible concern may be:
  - Dead code

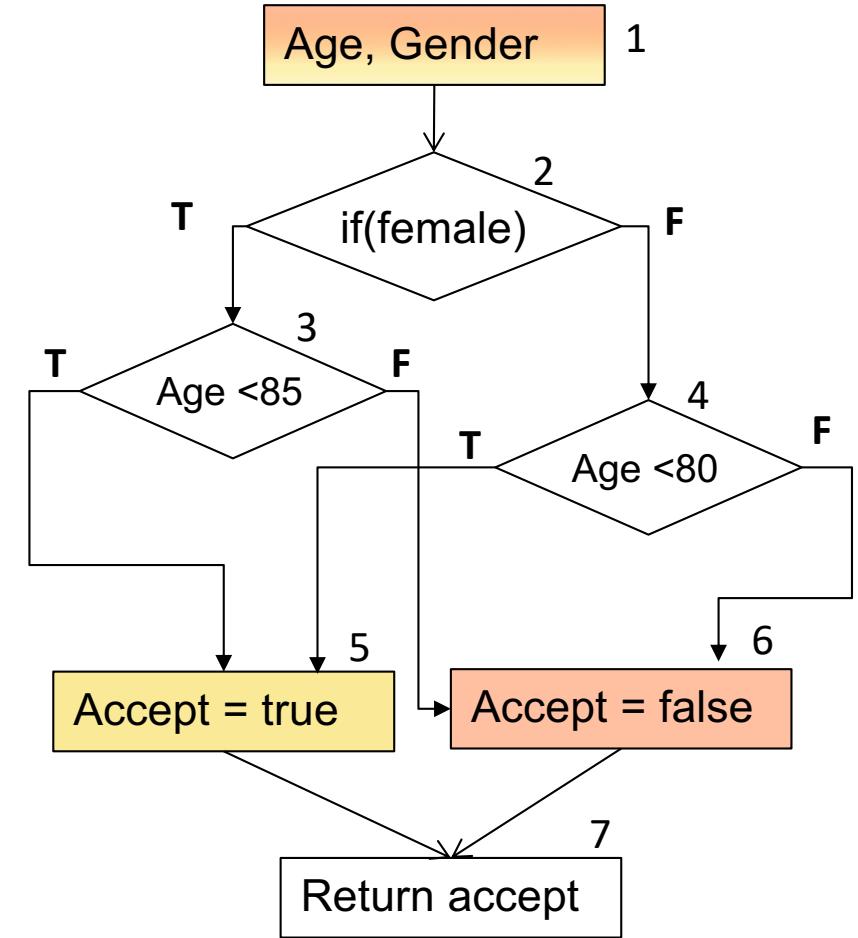


# Statement Coverage

```
bool AccClient (ageType  
    age; genderType gender)  
bool accept  
if (gender==female)  
    accept := age < 85;  
else  
    accept := age < 80;  
return accept
```

AccClient(83, female) -> accept

AccClient(83, male) -> reject



# Branch Coverage

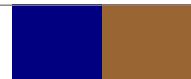
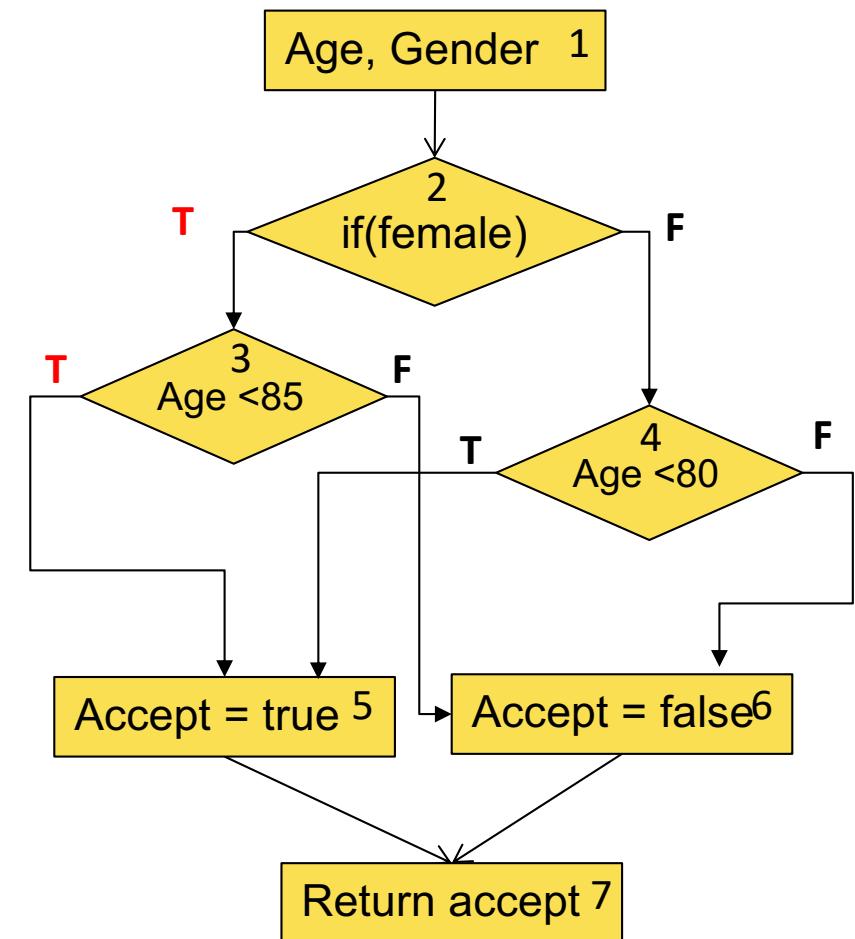
- Tests cover **each decision element** in the code with **all possible outcomes**
- A decision element in a program may be:
  - If-then
  - Case
  - Loop



# Branch Coverage /1

AccClient(83,  
female)->accept

```
bool AccClient (agetypage;  
                gndrtype gender)  
bool accept  
if(gender=female)  
    accept := age < 85;  
else  
    accept := age < 80;  
return accept
```

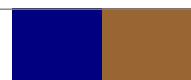
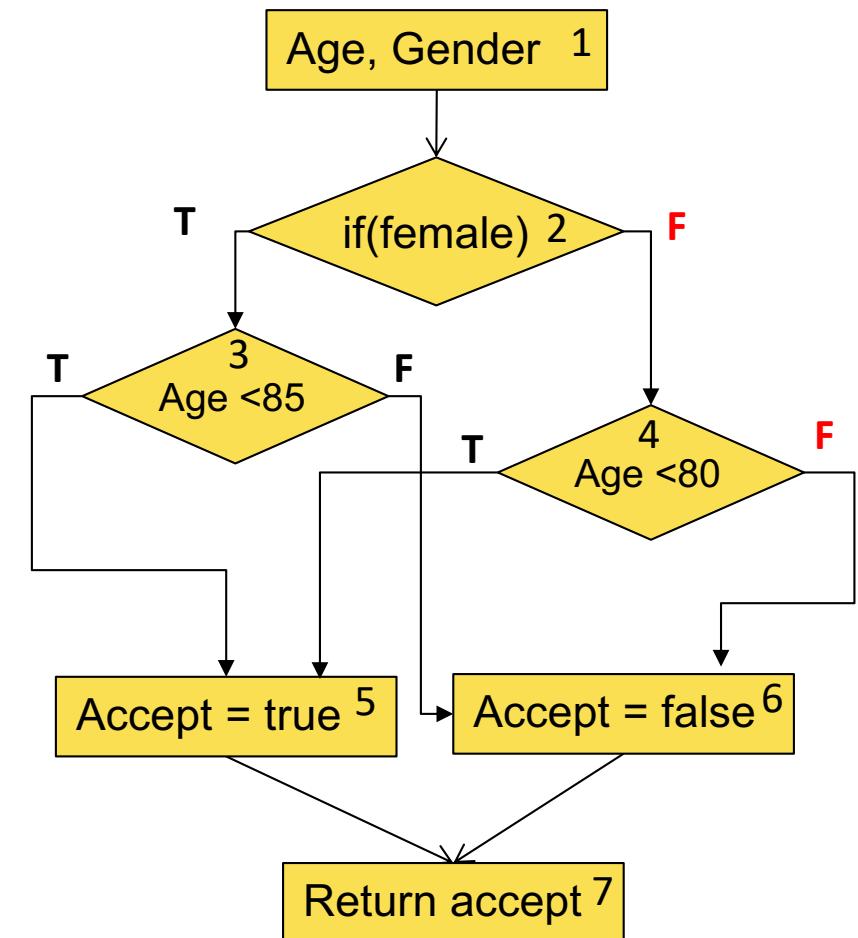


## Branch Coverage /2

AccClient(83, male)

->reject

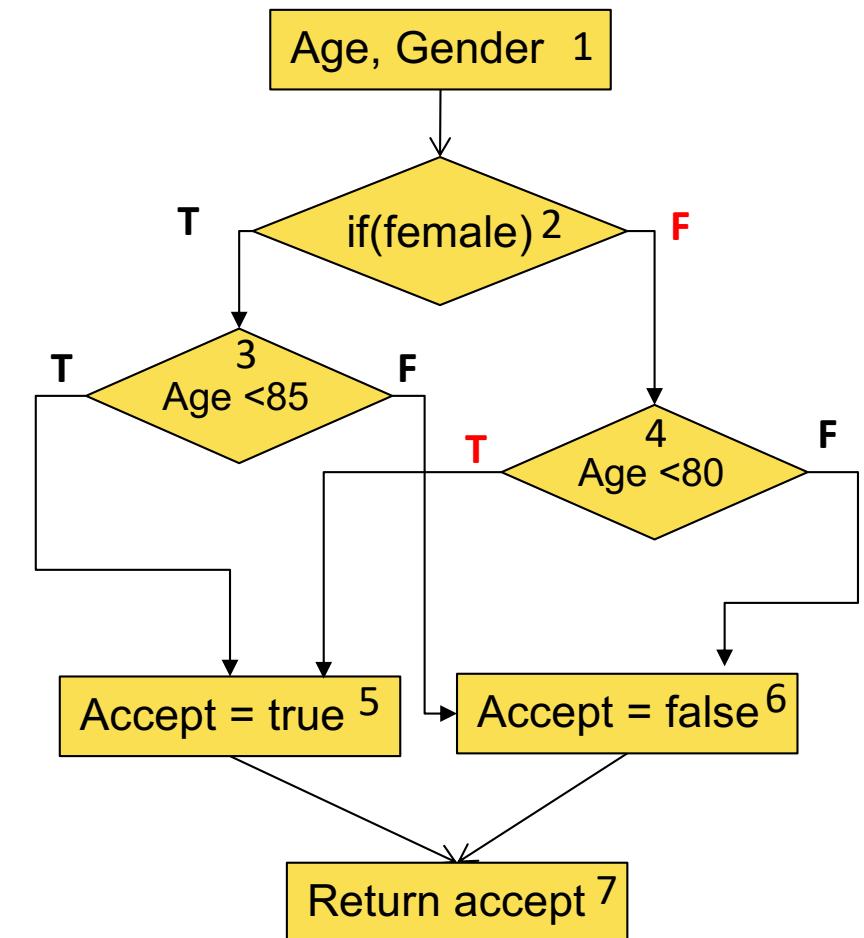
```
bool AccClient (agetype  
    age; gndrtype gender)  
bool accept  
if(gender=female)  
    accept := age < 85;  
else  
    accept := age < 80;  
false  
return accept
```



## Branch Coverage /3

AccClient(78, male)-  
>accept

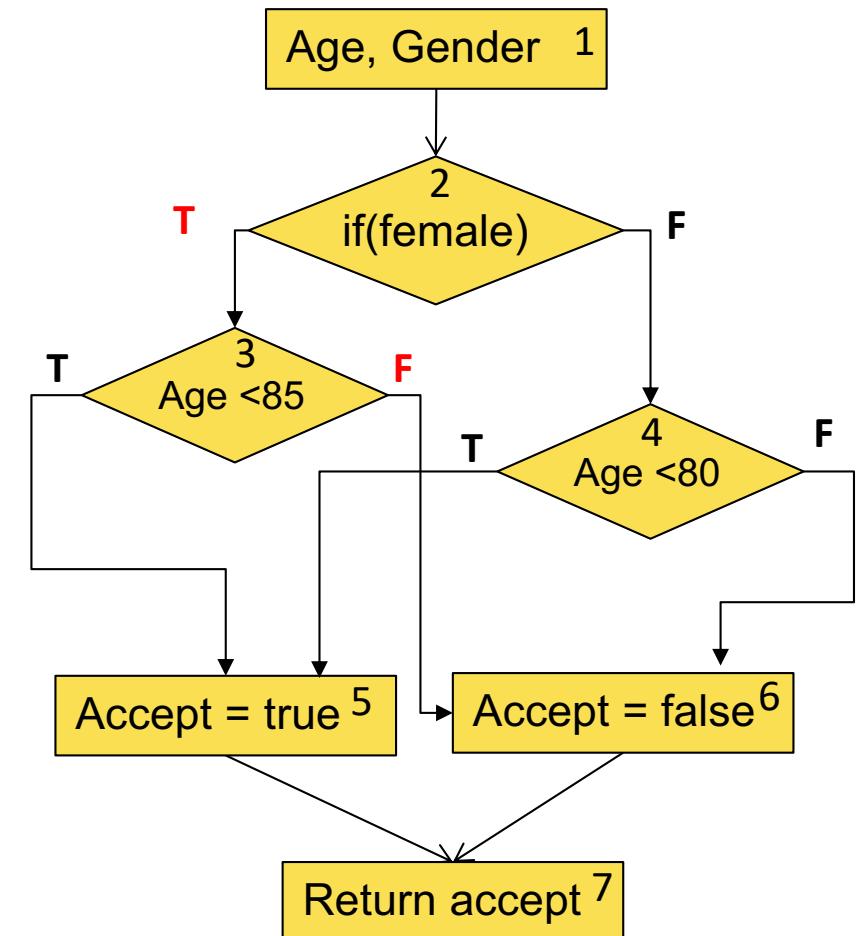
```
bool AccClient (agetype  
    age; gndrtype gender)  
bool accept  
if(gender=female)  
    accept := age < 85;  
else  
    accept := age < 80;  
return accept
```



## Branch Coverage /4

AccClient(88,  
female) ->reject

```
bool AccClient (agetypage;  
                gndrtype gender)  
bool accept  
if (gender=female)  
    accept := age < 85;  
else  
    accept := age < 80;  
return accept
```



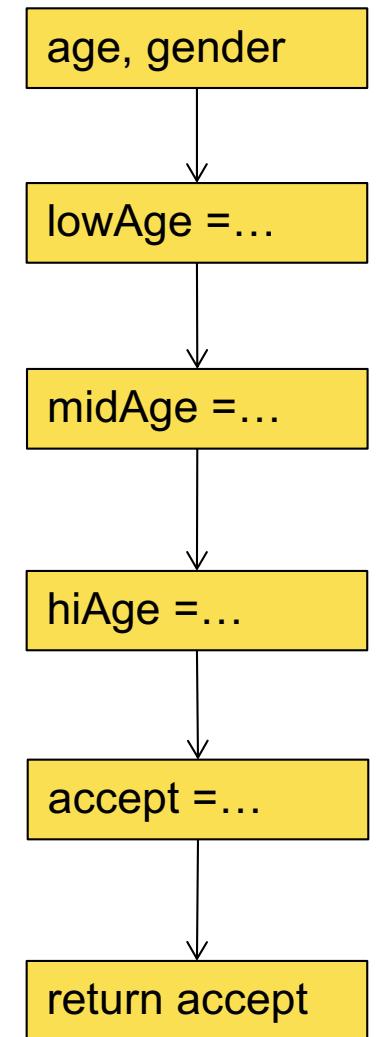
# Predicate Coverage

- Tests cover **each predicate** in the code with **all possible conditions**



# Predicate Coverage

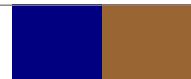
```
bool AccClient (agetype age;  
                gndrtype gender)  
bool loAge, midAge, hiAge  
lowAge := age < 80  
midAge := age >= 80 and age < 85  
hiAge := age >= 85  
accept := lowAge or  
(gender=female and midAge)  
return accept
```



# Exercise

Define test cases for path coverage and branch coverage for this implementation

```
bool AccClient (agetype age;  
                gndrtype gender)  
bool lowAge, midAge, hiAge  
lowAge := age < 80  
midAge := age >= 80 and age < 85  
hiAge := age >= 85  
accept := lowAge or  
(gender=female and midAge)  
return accept
```



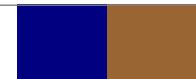
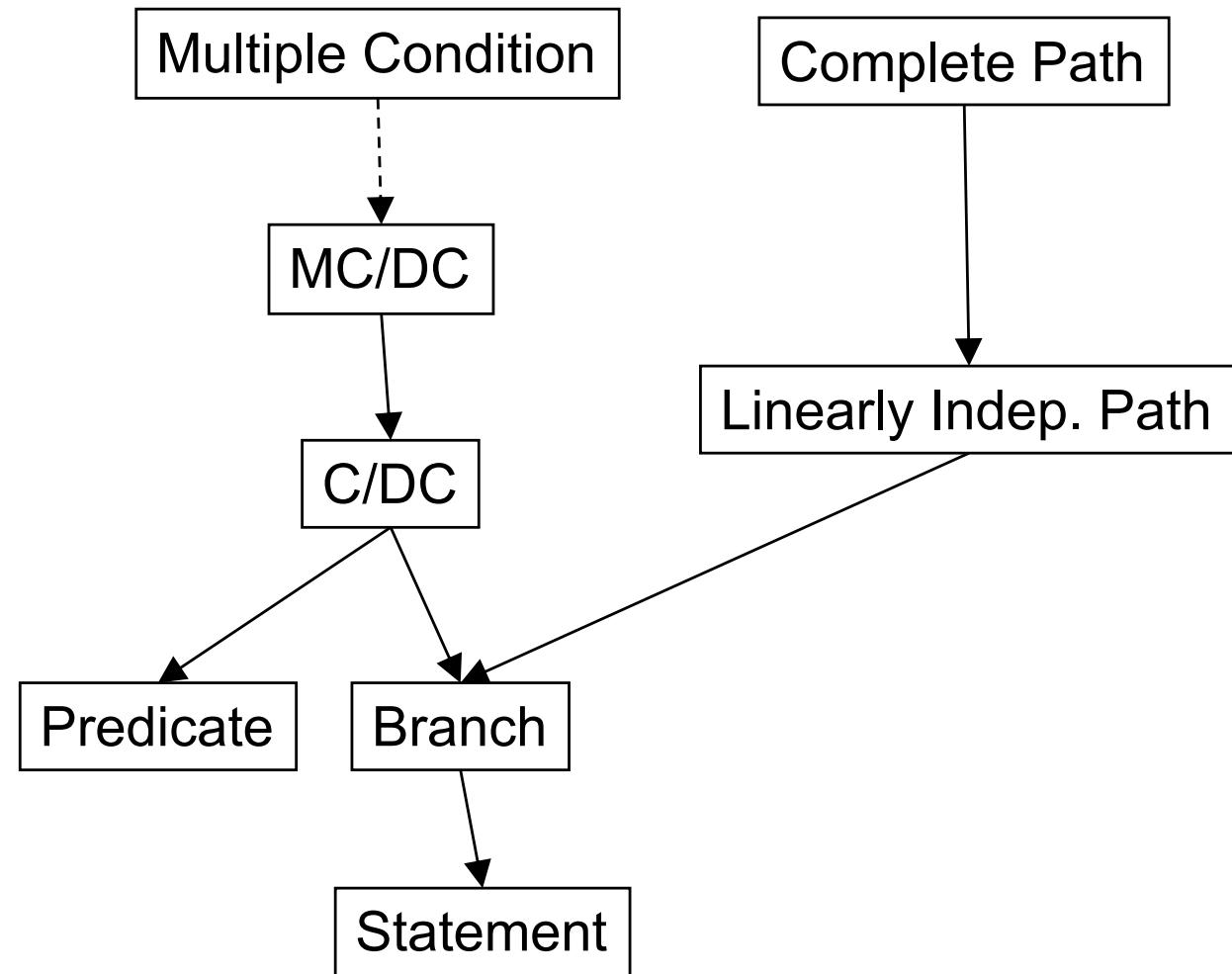
# Advanced Condition Coverage

- **Condition/Decision Coverage (C/DC)**
  - as DC plus: every condition in each decision is tested in each possible outcome
- **Modified Condition/Decision coverage (MC/DC)**
  - as above plus, every condition shown to independently affect a decision outcome (by varying that condition only)
  - a condition independently affects a decision when, by flipping that condition and holding all the others fixed, the decision changes
  - this criterion was created at Boeing and is required for aviation software according to RCTA/DO-178B
- **Multiple-Condition Coverage (M-CC)**
  - all possible combinations of conditions within each decision taken



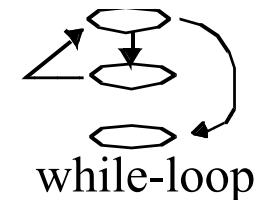
# Control-Flow Coverage Relationships

- *Subsumption*:  
a criterion C1  
subsumes  
another  
criterion C2, if  
any test set  $\{T\}$   
that satisfies  
C1 also  
satisfies C2

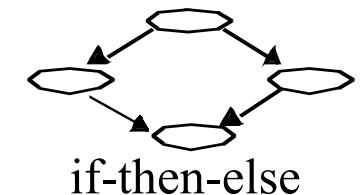


# Independent Path Coverage (Table 3.3)

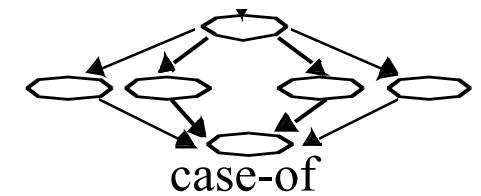
- McCabe cyclomatic complexity **estimates** number of test cases needed
  - The number of **linearly independent paths** needed to cover all paths at least once in a program
    - Visualize by drawing a flow graph
    - $CC = \#(\text{edges}) - \#(\text{nodes}) + 2$
    - $CC = \#(\text{decisions}) + 1$



## while-loop



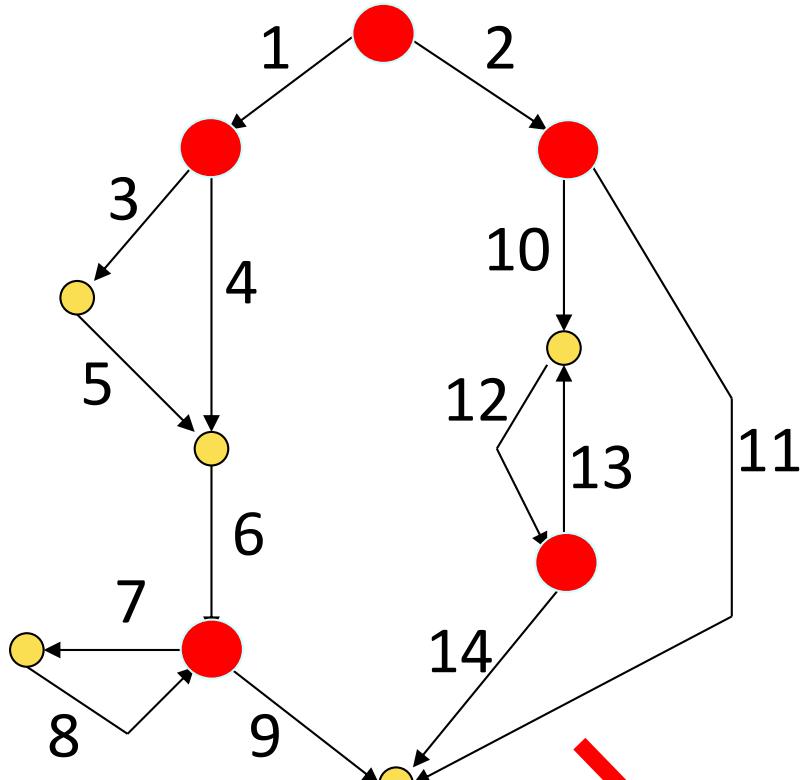
if-then-else



case-of

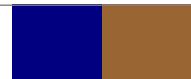


# Independent Paths Coverage – Example

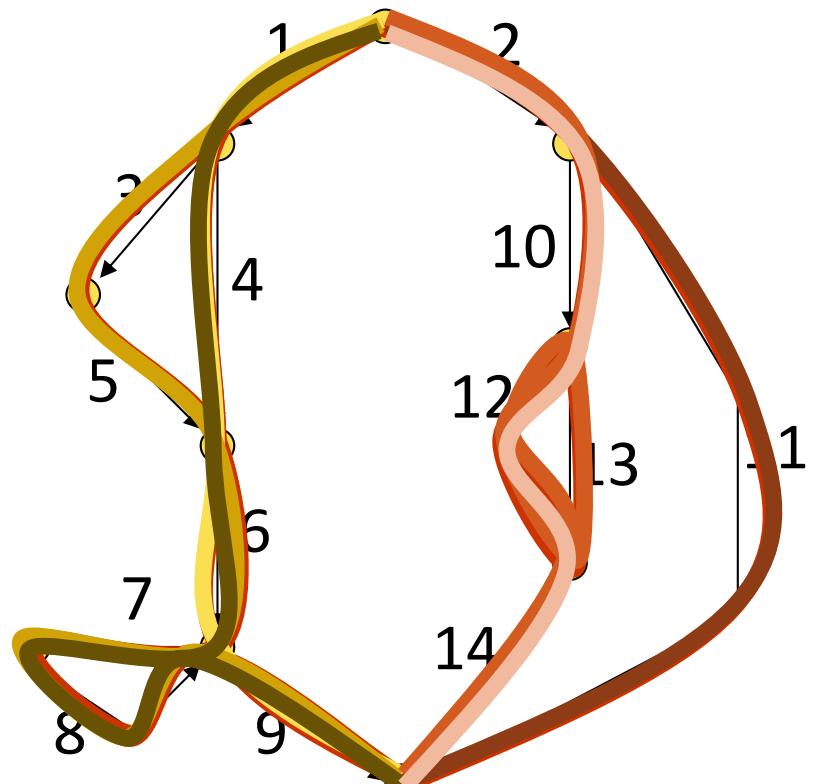


- Independent Paths Coverage
  - Requires that a minimum set of linearly independent paths through the program flow-graph be executed
- This test strategy is the rationale for McCabe's cyclomatic number (McCabe 1976) ...
  - ... which is equal to the number of test cases required to satisfy the strategy.

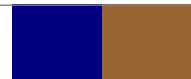
$$\begin{aligned}\text{Cyclomatic Complexity} &= 5 + 1 = 6 \\ &= 14 - 10 + 2\end{aligned}$$



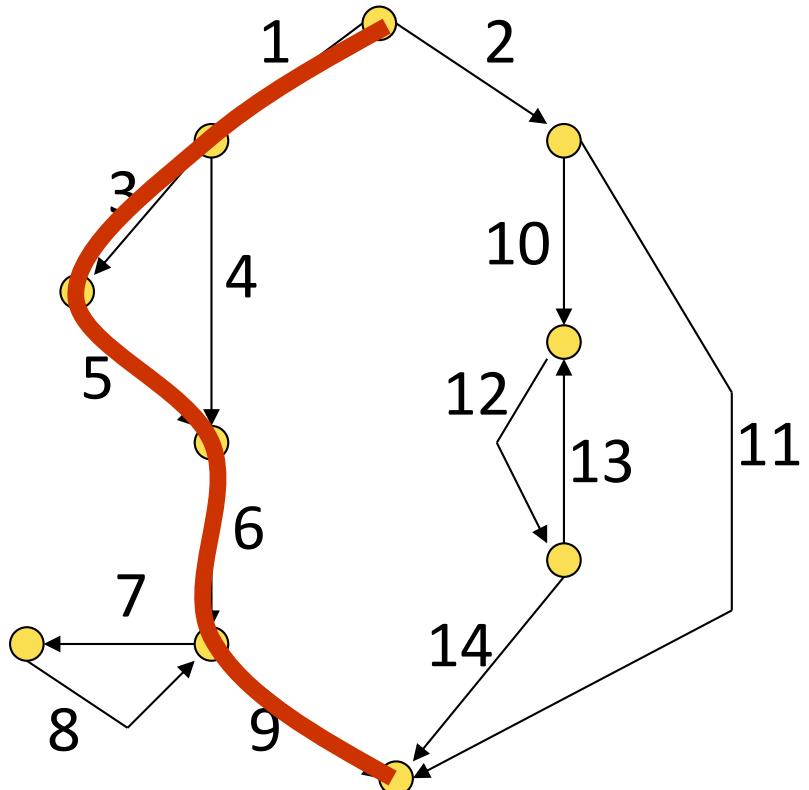
# Independent Paths Coverage – Example



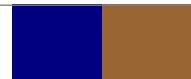
- Edges: 1-2-3-4-5-6-7-8-9-10-11-12-13-14
  - Path1: 1-0-0-1-0-1-0-0-1-0---0---0---0
  - Path2: 1-0-1-0-1-1-1-1-1-0---0---0---0
  - Path3: 1-0-0-1-0-1-1-1-1-1-0---0---0---0
  - Path4: 0-1-0-0-0-0-0-0-0-1---0---1---0---1
  - Path5: 0-1-0-0-0-0-0-0-0-1---0---1---1---1
  - Path6: 0-1-0-0-0-0-0-0-0-1---0---0---1



# Independent Paths Coverage – Example



- Edges: 1-2-3-4-5-6-7-8-9-10-11-12-13-14
  - Why no need to cover Path7 below ???
  - Path7: 1-0-1-0-1-1-0-0-1-0---0---0---0
- 
- Path1: 1-0-0-1-0-1-0-0-1-0---0---0---0
  - Path2: 1-0-1-0-1-1-1-1-1-0---0---0---0
  - Path3: 1-0-0-1-0-1-1-1-1-0---0---0---0



# MCC – a word of warning

**MCC  $\neq$  path coverage**

**Use MCC as an approximation**



# How to find Test Cases?

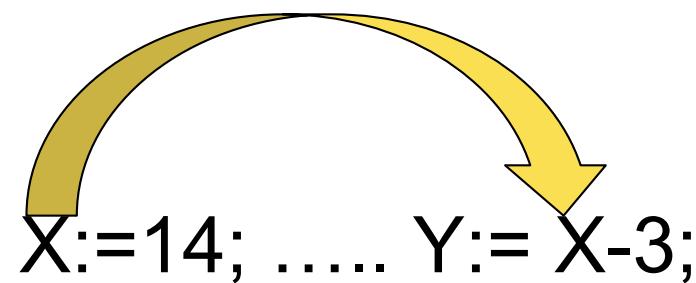
- Required outcome at each predicate node contained in a path
- Consider all requirements together
- Guess a value that will satisfy these requirements
  
- Only feasible for small tasks. For real systems guidance by e.g. symbolic execution.



# Data Flow Testing (Chapter 5)



- Identifies paths in the program that go from the **assignment** of a value to a variable to the **use** of such variable, to make sure that the variable is properly used.



# Data Flow Testing – Definitions



- **Def** – assigned or changed
- **Undef, Kill** – unassigned
- **Uses** – utilized (not changed)
  - **C-use** (Computation) e.g. right-hand side of an assignment, an index of an array, parameter of a function.
  - **P-use** (Predicate) branching the execution flow, e.g. in an if statement, while statement, for statement.
- Example: All **def-use paths** (DU) requires that each DU chain is covered at least once



# Data Flow Testing – Example



```
[1] bool AccClient(agetype  
                    age; gndrtype gender)  
[2] bool accept  
[3]   if(gender=female)  
[4]     accept := age < 85;  
[5]   else  
[6]     accept := age < 80;  
[7] return accept
```

Considering age, there  
are two DU paths:

- (a)[1]-[4]
- (b)[1]-[6]

Test case conditions:

AccClient(\*, female)-> \*  
AccClient(\*, male)-> \*



# Data Flow Testing – Example



```
[1] bool AccClient(agetype  
                    age; gndrtype gender)  
[2] bool accept  
[3]   if(gender=female)  
[4]     accept := age < 85;  
[5]   else  
[6]     accept := age < 80;  
[7] return accept
```

Considering gender,  
there is one DU path:

(a) [1]-[3]

Test case conditions:

AccClient(\*, \* )-> \*



# Data Flow Testing – Example



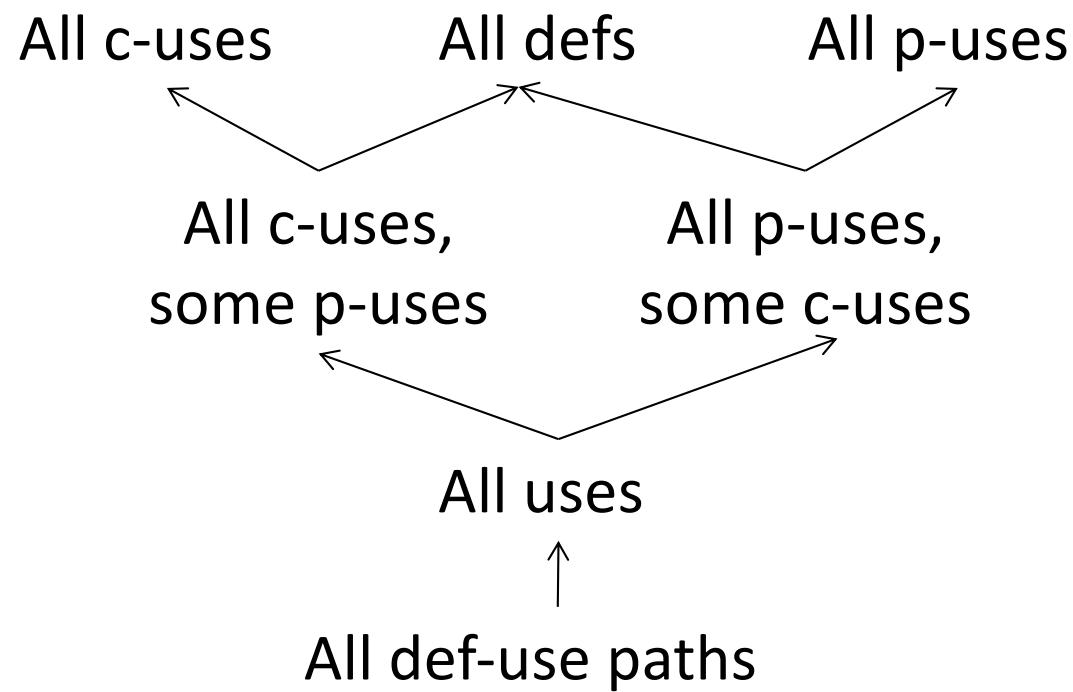
```
[1] bool AccClient(agetype  
                  age; gndrtype gender)  
[2] bool accept  
[3]   if(gender=female)  
[4]     accept := age < 85;  
[5]   else  
[6]     accept := age < 80;  
[7] return accept
```

Combined for both  
variables:

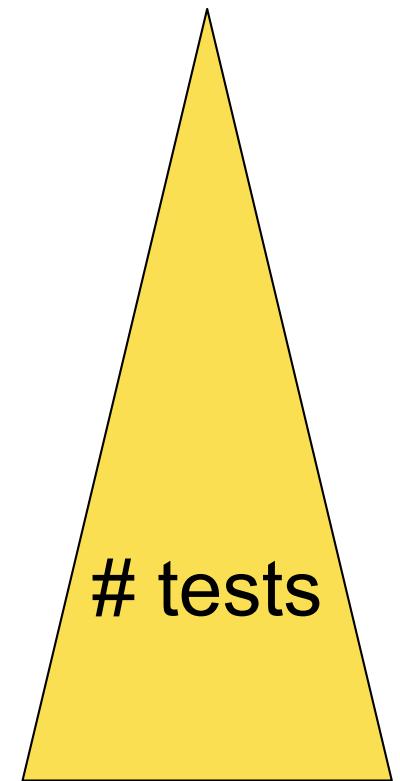
AccClient(\*, female)-> \*  
AccClient(\*, male)-> \*  
AccClient(\*, \*)-> \*



# Data Flow Criteria

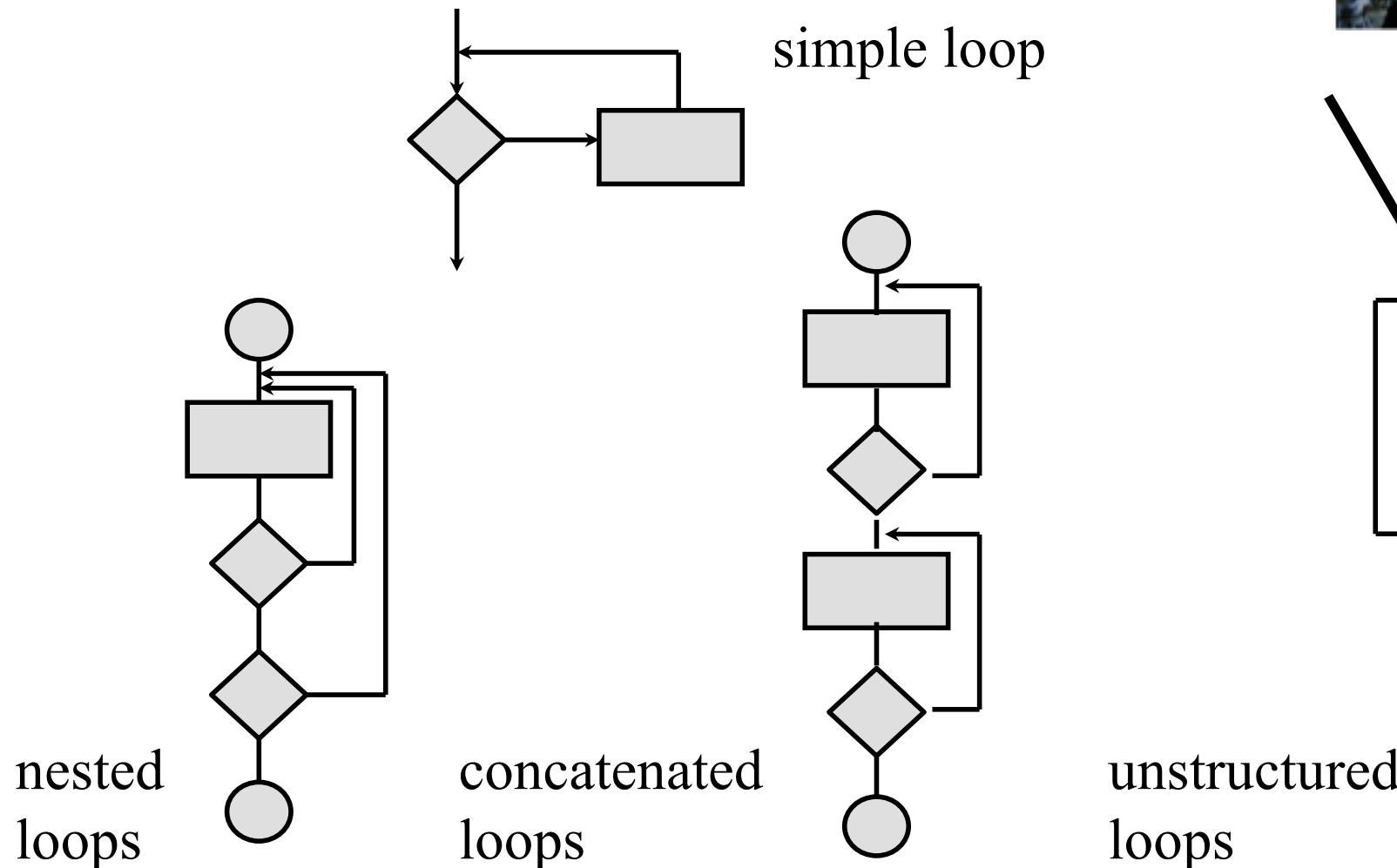


↑ Weaker  
↓ Stronger



# Loop Testing

Types of loops [Beizer 1990]

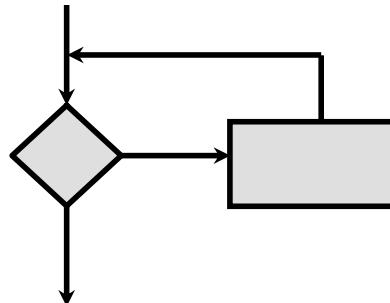


# Loop Testing: Simple Loops

## Heuristics

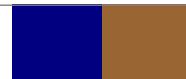


Minimum conditions - simple loops



1. skip the loop entirely
2. only one pass through the loop
3. two passes through the loop
4.  $m$  passes through the loop  $m < n$
5.  $(n-1)$ ,  $n$ , and  $(n+1)$  passes through the loop

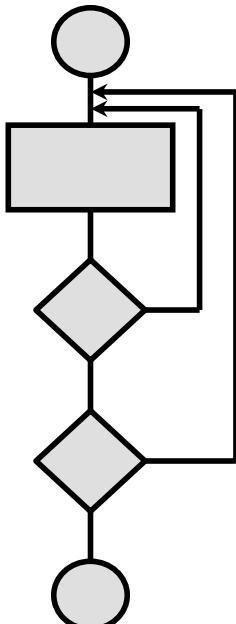
where  $n$  is the maximum number of allowable passes



# Nested Loops

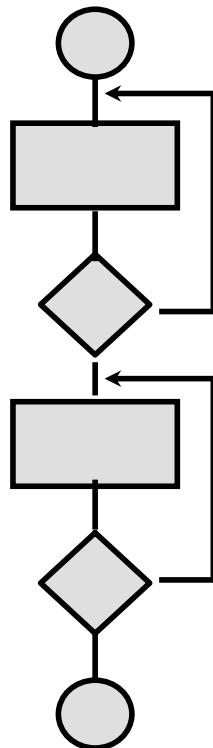
## Heuristics

- Extend simple loop testing
- Reduce the number of tests:
  - start at the innermost loop; set all other loops to minimum values
  - conduct simple loop test; add out of range or excluded values
  - work outwards while keeping inner nested loops to typical values
  - continue until all loops have been tested

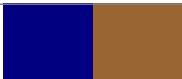


# Concatenated Loops

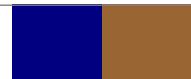
## Heuristics



- If loop counters are independent:
  - Same strategies as simple loops
- If loop counters depend on each other:
  - Same strategies as nested loops



# How can I know if a test case is good?



# Mutation Testing, Section 3.5



## Terminology

- **Mutant** – new version of the program with a small deviation (=fault) from the original version
- **Killed** mutant – version detected by the test set
- **Live** mutant – version *not* detected by the test set



# Mutation Testing



**A method for evaluation of test suite effectiveness – not for designing test cases!**

1. Take a program and test data generated for that program
2. Create a number of *similar* programs (mutants), each differing from the original in a small way
3. The original test data are then run through the *mutants*
4. If tests detect all changes in mutants, then the mutants are dead and the test suite adequate

Otherwise: Create more test cases and iterate 2-4 until a sufficiently high number of mutants is killed



# Example Mutation Operations



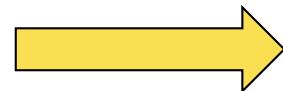
- Change relational operator (<,>, ...)
- Change logical operator (||, &, ...)
- Change arithmetic operator (\*, +, -, ...)
- Change constant name / value
- Change variable name / initialisation
- Change (or even delete) statement
- ...



# Example Mutants



```
if (a || b)  
    c = a + b;  
else  
    c = 0;
```



```
if (a && b)  
    c = a + b;  
else  
    c = 0;
```

---

```
if (a || b)  
    c = a + b;  
else  
    c = 0;
```



```
if (a || b)  
    c = a * b;  
else  
    c = 0;
```



# Types of Mutants



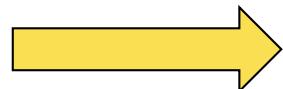
- **Stillborn mutants:** Syntactically incorrect – killed by compiler, e.g.,  $x = a ++ b$
- **Trivial mutants:** Killed by almost any test case
- **Equivalent mutant:** Always acts in the same behavior as the original program, e.g.,  $x = a + b$  and  $x = a - (-b)$
- None of the above are interesting from a mutation testing perspective
- Those mutants are interesting which behave differently than the original program, and we do not (yet) have test cases to identify them (i.e., to cover those specific changes)



# Equivalent Mutants



```
if (a == 2 && b == 2)
    c = a + b;
else
    c = 0;
```

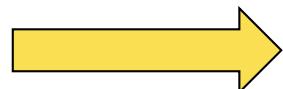


```
if (a == 2 && b == 2)
    c = a * b;
else
    c = 0;
```

---

```
int index=0;
while (...)

{
    . . .;
    index++;
    if (index==10)
        break;
}
```



```
int index=0;
while (...)

{
    . . .;
    index++;
    if (index>=10)
        break;
}
```



# Program Example



```
nbrs = new int[range]

public int max(int[] a) {

    int imax := 0;

    for (int i = 1; i < range; i++)

        if a[i] > a[imax]

            imax:= i;

    return imax;

}
```

	a[0]	a[1]	a[2]	imax
TC1	1	2	3	2
TC2	1	3	2	1
TC3	3	1	2	0



# Relational Operator Mutant



```
nbrs = new int[range]

public int max(int[] a) {

    int imax := 0;

    for (int i = 1; i < range; i++)
        if a[i] >= a[imax]
            imax:= i;

    return imax;
}
```

	a[0]	a[1]	a[2]	imax
TC1	1	2	3	2
TC2	1	3	2	1
TC3	3	1	2	0

Need a test case with two identical max entries in a[.] to be detected



# Variable Operator Mutant



```
nbrs = new int[range]

public int max(int[] a) {

    int imax := 0;

    for (int i = 1; i < range; i++)

        if i > a[imax]

            imax:= i;

    return imax;

}
```

	a[0]	a[1]	a[2]	imax
TC1	1	2	3	2
TC2	1	3	2	0
TC3	3	1	2	0



# Variable Operator Mutant



```
nbrs = new int[range]

public int max(int[] a) {

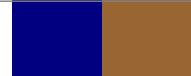
    int imax := 0;

    for (int i = 0; i < range; i++)
        if a[i] > a[imax]
            imax:= i;

    return imax;
}
```

	a[0]	a[1]	a[2]	imax
TC1	1	2	3	2
TC2	1	3	2	1
TC3	3	1	2	0

Need a test case counting loops to be detected



# This Week / Next Week

- This week
  - Read project description thoroughly, decide subject
- Next week
  - Lab 1 – White-box testing (Thu)



# Recommended exercises



- Chapter 3
  - 8, 9, 11
- Chapter 4
  - 1, 2, 3, 4, 7
- Chapter 5
  - 1, 2, 4, 5

