# A general theory of learning and memory with Complex Synapses

based on work with Surya Ganguli

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Complex synapses

A general theory of learning and memory with Complex Synapses based on work with Surya Gangdi

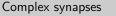
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#### Introduction

We often model synaptic plasticity as the change of a single number (synaptic weight). In reality, there is a complex dynamical system inside a synapse.

Semi-realistic models of synaptic plasticity have terrible memory without synaptic complexity.

We will study the entire space of a broad class of models of complex synapses to find upper bounds on their performance.



-Introduction

We often model synaptic plasticity as the change of a single number (synaptic weight). In reality, there is a complex dynamical system inside synapse.

Semi-realistic models of synaptic plasticity have terrible memory without synaptic complexity.

We will study the entire space of a broad class of models of complex synapses to find upper bounds on their performance.

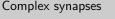
- 1. amplitude of psp.
- 2. finite number of values.

## Concepts

**Learning rule:** how activity  $\rightarrow$  potentiation/depression. e.g. Hebb rule, STDP, Hopfield outer product, perceptron rule,...

**Plasticity mechanism:** how synapse responds to potentiation/depression. e.g. Binary switch, Cascade model, Multistate model,...

**Memory retrieval:** how synaptic state affects neural activity. e.g. Hopfield attractor,...



-Concepts

Learning rule: how activity → potentiation/depression.
e.g. Hebb rule, STDP, Hopfield outer product, perceptron rule,...

Plasticity mechanism: how synapse responds to potentiation/depression.

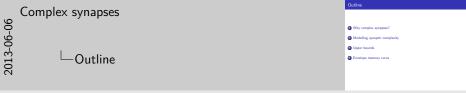
e.g. Binary switch, Cascade model, Multistate model,...

Memory retrieval: how synaptic state affects neural activity
e.g. Hopfield attractor,...

- 1. Avoid confusion: separate concepts
- 2. Talk exclusively about second one.
- 3. Abstract away other two.

## Outline

- Why complex synapses?
- 2 Modelling synaptic complexity
- 3 Upper bounds
- 4 Envelope memory curve

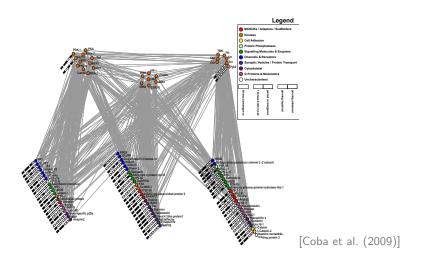


- 1. review terrible properties of simple synapses.
- mathematical formalism of model, quantify performance (memory decay over time)
   upper bounds on single numbers that depend on whole memory curve (decay)
- upper bounds on single numbers that depend on whole memory curve (deca over time)
- 4. upper bounds at finite times

Why complex synapses?

## Complex synapse

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There is a complex, dynamical molecular network underlying synaptic plasticity.

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Complex synapses?

Why complex synapses?

Complex synapse



- 1. Molecular network, post-synaptic density, from Seth Grant
- 2. Does this matter?
- 3. Could just be the machinery for changing synaptic weight
- 4. link back to questions on "There"

## Storage capacity of synaptic memory

A classical perceptron (used as a recognition memory device) has a capacity  $\propto N$ , the number of synapses.

Requires synapses' dynamic range also  $\propto N$ .

If we restrict synaptic weight to a fixed, finite set of values,

⇒ tradeoff between learning and forgetting: new memories overwriting old.

If we wish to store new memories rapidly, memory capacity  $\sim \mathcal{O}(\log N)$ .

[Amit and Fusi (1992), Amit and Fusi (1994)]

To circumvent this tradeoff, need to go beyond model of a synapse as a single number.



Complex synapses Why complex synapses?

-Storage capacity of synaptic memory

torage capacity of synaptic memory

-> tradeoff between learning and forgetting:

new memories overwriting old. If we wish to store new memories rapidly, memory capacity  $\sim O(\log N)$ 

- 1. very plastic: learn easy, forget easy
- 2. little plasticity, remember better, learn harder
- 3. or sparse  $\sim \log N/N$

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4. one way around limit: complexity

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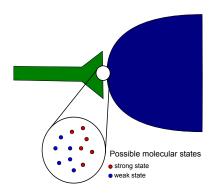
## Complex synapses -Modelling synaptic complexity

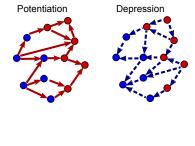
Section 2 Modelling synaptic complexity

Section 2

Modelling synaptic complexity

#### Complex synapses





Complex synapses

Modelling synaptic complexity

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Complex synapses



Complex synapses

- 1. functional states, not molecules
- 2. synaptic weight depends on state
- 3. many states can have same weight
- 4. stochastic transitions

#### Simplifying assumptions

- No spatial/temporal correlations in plasticity events.
- ullet Potentiating/depressing plasticity events  $\sim$  Poisson processes.
- Potentiation and depression are described by Markov processes.
- ullet Synaptic weights can only take values  $\pm 1$ .
- Ideal observer: read weights directly. [Fusi et al. (2005), Fusi and Abbott (2007), Barrett and van Rossum (2008)]

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#### Complex synapses

Modelling synaptic complexity

Simplifying assumptions

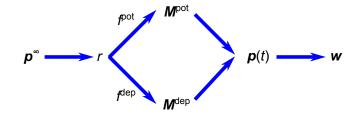
simplifying assumptions

- No spatial/temporal correlations in plasticity events.
   Potentiating/depressing plasticity events ~ Poisson processes
- Potentiation and depression are described by Markov processes
   Synantic weights can only take values +1
- Synaptic weights can only take values ±1.
   Ideal observer: read weights directly.
- [Fusi et al. (2005), Fusi and Abbott (2007), Barrett and van Rossum (200

- 1. allows us to concentrate on synapse, not neuron/network
- 2. No filing system
- 3. don't care if STDP...
- 4. looks like binary synapse from outside. Inside...
- 5. ideal observer reads weights, not electrical activity: don't model neurons/network
- 6. upper bound on electrical activity readout

#### **Dynamics**

There are N identical synapses with M internal functional states.



$$rac{\mathrm{d}\mathbf{p}(t)}{\mathrm{d}t} = r\mathbf{p}(t)\mathbf{W}^{\mathrm{F}}, \qquad \mathbf{W}^{\mathrm{F}} = f^{\mathsf{pot}}\mathbf{M}^{\mathsf{pot}} + f^{\mathsf{dep}}\mathbf{M}^{\mathsf{dep}} - \mathbf{I},$$
  $\mathbf{p}^{\infty}\mathbf{W}^{\mathrm{F}} = 0.$ 

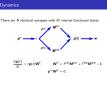


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└─Dynamics



- 1. stoch process has steady state.
- 2. Prior activity puts it in this state. row vec.
- 3. plasticity events at rate r
- 4. fraction pot/dep
- 5. probs changed by Markov matrices, prob  $i \rightarrow j$
- 6. Readout: synaptic weight vec when in each state.
- 7. Memory at t = 0, keep track of pot/dep
- 8. subsequent: average over pot/dep

## Memory curve

 $\vec{w}$  is the *N*-element vector of synaptic weights.

$$\begin{aligned} \mathsf{Signal} &= \langle \vec{w}_{\mathsf{ideal}} \cdot \vec{w}(t) - \vec{w}_{\mathsf{ideal}} \cdot \vec{w}(\infty) \rangle \,, \\ \mathsf{Noise} &= \sqrt{\mathsf{Var} \left( \vec{w}_{\mathsf{ideal}} \cdot \vec{w}(\infty) \right)} \sim \sqrt{N}. \end{aligned}$$

We find:

$$\mathsf{SNR}(t) = \sqrt{N} (2f^\mathsf{pot}f^\mathsf{dep})\,\mathbf{p}^\infty \left(\mathbf{M}^\mathsf{pot} - \mathbf{M}^\mathsf{dep}
ight) \exp\left(rt\mathbf{W}^\mathrm{F}
ight)\mathbf{w}.$$

Complex synapses

Modelling synaptic

-Modelling synaptic complexity

└─Memory curve

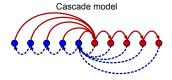
 $\tilde{v}$  is the N-skement vector of symptic weights. Signal  $w(\tilde{w}_{dout} \cdot \tilde{w}(t) - \tilde{w}_{dout} \cdot \tilde{w}(\infty))$ , Noise  $= \sqrt{Var(\tilde{w}_{dout} \cdot \tilde{w}(\infty))} \sim \sqrt{N}$ . We find:  $SNS(t) = \sqrt{N}(2t^{ost}t^{olog}) \mathbf{p}^{\infty}(\mathbf{M}^{oot} - \mathbf{M}^{doo}) \exp(ttV^{o}) \mathbf{w}$ .

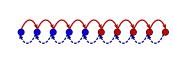
Memory curve

- 1. of different synapses
- 2. ideal observer reads weights, not states
- 3. upper bound on electrical activity readout
- 4. ideal: pot→strong...
- 5. use  $\vec{w}_{\text{ideal}} \cdot \vec{w}(\infty)$  as null model
- 6. Noise: ignore correction when asymmetric. No effect.
- 7. Using  $\mathbf{W}^{\mathrm{F}}$  averages over pot/dep sequence (proof: expand)

## Example models

Two example models of complex synapses.

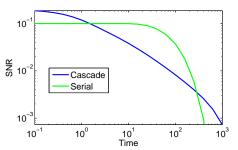




Serial model

[Fusi et al. (2005), Leibold and Kempter (2008)]

These have different memory storage properties

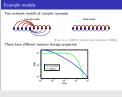


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Modelling synaptic complexity

-Example models



- 1. previous work, also: Benna-Fusi
- 2. Multistate good at one time, bad at others,
- 3. Cascade, less well at that time, better over range of times.

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## Questions

- Can we understand the space of all possible synaptic models?
- How does structure (topology) of model → function (memory curve)?
- What are the limits on what can be achieved?
- Which transition topologies saturate these limits?



- 1. not just individual models
- 2. understand net (link on topology)
- 3. avoid using word "optimal". depends on what want to do.

#### Constraints

Memory curve given by

$$\mathsf{SNR}(t) = \sqrt{N} (2f^\mathsf{pot}f^\mathsf{dep}) \, \mathbf{p}^\infty \left( \mathbf{M}^\mathsf{pot} - \mathbf{M}^\mathsf{dep} 
ight) \exp \left( rt \mathbf{W}^\mathrm{F} 
ight) \mathbf{w}.$$

Constraints:

$$oldsymbol{\mathsf{M}}^{\mathsf{pot}/\mathsf{dep}}_{ij} \in [0,1], \qquad \sum_j oldsymbol{\mathsf{M}}^{\mathsf{pot}/\mathsf{dep}}_{ij} = 1.$$

$$\sum_{j} {\mathsf{M}}_{ij}^{\mathsf{pot}/\mathsf{dep}} = 1.$$

Eigenmode decomposition:

$$\mathsf{SNR}(t) = \sqrt{N} \sum_{a} \mathcal{I}_a \, \mathsf{e}^{-\mathsf{r} t/\tau_a}.$$

Complex synapses Modelling synaptic complexity

-Constraints

```
Eigenmode decomposition
                          SNR(t) = \sqrt{N} \sum I_a e^{-rt/\tau_a}.
```

- 1. prefactors don't do anything, ignore
- 2. prior state, encoding, forgetting, readout
- 3. difficult to to apply
- 4. what are constraints on these?

Complex synapses
Upper bounds

Section 3

Upper bounds

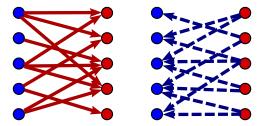


#### Initial SNR as flux

Initial SNR is closely related to flux between strong & weak states

$$\mathsf{SNR}(0) \leq \frac{4\sqrt{N}}{r}\,\mathbf{\Phi}_{-+}.$$

Max when potentiation guarantees  $\mathbf{w} \to +1$ , depression guarantees  $\mathbf{w} \to -1$ .

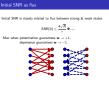




Complex synapses

Upper bounds
Initial SNR
Initial SNR as flux

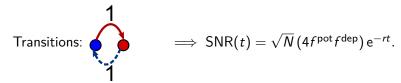
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- 1. flux = eq prob  $\times$  trans prob
- 2. usually saturated: pot never dec, dep never inc
- 3. transitions out of one node sum to 1
- 4. equivalent to two-state model: doesn't matter which strong/weak state, same prob of going to other set.

#### Two-state model

Two-state model equivalent to previous slide:



Maximal initial SNR:

$$SNR(0) \leq \sqrt{N}$$
.

Complex synapses

Upper bounds
Initial SNR
Two-state model

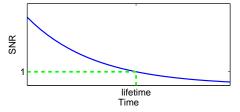


- 1. decays very quickly
- 2.  $f^{pot} = \frac{1}{2}$
- 3. Initial SNR not a good thing to optimise.

#### Area under memory curve

Memory lifetime bounded by area under SNR curve:

$$\mathsf{SNR}(\mathsf{lifetime}) = 1$$
  $\Longrightarrow \mathsf{lifetime} < \mathcal{A}.$ 

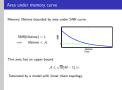


This area has an upper bound:

$$A \leq \sqrt{N}(M-1)/r$$
.

Saturated by a model with linear chain topology.

Complex synapses
Upper bounds
Area under memory curve
Area under memory curve

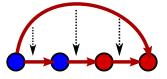


- 1. lifetime = area under green ; area under blue
- 2. capacity  $\sim r$  lifetime, #new memories before we forget original.
- 3. reminder: N = #synapses, M = #states
- 4. proof next slide

#### Proof of area bound

For any model, we can construct perturbations that

- preserve equilibrium distribution,
- increase area.



details

e.g. decrease "shortcut" transitions, increase bypassed "direct" ones. Endpoint: linear chain

The area of this model is

$$A = \frac{2\sqrt{N}}{r} \sum_{k} \mathbf{p}_{k}^{\infty} |k - \langle k \rangle|.$$

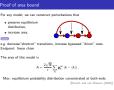
Max: equilibrium probability distribution concentrated at both ends.

[Barrett and van Rossum (2008)]

Complex synapses

Upper bounds

Area under memory curve
Proof of area bound



- 1. relies on order & technical condition
- 2. max given  $\mathbf{p}^{\infty}$

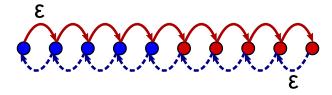
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- 3. now max wrt.  $\mathbf{p}^{\infty}$
- 4. keep c.o.m. in middle
- 5. similar result, slightly different conditions: linear weights, mutual info

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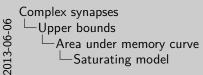
## Saturating model

Make end states "sticky"



Has long decay time, but terrible initial SNR.

$$\lim_{\varepsilon\to 0}A=\sqrt{N}(M-1)/r.$$





- 1. Difficult to get out of end state.
- 2. Area not a good thing to optimise

Complex synapses
Envelope memory curve

#### Section 4

## Envelope memory curve

## Bounding finite time SNR

SNR curve:

$$\mathsf{SNR}(t) = \sqrt{N} \sum_{a} \mathcal{I}_a \, \mathrm{e}^{-rt/\tau_a}.$$

subject to constraints:

$$\sum_{a} \mathcal{I}_{a} \leq 1, \qquad \sum_{a} \mathcal{I}_{a} \tau_{a} \leq M - 1.$$

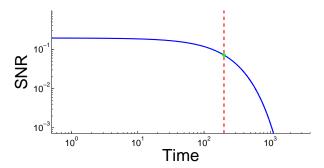
We can maximise wrt.  $\mathcal{I}_a$ ,  $\tau_a$ .

Complex synapses
Envelope memory curve
Bounding finite time SNR



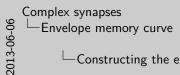
- 1. from eigenmode decomposition
- 2. from initial, area bounds

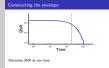
## Constructing the envelope



Maximise SNR at one time





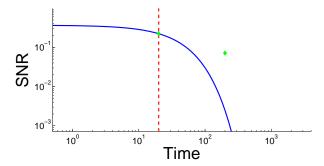


-Constructing the envelope

1. One exp. only constrains SNR at that time, not others

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## Constructing the envelope



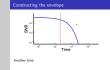
Another time



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Envelope memory curve

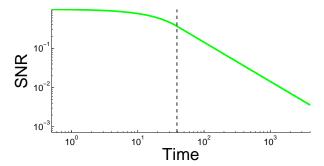
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Constructing the envelope

- 1. One exp. only constrains SNR at that time, not others
- 2. get another bound

## Constructing the envelope



All times  $\rightarrow$  envelope

$$\mathsf{lifetime} \leq \frac{\sqrt{N}(M-1)}{\mathsf{e}r}$$



Complex synapses

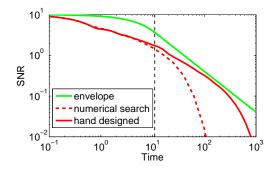
Envelope memory curve



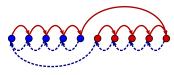


- 1. One exp. only constrains SNR at that time, not others
- 2. get another bound
- 3. vary time of max. no curve can cross this.
- 4. Regions: init(1); area(1,2)
- 5. Early: exp; Late: power-law,  $\sim t^{-1}$
- 6. snr(lifetime)=1. Bounded by envelope=1.
- 7. is it tight? can any constrained set of exps be acheived?

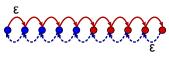
#### Achievable envelope



#### Early times:



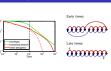
Late times:



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Envelope memory curve





Achievable envelope

- 1. Best we've found, by numerical opt and hand chosen models.
- 2. vary length, keeping deterministic
- 3. Area maximising.
- 4. Gap  $\sim \mathcal{O}(\sqrt{N})$ .
- 5. Area bound active at early times  $\implies$  need more constraints.

## Summary

- We have formulated a general theory of learning and memory with complex synapses.
- The area under the memory curve of any model < linear chain with same equilibrium distribution.
- We find a memory envelope: a single curve that cannot be exceeded by the memory curve of any synaptic model.
- Synaptic complexity (*M* internal states) raises the memory envelope linearly in M for times  $> \mathcal{O}(M)$ .
- Gap between envelope and what we can achieve at early times?
- Trade-off between SNR at different times?



Complex synapses Envelope memory curve

-Summary

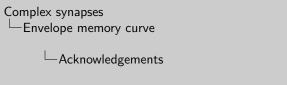
. We have formulated a general theory of learning and memory with The area under the memory curve of any model < linear chain with</li>

- same equilibrium distribution.
- We find a memory envelope: a single curve that cannot be exceeded
- by the memory curve of any synaptic model.
- · Synaptic complexity (M internal states) raises the memory envelope linearly in M for times > O(M).
- · Gap between envelope and what we can achieve at early times? a Trade-off between SNR at different times?

## Acknowledgements

#### Thanks to:

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- Marcus Benna
- David Sussillo
- Jascha Sohl-Dickstein



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Thanks to:

- Surya Canguli
- Stafano Fai
- Stafano Fai
- Dand Smallo
- Janeta Sold-Oxideatin

1. Last slide!

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Envelope memory curve

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Envelope memory curve

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#### Techinical detail: ordering states

Let  $T_{ii}$  = mean first passage time from state i to state j. Then:

$$\eta = \sum_j \mathsf{T}_{ij} \mathsf{p}_j^\infty,$$

is independent of the initial state *i* (Kemeney's constant).

[Kemeny and Snell (1960)]

We define:

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$$\eta_i^+ = \sum_{j \in \mathsf{strong}} \mathsf{T}_{ij} \mathsf{p}_j^\infty, \qquad \eta_i^- = \sum_{j \in \mathsf{weak}} \mathsf{T}_{ij} \mathsf{p}_j^\infty.$$

Complex synapses

They can be used to arrange the states in an order (increasing  $\eta^-$  or decreasing  $\eta^+$ ). back



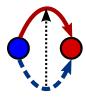
Complex synapses Envelope memory curve is independent of the initial state i (Kemeney's constant). ☐ Techinical detail: ordering states  $\eta_i^+ = \sum_{} \mathbf{T}_{ij} \mathbf{p}_j^{\infty}, \quad \eta_i^- = \sum_{} \mathbf{T}_{ij} \mathbf{p}_j^{\infty}.$ 

- 1. Measure "distance" to the strong/weak states.
- 2. sum to constant,  $\implies$  two orders same

Techinical detail: ordering states

## Technical detail: upper/lower triangular

With states in order:





Endpoint: potentiation goes right, depression goes left.

back



Complex synapses

Envelope memory curve

└─Technical detail: upper/lower triangular



Fechnical detail: upper/lower triangular

- 1. pot & dep with same initial & final state
- 2. pot/dep matrices are upper/lower triangular.
- 3. one other pert. too technical, even for bonus slide!