# A general theory of learning and memory with Complex Synapses

based on work with Surya Ganguli

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Complex synapses

A general theory of learning and memory with Complex Synapses based on work with Surya Ganguli

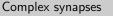
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#### Introduction

We often model synaptic plasticity as the change of a single number (synaptic weight). In reality, there is a complex dynamical system inside a synapse.

Semi-realistic models of synaptic memory have terrible storage without synaptic complexity.

We will study the entire space of a broad class of models of complex synapses to find upper bounds on their performance.



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Introduction

- We often model synaptic plasticity as the change of a single number (synaptic weight). In reality, there is a complex dynamical system inside synapse.
- Semi-realistic models of synaptic memory have terrible storage without synaptic complexity.
- We will study the entire space of a broad class of models of complex synapses to find upper bounds on their performance.

- 1. amplitude of psp.
- 2. finite number of values.

## Outline

- Why complex synapses?
- 2 Modelling synaptic complexity
- 3 Upper bounds
- 4 Envelope memory curve

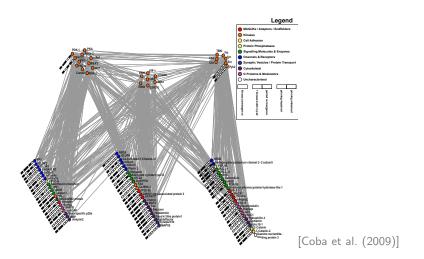


- 1. review terrible properties of simple synapses.
- 2. mathematical formalism of model, quantify performance (memory decay over time)
- 3. upper bounds on single numbers that describe performance at all times
- 4. upper bounds at finite times

#### Section 1

## Why complex synapses?

### Complex synapse



There is a complex, dynamical molecular network underlying synaptic plasticity.

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-Complex synapse



- 1. Molecular network, post-synaptic density, from Seth Grant
- 2. Does this matter?
- 3. Could just be the machinery for changing synaptic weight
- 4. link back to questions on "There"

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### Storage capacity of synaptic memory

A classical perceptron (used as a recognition memory device) has a capacity  $\propto$  N, the number of synapses.

Requires synapses' dynamic range also  $\propto N$ .

If we restrict synaptic weight to a fixed, finite set of values,

 $\implies$  tradeoff between learning and forgetting: new memories overwriting old.

If we wish to store new memories rapidly, memory capacity  $\sim \mathcal{O}(\log N)$ . [Amit and Fusi (1992), Amit and Fusi (1994)]

To circumvent this tradeoff, need to go beyond model of a synapse as a single number.



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To circumvent this tradeoff, need to go beyond model of a synapse as a

1. very plastic: learn easy, forget easy

- 2. little plasticity, remember better, learn harder
- 3. or sparse  $\sim \log N/N$

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4. one way around limit: complexity

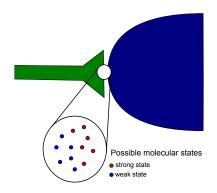
## Complex synapses -Modelling synaptic complexity

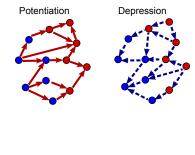
Section 2 Modelling synaptic complexity

#### Section 2

## Modelling synaptic complexity

#### Complex synapses





Complex synapses

Modelling synaptic complexity

dening synaptic complexity





Complex synapses

- 1. functional states, not molecules
- 2. synaptic weight depends on state
- 3. many states can have same weight
- 4. stochastic transitions

#### Simplifying assumptions

- There are N identical synapses with M internal functional states.
- States of different synapses are independent of each other.
- Which synapses eligible for plasticity chosen randomly.
- Potentiating/depressing plasticity events  $\sim$  Poisson processes with rates  $rf^{\text{pot/dep}}$ , where  $f^{\text{pot}} + f^{\text{dep}} = 1$ .
- Potentiation and depression are described by Markov processes with transition probabilities **M**<sup>pot/dep</sup>.
- Synaptic weights of the internal states are given by vector w. Can only take values  $\pm 1$ .



Complex synapses Modelling synaptic complexity

-Simplifying assumptions

 Which synapses eligible for plasticity chosen randomly Potentiating/depressing plasticity events ~ Poisson processes with rates rfpox/dep, where fpox + fdep = 1. Potentiation and depression are described by Markov processes with transition probabilities Mpot/dep.

Synaptic weights of the internal states are given by vector w.

Can only take values ±1.

implifying assumptions

- 1. allows us to concentrate on synapse, not neuron/network
- 2. don't care if STDP...
- 3. r = total rate of plasticity events per synapse,  $f^{\text{pot/dep}} = \text{fraction of events}$ that are potentiating/depressing.
- 4. matrix elements: transition prob from  $i \rightarrow j$ , given pot/dep
- 5. looks like binary synapse from outside. Inside...

## **Dynamics**

At t = 0, the memory is created by  $\mathbf{M}^{\text{pot/dep}}$  with probability  $f^{\text{pot/dep}}$ .

Forgetting caused by subsequent memories, evolving as

$$rac{\mathrm{d}\mathbf{p}(t)}{\mathrm{d}t} = r\mathbf{p}(t)\mathbf{W}^{\mathrm{F}}, \qquad \mathbf{W}^{\mathrm{F}} = f^{\mathrm{pot}}\mathbf{M}^{\mathrm{pot}} + f^{\mathrm{dep}}\mathbf{M}^{\mathrm{dep}} - \mathbf{I},$$

Eventually, this will settle into the equilibrium distribution:

$$\mathbf{p}^{\infty}\mathbf{W}^{\mathrm{F}}=0.$$



Complex synapses Modelling synaptic complexity

- 1. for this one, we keep track of pot/dep, look for inc/dec of w
- 2. **W**<sup>F</sup> is forgetting matrix, **I** =identity, don't keep track of pot/dep
- 3. In equilibrium prior to memory creation

└─Dynamics

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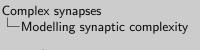
## Memory curve

 $\vec{w}$  is the *N*-element vector of synaptic weights.

$$\mathsf{Signal} = \langle ec{w}_\mathsf{ideal} \cdot ec{w}(t) - ec{w}_\mathsf{ideal} \cdot ec{w}(\infty) 
angle \ \mathsf{Noise} = \mathsf{Var} \left( ec{w}_\mathsf{ideal} \cdot ec{w}(\infty) 
ight)$$

Related to reconstruction probability of single synapses.

$$\mathsf{SNR}(t) \sim \sqrt{N} \, P(\mathsf{strong/weak}, t | \mathsf{pot/dep}, t = 0) - \dots (t = \infty).$$



└─Memory curve

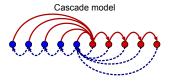


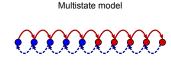
Memory curve

- 1. of different synapses
- 2. ideal observer reads weights, not states
- 3. upper bound on electrical activity readout
- 4. ideal: pot→strong...
- 5. subtract baseline, some overlap even w/o encoding
- 6. if we ignore correlations...

#### Example models

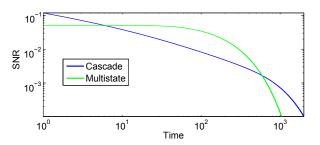
Two example models of complex synapses.





[Fusi et al. (2005), Amit and Fusi (1994), Fusi and Abbott (2007)]

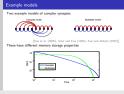
These have different memory storage properties



Complex synapses

Modelling synaptic complexity

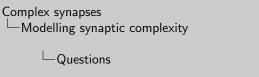
Example models

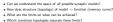


- 1. previous work, also: Benna-Fusi
- 2. Multistate good at one time, bad at others,
- $\ensuremath{\mathsf{3}}.$  Cascade, less well at that time, better over range of times.

#### Questions

- Can we understand the space of all possible synaptic models?
- How does structure (topology) of model → function (memory curve)?
- What are the limits on what can be achieved?
- Which transition topologies saturate these limits?





- 1. not just individual models
- 2. understand net (link on topology)
- 3. avoid using word "optimal". depends on what want to do.

## Memory curve 2

Memory curve given by

$$\mathsf{SNR}(t) = rac{\sqrt{N}(2f^\mathsf{pot}f^\mathsf{dep})}{\sqrt{4\mathbf{p}_+^\infty\mathbf{p}_-^\infty}}\,\mathbf{p}^\infty\left(\mathbf{M}^\mathsf{pot} - \mathbf{M}^\mathsf{dep}
ight) \exp\left(rt\mathbf{W}^\mathrm{F}
ight)\mathbf{w}.$$

Constraints:

$$\mathsf{M}^{\mathsf{pot}/\mathsf{dep}}_{ij} \in [0,1], \qquad \sum_{j} \mathsf{M}^{\mathsf{pot}/\mathsf{dep}}_{ij} = 1.$$

Eigenmode decomposition:

$$\mathsf{SNR}(t) = \sqrt{N} \sum_a \mathcal{I}_a \, \mathsf{e}^{-rt/ au_a}.$$

Complex synapses Modelling synaptic complexity

└─Memory curve 2

Memory curve 2

- 1. prefactors don't do anything, ignore
- 2. prior state, encoding, forgetting, readout
- 3. difficult to to apply
- 4. what are constraints on these?

Complex synapses Upper bounds

Section 3

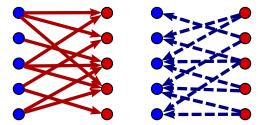
Upper bounds

#### Initial SNR as flux

Initial SNR is closely related to flux between strong & weak states

$$\mathsf{SNR}(0) \leq \frac{4\sqrt{N}}{r}\,\mathbf{\Phi}_{-+}.$$

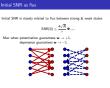
Max when potentiation guarantees  $\mathbf{w} \to +1$ , depression guarantees  $\mathbf{w} \to -1$ .





Complex synapses

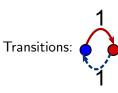
Upper bounds
Initial SNR
Initial SNR as flux



- 1. usually saturated: pot never dec, dep never inc
- 2. transitions out of one node sum to 1
- 3. equivalent to two-state model: doesn't matter which strong/weak state, same prob of going to other set.

#### Two-state model

#### Two-state model equivalent to previous slide:



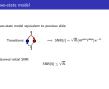
$$\implies \mathsf{SNR}(t) = \sqrt{N} \left( 4 f^{\mathsf{pot}} f^{\mathsf{dep}} \right) \mathrm{e}^{-rt}.$$

Maximal initial SNR:

$$SNR(0) \leq \sqrt{N}$$
.

Complex synapses

Upper bounds
Initial SNR
Two-state model

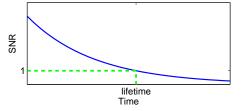


- 1. decays very quickly
- 2.  $f^{\text{pot}} = \frac{1}{2}$

#### Area under memory curve

Memory lifetime bounded by area under SNR curve:

$$\mathsf{SNR}(\mathsf{lifetime}) = 1$$
  $\Longrightarrow \mathsf{lifetime} < \mathcal{A}.$ 



This area has an upper bound:

$$A \leq \sqrt{N}(M-1)/r$$
.

Saturated by a model with linear chain topology.

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Complex synapses
Upper bounds
Area under memory curve
Area under memory curve

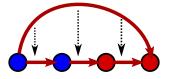


- 1. lifetime = area under green ; area under blue
- 2. capacity  $\sim r$  lifetime, #new memories before we forget original.
- 3. reminder: N = #synapses, M = #states
- 4. proof next slide

#### Proof of area bound

For any model, we can construct perturbations that

- preserve equilibrium distribution,
- increase area.



details

e.g. decrease "shortcut" transitions, increase bypassed "direct" ones. Endpoint: linear chain

The area of this model is

$$A = \frac{2\sqrt{N}}{r} \sum_{k} \mathbf{p}_{k}^{\infty} |k - \langle k \rangle|.$$

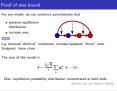
Max: equilibrium probability distribution concentrated at both ends.

[Barrett and van Rossum (2008)]

Complex synapses

Upper bounds

Area under memory curve
Proof of area bound



- 1. relies on order & technical condition
- 2. max given  $\mathbf{p}^{\infty}$
- 3. now max wrt.  $\mathbf{p}^{\infty}$
- 4. keep c.o.m. in middle
- 5. similar result, slightly different conditions: linear weights, mutual info

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Section 4

Envelope memory curve

## Bounding finite time SNR

SNR curve:

$$\mathsf{SNR}(t) = \sqrt{N} \sum_{a} \mathcal{I}_a \, \mathsf{e}^{-rt/\tau_a}.$$

subject to constraints:

$$\sum_{a} \mathcal{I}_{a} \leq 1, \qquad \sum_{a} \mathcal{I}_{a} \tau_{a} \leq M - 1.$$

We can maximise wrt.  $\mathcal{I}_a, \tau_a$ .

Complex synapses
Envelope memory curve
Bounding finite time SNR

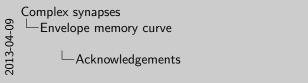


- 1. from eigenmode decomposition
- 2. from initial, area bounds

## Acknowledgements

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- Marcus Benna



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Marcus Benna

1. Last slide!

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Envelope memory curve

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#### References III



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Finite markov chains.

Springer, 1960.



References III Complex synapses Envelope memory curve J.G. Kemeny and J.L. Snell. Finite markov chains. Springer, 1960. References

#### Techinical detail: ordering states

Let  $T_{ii}$  = mean first passage time from state i to state j. Then:

$$\eta = \sum_j \mathsf{T}_{ij} \mathsf{p}_j^\infty,$$

is independent of the initial state i (Kemeney's constant).

[Kemeny and Snell (1960)]

We define:

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$$\eta_i^+ = \sum_{j \in \mathsf{strong}} \mathbf{T}_{ij} \mathbf{p}_j^\infty, \qquad \eta_i^- = \sum_{j \in \mathsf{weak}} \mathbf{T}_{ij} \mathbf{p}_j^\infty.$$

Complex synapses

They can be used to arrange the states in an order (increasing  $\eta^-$  or decreasing  $\eta^+$ ). back



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Fechinical detail: ordering states Complex synapses Envelope memory curve ☐ Techinical detail: ordering states

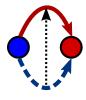
- 1. Measure "distance" to the strong/weak states.
- 2. sum to constant,  $\implies$  two orders same

is independent of the initial state i (Kemeney's constant)

They can be used to arrange the states in an order (increasing  $\eta^-$ 

## Technical detail: upper/lower triangular

With states in order:





Endpoint: potentiation goes right, depression goes left.

back



Complex synapses

Envelope memory curve

☐ Technical detail: upper/lower triangular



- 1. pot & dep with same initial & final state
- 2. pot/dep matrices are upper/lower triangular.
- 3. one other pert. too technical, even for bonus slide!