A general theory of learning and memory with Complex Synapses

based on work with Surya Ganguli

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Complex synapses

A general theory of learning and memory with Complex Synapses based on work with Surya Ganguli

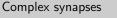
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Introduction

We often model synaptic plasticity as the change of a single number (synaptic weight). In reality, there is a complex dynamical system inside a synapse.

Semi-realistic models of synaptic memory have terrible storage without synaptic complexity.

We will study the entire space of a broad class of models of complex synapses to find upper bounds on their performance.



__Introduction

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Semi-realistic models of synaptic memory have terrible storare without.

synaptic complexity.

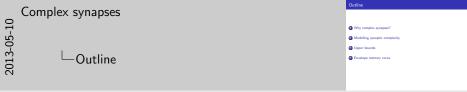
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We will study the entire space of a broad class of models of comp synapses to find upper bounds on their performance.

- 1. amplitude of psp.
- 2. finite number of values.

Outline

- Why complex synapses?
- 2 Modelling synaptic complexity
- 3 Upper bounds
- 4 Envelope memory curve



- 1. review terrible properties of simple synapses.
- 2. mathematical formalism of model, quantify performance (memory decay over time)
- 3. upper bounds on single numbers that depend on whole memory curve (deca over time)
- 4. upper bounds at finite times

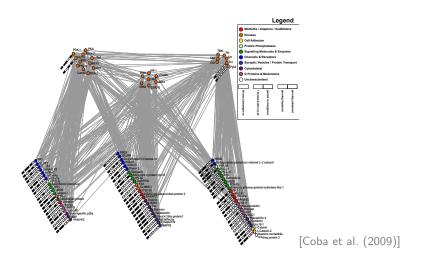
Complex synapses -Why complex synapses?

Section 1 Why complex synapses?

Section 1

Why complex synapses?

Complex synapse



There is a complex, dynamical molecular network underlying synaptic plasticity.

Complex synapses

Why complex synapses?



- 1. Molecular network, post-synaptic density, from Seth Grant
- 2. Does this matter?
- 3. Could just be the machinery for changing synaptic weight
- 4. link back to questions on "There"

-Complex synapse

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Storage capacity of synaptic memory

A classical perceptron (used as a recognition memory device) has a capacity $\propto N$, the number of synapses.

Requires synapses' dynamic range also $\propto N$.

If we restrict synaptic weight to a fixed, finite set of values,

⇒ tradeoff between learning and forgetting: new memories overwriting old.

If we wish to store new memories rapidly, memory capacity $\sim \mathcal{O}(\log N)$.

[Amit and Fusi (1992), Amit and Fusi (1994)]

To circumvent this tradeoff, need to go beyond model of a synapse as a single number.



—Storage capacity of synaptic memory

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To circumvent this tradeoff, need to go beyond model of a synapse as a single number.

- 1. very plastic: learn easy, forget easy
- 2. little plasticity, remember better, learn harder
- 3. or sparse $\sim \log N/N$

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4. one way around limit: complexity

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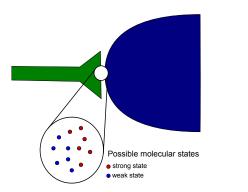
Complex synapses -Modelling synaptic complexity

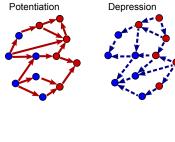
Section 2 Modelling synaptic complexity

Section 2

Modelling synaptic complexity

Complex synapses





Complex synapses

Modelling synaptic complexity

Complex synapses





- 1. functional states, not molecules
- 2. synaptic weight depends on state
- 3. many states can have same weight
- 4. stochastic transitions

Simplifying assumptions

- There are N identical synapses with M internal functional states.
- States of different synapses are independent of each other.
- Which synapses eligible for plasticity chosen randomly.
- Potentiating/depressing plasticity events \sim Poisson processes with rates $rf^{\text{pot/dep}}$, where $f^{\text{pot}} + f^{\text{dep}} = 1$.
- Potentiation and depression are described by Markov processes with transition probabilities M^{pot/dep}.
- Synaptic weights of the internal states are given by vector \mathbf{w} . Can only take values ± 1 .



Complex synapses

-Modelling synaptic complexity

Simplifying assumptions

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- States of different synapses are independent of each ot
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 Synaptic weights of the internal states are given by vector w.
- Can only take values ±1.

- 1. allows us to concentrate on synapse, not neuron/network
- 2. don't care if STDP...
- 3. r = total rate of plasticity events per synapse, $f^{\text{pot/dep}} = \text{fraction of events}$ that are potentiating/depressing.
- 4. matrix elements: transition prob from $i \rightarrow j$, given pot/dep
- 5. looks like binary synapse from outside. Inside...
- ideal observer reads weights, not electrical activity: don't model neurons/network
- 7. upper bound on electrical activity readout

Dynamics

At t = 0, the memory is created by $\mathbf{M}^{\text{pot/dep}}$ with probability $f^{\text{pot/dep}}$.

Forgetting caused by subsequent memories, evolving as

$$rac{\mathrm{d}\mathbf{p}(t)}{\mathrm{d}t} = r\mathbf{p}(t)\mathbf{W}^{\mathrm{F}}, \qquad \mathbf{W}^{\mathrm{F}} = f^{\mathrm{pot}}\mathbf{M}^{\mathrm{pot}} + f^{\mathrm{dep}}\mathbf{M}^{\mathrm{dep}} - \mathbf{I},$$

Eventually, this will settle into the equilibrium distribution:

$$\mathbf{p}^{\infty}\mathbf{W}^{\mathrm{F}}=0.$$



Complex synapses Modelling synaptic complexity └─Dynamics

- 1. for this one, we keep track of pot/dep, look for inc/dec of w
- 2. **W**^F is forgetting matrix, **I** =identity, don't keep track of pot/dep
- 3. In equilibrium prior to memory creation

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Memory curve

 \vec{w} is the N-element vector of synaptic weights.

$$\begin{split} \mathsf{Signal} &= \left\langle \vec{w}_\mathsf{ideal} \cdot \vec{w}(t) - \vec{w}_\mathsf{ideal} \cdot \vec{w}(\infty) \right\rangle, \\ \mathsf{Noise} &= \sqrt{\mathsf{Var} \left(\vec{w}_\mathsf{ideal} \cdot \vec{w}(\infty) \right)}. \end{split}$$

Related to reconstruction probability of single synapses.

$$\mathsf{SNR}(t) \sim \sqrt{N} \, P(\mathsf{strong/weak}, t | \mathsf{pot/dep}, t = 0) - \dots (t = \infty).$$

└─Memory curve



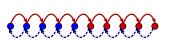
- 1. of different synapses
- 2. ideal observer reads weights, not states
- 3. upper bound on electrical activity readout
- 4. ideal: pot→strong...
- 5. subtract baseline, some overlap even w/o encoding
- 6. if we ignore correlations...

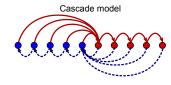
Example models

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Two example models of complex synapses.

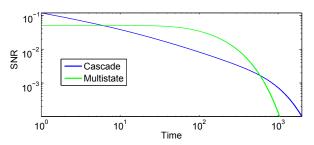






[Amit and Fusi (1994), Fusi and Abbott (2007), Fusi et al. (2005)]

These have different memory storage properties

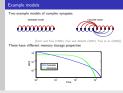


Complex synapses

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Modelling synaptic complexity

Example models



- 1. previous work, also: Benna-Fusi
- 2. Multistate good at one time, bad at others,
- 3. Cascade, less well at that time, better over range of times.

Questions

- Can we understand the space of all possible synaptic models?
- How does structure (topology) of model → function (memory curve)?
- What are the limits on what can be achieved?
- Which transition topologies saturate these limits?



- 1. not just individual models
- 2. understand net (link on topology)
- 3. avoid using word "optimal". depends on what want to do.

Memory curve 2

Memory curve given by

$$\mathsf{SNR}(t) = rac{\sqrt{N}(2f^\mathsf{pot}f^\mathsf{dep})}{\sqrt{4\mathbf{p}_+^\infty\mathbf{p}_-^\infty}}\,\mathbf{p}^\infty\left(\mathbf{M}^\mathsf{pot} - \mathbf{M}^\mathsf{dep}
ight) \exp\left(rt\mathbf{W}^\mathrm{F}
ight)\mathbf{w}.$$

Constraints:

$$\mathsf{M}^{\mathsf{pot/dep}}_{ij} \in [0,1], \qquad \sum_{j} \mathsf{M}^{\mathsf{pot/dep}}_{ij} = 1.$$

Eigenmode decomposition:

$$\mathsf{SNR}(t) = \sqrt{N} \sum_{a} \mathcal{I}_a \, \mathsf{e}^{-rt/ au_a}.$$

Complex synapses Modelling synaptic complexity

└─Memory curve 2



Memory curve 2

- 1. prefactors don't do anything, ignore
- 2. prior state, encoding, forgetting, readout
- 3. difficult to to apply
- 4. what are constraints on these?

Section 3

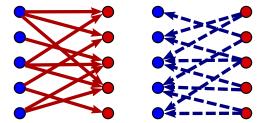
Upper bounds

Initial SNR as flux

Initial SNR is closely related to flux between strong & weak states

$$\mathsf{SNR}(0) \leq \frac{4\sqrt{N}}{r}\,\mathbf{\Phi}_{-+}.$$

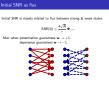
Max when potentiation guarantees $\mathbf{w} \to +1$, depression guarantees $\mathbf{w} \to -1$.





Complex synapses

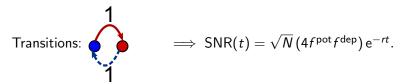
Upper bounds
Initial SNR
Initial SNR as flux



- 1. flux = eq prob \times trans prob
- 2. usually saturated: pot never dec, dep never inc
- 3. transitions out of one node sum to 1
- 4. equivalent to two-state model: doesn't matter which strong/weak state, same prob of going to other set.

Two-state model

Two-state model equivalent to previous slide:



Maximal initial SNR:

$$SNR(0) \leq \sqrt{N}$$
.

Complex synapses
Upper bounds
Initial SNR
Two-state model

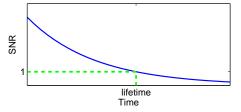


- 1. decays very quickly
- 2. $f^{pot} = \frac{1}{2}$
- 3. Initial SNR not a good thing to optimise.

Area under memory curve

Memory lifetime bounded by area under SNR curve:

$$\mathsf{SNR}(\mathsf{lifetime}) = 1$$
 $\Longrightarrow \mathsf{lifetime} < \mathcal{A}.$



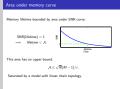
This area has an upper bound:

$$A \leq \sqrt{N}(M-1)/r$$
.

Saturated by a model with linear chain topology.

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Complex synapses
Upper bounds
Area under memory curve
Area under memory curve

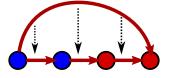


- 1. lifetime = area under green ; area under blue
- 2. capacity $\sim r$ lifetime, #new memories before we forget original.
- 3. reminder: N = #synapses, M = #states
- 4. proof next slide

Proof of area bound

For any model, we can construct perturbations that

- preserve equilibrium distribution,
- increase area.





e.g. decrease "shortcut" transitions, increase bypassed "direct" ones. Endpoint: linear chain

The area of this model is

$$A = \frac{2\sqrt{N}}{r} \sum_{k} \mathbf{p}_{k}^{\infty} |k - \langle k \rangle|.$$

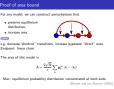
Max: equilibrium probability distribution concentrated at both ends.

[Barrett and van Rossum (2008)]

Complex synapses

Upper bounds

Area under memory curve
Proof of area bound



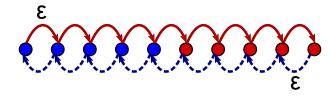
- 1. relies on order & technical condition
- 2. max given \mathbf{p}^{∞}

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- 3. now max wrt. \mathbf{p}^{∞}
- 4. keep c.o.m. in middle
- 5. similar result, slightly different conditions: linear weights, mutual info

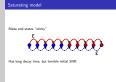
Saturating model

Make end states "sticky"



Has long decay time, but terrible initial SNR.

Complex synapses
Upper bounds
Area under memory curve
Saturating model



- 1. Difficult to get out of end state.
- 2. Area not a good thing to optimise

Complex synapses Envelope memory curve

Section 4

Envelope memory curve

Bounding finite time SNR

SNR curve:

$$\mathsf{SNR}(t) = \sqrt{N} \sum_{a} \mathcal{I}_a \, \mathsf{e}^{-rt/\tau_a}.$$

subject to constraints:

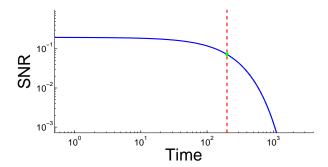
$$\sum_{a} \mathcal{I}_{a} \leq 1, \qquad \sum_{a} \mathcal{I}_{a} \tau_{a} \leq M - 1.$$

We can maximise wrt. \mathcal{I}_a, τ_a .

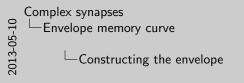
Complex synapses
Envelope memory curve
Bounding finite time SNR

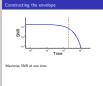


- 1. from eigenmode decomposition
- 2. from initial, area bounds

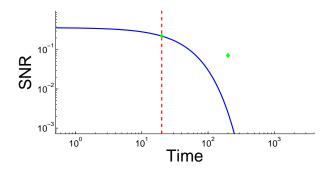


Maximise SNR at one time





1. One exp. only constrains SNR at that time, not others



Another time



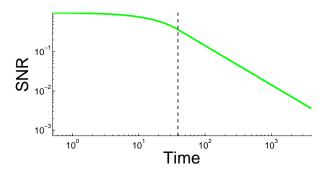
Complex synapses

Envelope memory curve



Constructing the envelope

- 1. One exp. only constrains SNR at that time, not others
- 2. get another bound



All times \rightarrow envelope



Complex synapses

Envelope memory curve

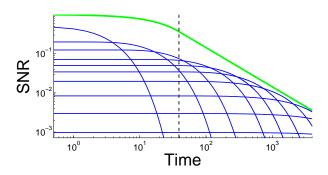


Constructing the envelope

Constructing the envelope

- 1. One exp. only constrains SNR at that time, not others
- 2. get another bound
- 3. vary time of max. no curve can cross this.
- 4. Regions: init(1); area(1,2)
- 5. is it tight? can any constrained set of exps be acheived?

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Memory curves of example models.



Complex synapses Envelope memory curve

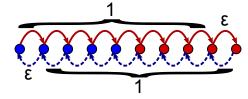
-Constructing the envelope



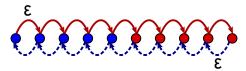
- 1. One exp. only constrains SNR at that time, not others
- 2. get another bound
- 3. vary time of max. no curve can cross this.
- 4. Regions: init(1); area(1,2)
- 5. is it tight? can any constrained set of exps be acheived?
- 6. no
- 7. One exp. discuss models next slide

Best models at single times

Early times:



Late times:



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Envelope memory curve

Best models at single times

- 1. shorten length of chain, keeping deterministic
- 2. Area maximising.
- 3. two mechs for slowing forgetting: time (lower trans prob) and space (diffusion length)

Additional constraint

Conjecture: additional constraint

$$\mathcal{I}_{a}\sqrt{\tau_{a}} \leq \mathcal{O}(1).$$

Saturated by a diffusive chain:

$$\mathsf{SNR}(0) \sim \frac{1}{M}, \qquad \mathsf{time\text{-}scale} \sim M^2.$$

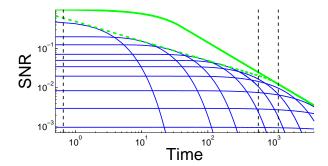
Complex synapses Envelope memory curve ☐ Additional constraint

Conjecture: additional constraint Saturated by a diffusive chain:

Additional constraint

1. Tested experimentally. Discuss later

Envelope 2



$$rt < \mathcal{O}(M^2),$$
 envelope $\sim (rt)^{-1/2},$ $rt > \mathcal{O}(M^2),$ envelope $\sim (rt)^{-1}.$

Complex synapses Envelope memory curve

Envelope 2



- 1. dashed: conjecture. tight.
- 2. earlier: diffusion limited. later: stochastic limited.
- 3. regions: init(1); sqrt(2,3); area(3,4) 4. Benna-Fusi hugs envelope? cascade $\sim t^{-3/4}$

Lifetime bound

Lifetime of a memory bounded by where envelope crosses 1

$$N > \mathcal{O}(M^2) \implies \mathsf{lifetime} \leq rac{\sqrt{N}(M-1)}{\mathsf{e}r},$$
 $N < \mathcal{O}(M^2) \implies \mathsf{lifetime} \leq rac{\gamma^2 N}{2\mathsf{e}r}.$

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Envelope memory curve

Lifetime bound

 ℓ a memory bounded by where envelope crosses 1 $N > \mathcal{O}(M^2) \quad \Longrightarrow \quad \text{Siteline} \leq \frac{\sqrt{N}(M-1)}{4r},$ $N < \mathcal{O}(M^2) \quad \Longrightarrow \quad \text{Siteline} \leq \frac{2N}{24r}.$

- 1. $\gamma \sim \mathcal{O}(1)$ constant in additional constaint
- 2. First t^{-1} assumes M low. Second $t^{-1/2}$ applies to Benna-Fusi.
- 3. Independent synapses?

Additional constraint: other forms?

Involving eigenmodes:

$$\mathcal{I}_{a}\sqrt{ au_{a}}, \qquad \sum_{a}\mathcal{I}_{a}\sqrt{ au_{a}}, \qquad \sum_{a}\mathcal{I}_{a}^{2} au_{a}.$$

Not involving eigenmodes

$$\mathcal{A} \times \mathsf{SNR}(0), \qquad \int \! \mathrm{d}t \; \mathsf{SNR}(t)^2.$$

Complex synapses Envelope memory curve Additional constraint: other forms?



- 1. as one-time max only involved one exp, would also work
- 2. right units
- 3. easier to work with?
- 4. L2 doesn't have nice expression in terms of matrices

Cheeger inequality

Cheeger constant:

$$\phi \equiv \min_{\mathcal{S}} \left\{ \frac{\mathsf{Perimeter}(\partial \mathcal{S})}{\mathsf{Area}(\mathcal{S})} \right\}.$$

Timescale for diffusion to equilibriate

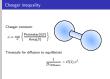
$$\frac{1}{D\tau_{\text{diffusion}}} > \mathcal{O}(1) \, \phi^2$$



Complex synapses

Cheeger inequality

Envelope memory curve



- 1. split into two pieces. pick smaller. higher dim.
- 2. bottleneck
- 3. if we want slow diffusion, need lhs small \rightarrow need bottleneck.
- 4. purely geometric
- 5. also inequality in other direction: want slow diffusion \rightarrow need bottleneck. Not useful for us

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Cheeger inequality: Markov chains

Cheeger constant:

$$\phi \equiv \min_{\mathcal{S}} \left\{ rac{oldsymbol{\Phi}_{\mathcal{S}\mathcal{S}^{\mathrm{c}}}}{oldsymbol{\mathsf{p}}^{\infty}(\mathcal{S})}
ight\}.$$

Timescale to equilibriate:

$$\frac{1}{\max_{a} \tau_{a}} > \mathcal{O}(1) \, \phi^{2}.$$

Simple proof assuming detailed balance. More complicated proof for general case.

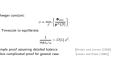
[Sinclair and Jerrum (1989)]

[Lawler and Sokal (1988)]



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Cheeger inequality: Markov chains

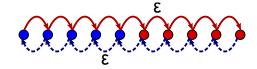


Cheeger inequality: Markov chains

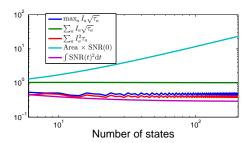
- 1. split states into two subsets. pick smaller.
- 2. again bottleneck
- denominator varies
- 4. $\mathcal{O}(1)$ bit differs
- 5. bottleneck need not be between strong & weak.

Counter examples?

Put bottleneck somewhere else:



Set $\epsilon = 1/M$, see how putative constraints vary:



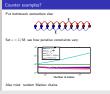
Also tried: random Markov chains.



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Envelope memory curve

-Counter examples?



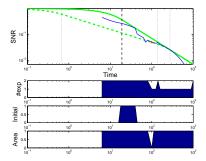
- 1. eg prob concentrated near middle. bottleneck at ϵ .
- 2. high inital snr and long timescale in different modes.
- 3. only eigenmode dependent constraints survive (and L2 but difficult to wor with).

Two-time envelope

Maximise $SNR(t_1)$ subject to constraint $SNR(t_2) = S_2$.

For t_1 close to t_2 , get single exponential. Far away, get two exponentials.

See tradeoff between $SNR(t_1)$ and $SNR(t_2)$.





Complex synapses Envelope memory curve



Fwo-time envelope

└─Two-time envelope

- 1. Max at multiple times, \rightarrow multiple timescales? cascade? Benna-Fusi?
- 2. only implemented first 2 constraints
- 3. numerics not working. 2 exp solution need to solve 2 transcendental equations.

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Summary

- We have formulated a general theory of learning and memory with complex synapses.
- The area under the memory curve of any model < linear chain with same equilibrium distribution.
- We find a memory envelope: a single curve that cannot be exceeded by the memory curve of any synaptic model.
- Synaptic complexity (M internal states) raises the memory envelope linearly in M for times $> \mathcal{O}(M^2)$.
- For times $< \mathcal{O}(M^2)$: conjecture that the model that reaches the envelope uses deterministic transitions \rightarrow diffusive forgetting.

Complex synapses Envelope memory curve -Summary

. We have formulated a general theory of learning and memory with The area under the memory curve of any model < linear chain with

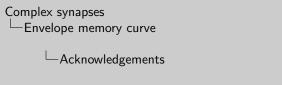
- same equilibrium distribution. We find a memory envelope: a single curve that cannot be exceeded
- by the memory curve of any synaptic model. Synaptic complexity (M internal states) raises the memory envelope
- linearly in M for times $> O(M^2)$. • For times $< O(M^2)$: conjecture that the model that reaches the

envelope uses deterministic transitions -> diffusive forgetting.

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- Surya Ganguli
- Stefano Fusi
- Marcus Benna



Thanks to:

Surya Ganguli

Stafano Fasi

Marcus Benna

1. Last slide!

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Complex synapses

Envelope memory curve

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37 /

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References IV

Techinical detail: ordering states

Let T_{ii} = mean first passage time from state i to state j. Then:

$$\eta = \sum_j \mathsf{T}_{ij} \mathsf{p}_j^\infty,$$

is independent of the initial state i (Kemeney's constant).

[Kemeny and Snell (1960)]

We define:

Subhaneil Lahiri (Stanford)

$$\eta_i^+ = \sum_{j \in \mathsf{strong}} \mathbf{T}_{ij} \mathbf{p}_j^\infty, \qquad \eta_i^- = \sum_{j \in \mathsf{weak}} \mathbf{T}_{ij} \mathbf{p}_j^\infty.$$

They can be used to arrange the states in an order (increasing η^- or decreasing η^+). back



Fechinical detail: ordering states Complex synapses Envelope memory curve ☐ Techinical detail: ordering states

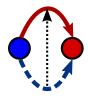
- 1. Measure "distance" to the strong/weak states.
- 2. sum to constant, \implies two orders same

is independent of the initial state i (Kemeney's constant)

They can be used to arrange the states in an order (increasing η^-

Technical detail: upper/lower triangular

With states in order:





Endpoint: potentiation goes right, depression goes left.

back



Complex synapses

Envelope memory curve

└─Technical detail: upper/lower triangular



Fechnical detail: upper/lower triangular

- 1. pot & dep with same initial & final state
- 2. pot/dep matrices are upper/lower triangular.
- 3. one other pert. too technical, even for bonus slide!