

Abelian Bosonization in $1+1$ dimensions

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Outline

- ▶ Motivation: The 1D Anomaly
- ▶ The Bosonization Dictionary
- ▶ Interactions & The Luttinger Liquid
- ▶ Summary & Conclusion
- ▶ Q & A

Motivation: The 2D Contrast

► Geometry:

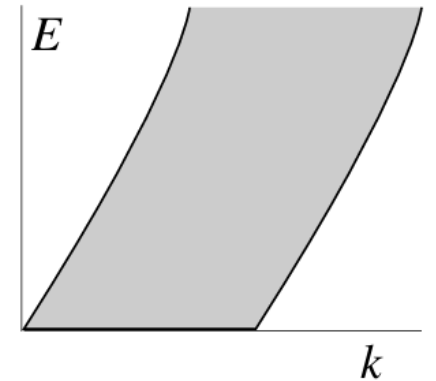
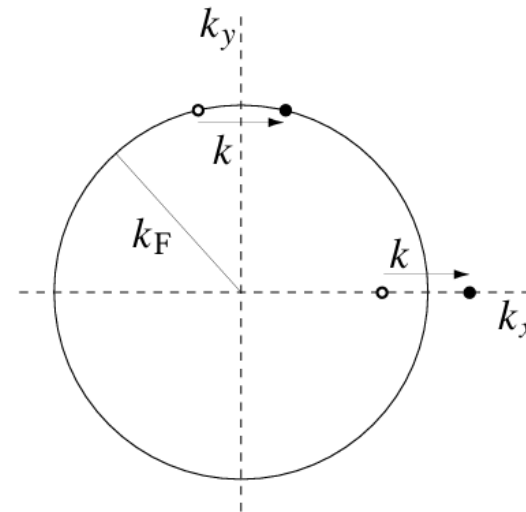
- Continuous Fermi surface (circle)
- Particle & Hole group velocities are generally **not collinear**

► Consequence (Landau Damping):

- Pairs dephase and drift apart
- **Collective modes decay**
- Single quasi-particles remain stable

► Conclusion:

- Landau's Fermi Liquid Theory is valid



Why is 1D Special?

► Kinematic Constraint:

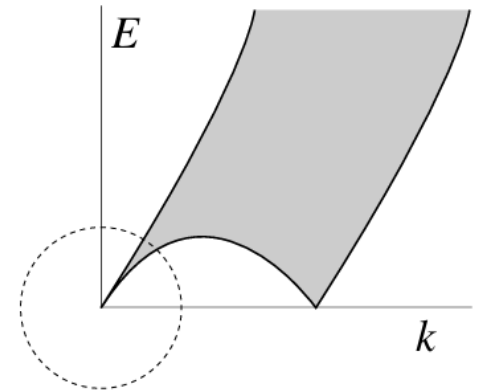
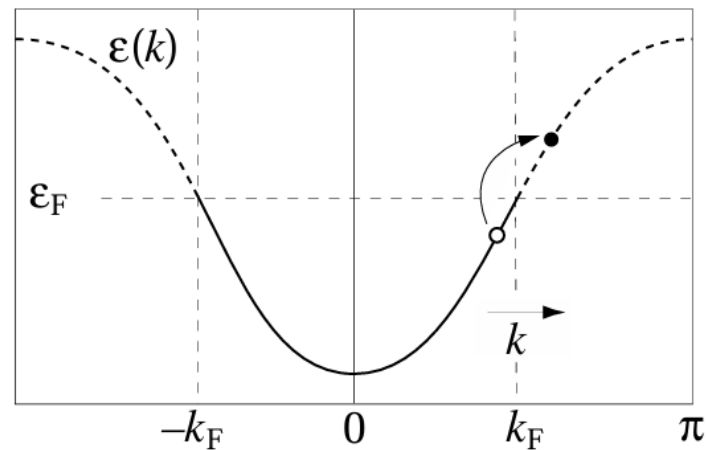
- Discrete points of Fermi surface ($\pm k_F$)
- Linear Dispersion: $\epsilon(k) \approx \pm v_F(k \mp k_F)$ near k_F
- Velocity matching: $v_{particle} = v_{hole} = v_F$

► Consequence:

- Particle-hole pair propagates **coherently**
- Stable collective density modes

► Conclusion:

- Fermi liquid theory fails → **Tomonaga-Luttinger Liquid**



Chiral Decomposition & Free Dirac Fermion in 1+1D

► **Linearization (slowly varying):**

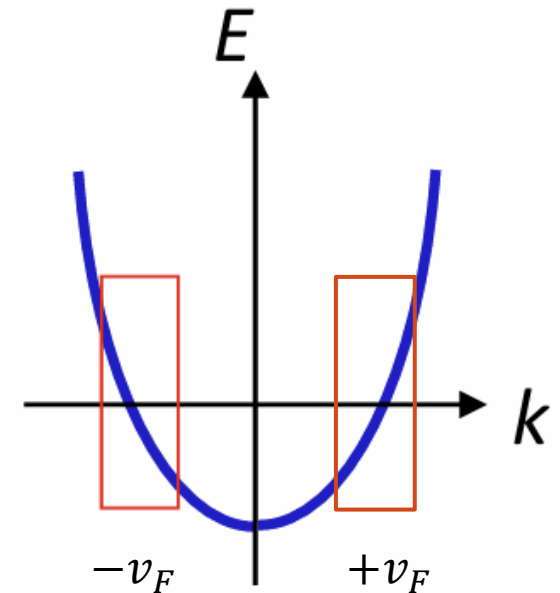
$$\psi(x) \approx e^{ik_F x} \underset{\text{right}}{\psi_R(x)} + e^{-ik_F x} \underset{\text{left}}{\psi_L(x)}$$

► **Free Dirac Hamiltonian (linearized):**

$$H_0 = -iv_F \int dx \left(\psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L \right)$$

► **Physical interpretation:**

- Describe two **decoupled** massless fermions
- Satisfy $U(1)_L \times U(1)_R$ symmetry



Current Algebra & Sugawara

► Define Chiral Currents (Density):

$$J_R(x) =: \psi_R^\dagger(x) \psi_R(x): \quad ; \quad J_L(x) =: \psi_L^\dagger(x) \psi_L(x):$$

► Calculate current² (quantum mechanically):

$$\begin{aligned}
 \bullet \quad J_L(z)J_L(w) &=: \psi_L^\dagger(z) \psi_L(z) :: \psi_L^\dagger(w) \psi_L(w): \\
 &= \left(\frac{1}{2\pi(z-w)} \right)^2 + \frac{i}{\pi} : \psi_L^\dagger(x) \partial_x \psi_L(x): + \dots
 \end{aligned}$$

singular

normal
ordering

→

$z \rightarrow w$

$$\begin{aligned}
 : (J_L)^2 : &= + \frac{i}{\pi} \psi_L^\dagger(x) \partial_x \psi_L(x) \\
 : (J_R)^2 &= - \frac{i}{\pi} \psi_R^\dagger(x) \partial_x \psi_R(x)
 \end{aligned}$$

► Sugawara Construction:

$$H_0 = -iv_F \int dx \left(\psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L \right) = \pi v_F \int dx \{ : (J_L)^2 : + : (J_R)^2 : \}$$

Sugawara form

“Hamiltonian becomes Bosonic !”

The Bosonic Signature

► Free currents behave like “bosons”

Fermion Current Algebra

$$[J_L(x), J_L(y)] = \frac{i}{2\pi} \partial_x \delta(x - y)$$

$$[J_R(x), J_R(y)] = -\frac{i}{2\pi} \partial_x \delta(x - y)$$

Schwinger term

$$J_{R,L} \leftrightarrow \frac{1}{2\pi} \frac{\partial}{\partial x} \phi_{R,L}$$

local correspondence

Free Boson Field derivative

$$[\partial_x \phi_L(x), \partial_x \phi_L(y)] = 2\pi i \partial_x \delta(x - y)$$

$$[\partial_x \phi_R(x), \partial_x \phi_R(y)] = -2\pi i \partial_x \delta(x - y)$$

$$\begin{aligned} \varphi &= \phi_L + \phi_R \\ \theta &= \phi_L - \phi_R \end{aligned}$$

► Hamiltonian Mapping:

$$H_0 = -iv_F \int dx \{ \psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L \} \quad (\text{Fermion})$$

$$= \pi v_F \int dx \{ : (J_L)^2 : + : (J_R)^2 : \} \quad (\text{Sugawara})$$

Quadratic !!

$$= \frac{v_F}{4\pi} \int dx \{ : (\partial_x \phi_R)^2 : + : (\partial_x \phi_L)^2 : \} = \frac{v_F}{8\pi} \int dx \{ : (\partial_x \phi)^2 : + : (\partial_x \theta)^2 : \} \quad (\text{Bosonic})$$

The Bosonization Dictionary

► Field Operator Mapping:

$$\psi_R(x) = \frac{\eta_R}{\sqrt{2\pi\alpha}} e^{-i\phi_R(x)} \quad ; \quad \psi_L(x) = \frac{\eta_L}{\sqrt{2\pi\alpha}} e^{+i\phi_L(x)}$$

vertex operator

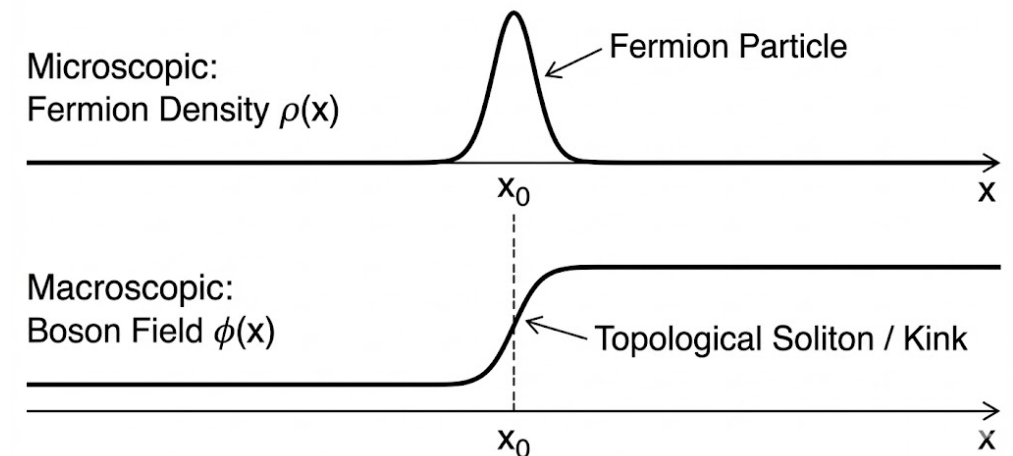
- α : short-distance cutoff
- $\eta_{R,L}$: Klein factor

► Density and Current Mapping:

$$\rho = \psi^\dagger(x)\psi(x) = \rho_0 + \frac{1}{2\pi} \partial_x \varphi + \frac{1}{\pi\alpha} \cos\{2k_F x + \varphi(x)\}$$

$$J(x) = \psi_R^\dagger(x)\psi_R(x) - \psi_L^\dagger(x)\psi_L(x) = -\frac{1}{2\pi} \partial_x \theta(x)$$

Fermion as a Topological Soliton



From Topology to Z_2 Symmetry

► **Mismatch of Global boundary condition:**

- Bosonic field is compact: $\phi(x + L) = \phi + 2\pi m$
- However, fermion operator can be periodic and anti-periodic **boundary conditions**

$$\psi(x + L) = \psi(x) \quad \text{or} \quad \psi(x + L) = -\psi(x)$$

► **Resolution: Z_2 symmetry (GSO projection):**

$$1 \equiv (-1)^F$$

► **Consequence: Summing Sectors:**

$$Z_{\text{boson}} = \frac{1}{2} (Z_{\text{periodic}} + Z_{\text{anti-periodic}})$$

Ramond **Neveu-Schwarz**

Structure of the Hilbert Space

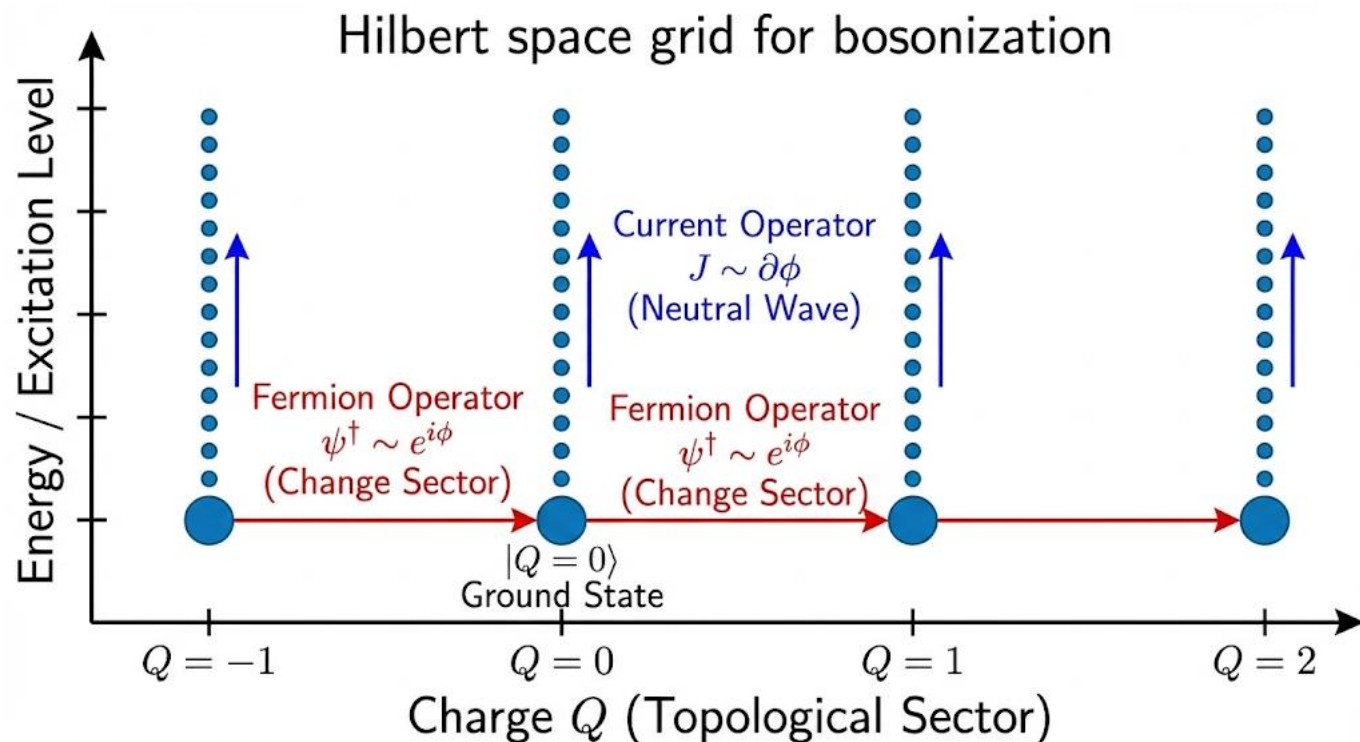
► Two types of Excitations on bosonic side:

● Zero mode excitation (topological):

1. Operator: $\psi^\dagger \sim e^{i\phi}$ (vertex op)
2. Change the total particle number N
3. Transitions between Topological Sectors

● Particle-hole excitation:

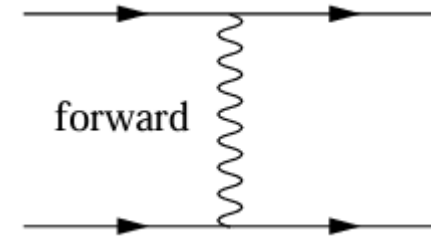
1. Operator: $J \sim \partial\phi$ (density/current op)
2. Change the energy level



Interactions & The Luttinger Liquid

- ▶ What about fermion-fermion interaction?
- ▶ Consider density-density coupling (**forward scattering**)

$$H_{\text{int}} = g_2 \int dx J_R(x) J_L(x) = g_2 \int dx (\psi_R^\dagger \psi_R) (\psi_L^\dagger \psi_L)$$



- ▶ **Bosonic language**

$$H_{\text{int}} \propto g_2 \int dx (\partial_x \phi_R)(\partial_x \phi_L) \sim (\partial_x \phi)^2 - (\partial_x \theta)^2$$

- ▶ **Full Hamiltonian**

$$H = \frac{v}{2\pi} \int dx \left\{ \frac{1}{K} (\partial_x \phi)^2 + K (\partial_x \theta)^2 \right\}$$

v : renormalized velocity

K : Luttinger parameter

- $K > 1$: Attractive fermion
- $K = 1$: Free fermion
- $K < 1$: Repulsive fermion

- **Tomonaga-Luttinger liquid**: translation-invariant, U(1)-symmetric fermion/boson systems

Summary & Conclusion

- ▶ Collapse of Fermi surface to discrete points in 1+1 dimensions allows particle-hole pairs to propagate **coherently**.
- ▶ The Duality Dictionary:
 - **Current** maps to **Boson Gradients** ($J \sim \partial\varphi$)
 - **Fermions** map to **Topological Solitons** (Kinks) in the boson field ($\psi \sim e^{i\phi}$)
- ▶ Universal Physics and application:
 - Forward scattering leads to the **Tomonaga-Luttinger Liquid**

Reference

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Q & A

Beyond the Liquid: Sine-Gordon Model

- ▶ **Backscattering & Umklapp** interaction (mix right and left and transfer momentum):

$$\text{Fermion Term: } \psi_R^\dagger \psi_L + h.c.$$

- ▶ The Mapping after bosonization

$$H \sim \int dx \left[\frac{v}{2} (\partial_x \phi)^2 + g \cos(\beta \phi) \right] \quad \textbf{Sine-Gordon Hamiltonian}$$

- ▶ **RG analysis and Stability** (by conformal dimension Δ)
 - **Irrelevant**: Potential scales to zero. Gapless Luttinger liquid remains stable.
 - **Relevant**: Potential grows. The system undergoes a Berezinskii-Kosterlitz-Thouless (BKT) transition.

Application: The XXZ Spin Chain

- The Model of **XXZ Spin Chain**:

$$H = J \sum_j \left(S_j^x S_{j+1}^x + S_j^y S_{j+1}^y + \Delta S_j^z S_{j+1}^z \right)$$

- **The Mapping** (Fermion to Boson):

- Jordan-Wigner: Spins \rightarrow Fermion
- Bosonization: XY term \rightarrow Kinetic energy $(\partial\phi)^2$

$S^z S^z$ term $\rightarrow \cos(\beta\phi)$ potential (Umklapp)

- **Effective Hamiltonian**

$$H_{eff} \approx \int dx \left[\frac{v}{2} (\partial\phi)^2 + g \cos(\sqrt{16\pi}\phi) \right]$$

Sine-Gordon Model

