

# Abelian Bosonization in 1+1 dimensions

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# Outline

- ▶ Motivation: The 1D Anomaly
- ▶ The Bosonization Dictionary
- ▶ Interactions & The Luttinger Liquid
- ▶ Summary & Conclusion
- ▶ Q & A

# Motivation: The 2D Contrast

## ► Geometry:

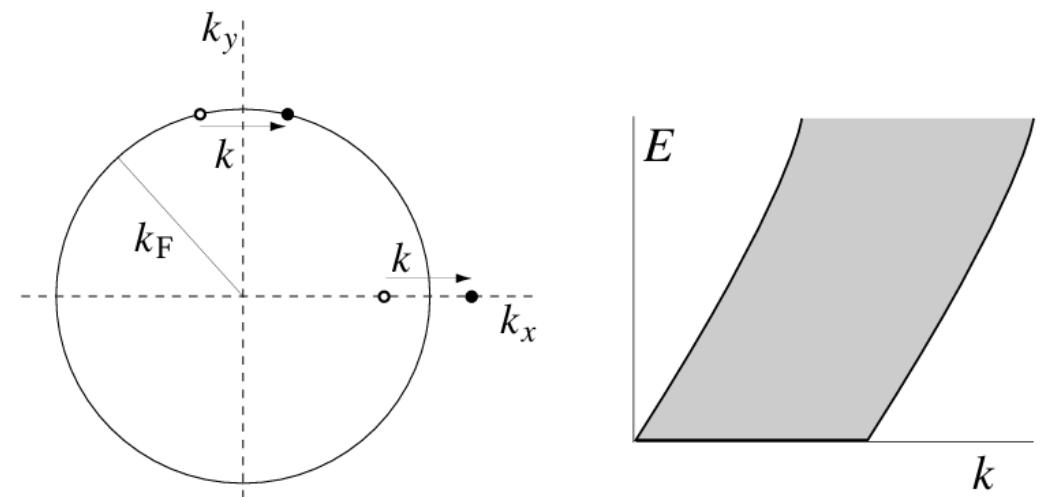
- Continuous Fermi surface (circle)
- Particle & Hole group velocities are generally **not collinear**

## ► Consequence (Landau Damping):

- Pairs dephase and drift apart
- **Collective modes decay**
- Single quasi-particles remain stable

## ► Conclusion:

- Landau's Fermi Liquid Theory is valid



# Why is 1D Special?

► Kinematic Constraint:

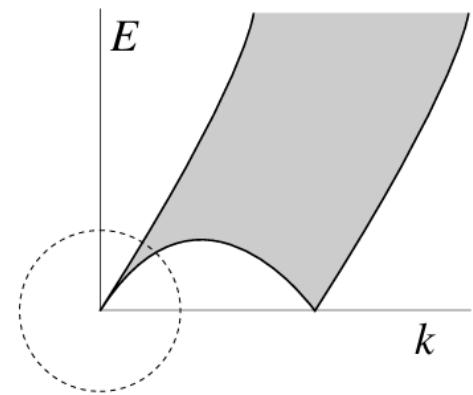
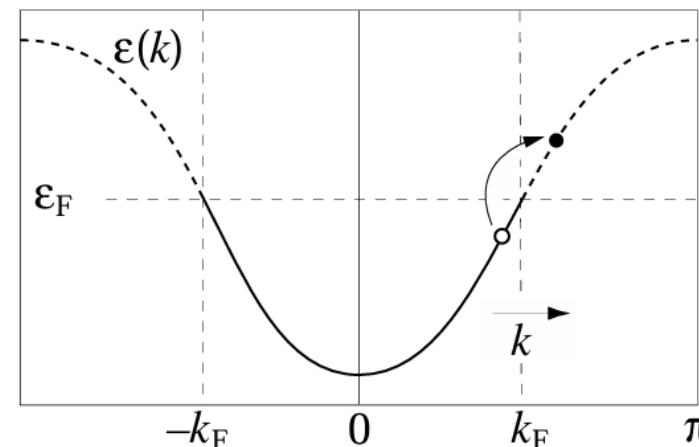
- Discrete points of Fermi surface ( $\pm k_F$ )
- Linear Dispersion:  $\epsilon(k) \approx \pm v_F(k \mp k_F)$  near  $k_F$
- Velocity matching:  $v_{particle} = v_{hole} = v_F$

► Consequence:

- Particle-hole pair propagates **coherently**
- Stable collective density modes

► Conclusion:

- Fermi liquid theory fails → **Tomonaga-Luttinger Liquid**



# Chiral Decomposition & Free Dirac Fermion in 1+1D

- Linearization (slowly varying):

$$\psi(x) \approx e^{ik_F x} \psi_R(x) + e^{-ik_F x} \psi_L(x)$$

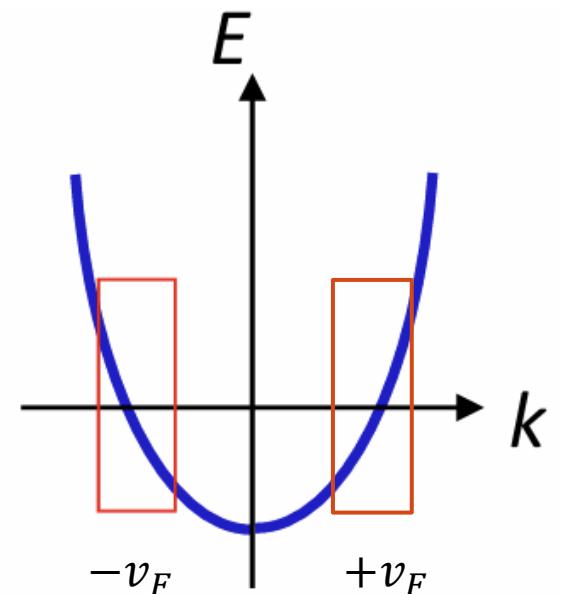
right                      left

- Free Dirac Hamiltonian (linearized):

$$H_0 = -i\nu_F \int dx \left( \psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L \right)$$

- Physical interpretation:

- Describe two **decoupled** massless fermions
- Satisfy  $U(1)_L \times U(1)_R$  symmetry



# Current Algebra & Sugawara

- ▶ Define Chiral Currents (Density):

$$J_R(x) = : \psi_R^\dagger(x) \psi_R(x) : ; \quad J_L(x) = : \psi_L^\dagger(x) \psi_L(x) :$$

- ▶ Calculate current^2 (quantum mechanically):

- $J_L(z)J_L(w) = : \psi_L^\dagger(z) \psi_L(z) : : \psi_L^\dagger(w) \psi_L(w) :$   
 $= \left( \frac{1}{2\pi(z-w)} \right)^2 + \frac{i}{\pi} : \psi_L^\dagger(x) \partial_x \psi_L(x) : + \dots$   
singular

normal  
 ordering  
  
 $\xrightarrow{z \rightarrow w}$

$$: (J_L)^2 : = + \frac{i}{\pi} \psi_L^\dagger(x) \partial_x \psi_L(x)$$

$$: (J_R)^2 = - \frac{i}{\pi} \psi_R^\dagger(x) \partial_x \psi_R(x)$$

- ▶ Sugawara Construction:

$$H_0 = -i\nu_F \int dx \left( \psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L \right) = \pi\nu_F \int dx \{ : (J_L)^2 : + : (J_R)^2 : \}$$

**Sugawara form**  
 “Hamiltonian becomes Bosonic !”

# The Bosonic Signature

- Free currents behave like “bosons”

$$\begin{aligned}\varphi &= \phi_L + \phi_R \\ \theta &= \phi_L - \phi_R\end{aligned}$$

Fermion Current Algebra

$$[J_L(x), J_L(y)] = \frac{i}{2\pi} \partial_x \delta(x - y)$$

$$[J_R(x), J_R(y)] = -\frac{i}{2\pi} \partial_x \delta(x - y)$$

**Schwinger term**

$$J_{R,L} \leftrightarrow \frac{1}{2\pi} \frac{\partial}{\partial x} \phi_{R,L}$$

local correspondence

Free Boson Field derivative

$$[\partial_x \phi_L(x), \partial_x \phi_L(y)] = 2\pi i \partial_x \delta(x - y)$$

$$[\partial_x \phi_R(x), \partial_x \phi_R(y)] = -2\pi i \partial_x \delta(x - y)$$

- Hamiltonian Mapping:

$$H_0 = -iv_F \int dx \left\{ \psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L \right\} \quad (\text{Fermion})$$

$$= \pi v_F \int dx \left\{ : (J_L)^2 : + : (J_R)^2 : \right\} \quad (\text{Sugawara})$$

$$= \frac{v_F}{4\pi} \int dx \left\{ : (\partial_x \phi_R)^2 : + : (\partial_x \phi_L)^2 : \right\} = \frac{v_F}{8\pi} \int dx \left\{ : (\partial_x \phi)^2 : + : (\partial_x \theta)^2 : \right\} \quad (\text{Bosonic})$$

Quadratic !!

# The Bosonization Dictionary

## ► Field Operator Mapping:

$$\psi_R(x) = \frac{\eta_R}{\sqrt{2\pi\alpha}} e^{-i\phi_R(x)} \quad ; \quad \psi_L(x) = \frac{\eta_L}{\sqrt{2\pi\alpha}} e^{+i\phi_L(x)}$$

vertex operator

- $\alpha$  : short-distance cutoff
- $\eta_{R,L}$ : Klein factor

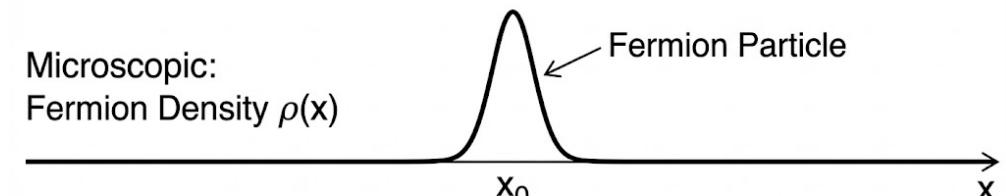
## ► Density and Current Mapping:

$$\rho = \psi^\dagger(x)\psi(x) = \rho_0 + \frac{1}{2\pi} \partial_x \varphi + \frac{1}{\pi\alpha} \cos\{2k_F x + \varphi(x)\}$$

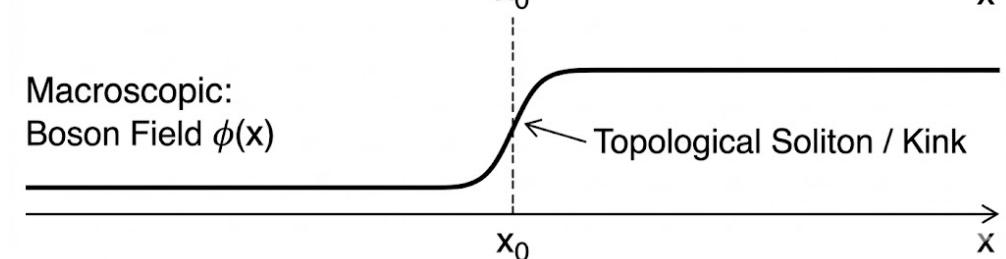
$$J(x) = \psi_R^\dagger(x)\psi_R(x) - \psi_L^\dagger(x)\psi_L(x) = -\frac{1}{2\pi} \partial_x \theta(x)$$

Fermion as a Topological Soliton

Microscopic:  
Fermion Density  $\rho(x)$



Macroscopic:  
Boson Field  $\phi(x)$



# From Topology to $Z_2$ Symmetry

- ▶ **Mismatch of Global boundary condition:**
- Bosonic field is compact:  $\phi(x + L) = \phi + 2\pi m$
- However, fermion operator can be periodic and anti-periodic **boundary conditions**

$$\psi(x + L) = \psi(x) \quad \text{or} \quad \psi(x + L) = -\psi(x)$$

- ▶ **Resolution:  $Z_2$  symmetry (GSO projection):**

$$1 \equiv (-1)^F$$

- ▶ **Consequence: Summing Sectors:**

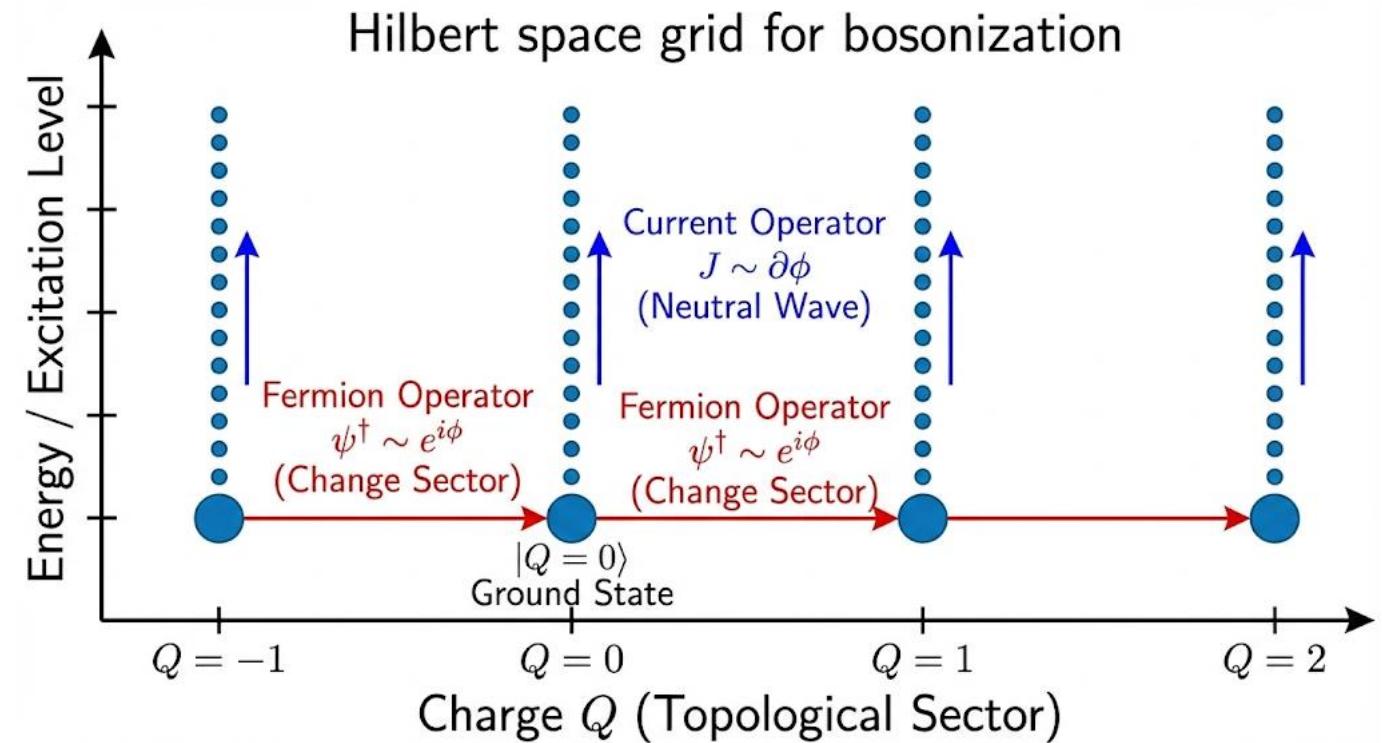
$$Z_{\text{boson}} = \frac{1}{2} (Z_{\text{periodic}} + Z_{\text{anti-periodic}})$$

Ramond      Neveu-Schwarz

# Structure of the Hilbert Space

► Two types of Excitations on bosonic side:

- Zero mode excitation (topological):
  1. Operator:  $\psi^\dagger \sim e^{i\phi}$  (vertex op)
  2. Change the total particle number N
  3. Transitions between Topological Sectors
  
- Particle-hole excitation:
  1. Operator:  $J \sim \partial\phi$  (density/current op)
  2. Change the energy level



# Interactions & The Luttinger Liquid

- ▶ What about fermion-fermion interaction?
- ▶ Consider density-density coupling (**forward scattering**)

$$H_{\text{int}} = g_2 \int dx J_R(x) J_L(x) = g_2 \int dx (\psi_R^\dagger \psi_R)(\psi_L^\dagger \psi_L)$$

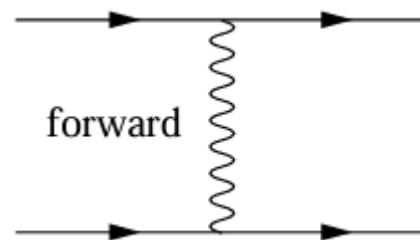
## Bosonic language

$$H_{\text{int}} \propto g_2 \int dx (\partial_x \phi_R)(\partial_x \phi_L) \sim (\partial_x \phi)^2 - (\partial_x \theta)^2$$

## Full Hamiltonian

$$H = \frac{v}{2\pi} \int dx \left\{ \frac{1}{K} (\partial_x \phi)^2 + K (\partial_x \theta)^2 \right\}$$

- **Tomonaga-Luttinger liquid:** translation-invariant, U(1)-symmetric fermion/boson systems



$v$ : renormalized velocity

$K$ : Luttinger parameter

- $K > 1$ : Attractive fermion
- $K = 1$ : Free fermion
- $K < 1$ : Repulsive fermion

# Summary & Conclusion

- ▶ Collapse of Fermi surface to discrete points in 1+1 dimensions allows particle-hole pairs to propagate **coherently**.
- ▶ The Duality Dictionary:
  - **Current** maps to **Boson Gradients** ( $J \sim \partial\varphi$ )
  - **Fermions** map to **Topological Solitons** (Kinks) in the boson field ( $\psi \sim e^{i\phi}$ )
- ▶ Universal Physics and application:
  - Forward scattering leads to the **Tomonaga-Luttinger Liquid**

# Reference

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# Q & A

# Beyond the Liquid: Sine-Gordon Model

- **Backscattering & Umklapp** interaction (mix right and left and transfer momentum):

Fermion Term:  $\psi_R^\dagger \psi_L + h.c.$

- The Mapping after bosonization

$$H \sim \int dx \left[ \frac{v}{2} (\partial_x \phi)^2 + g \cos(\beta \phi) \right] \quad \text{Sine-Gordon Hamiltonian}$$

- **RG analysis and Stability** ( by conformal dimension  $\Delta$  )
  - **Irrelevant**: Potential scales to zero. Gapless Luttinger liquid remains stable.
  - **Relevant**: Potential grows. The system undergoes a Berezinskii-Kosterlitz-Thouless (BKT) transition.

# Application: The XXZ Spin Chain

- The Model of **XXZ Spin Chain**:

$$H = J \sum_j \left( S_j^x S_{j+1}^x + S_j^y S_{j+1}^y + \Delta S_j^z S_{j+1}^z \right)$$

- The Mapping (Fermion to Boson):

- Jordan-Wigner: Spins  $\rightarrow$  Fermion
- Bosonization: XY term  $\rightarrow$  Kinetic energy  $(\partial\phi)^2$

$S^z S^z$  term  $\rightarrow \cos(\beta\phi)$  potential (Umklapp)

- Effective Hamiltonian

$$H_{eff} \approx \int dx \left[ \frac{v}{2} (\partial\phi)^2 + g \cos(\sqrt{16\pi}\phi) \right]$$

**Sine-Gordon Model**

