# Project 4 Writeup

## **Instructions**

- Provide an overview about how your project functions.
- Describe any interesting decisions you made to write your algorithm.
- Show and discuss the results of your algorithm.
- Feel free to include code snippets, images, and equations.
- List any extra credit implementation and result (optional).
- Use as many pages as you need, but err on the short side.
- Please make this document anonymous.

## **Project Overview**

In this project, we attempted to classify scenes on the 15-classified Scence dataset using a convolutional neural network. We used two main approaches to accomplish this task. First, we tried to define and implement a convolutional neural network ourselves, and trained it on the Scence dataset from scratch. We achieved a maximum accuracy of 82.04% on the validation set. In addition, we also tried fine-tuning the scene recognition task on a given Scene dataset using a VGG-F model pre-trained on ImageNet, and achieved a maximum accuracy of 89.88% on the validation set.

## **Implementation Detail**

## Task 1

your\_model: below is the your\_model code snippets. The final CNN structures contains 6 Conv2D layers with number of filters increase from 64 to 256. Every Conv2D layer is followed by one Dropout layer to avoid overfitting, and after every two Conv2D layers, one MaxPool2D layer is added. The final Conv2D layer is followed by a GlobalAverage-Pooling2D layer. This layer will average values each channel and thus no flatten layer is needed. Then one Dense layer is followed by one Dropout layer to avoid overfitting and one BatchNotmalization layer is added before the final prediction Dense layer. The activation functions for each layer are relu except for the final layer, which is softmax to calculate the prediction probability.

```
self.optimizer = tf.keras.optimizers.Adam(hp.learning_rate)
self.architecture = [
              Conv2D (filters=64, kernel_size=5, activation="relu",
                                                 padding="same"),
              Dropout (rate=0.15),
              Conv2D (filters=64, kernel_size=5, activation="relu",
                                                 padding="same"),
              MaxPool2D(pool_size=(3, 3), strides=2, padding="valid"),
              Dropout (rate=0.15),
              Conv2D(filters=128, kernel_size=5, activation="relu",
                                                 padding="same"),
              Dropout (rate=0.15),
              Conv2D(filters=128, kernel_size=5, activation="relu",
                                                  padding="same"),
              MaxPool2D(pool_size=(3, 3), strides=2, padding="valid"),
              Conv2D(filters=256, kernel_size=5, activation="relu",
                                                  padding="same"),
              Dropout (rate=0.15),
              Conv2D(filters=256, kernel_size=5, activation="relu",
                                                 padding="same"),
              MaxPool2D (pool_size=(3, 3), strides=2, padding="valid"),
              Dropout (rate=0.15),
              GlobalAveragePooling2D(),
              Dense(256, activation="relu"),
              BatchNormalization(),
              Dropout (rate=0.5),
              Dense(hp.num_classes, activation="softmax"),
### loss definition
loss = tf.keras.losses.sparse_categorical_crossentropy(labels,
                                   predictions)
```

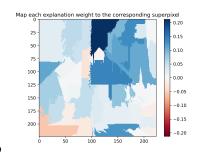
#### Task 2

Local Interpretable Model-agnostic Explanation (LIME) can explain how the input features of a machine learning model affect its predictions. For image classification tasks, LIME finds the region of an image (set of superpixels) with the strongst association with a prediction label.

Example 1: The LIME plots are shown in Fig. 1. The image is falsely classified as *Tall building* while the ground truth label is *Suburb*. From the LIME plots, we could see that superpixels are mainly focused on the building and ignored the environment. That is why the model would classify this image into *Tall building* rather than *Suburb*.

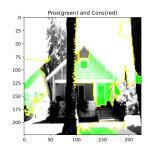
Example 2: The LIME plots are shown in Fig. 2. The image is falsely classified as *Open Country* while the ground truth label is *Highway*. From the LIME plots, we could see that superpixels are mainly focused on the sky and wide ground. It ignores a large part

each explanation weight to the corresponding superpixel.png each explanation weight



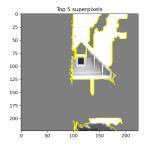
to the corresponding superpixel.bb

and



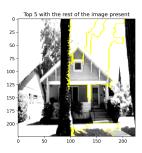
Cons(red).png and Cons(red).bb

5 superpixels.png



5 superpixels.bb

5 with the rest of the image



present.png 5 with the rest of the image present.bb

Figure 1: LIME plots for the first falsely categorized image, predicted as  $Tall\ building$  but ground truth is Suburb

of the highway. That is why the model would classify this image into *Open Country* rather than *Highway*.

#### Task 3

Vgg\_head: First, after we defined the basic structure of VGG16, in order to freeze the pretrained VGG16 weights into place so that only the classification head is trained, we make all layers in vgg16 non-trainable. After the VGG model, we first add one Conv2D layer with 1\*1 filter to correlate values among channels. Then a GlobalAveragePooling2D layer is added and then one Dropout layer. After this, a Dense layer is added for the prediction. The activation functions for each layer are relu except for the final layer, which is softmax to calculate the prediction probability.

```
self.optimizer = tf.keras.optimizers.Adam(hp.learning_rate)

self.head = [
    Conv2D(256, 1, 1, padding='same', activation='relu'),
    GlobalAveragePooling2D(),
    Dropout(rate=0.5),
    Dense(hp.num_classes, activation="softmax"),
]

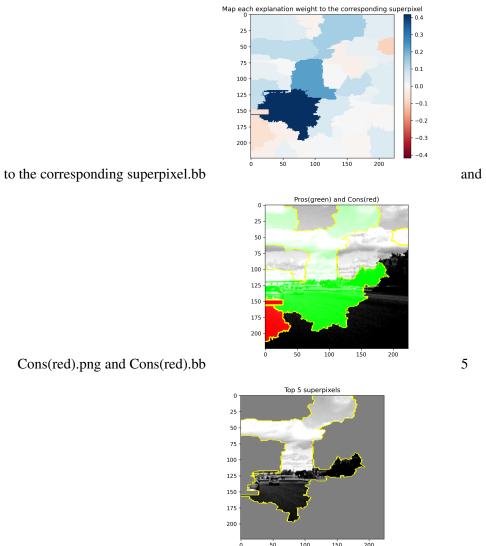
### loss definition
loss = tf.keras.losses.sparse_categorical_crossentropy(labels, predictions)
```

#### Result

The model summary are presented in Fig. 3 and Fig. 4. The graphs of loss function over time during training are presented in Fig. 5, Fig. 6 and Fig. 7, Fig. 8.

After implementing the model structures described above and hyperparameters optimization, the best achieved performance of *your\_model* is 82.04% and VGG is 89.88%. The classification performance for each step of two models are plotted in Fig. 5, Fig. 6 and Fig. 7, Fig. 8.

each explanation weight to the corresponding superpixel.png each explanation weight



superpixels.png 5 superpixels.bb 5 with the rest of the image present.bb

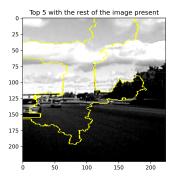


Figure 2: LIME plots for the second falsely categorized image, predicted as *Open Country* but ground truth is *Highway* 

Model: "your_model"		
Layer (type)	Output Shape	Param #
conv2d (Conv2D)	multiple	4864
dropout (Dropout)	multiple	0
conv2d_1 (Conv2D)	multiple	102464
max_pooling2d (MaxPooling2D)	multiple	0
dropout_1 (Dropout)	multiple	0
conv2d_2 (Conv2D)	multiple	204928
dropout_2 (Dropout)	multiple	0
conv2d_3 (Conv2D)	multiple	409728
max_pooling2d_1 (MaxPooling2	multiple	0
conv2d_4 (Conv2D)	multiple	819456
dropout_3 (Dropout)	multiple	0
conv2d_5 (Conv2D)	multiple	1638656
max_pooling2d_2 (MaxPooling2	multiple	0
dropout_4 (Dropout)	multiple	0
global_average_pooling2d (Gl	multiple	0
dense (Dense)	multiple	65792
batch_normalization (BatchNo	multiple	1024
dropout_5 (Dropout)	multiple	0
dense_1 (Dense)	multiple	3855
Total params: 3,250,767 Trainable params: 3,250,255 Non-trainable params: 512		

Figure 3: screenshot of *your\_model* summary

Model: "vgg_base"			
Layer (type)	Output	Shape	Param #
block1_conv1 (Conv2D)	(None,	224, 224, 64)	1792
block1_conv2 (Conv2D)	(None,	224, 224, 64)	36928
block1_pool (MaxPooling2D)	(None,	112, 112, 64)	0
block2_conv1 (Conv2D)	(None,	112, 112, 128)	73856
block2_conv2 (Conv2D)	(None,	112, 112, 128)	147584
block2_pool (MaxPooling2D)	(None,	56, 56, 128)	0
block3_conv1 (Conv2D)	(None,	56, 56, 256)	295168
block3_conv2 (Conv2D)	(None,	56, 56, 256)	590080
block3_conv3 (Conv2D)	(None,	56, 56, 256)	590080
block3_pool (MaxPooling2D)	(None,	28, 28, 256)	0
block4_conv1 (Conv2D)	(None,	28, 28, 512)	1180160
block4_conv2 (Conv2D)	(None,	28, 28, 512)	2359808
block4_conv3 (Conv2D)	(None,	28, 28, 512)	2359808
block4_pool (MaxPooling2D)	(None,	14, 14, 512)	0
block5_conv1 (Conv2D)	(None,	14, 14, 512)	2359808
block5_conv2 (Conv2D)	(None,	14, 14, 512)	2359808
block5_conv3 (Conv2D)	(None,	14, 14, 512)	2359808
block5_pool (MaxPooling2D)	(None,	7, 7, 512)	0
Total params: 14,714,688 Trainable params: 0 Non-trainable params: 14,714	,688		
Model: "vgg_head"			
Layer (type)	Output		Param #
conv2d (Conv2D)	(None,	7, 7, 256)	131328
global_average_pooling2d (Gl	(None,	256)	0
dropout (Dropout)	(None,	256)	0
dense (Dense)	(None,		3855
Total params: 135,183 Trainable params: 135,183 Non-trainable params: 0			

Figure 4: screenshot of VGG model summary

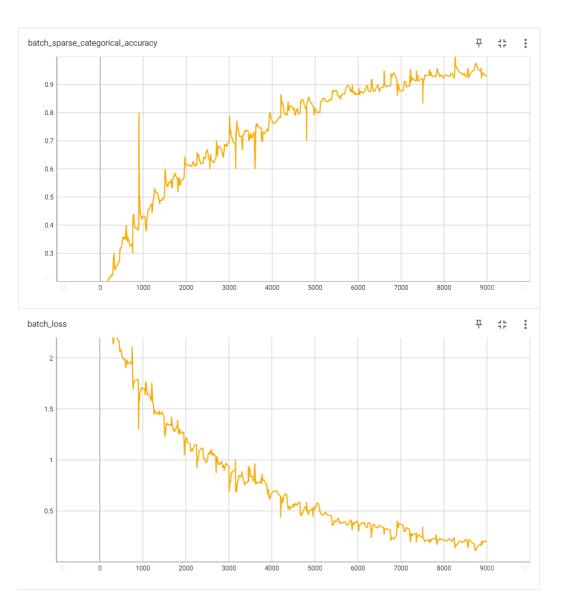


Figure 5: *Above*:classification performance for each step in your\_model. *Below*: your\_model loss function over time during training

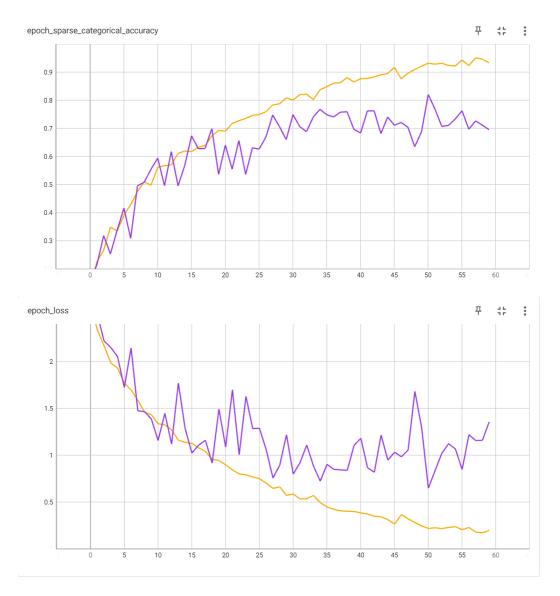


Figure 6: *Above*:classification performance for each epoch in your\_model. *Below*: your\_model loss function over time during training

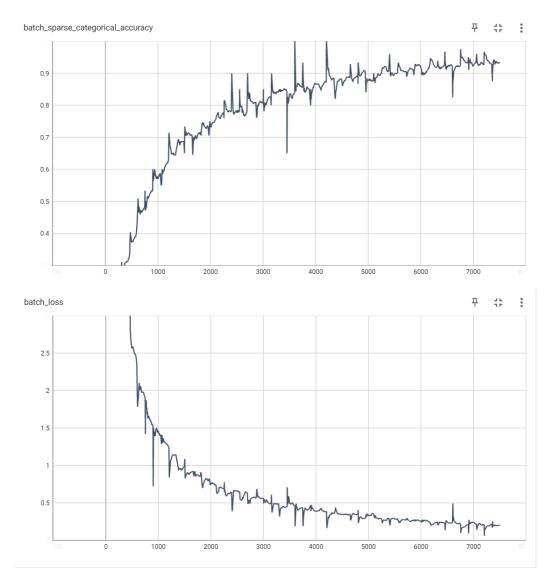


Figure 7: *Above*:classification performance for each step in VGG. *Below*: VGG loss function over time during training

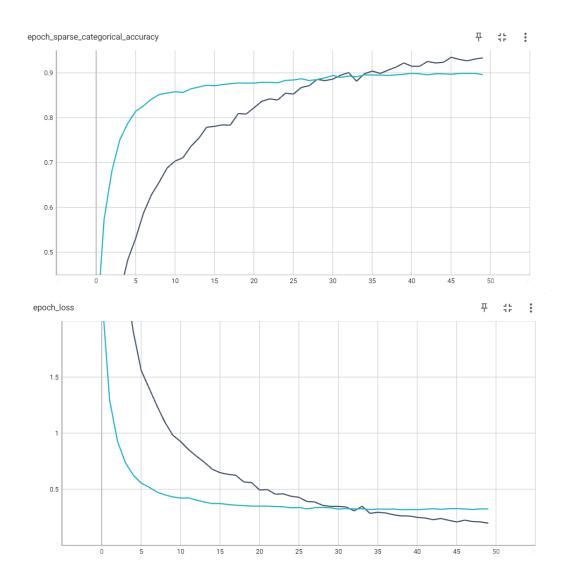


Figure 8: *Above*:classification performance for each epoch in VGG. *Below*: VGG loss function over time during training