

# Dirac equation:A tensor product equation

Wu Yuhan

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## 1 Introduction

This article is aimed to use tensor product to represent the Dirac equation, then I will show a detailed solution of the free particle. When I first learn Dirac equation, I am quite confused about the notation, such as  $\alpha \cdot \mathbf{p}$ , and how do spinor operator  $\alpha$  interact with momentum operator  $\mathbf{p}$ ? By using tensor product representation, we can avoid this ambiguity, and we can clear see how these operators act on wave functions. In this way, the operator  $\alpha \cdot p$  should be view as tensor product of two operator

$$\alpha \cdot \mathbf{p} = \alpha_1 \otimes p_1 + \alpha_2 \otimes p_2 + \alpha_3 \otimes p_3$$

In this article, if we use Dirac representation, the  $\alpha_i$  can be represented by  $\sigma_1 \otimes \sigma_i$ , and the wave function can be represented by

$$\psi = \chi_1 \otimes \chi_2 \otimes \phi_p$$

To read this article, the reader should be familiar with tensor product and eigenvalue problem. If you are unfamiliar with these topics, I highly recommend you to read my another article *Construction of tensor product* and *Linear equations and eigenvalue problems* first.

## 2 Derivation of Dirac equation

The relativistic energy  $E = p^2 c^2 + m_0^2 c^4$ , so we assume the square of Hamiltonian operator

$$\mathcal{H}^2 = c^2 \mathbf{p}^2 + m_0^2 c^4 \quad (1)$$

To solve  $\mathcal{H}$ , we assume

$$\mathcal{H} = c\alpha \cdot \mathbf{p} + \beta m_0 c^2 \quad (2)$$

Therefore,

$$\begin{aligned} \mathcal{H}^2 &= (c\alpha \cdot \mathbf{p})^2 = c^2 [\alpha_1^2 p_1^2 + \alpha_2^2 p_2^2 + \alpha_3^2 p_3^2 + (\alpha_1 \alpha_2 + \alpha_2 \alpha_1) p_1 p_2 + (\alpha_1 \alpha_3 + \alpha_3 \alpha_1) p_1 p_3 + (\alpha_2 \alpha_3 + \alpha_3 \alpha_2) p_2 p_3] \\ &\quad + m_0 c^3 [(\alpha_1 \beta + \beta \alpha_1) p_1 + (\alpha_2 \beta + \beta \alpha_2) p_2 + (\alpha_3 \beta + \beta \alpha_3) p_3] + \beta^2 m_0 c^4 \\ &= c^2 \mathbf{p}^2 + m_0^2 c^4 \end{aligned}$$

Compare the coefficients, then we have,

1.  $\alpha_i \alpha_j + \alpha_j \alpha_i = 0, i \neq j$
2.  $\alpha_i \beta + \beta \alpha_i = 0, i=1,2,3$
3.  $\alpha_1^2 = \alpha_2^2 = \alpha_3^2 = \beta^2$

If we use Dirac representation:

$$\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} \quad \beta = \begin{pmatrix} I & \\ & -I \end{pmatrix} \quad (3)$$

where  $\sigma_i$  is Pauli matrix and  $I$  is identity matrix. We can easily check the property above by matrix multiplication. Before continuing to next step, let's review some property of tensor product.

### 3 Some properties of tensor product

Assume that  $\mathbf{V}_1$  and  $\mathbf{V}_2$  are two linear spaces with bases  $\{\alpha_i\}, i = 1, 2, \dots, n$  and  $\{\beta_j\}, j = 1, \dots, m$ .  $\mathcal{A}$  is a linear operator on  $\mathbf{V}_1$ , with matrix representation  $\mathbf{A} = (a_{ij})_{n \times n}$ , which means  $\mathcal{A}\alpha_i = \sum_k a_{ki}\alpha_k$ .

$\mathcal{B}$  is a linear operator on  $\mathbf{V}_2$  with matrix representation  $\mathbf{B} = (b_{ij})_{m \times m}$ , which means  $\mathcal{B}\beta_j = \sum_l b_{lj}\beta_l$ .

We can mix two vector space by tensor product,  $\mathbf{V}_1 \otimes \mathbf{V}_2$  with basis  $\alpha_i \otimes \beta_j, i = 1, \dots, n$  and  $j = 1, \dots, m$ .  $\mathcal{A} \otimes \mathcal{B}$  is a linear operator on  $\mathbf{V}_1 \otimes \mathbf{V}_2$ .

$$\begin{aligned}\mathcal{A} \otimes \mathcal{B}(\alpha_i \otimes \beta_j) &= \mathcal{A}\alpha_i \otimes \mathcal{B}\beta_j \\ &= \sum_k a_{ki}\alpha_k \otimes \sum_l b_{lj}\beta_l \\ &= \sum_{kl} a_{ki}b_{lj}\alpha_k \otimes \beta_l\end{aligned}$$

If we rearrange the basis,  $\alpha_1 \otimes \beta_1, \alpha_1 \otimes \beta_2, \dots, \alpha_1 \otimes \beta_m, \alpha_2 \otimes \beta_1, \alpha_2 \otimes \beta_2, \dots, \alpha_2 \otimes \beta_m, \dots, \alpha_n \otimes \beta_1, \alpha_n \otimes \beta_2, \dots, \alpha_n \otimes \beta_m$ .

Then we can find the matrix representation of  $\mathcal{A} \otimes \mathcal{B}$  is

$$\begin{pmatrix} a_{11}\mathbf{B} & a_{12}\mathbf{B} & \cdots & a_{1n}\mathbf{B} \\ a_{21}\mathbf{B} & a_{22}\mathbf{B} & \cdots & a_{2n}\mathbf{B} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1}\mathbf{B} & a_{n2}\mathbf{B} & \cdots & a_{nn}\mathbf{B} \end{pmatrix}$$

### 4 Tensor product representation

#### 4.1 Motivation

Let's have a look on  $\alpha_i$

$$\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \sigma_i = \sigma_1 \otimes \sigma_i$$

and  $\beta$

$$\beta = \begin{pmatrix} I & \\ & -I \end{pmatrix} = \sigma_3 \otimes I$$

#### 4.2 Pauli matrix

Before we check the availability of this representation, let's review the property of Pauli matrix.

$$\sigma_1 = \begin{pmatrix} & 1 \\ 1 & \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} & -i \\ i & \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$$

It is easily to verify

1.  $\sigma_1^2 = \sigma_2^2 = \sigma_3^2 = I$
2.  $\sigma_i \sigma_j + \sigma_j \sigma_i = 0$ , if  $i \neq j$
3.  $\sigma_i \sigma_j = \sum_k \epsilon_{ijk} \sigma_k$ , if  $i \neq j$ ,  $\epsilon_{ijk}$  is Levi-Civita tensor.

#### 4.3 Check

$$\begin{aligned}\alpha_i^2 &= (\sigma_1 \otimes \sigma_i)(\sigma_1 \otimes \sigma_i) = \sigma_1 \sigma_1 \otimes \sigma_i \sigma_i = I \otimes I \\ \beta^2 &= (\sigma_3 \otimes I)(\sigma_3 \otimes I) = \sigma_3^2 \otimes I^2 = I \otimes I\end{aligned}$$

For  $i \neq j$

$$\begin{aligned}
\{\alpha_i, \alpha_j\} &= \alpha_i \alpha_j + \alpha_j \alpha_i = (\sigma_1 \otimes \sigma_i)(\sigma_1 \otimes \sigma_j) + (\sigma_1 \otimes \sigma_j)(\sigma_1 \otimes \sigma_i) \\
&= \sigma_1^2 \otimes \sigma_i \sigma_j + \sigma_1^2 \otimes \sigma_j \sigma_i \\
&= I \otimes \sigma_i \sigma_j + \sigma_j \sigma_i \\
&= I \otimes 0 = 0
\end{aligned}$$

Then

$$\begin{aligned}
\{\alpha_i, \beta\} &= \alpha_i \beta + \beta \alpha_i = (\sigma_1 \otimes \sigma_i)(\sigma_3 \otimes I) + (\sigma_3 \otimes I)(\sigma_1 \otimes \sigma_i) \\
&= \sigma_1 \sigma_3 \otimes \sigma_i I + \sigma_3 \sigma_1 \otimes I \sigma_i \\
&= (\sigma_1 \sigma_3 + \sigma_3 \sigma_1) \otimes \sigma_i \\
&= 0 \otimes \sigma_i = 0
\end{aligned}$$

Therefore, the tensor product representation is well defined.

## 5 Solve free Dirac equation

The Hamiltonian  $\mathcal{H} = c\alpha \cdot \mathbf{p} + \beta m_0 c^2$

### 5.1 Wave function space

To solve the Dirac equation, we firstly need to study the space of wave function.

First of all, we analyze the operator  $\alpha_i$ ,

$$\alpha_i = \sigma_1 \otimes \sigma_i$$

so the correspond vector space is the tensor product of two spin space  $\mathbf{V}_{s1} \otimes \mathbf{V}_{s2}$ , where  $\mathbf{V}_{s1}$  and  $\mathbf{V}_{s2}$  are two 2-dimensional vector space, with bases  $\{\chi_{1+}, \chi_{1-}\}$  and  $\{\chi_{2+}, \chi_{2-}\}$ .

Then another important step is that we should combine the spin wave function space and momentum wave function space,  $\mathbf{V}_p$ , by tensor product.

$$\mathbf{V}_\psi = \mathbf{V}_{s1} \otimes \mathbf{V}_{s2} \otimes \mathbf{V}_p \quad (4)$$

Therefore, the wave function should be written in form

$$\psi = \chi_1 \otimes \chi_2 \otimes \phi_p \quad (5)$$

Here we use index 1,2 to distinguish two spin space wave function. So,  $\alpha_i$  should be viewed as  $\sigma_1 \otimes \sigma_i \otimes I_p$ ,  $p_i$  should be viewed as  $I_1 \otimes I_2 \otimes p_i$ . Therefore,

$$\alpha_i p_i = \sigma_1 \otimes \sigma_i \otimes p_i \quad (6)$$

and

$$\beta = \sigma_3 \otimes I_2 \otimes I_p \quad (7)$$

The Hamiltonian can be written as,

$$\begin{aligned}
\mathcal{H} &= c\alpha \cdot \mathbf{p} + \beta m_0 c^2 \\
&= c(\sigma_1 \otimes \sigma_1 \otimes p_1 + \sigma_1 \otimes \sigma_2 \otimes p_2 + \sigma_1 \otimes \sigma_3 \otimes p_3) + m_0 c^2 \sigma_3 \otimes I_2 \otimes I_p
\end{aligned}$$

### 5.2 The base to wave function space

To simplify the problem, we assume the momentum  $\mathbf{p}$  is fixed, then we have the momentum eigenfunction  $\phi_p$ . So, the wave function space  $V_\psi$  will be reduced to  $\mathbf{V}_{s1} \otimes \mathbf{V}_{s2} \otimes \text{span}\{\phi_p\}$ ,

$$\text{Dim} \mathbf{V}_\psi = \text{Dim} \mathbf{V}_{s1} \cdot \text{Dim} \mathbf{V}_{s2} \cdot \text{Dim}\{\phi_p\} = 2 \cdot 2 \cdot 1 = 4 \quad (8)$$

Then we can choose the base of wave function space.

$$\psi_1 = \chi_{1+} \otimes \chi_{2+} \otimes \phi_p \quad (9)$$

$$\psi_2 = \chi_{1+} \otimes \chi_{2-} \otimes \phi_p \quad (10)$$

$$\psi_3 = \chi_{1-} \otimes \chi_{2+} \otimes \phi_p \quad (11)$$

$$\psi_4 = \chi_{1-} \otimes \chi_{2-} \otimes \phi_p \quad (12)$$

It is very easy to verify this is an orthogonal basis. For example,

$$\begin{aligned} \langle \psi_1 | \psi_1 \rangle &= \langle \chi_{1+} \otimes \chi_{2+} \otimes \phi_p | \chi_{1+} \otimes \chi_{2+} \otimes \phi_p \rangle \\ &= \langle \chi_{1+} | \chi_{1+} \rangle \langle \chi_{2+} | \chi_{2+} \rangle \langle \phi_p | \phi_p \rangle \\ &= 1 \cdot 1 \cdot 1 = 1 \end{aligned}$$

and

$$\begin{aligned} \langle \psi_1 | \psi_2 \rangle &= \langle \chi_{1+} \otimes \chi_{2+} \otimes \phi_p | \chi_{1+} \otimes \chi_{2-} \otimes \phi_p \rangle \\ &= \langle \chi_{1+} | \chi_{1+} \rangle \langle \chi_{2+} | \chi_{2-} \rangle \langle \phi_p | \phi_p \rangle \\ &= 1 \cdot 0 \cdot 1 = 0 \end{aligned}$$

Therefore, we can let

$$\psi_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad \psi_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad \psi_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad \psi_4 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

### 5.3 Matrix representation of $\mathcal{H}$

$$\begin{aligned} \mathcal{H}\psi_1 &= [c(\sigma_1 \otimes \sigma_1 \otimes p_1 + \sigma_1 \otimes \sigma_2 \otimes p_2 + \sigma_1 \otimes \sigma_3 \otimes p_3) + m_0 c^2 \sigma_3 \otimes I_2 \otimes I_p] \chi_{1+} \otimes \chi_{2+} \otimes \phi_p \\ &= c(\sigma_1 \chi_{1+} \otimes \sigma_1 \chi_{2+} \otimes p_1 \phi_p + \sigma_1 \chi_{1+} \otimes \sigma_2 \chi_{2+} \otimes p_2 \phi_p + \sigma_1 \chi_{1+} \otimes \sigma_3 \chi_{2+} \otimes p_3 \phi_p) \\ &\quad + m_0 c^2 \sigma_3 \chi_{1+} \otimes I_2 \chi_{2+} \otimes I_p \phi_p \\ &= c(\chi_{1-} \otimes \chi_{2-} \otimes p_1 \phi_p + \chi_{1+} \otimes i \chi_{2-} \otimes p_2 \phi_p + \chi_{1-} \otimes \chi_{2+} \otimes p_3 \phi_p) + m_0 c^2 \chi_{1+} \otimes \chi_{2+} \otimes \phi_p \\ &= m_0 c^2 \psi_1 + c p_3 \psi_3 + c(p_1 + i p_2) \psi_4 \end{aligned}$$

Similarly, we can calculate

$$\begin{aligned} \mathcal{H}\psi_2 &= m_0 c^2 \psi_2 + c(p_1 - i p_2) \psi_3 - c p_3 \psi_4 \\ \mathcal{H}\psi_3 &= c p_3 \psi_1 + c(p_1 + i p_2) \psi_2 - m_0 c^2 \psi_3 \\ \mathcal{H}\psi_4 &= c(p_1 - i p_2) \psi_1 - c p_3 \psi_2 - m_0 c^2 \psi_4 \end{aligned}$$

So the matrix representation of  $\mathcal{H}$  is

$$\mathbf{H} = \begin{pmatrix} m_0 c^2 & 0 & c p_3 & c(p_1 + i p_2) \\ 0 & m_0 c^2 & c(p_1 - i p_2) & c p_3 \\ c p_3 & c(p_1 + i p_2) & -m_0 c^2 & 0 \\ c(p_1 - i p_2) & -c p_3 & 0 & -m_0 c^2 \end{pmatrix}$$

### 5.4 Eigenvalue problem

Let's now consider the eigenvalue problem.

$$\mathcal{H}\phi = E\phi$$

We need to calculate the  $\det(\mathcal{H} - IE)$

$$\mathcal{H} - IE = \begin{pmatrix} m_0 c^2 - E & 0 & c p_3 & c(p_1 + i p_2) \\ 0 & m_0 c^2 - E & c(p_1 - i p_2) & c p_3 \\ c p_3 & c(p_1 + i p_2) & -m_0 c^2 - E & 0 \\ c(p_1 - i p_2) & -c p_3 & 0 & -m_0 c^2 - E \end{pmatrix}$$

To calculate the determinant, we need to use a trick.

$$\det \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \det \begin{pmatrix} A - BD^{-1}C & B \\ 0 & D \end{pmatrix} = \det(AD - BD^{-1}CD) = \det(AD - BC)$$

when C and D are commutable.

Here

$$A = \begin{pmatrix} m_0c^2 - E & 0 \\ 0 & m_0c^2 - E \end{pmatrix} \quad B = C = \begin{pmatrix} cp_3 & c(p_1 + ip_2) \\ c(p_1 - ip_2) & -cp_3 \end{pmatrix} \quad D = \begin{pmatrix} -m_0c^2 - E & 0 \\ 0 & -m_0c^2 - E \end{pmatrix}$$

And

$$AD = \begin{pmatrix} m_0c^2 - E & 0 \\ 0 & m_0c^2 - E \end{pmatrix} \begin{pmatrix} -m_0c^2 - E & 0 \\ 0 & -m_0c^2 - E \end{pmatrix} = \begin{pmatrix} E^2 - m_0^2c^4 & 0 \\ 0 & E^2 - m_0^2c^4 \end{pmatrix} \quad (13)$$

$$BC = \begin{pmatrix} cp_3 & c(p_1 + ip_2) \\ c(p_1 - ip_2) & -cp_3 \end{pmatrix}^2 = \begin{pmatrix} c^2p^2 & 0 \\ 0 & c^2p^2 \end{pmatrix} \quad (14)$$

where  $p^2 = p_1^2 + p_2^2 + p_3^2$

Therefore,

$$AD - BC = \begin{pmatrix} E^2 - m_0^2c^4 - c^2p^2 & 0 \\ 0 & E^2 - m_0^2c^4 - c^2p^2 \end{pmatrix} \quad (15)$$

In conclude,

$$\det(\mathcal{H} - IE) = \det \begin{pmatrix} E^2 - m_0^2c^4 - c^2p^2 & 0 \\ 0 & E^2 - m_0^2c^4 - c^2p^2 \end{pmatrix} = (E^2 - m_0^2c^4 - c^2p^2)^2 \quad (16)$$

Here we get two eigenvalues  $E = \sqrt{m_0^2c^4 + c^2p^2}$  and  $E = -\sqrt{m_0^2c^4 + c^2p^2}$ , both are double degeneration, because they both are roots with double multiplications. So their correspond eigenspaces are two-dimensional. To make the notation simple, we note  $E_0 = \sqrt{m_0^2c^4 + c^2p^2}$ . Now, let's find their correspond eigen-state.

For  $E = \sqrt{m_0^2c^4 + c^2p^2}$ , the linear equation  $\mathcal{H} - IE = 0$  can be reduced to,

$$\begin{cases} p_3x_1 + c(p_1 + ip_2)x_2 - (m_0c^2 + E_0)x_3 = 0 \\ c(p_1 - ip_2)x_1 - cp_3x_2 - (m_0c^2 + E_0)x_4 = 0 \end{cases} \quad (17)$$

Here we set  $x_1$  and  $x_2$  as free variables, get the fundamental solution:

$$\xi_1 = \begin{pmatrix} 1 \\ 0 \\ \frac{cp_3}{m_0c^2 + E_0} \\ \frac{c(p_1 - ip_2)}{m_0c^2 + E_0} \end{pmatrix} \quad \xi_2 = \begin{pmatrix} 0 \\ 1 \\ \frac{c(p_1 + ip_2)}{m_0c^2 + E_0} \\ \frac{-cp_3}{m_0c^2 + E_0} \end{pmatrix}$$

For  $E = -\sqrt{m_0^2c^4 + c^2p^2}$ , linear equation  $\mathcal{H} - IE = 0$  can be reduced to

$$\begin{cases} p_3x_3 + (m_0c^2 + E_0)x_1 + c(p_1 + ip_2)x_4 = 0 \\ (m_0c^2 + E_0)x_2 + c(p_1 - ip_2)x_3 - cp_3x_4 = 0 \end{cases}$$

We set  $x_3$  and  $x_4$  as free variables, get the fundamental solution:

$$\xi_3 = \begin{pmatrix} \frac{-cp_3}{m_0c^2 + E_0} \\ \frac{-c(p_1 - ip_2)}{m_0c^2 + E_0} \\ 1 \\ 0 \end{pmatrix} \quad \xi_4 = \begin{pmatrix} \frac{-c(p_1 + ip_2)}{m_0c^2 + E_0} \\ \frac{cp_3}{m_0c^2 + E_0} \\ 0 \\ 1 \end{pmatrix}$$

Now, we have solved the eigenvalue problem. There are two eigenvalues  $E = +E_0$  and  $E = -E_0$ . For  $E = +E_0$ , there are two eigenvectors  $\xi_1$  and  $\xi_2$ . For  $E = -E_0$ , there are two eigenvectors  $\xi_3$  and  $\xi_4$ .

## 6 $\sigma \cdot \mathbf{p}$ Representation

If  $\sigma \cdot \mathbf{p}$  and  $\mathcal{H}$  are commutable, then they have the same eigenvectors. So, we can use the eigenvectors of  $\sigma \cdot \mathbf{p}$  to represent  $\mathcal{H}$ , then we will solve the eigenvalue problem of  $\mathcal{H}$ . Here,  $\sigma \cdot \mathbf{p}$  should be viewed as  $(\sum_{i=1}^3 I_1 \otimes \sigma_i \otimes p_i)$

### 6.1 $[\sigma \cdot \mathbf{p}, \mathcal{H}] = 0$

To check  $[\sigma \cdot \mathbf{p}, \mathcal{H}] = 0$ , we only need to check  $[\sigma \cdot \mathbf{p}, \alpha \cdot \mathbf{p}] = 0$  and  $[\sigma \cdot \mathbf{p}, \beta] = 0$

First step,  $[\sigma \cdot \mathbf{p}, \alpha \cdot \mathbf{p}] = 0$

$$\begin{aligned}
[\sigma \cdot \mathbf{p}, \alpha \cdot \mathbf{p}] &= \left[ \sum_{i=1}^3 I_1 \otimes \sigma_i \otimes p_i, \sum_{j=1}^3 \sigma_1 \otimes \sigma_j \otimes p_j \right] \\
&= \sum_{i,j} \{ I_1 \sigma_1 \otimes \sigma_i \sigma_j \otimes p_i p_j - \sigma_1 I_1 \otimes \sigma_j \sigma_i \otimes p_j p_i \} \\
&= \sum_{i,j} \sigma_1 \otimes [\sigma_i \sigma_j - \sigma_j \sigma_i] \otimes p_i p_j \\
&= \sum_{i,j} \sigma_1 \otimes 2 \sum_k \epsilon_{ijk} \sigma_k \otimes p_i p_j \\
&= 0
\end{aligned}$$

To verify the last step, we fix  $k=1$ .  $\epsilon_{ij1} = 1$  for  $i=2$  and  $j=3$ ;  $\epsilon_{ij1} = -1$  for  $i=3, j=2$ ; and  $\epsilon_{ij1} = 0$ , otherwise.

$$\sum_{i,j} \sigma_1 \otimes 2 \epsilon_{ij1} \sigma_k \otimes p_i p_j = \sigma_1 \otimes 2 \sigma_1 \otimes p_1 p_2 + \sigma_1 \otimes -2 \sigma_2 \otimes p_1 p_2 = 0$$

Second step,

$$\begin{aligned}
[\sigma \cdot \mathbf{p}, \beta] &= \left[ \sum_{i=1}^3 I_1 \otimes \sigma_i \otimes p_i, \sigma_3 \otimes I_2 \otimes T_p \right] \\
&= \sum_{i=1}^3 \{ I_1 \sigma_3 \otimes \sigma_i I_2 \otimes p_i I_p - \sigma_3 I_1 \otimes I_2 \sigma_i \otimes I_p p_i \} \\
&= 0
\end{aligned}$$

### 6.2 Eigenvalue problem of $\sigma \cdot \mathbf{p}$

Review the base,

$$\begin{aligned}
\psi_1 &= \chi_{1+} \otimes \chi_{2+} \otimes \phi_p \\
\psi_2 &= \chi_{1+} \otimes \chi_{2-} \otimes \phi_p \\
\psi_3 &= \chi_{1-} \otimes \chi_{2+} \otimes \phi_p \\
\psi_4 &= \chi_{1-} \otimes \chi_{2-} \otimes \phi_p
\end{aligned}$$

We want to find the eigenvector of  $\sigma \cdot \mathbf{p}$

$$\begin{aligned}
(\sigma \cdot \mathbf{p})\psi_1 &= I_1 \chi_{1+} \otimes \sigma_1 \chi_{2+} \otimes p_1 \phi_p + I_1 \chi_{1+} \otimes \sigma_2 \chi_{2+} \otimes p_2 \phi_p + I_1 \chi_{1+} \otimes \sigma_3 \chi_{2+} \otimes p_3 \phi_p \\
&= p_3 \psi_1 + (p_1 + i p_2) \psi_2 \\
&= p [\cos \theta \psi_1 + \sin \theta e^{i\varphi} \psi_2]
\end{aligned}$$

Here we take polar coordinates,  $\mathbf{p} = p\mathbf{n}$ , where  $\mathbf{n} = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$

Similarly, we calculate

$$\begin{aligned}
(\sigma \cdot \mathbf{p})\psi_2 &= p [\sin \theta e^{-i\varphi} \psi_1 - \cos \theta \psi_2] \\
(\sigma \cdot \mathbf{p})\psi_3 &= p [\cos \theta \psi_3 + \sin \theta e^{i\varphi} \psi_4] \\
(\sigma \cdot \mathbf{p})\psi_4 &= p [\sin \theta e^{-i\varphi} \psi_3 - \cos \theta \psi_4]
\end{aligned}$$

The matrix representation of  $\sigma \cdot \mathbf{p}$  is

$$\sigma \cdot \mathbf{p} = p \begin{pmatrix} \cos \theta & \sin \theta e^{i\varphi} & & \\ \sin \theta e^{-i\varphi} & -\cos \theta & & \\ & & \cos \theta & \sin \theta e^{i\varphi} \\ & & \sin \theta e^{-i\varphi} & -\cos \theta \end{pmatrix} \quad (18)$$

To simplify the problem, we only solve the eigenvalue problem of  $\sigma \cdot \mathbf{n}$

$$\det(\sigma \cdot \mathbf{n} - \lambda I) = \det \begin{pmatrix} \cos \theta - \lambda & \sin \theta e^{i\varphi} \\ \sin \theta e^{-i\varphi} & -\cos \theta - \lambda \end{pmatrix} = (\lambda - 1)^2 (\lambda + 1)^2 \quad (19)$$

So we get two eigenvalue  $\lambda_1 = 1$  (double root) and  $\lambda_2 = -1$  (double root)

Now let's find out the eigenvector.

For  $\lambda = 1$ , the linear equation  $\sigma \cdot \mathbf{p} - \lambda_1 I = 0$ , can be reduced to

$$\begin{aligned} \sin \theta e^{-i\varphi} x_1 - (\cos \theta + 1) x_2 &= 0 \\ \sin \theta e^{-i\varphi} x_3 - (\cos \theta + 1) x_4 &= 0 \end{aligned}$$

Set  $x_1$  and  $x_3$  as free variable, we can get eigenvector,

$$|n, +\rangle_1 = \begin{pmatrix} 1 \\ \tan \frac{\theta}{2} e^{-i\varphi} \\ 0 \\ 0 \end{pmatrix} \quad |n, +\rangle_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ \tan \frac{\theta}{2} e^{-i\varphi} \end{pmatrix}$$

For  $\lambda = -1$ , apply the same procedure, we can find the eigenvector,

$$|n, -\rangle_1 = \begin{pmatrix} \tan \frac{\theta}{2} e^{i\varphi} \\ -1 \\ 0 \\ 0 \end{pmatrix} \quad |n, -\rangle_2 = \begin{pmatrix} 0 \\ 0 \\ \tan \frac{\theta}{2} e^{i\varphi} \\ -1 \end{pmatrix}$$

### 6.3 Eigenvalue problem of $\mathcal{H}$

Here we will study the eigenvalue problem of  $\mathcal{H}$  under the basis of  $\{|n, \pm\rangle_{1,2}\}$

First of all, let's calculate  $\mathcal{H}|n, +\rangle_1$ . This is quite complicate, so we can use a trick.

$$\mathcal{H} = \begin{pmatrix} m_0 c^2 I & cp \sigma \cdot \mathbf{n} \\ cp \sigma \cdot \mathbf{n} & -m_0 c^2 I \end{pmatrix} \quad (20)$$

where  $I$  is identity Matrix, and

$$\sigma \cdot \mathbf{n} = \begin{pmatrix} \cos \theta & \sin \theta e^{i\varphi} \\ \sin \theta e^{-i\varphi} & -\cos \theta \end{pmatrix} \quad (21)$$

Then  $|n, +\rangle_1$  and  $|n, +\rangle_2$  can be expressed as

$$|n, +\rangle_1 = \begin{pmatrix} |n, +\rangle \\ 0 \end{pmatrix} \quad |n, +\rangle_2 = \begin{pmatrix} 0 \\ |n, +\rangle \end{pmatrix}$$

where,

$$|n, +\rangle = \begin{pmatrix} 1 \\ \tan \frac{\theta}{2} e^{-i\varphi} \end{pmatrix}$$

and  $|n, +\rangle$  is the eigenvector of  $\sigma \cdot \mathbf{p}$  correspond to eigenvalue  $+1$ . Therefore,

$$\mathcal{H}|n, +\rangle_1 = \begin{pmatrix} m_0 c^2 I & p \sigma \cdot \mathbf{n} \\ p \sigma \cdot \mathbf{n} & -m_0 c^2 I \end{pmatrix} \begin{pmatrix} |n, +\rangle \\ 0 \end{pmatrix} = \begin{pmatrix} m_0 c^2 I |n, +\rangle \\ cp \sigma \cdot \mathbf{p} |n, +\rangle \end{pmatrix} = m_0 c^2 |n, +\rangle_1 + cp |n, +\rangle_2$$

Similarly, we can yield

$$\begin{aligned} \mathcal{H}|n, +\rangle_2 &= cp |n, +\rangle_1 - m_0 c^2 |n, +\rangle_2 \\ \mathcal{H}|n, -\rangle_1 &= m_0 c^2 |n, -\rangle_1 - cp |n, -\rangle_2 \\ \mathcal{H}|n, -\rangle_2 &= -cp |n, -\rangle_1 - m_0 c^2 |n, -\rangle_2 \end{aligned}$$

Let

$$|n, +\rangle_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad |n, +\rangle_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad |n, -\rangle_1 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad |n, -\rangle_2 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

We can represent  $\mathcal{H}$  in a matrix form,

$$\mathcal{H} = \begin{pmatrix} m_0 c^2 & cp & & \\ cp & -m_0 c^2 & & \\ & & m_0 c^2 & -cp \\ & & -cp & -m_0 c^2 \end{pmatrix}$$

Let's solve the eigenvalue problem.

$$\det(\mathcal{H} - IE) = \det \begin{pmatrix} m_0 c^2 - E & cp & & \\ cp & -m_0 c^2 - E & & \\ & & m_0 c^2 - E & -cp \\ & & -cp & -m_0 c^2 - E \end{pmatrix} = 0 \implies (E^2 - m_0^2 c^4 - c^2 p^2)^2 = 0 \quad (22)$$

Therefore, we get two eigenvalue  $E_1 = \sqrt{m_0^2 c^4 + c^2 p^2}$  (double root) and  $E_2 = -\sqrt{m_0^2 c^4 + c^2 p^2}$

For  $E_1 = \sqrt{m_0^2 c^4 + c^2 p^2}$ , the linear equation  $\mathcal{H} - E_1 I = 0$  can be reduced to

$$\begin{aligned} cpx_1 - (m_0 c^2 + E_0)x_2 &= 0 \\ -cpx_3 - (m_0 c^2 + E_0)x_4 &= 0 \end{aligned}$$

Set  $x_1$  and  $x_3$  as free variables, we can derive the eigenvectors.

$$\xi'_1 = \begin{pmatrix} 1 \\ \frac{cp}{m_0 c^2 + E_0} \\ 0 \\ 0 \end{pmatrix} \quad \xi'_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ \frac{-cp}{m_0 c^2 + E_0} \end{pmatrix}$$

Similarly, for  $E = -E_0$ , we can derive the eigenvectors.

$$\xi'_3 = \begin{pmatrix} \frac{-cp}{m_0 c^2 + E_0} \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad \xi'_4 = \begin{pmatrix} 0 \\ 0 \\ \frac{cp}{m_0 c^2 + E_0} \\ 1 \end{pmatrix}$$

## 6.4 Discussion

By  $\sigma \cdot \mathbf{p}$  representation, we can have a clear understanding of the eigenvalue problem of  $\mathcal{H}$ .

For  $E = +E_0$

$$\begin{aligned} \xi'_1 &= \begin{pmatrix} 1 \\ \frac{cp}{m_0 c^2 + E_0} \\ 0 \\ 0 \end{pmatrix} = |n, +\rangle_1 + \frac{cp}{m_0 c^2 + E_0} |n, +\rangle_2 = \left( \frac{|n, +\rangle}{\frac{cp}{m_0 c^2 + E_0}} |n, +\rangle \right) \\ \xi'_2 &= \begin{pmatrix} 0 \\ 0 \\ 1 \\ \frac{-cp}{m_0 c^2 + E_0} \end{pmatrix} = |n, -\rangle_1 - \frac{cp}{m_0 c^2 + E_0} |n, -\rangle_2 = \left( \frac{|n, -\rangle}{\frac{-cp}{m_0 c^2 + E_0}} |n, -\rangle \right) \end{aligned}$$

For  $E = -E_0$

$$\xi'_3 = \begin{pmatrix} \frac{-cp}{m_0 c^2 + E_0} \\ 1 \\ 0 \\ 0 \end{pmatrix} = \frac{-cp}{m_0 c^2 + E_0} |n, +\rangle_1 + |n, +\rangle_2 = \left( \frac{\frac{-cp}{m_0 c^2 + E_0} |n, +\rangle}{|n, +\rangle} \right)$$



$$\xi'_4 = \begin{pmatrix} 0 \\ 0 \\ \frac{cp}{m_0c^2+E_0} \\ 1 \end{pmatrix} = \frac{cp}{m_0c^2+E_0} |n, -\rangle_1 + |n, -\rangle_2 = \begin{pmatrix} \frac{cp}{m_0c^2+E_0} |n, -\rangle \\ |n, -\rangle \end{pmatrix}$$

These formulas are quite popular in many advanced quantum mechanics books.