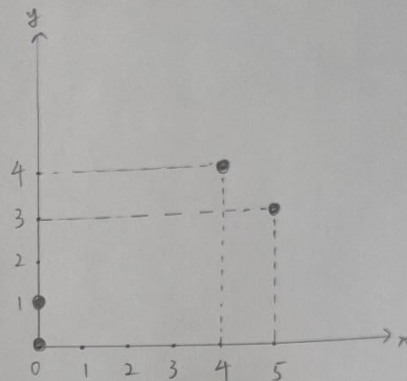
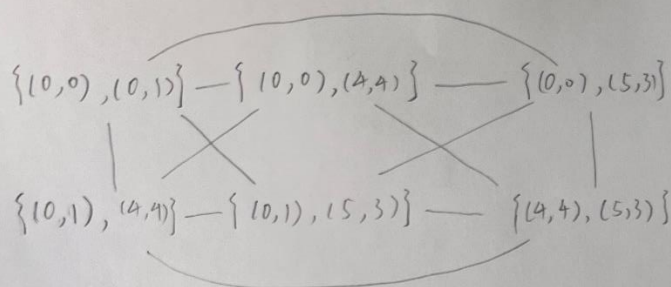


20223.

(i)



(ii) assume we start from  $\{10,0\}, \{0,1\}$

$$\begin{aligned} \text{cost of } \{10,0\}, \{0,1\} &= d_{(10,0)-(4,4)} + d_{(0,1)-(5,3)} \\ &= 7 + 7 = 14 \end{aligned}$$

$$\begin{aligned} \text{cost of } \{10,0\}, \{4,4\} &= d_{(10,0)-(0,1)} + d_{(4,4)-(5,3)} \\ &= 1 + 2 = 3 \end{aligned}$$

$$\begin{aligned} \text{cost of } \{10,0\}, \{5,3\} &= d_{(10,0)-(0,1)} + d_{(5,3)-(4,4)} \\ &= 1 + 2 = 3 \end{aligned}$$

$$\begin{aligned} \text{cost of } \{0,1\}, \{4,4\} &= d_{(0,1)-(10,0)} + d_{(4,4)-(5,3)} \\ &= 1 + 2 = 3 \end{aligned}$$

$$\begin{aligned} \text{cost of } \{0,1\}, \{5,3\} &= d_{(0,1)-(10,0)} + d_{(5,3)-(4,4)} \\ &= 1 + 2 = 3 \end{aligned}$$

In the PAM, we will calculate all the neighbors and find one with minimum cost, here we have 4 neighbors equally cost 3, all of them represent a minimum cost, so we can randomly pick one from  $\{10,0\}, \{4,4\}$ ,  $\{10,0\}, \{5,3\}$ ,  $\{0,1\}, \{4,4\}$ ,  $\{0,1\}, \{5,3\}$

b.1) No. PAM always follow the local minimum, so it can only find local minimum.

2) Yes. As said above, PAM will follow optimal path in each iteration, so it can find local minimum.

3) No. CLARA is done on subdataset, so it can only find local optimum of subdata.

4) No. CLAPANS doesn't always follow the local minimum in each iteration, so it can not guarantee to find local optimum.

## 2. Hierarchical clustering

	1	2	3	4	5
1	0				
2	6	0			
3	10	9	0		
4	3	2	5	0	
5	①	7	4	8	0

iteration 1

	(1,5)	2	3	4
(1,5)	0			
2	7	0		
3	10	9	0	
4	8	②	5	0

iteration 2

	(1,5)	(2,4)	3
(1,5)	0		
(2,4)	⑧	0	
3	10	9	0

iteration 3

In iteration 1, we find  $d_{(1,5)}=1$  is minimum.  
So we combine (1,5) together

$$\begin{aligned} d_{(1,5)-2} &= \max\{d_{(2,1)}, d_{(2,5)}\} = 7 \\ d_{(1,5)-3} &= \max\{d_{(3,1)}, d_{(3,5)}\} = 10 \\ d_{(1,5)-4} &= \max\{d_{(4,1)}, d_{(4,5)}\} = 8 \end{aligned} \quad \left. \vphantom{\begin{aligned} d_{(1,5)-2} \\ d_{(1,5)-3} \\ d_{(1,5)-4} \end{aligned}} \right\} \text{distance in iter 2}$$

In iteration 2, we find  $d_{(2,4)}=2$  is minimum  
so, we combine (2,4) together.

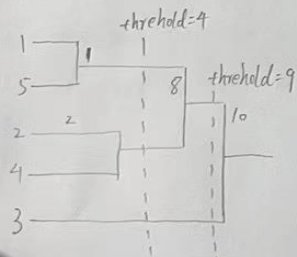
$$\begin{aligned} d_{(1,5)-(2,4)} &= \max\{d_{(1,5)-2}, d_{(1,5)-4}\} = 8 \\ d_{(2,4)-3} &= \max\{d_{(2,3)}, d_{(4,3)}\} = 9 \end{aligned} \quad \left. \vphantom{\begin{aligned} d_{(1,5)-(2,4)} \\ d_{(2,4)-3} \end{aligned}} \right\} \text{distance in iter 3}$$

In iteration 3, we find  $d_{(1,5)-(2,4)}=8$  is minimum,  
so, we combine [(1,5)(2,4)] together.

$$d_{[(1,5),(2,4)]-3} = \max\{d_{(1,5)-3}, d_{(2,4)-3}\} = 10 \rightarrow \text{distance in iter 4}$$

	(1,2,4,5)	3
(1,2,4,5)	0	
3	10	0

iteration 4



(i) From the graph in the left side, we can see

(i) threshold = 5 :

cluster 1 : (1,5)

cluster 2 : (2,4)

cluster 3 : (3)

(ii) threshold = 9 :

cluster 1 : (1,2,4,5)

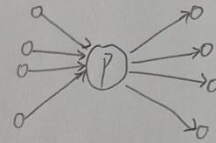
cluster 2 : (3)

### 3. ROCK

ci) if the threshold = 0.6, then the neighbors of A are (A, B, C). The neighbors of B are (A, B, C), so A, B have 3 common neighbors  $\Rightarrow \text{link}(A, B) = 3$

cii) The expected link is

$$n \cdot \frac{n^{1/2m}}{n} = n^{1/2m}$$



We consider the graph in the right side, for every point P in cluster C, it has  $p_i$ -th in-degree and  $p_j$ -th out-degree. Every point from in-degree and every point from out degree can be a pair whose common neighbor must contain P. So in this graph, P can contribute  $p_i * p_j$ -th common neighbors.

Back to our problems, each neighbor can be in-degree or out-degree so for each point the expected link is  $n^{1/2m} \cdot n^{1/2m} = n^{1/m}$ . And we have n-th points here, so the expected links are

$$n^{1/2m}$$

ciii) The expected link here works as a kind of normalization. If there is no such normalization the greatest goodness will be reached when we put all points into a single cluster. So, it is necessary to use this normalization.



#### 4. Density-based clustering

- 1) False. If  $p$  and  $q$  are density connected, then it means there is a core "A" that  $p$  and  $q$  are density reachable from "A". So, " $q$  is density from  $p$ " is not guaranteed.
- 2) False. "Directly density reachable" requires core point. There is no information showing  $p$  is a core point.
- 3) True. From the statement, we can know  $q$  is a core point. Meanwhile, there is a path  $\gamma$  from  $q$  to  $p$ :  $p_1, p_2, \dots, p_n$ ,  $p_1 = q$ ,  $p_n = p$  and  $p_{i+1}$  is directly density reachable from  $p_i$ .  
So for  $p_{n-1}$ , both  $q$  and  $p$  are density reachable from  $p_{n-1}$ , so  $p$  and  $q$  are density connected.
- 4) True. If  $p$  and  $q$  are density connected, then there is a point "o" where  $p$  and  $q$  are density reachable from. So, we can see density connected is a symmetric condition.

## 5. Distance Measure

a.

$$d_{KL}(A) = \sqrt{[3-1]^2} = 2 \quad \delta_{KL}(A) = 1$$

$$d_{KL}(B) = |2-1| + |3-2| = 2 \quad \delta_{KL}(B) = 1$$

$$d_{KL}(C) = 0 \quad \delta_{KL}(C) = 1$$

$$d_{KL}(D) = 1 \quad \delta_{KL}(D) = 1$$

$$d_{KL}(E) = 0 \quad \delta_{KL}(E) = 1$$

$$d_{KL}(F) = 0 \quad \delta_{KL}(F) = 0$$

$$d_{KL}(G) = 0$$

$$d_{KL} = \frac{\sum \delta_{ij}(f) \cdot d_{ij}(f)}{\sum \delta_{ij}(f)} = \frac{5+4+1}{5} = 2$$

b. We will have the same answer.

If we use asymmetric variable only, we may have a table looks like below

	Y	N
Y	a	b
N	c	d

then the distance is  $\frac{b+c}{a+b+c}$

If using the formula in question (a), the (Y,N) and (N,Y) will be counted into distance.

For denominator, (Y,Y), (Y,N), (N,Y) will contribute. From the table, we have a-th pairs of (Y,Y), b-th pairs of (Y,N) and c-th pairs of (N,Y). So, the result would be

$$\frac{b+c}{a+b+c}.$$

Now, we can see they will have the same answer.

# 6. Apriori

a.

$C_1$

items	sup
A	4
B	4
C	4
D	4
E	2

$\rightarrow L_1$

item	sup
A	4
B	4
C	4
D	4
E	2

$\rightarrow C_2$

item	sup
AB	3
AC	3
AD	3
AE	2
BC	3
BD	3
BE	2
CD	3
<del>CE</del>	<del>1</del>
<del>DE</del>	<del>1</del>

$\rightarrow L_2$

item	sup
AB	3
AC	3
AD	3
AE	2
BC	3
BD	3
BE	2
CD	3

$\rightarrow C_3$

item	sup
ABC	2
<del>ABD</del>	<del>1</del>
ABE	2
ACD	2
<del>ACE</del>	<del>1</del>
<del>ADE</del>	<del>1</del>
BCD	2
<del>BCE</del>	<del>1</del>
<del>BDE</del>	<del>1</del>

$\rightarrow L_3$

item	sup
ABC	2
ABE	2
ACD	2
BCD	2

$\rightarrow C_4$

item	sup
<del>ABCE</del>	<del>1</del>
<del>ABCD</del>	<del>1</del>

$\rightarrow L_4$

item	sup
null	null

output

- $L_1: \{A\}, \{B\}, \{C\}, \{D\}, \{E\}$
- $L_2: \{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \{B, D\}, \{B, E\}, \{C, D\}$
- $L_3: \{A, B, C\}, \{A, B, E\}, \{A, C, D\}, \{B, C, D\}$



6.6

This is antimonotone constraint.

item	sup	value
A	4	0
B	4	0
C	4	0
D	4	0
E	2	0

→ L<sub>1</sub>

item	sup	range
A	4	0
B	4	0
C	4	0
D	4	0
E	2	0

→ L<sub>2</sub>

item	sup	range
AB	3	1
AC	3	2
AD	3	3
AE	2	4
BC	3	1
BD	3	2
BE	2	3
CD	3	1
CE	1	2
DE	1	1

→ L<sub>2</sub>

item	sup	range
AB	3	1
AC	3	2
BC	3	1
BD	3	2
CD	3	1

By using constraint, we move AD, AE, BE out of L<sub>2</sub>

So: {A} {B} {C} {D} {E}  
{AB}, {AC}, {BC}, {BD}, {CD}  
{ABC}, {BCD}

→ L<sub>3</sub>

item	sup	range
ABC	2	2
ABD	1	3
ACD	2	3
BCD	2	2

item	sup	range
ABC	2	2
BCD	2	2

By applying constraint, we move ACD out.

item	sup	range
ABCD	1	3

→ L<sub>4</sub> empty

C. This is monotone constraint

item	sup	min(s)
A	4	5
B	4	4
C	4	3
D	4	2
E	2	1

→ L<sub>1</sub>

item	sup	min(s)
A	4	5
B	4	4
C	4	3
D	4	2
E	2	1

→ L<sub>2</sub>

item	sup	min(s)
AB	3	4
AC	3	3
AD	3	2
AE	2	1
BC	3	3
BD	3	2
BE	2	1
CD	3	2
CE	1	1
DE	1	1

→ L<sub>2</sub>

item	sup	min(s)
AB	3	4
AC	3	3
AD	3	2
AE	2	1
BC	3	3
BD	3	2
BE	2	1
CD	3	2

In L<sub>1</sub>, by applying constraint, we can only output {D}, {E}

In L<sub>2</sub>, by applying the constraint, we can only output {A,D}, {A,E}, {B,D}, {B,E}, {C,D}

→ L<sub>3</sub>

item	sup	min(s)
ABC	2	3
ABD	1	2
ABE	2	1
ACD	2	2
ACE	1	1
ADE	1	1
BCD	2	2
BCE	1	1
BDE	1	1

→ L<sub>3</sub>

item	sup	min(s)
ABC	2	3
ABE	2	1
ACD	2	2
BCD	2	2

In L<sub>3</sub>, by applying constraint, we output {A,B,E}, {A,C,D}, {B,C,D}

→ L<sub>4</sub>

item	sup	min(s)
ABCE	1	1
ABCD	1	2

→ L<sub>4</sub> empty

So, the output is {D}, {E}  
{A,D}, {A,E}, {B,D}, {B,E}, {C,D}  
{A,B,E}, {A,C,D}, {B,C,D}

## 7. FP grow algorithm

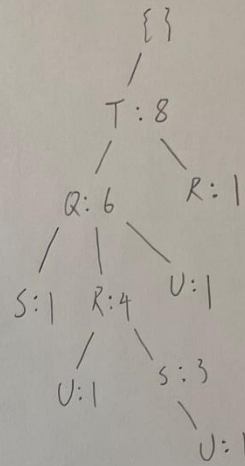
We can iterate each Tid and build the tree.

a.

item	sup
T	8
Q	6
S	4
U	3
R	5
P	1

(1)

Tid	items
1	T
2	T Q S
3	T Q U
4	T R
5	T Q R U
6	T Q R S
7	T Q R S U
8	T Q R S



b.

Using the table (1) from question a. This is an antimonotone constraint

Tid	items	Range
1	T	0
2	T Q S	3
3	T Q U	4
4	T R	2
5	T Q R U	4
6	T Q R S	3
7	T Q R S U	4
8	T Q R S	3

After applying constraint, we only have

Tid	items	Range
1	T	0
4	T R	2

The conditional database is

item	
T	S:1
R	T:1



## 8. Rule Generation

$\{F, H, J, L\}$

$$\textcircled{1} FHJ \rightarrow L \quad P(F, H, J, L) = \frac{5}{10} \quad P(F, H, J) = \frac{5}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(FHJ)} = 1 > 60\%, \text{ output}$$

$$\textcircled{2} FH \rightarrow JL \quad P(FHJL) = \frac{5}{10} \quad P(FH) = \frac{6}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(FH)} = \frac{5}{6} > 60\%, \text{ output}$$

$$\textcircled{3} F \rightarrow HJL \quad P(FHJL) = \frac{5}{10} \quad P(F) = \frac{6}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(F)} = \frac{5}{6} > 60\%, \text{ output}$$

$$\textcircled{4} H \rightarrow FJL \quad P(FHJL) = \frac{5}{10} \quad P(H) = \frac{9}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(H)} = \frac{5}{9} < 60\% \quad \times$$

$$\textcircled{5} FJ \rightarrow HL \quad P(FHJL) = \frac{5}{10} \quad P(FJ) = \frac{5}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(FJ)} = 1 > 60\%, \text{ output}$$

$\textcircled{6} F \rightarrow HJL$  already discussed  $\textcircled{3}$

$$\textcircled{7} J \rightarrow FHL \quad P(FHJL) = \frac{5}{10} \quad P(J) = \frac{8}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(J)} = \frac{5}{8} > 60\%, \text{ output}$$

$$\textcircled{8} HJ \rightarrow FL \quad P(FHJL) = \frac{5}{10} \quad P(HJ) = \frac{7}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(HJ)} = \frac{5}{7} > 60\%, \text{ output}$$

$\textcircled{9} H \rightarrow JFL$  already discussed  $\textcircled{4}$

$\textcircled{10} J \rightarrow HFL$  already discussed  $\textcircled{7}$

$$\textcircled{11} FHL \rightarrow J \quad P(FHJL) = \frac{5}{10} \quad P(FHL) = \frac{5}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(FHL)} = 1 > 60\%, \text{ output}$$

$\textcircled{12} FH \rightarrow JL$  already discussed  $\textcircled{2}$

$\textcircled{13} F \rightarrow HJL$  already discussed  $\textcircled{3}$

$\textcircled{14} H \rightarrow FJL$  already discussed  $\textcircled{4}$

$$\textcircled{15} FL \rightarrow HJ \quad P(FHJL) = \frac{5}{10} \quad P(FL) = \frac{5}{10} \quad \text{confidence} = \frac{P(FHJL)}{P(FL)} = 1 > 60\%, \text{ output}$$

## 8. Rule Generation

⑩  $F \rightarrow HLJ$  already discussed ⑩

⑪  $L \rightarrow FHJ$   $P(FHJL) = \frac{5}{10}$  confidence =  $\frac{P(FHJL)}{P(L)} = \frac{5}{6} > 60\%$ , output  
 $P(L) = \frac{6}{10}$

⑫  $HL \rightarrow FJ$   $P(FHJL) = \frac{5}{10}$  confidence =  $\frac{P(FHJL)}{P(HL)} = \frac{5}{6} > 60\%$ , output  
 $P(HL) = \frac{6}{10}$

⑬  $H \rightarrow FJL$  already discussed ④

⑭  $L \rightarrow FHJ$  already discussed ⑪

⑮  $FJL \rightarrow H$   $P(FJLH) = \frac{5}{10}$  confidence =  $\frac{P(FHJL)}{P(FJL)} = 1 > 60\%$ , output  
 $P(FJL) = \frac{5}{10}$

⑯  $FJ \rightarrow LH$  already discussed ⑤

⑰  $F \rightarrow L H J$  already discussed ③

⑱  $J \rightarrow F L H$  already discussed ⑦

⑲  $FL \rightarrow J H$  already discussed ⑮

⑳  $F \rightarrow L J H$  already discussed ⑥

㉑  $L \rightarrow F J H$  already discussed ⑪

㉒  $JL \rightarrow FH$   $P(FHJL) = \frac{5}{10}$  confidence =  $\frac{P(FHJL)}{P(JL)} = \frac{5}{6} > 60\%$ , output  
 $P(JL) = \frac{6}{10}$

㉓  $J \rightarrow F H L$  already discussed ⑦

㉔  $L \rightarrow F J H$  already discussed ⑪

㉕  $HJL \rightarrow F$   $P(HJFL) = \frac{5}{10}$  confidence =  $\frac{5}{6} > 60\%$ , output  
 $P(HJL) = \frac{6}{10}$

㉖  $HJ \rightarrow FL$  already discussed ⑩ ; ㉗  $H \rightarrow JFL$  already discussed ④ ; ㉘  $J \rightarrow FHL$  already discussed ⑦

㉙  $HL \rightarrow FJ$  already discussed ⑫ ; ㉚  $H \rightarrow FJL$  already discussed ④ ; ㉛  $L \rightarrow FJH$  already discussed ⑪

㉜  $JL \rightarrow FH$   $P(FHJL) = \frac{5}{10}$  confidence =  $\frac{P(FHJL)}{P(JL)} = \frac{5}{6} > 60\%$ , output ; ㉝  $J \rightarrow FHL$  already discussed ⑦ ; ㉞  $L \rightarrow FJH$  already discussed ⑪  
 $P(JL) = \frac{6}{10}$

Finally, we will output

$FHJ \rightarrow L$	$J \rightarrow FHL$	$L \rightarrow FHJ$	$HJL \rightarrow F$
$FH \rightarrow JL$	$HJ \rightarrow FL$	$HL \rightarrow FJ$	$JL \rightarrow FH$
$F \rightarrow HJL$	$FHL \rightarrow J$	$FJL \rightarrow H$	
$FJ \rightarrow HL$	$FL \rightarrow HJ$	$JL \rightarrow FH$	

Maybe we can have fewer items in question 8. :)