

# 1 List Of Models

## 1.1 Infinite Words

- DBA
- NBA
- GBA
- Rabin automaton
- Muller automaton
- Parity automaton
- E automaton
- A automaton
- coBA
- weak BA
- Staiger-Wagner automaton
- ABA
- LTL
- S1S
- $\exists$ S1S
- S1S<sub>0</sub>

## 1.2 Finite Trees

- DTA
- NTA
- $\downarrow$ DTA
- $\downarrow$ NTA
- DUTA
- NUTA
- deterministic DTD
- DTD
- deterministic EDTD

- single-type EDTD
- EDTD
- Relax NG
- FO
- MSO
- Regular expressions
- DTWA
- TWA

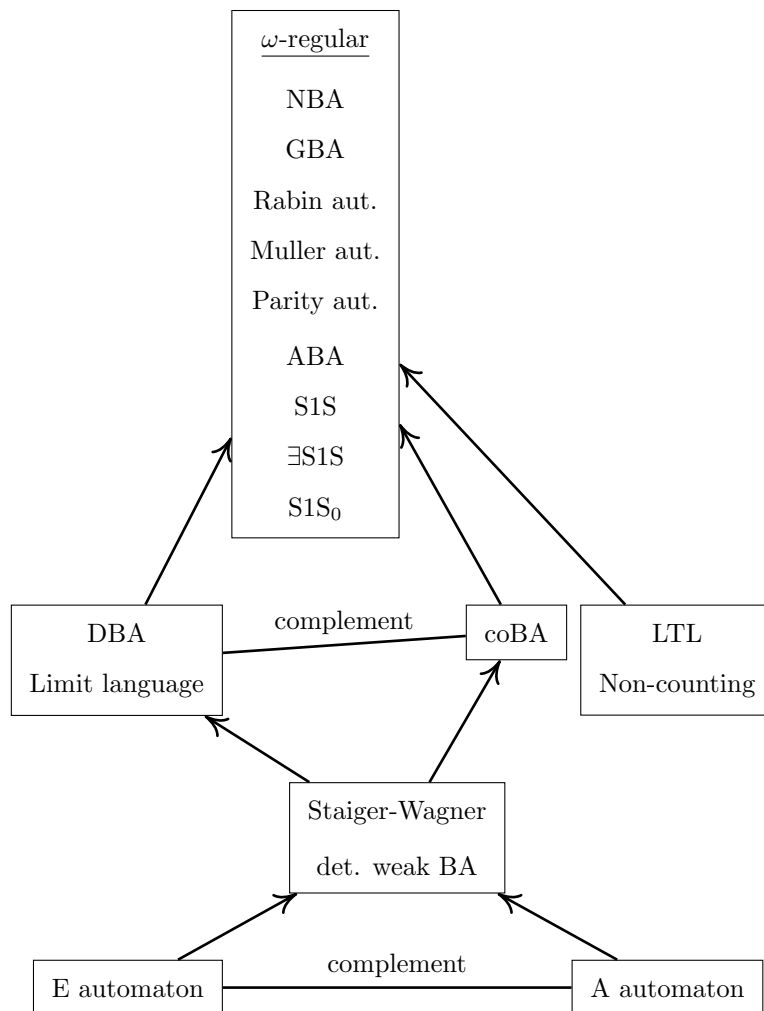
### 1.3 Infinite Trees

- BTA
- Muller TA
- Parity TA
- DMTA
- S2S (MSO / WMSO)
- S2S<sub>0</sub> (MSO / WMSO)

## 2 List Of Games

- Büchi
- Staiger-Wagner
- weak Parity
- Reachability
- Safety
- Muller
- Parity
- Rabin
- Streett
- Gale-Stewart
- Wadge

### 3 Infinite Word Models



#### 3.1 Class Inclusions

- E aut.  $\subseteq$  Staiger-Wagner  
**Proof:** SWA with  $\mathcal{F} = \{Q' \subseteq Q \mid F \cap Q' \neq \emptyset\}$ .
- A. aut.  $\subseteq$  Staiger-Wagner  
**Proof:** SW closed under complement,
- Staiger-Wagner  $\subseteq$  DBA / coBA  
**Proof:**  $\mathcal{A}$  SWA  $\Rightarrow \mathcal{A}' = (Q \times 2^Q, \Sigma, (q_0, \emptyset), \delta', F')$   
 Collect all visited states and accept if that set stays in  $\mathcal{F}$ .

- $\text{DBA} \subseteq \text{NBA}$   
trivial
- $\text{coBA} \subseteq \text{NBA}$   
**Proof:** NBA closed under complement.
- $\text{LTL} \subseteq \text{NBA}$   
**Proof:** ??
- $\text{LTL} \subseteq \text{ABA}$   
**Proof:** ??

### 3.2 Class Exclusions

- $\text{E aut.} \not\subseteq \text{A aut.}$   
**Example:**  $(a + b)^* a (a + b)^\omega$   
**Proof:** ??
- $\text{A aut.} \not\subseteq \text{E aut.}$   
**Example:**  $\{a^\omega\}$   
**Proof:** ??
- $\text{DBA} \not\subseteq \text{coBA}$   
**Example:**  $(a^* b)^\omega$   
**Proof:** ??
- $\text{coBA} \not\subseteq \text{DBA}$   
**Example:**  $(a + b)^* a^\omega$   
**Proof:** ??
- $\text{LTL} \not\subseteq \text{NBA}$   
**Example:**  $((a + b) a)^\omega$   
**Proof:** ??

### 3.3 Class Equalities

#### 3.3.1 NBA

- $\text{NBA} \Rightarrow \omega\text{-regular}$   
**Proof:** ??
- $\omega\text{-regular} \Rightarrow \text{NBA}$   
**Proof:** ??
- $\text{NBA} \Rightarrow \exists \text{S1S}$   
**Proof:** ??
- $\text{S1S} \Rightarrow \text{S1S}_0$   
**Proof:** ??

- $S1S_0 \Rightarrow NBA$

**Proof:** ??

- Det. Muller  $\Rightarrow NBA$

**Proof:** NBA with  $L(\mathcal{A}) = \bigcup_{F \in \mathcal{F}} \left( \bigcap_{q \in F} L(\mathcal{A}_q) \cap \bigcap_{q \notin F} \overline{L(\mathcal{A}_q)} \right)$  where  $\mathcal{A}_q$  is  $\mathcal{A}$  starting in  $q$ .

- NBA  $\Rightarrow$  det. Muller

**Proof:** ??

- (det.) Muller  $\Rightarrow$  (det.) Parity

**Proof:** ??

- ABA  $\Rightarrow NBA$

**Proof:** ??

### 3.3.2 LTL

LTL  $\Leftrightarrow$  Non-counting

No proof. Remarks in F8.

### 3.3.3 SW

Staiger-Wagner  $\Leftrightarrow$  det. weak BA

**Proof:** ??

## 3.4 Closures

### 3.4.1 NBA

- Closed under union

**Proof:** ??

- Closed under intersection

**Proof:** ??

- Closed under complement

**Proof:** ??

### 3.4.2 DBA

- Not closed under complement (inf. many  $a \leftrightarrow$  fin. many  $a$ )

### 3.4.3 SW

- Closed under union

**Proof:** ??

- Closed under intersection

**Proof:** ??

- Closed under complement  
**Proof:** ??

### 3.5 Characterizations

- Parity conditions are directly convertible to Rabin chain conditions and vice-versa.  
**Proof:** Assign priorities in ascending order;  $E_k \rightarrow 0$ ,  $F_k \setminus E_k \rightarrow 1$ ,  $E_{k-1} \setminus F_k \rightarrow 2 \dots$
- $U$  is  $\omega$ -regular iff  $U$  is a Boolean combination of DBA-languages  
**Proof:** NBAs are closed under Boolean operations.
- $U$  is DBA-recog. iff  $U = \lim(L)$  for some regular  $L \subseteq \Sigma^*$ .  
**Proof:** ??
- $U$  is E-recog. iff  $U = L \cdot \Sigma^*$  for some regular  $L \subseteq \Sigma^*$ .  
**Proof:** ??
- Landweber's theorem  
**Proof:** ??
- $\text{DBA} \cap \text{coBA} \Rightarrow \text{SW}$   
**Proof:** ??

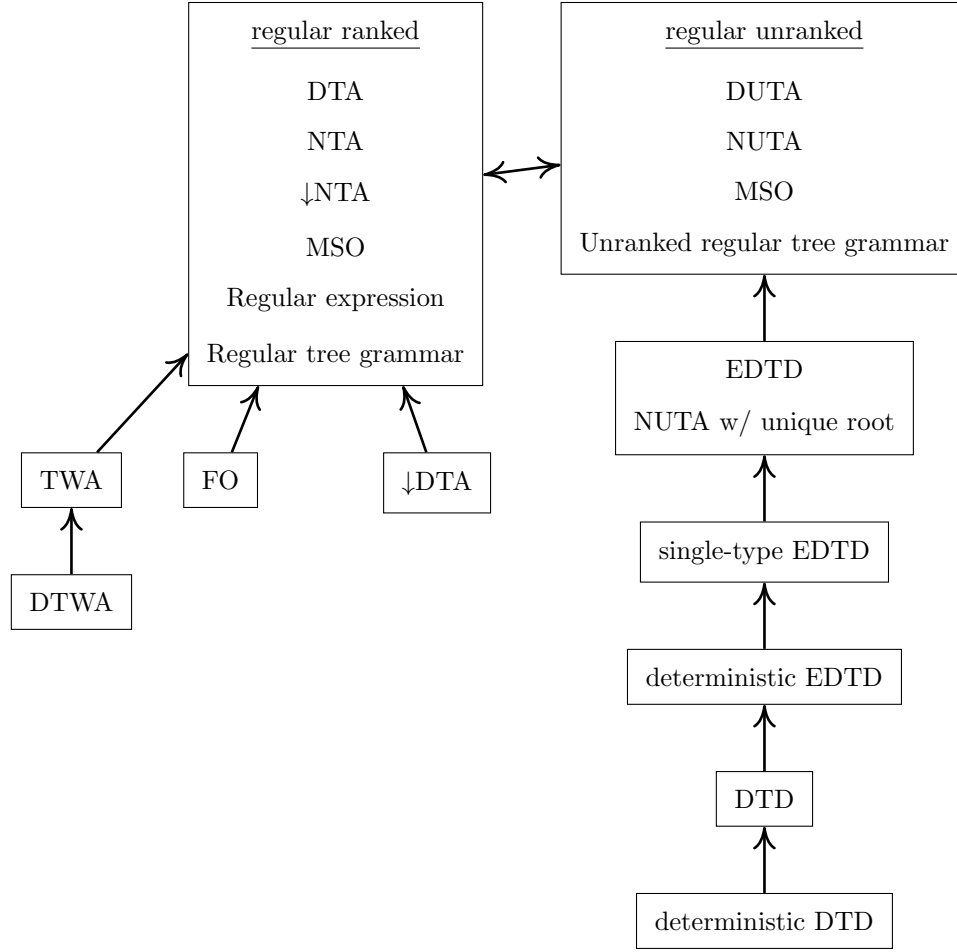
### 3.6 Duality

- $U$  is A-recog. iff  $\Sigma^\omega \setminus U$  is E-recog.  
**Proof:** ??
- $U$  is coBA-recog. iff  $\Sigma^\omega \setminus U$  is DBA-recog.  
**Proof:** ??

### 3.7 Problems / Complexity

- Emptiness problem for NBAs is decidable in poly. time.  
**Proof:** ??
- Emptiness problem for ABAs is PSPACE-complete.  
No proof. Remarks in F23.
- Membership problem for ABAs is decidable in poly. time.

## 4 Finite Tree Models



### 4.1 Class Inclusions

- Regular Ranked  $\subseteq$  Regular Unranked

**FCNS:** Let  $\Sigma$  be an unranked alphabet. We define  $\Gamma_0 = \{\#\}$  and  $\Gamma_2 = \Sigma$ . Let  $\bar{t} = t_1 \dots t_n \in T_\Sigma^*$  with  $t_1 = a(t'_1 \dots t'_m)$ . We define

$$\text{fcns}(\bar{t}) = \begin{cases} \# & \text{if } n = 0 \\ a(\text{fcns}(t'_1, \dots, t'_m), \text{fcns}(t_2 \dots t_n)) & \text{else} \end{cases}$$

See Theorem 5.

- det. DTD  $\subseteq$  DTD  $\subseteq$  det. EDTD  $\subseteq$  single-type EDTD  $\subseteq$  EDTD  
trivial

- EDTD  $\subseteq$  Regular tree grammar  
**Proof:**  $N = \Sigma', P_{\text{gram}} = P \cup \{a^{(n)} \rightarrow a \mid a, n\}$
- TWA  $\subseteq$  NTA  
**Proof:** Theorem 1

## 4.2 Class Exclusions

- $\downarrow\text{DTA} \not\subseteq \text{NTA}$   
**Example:**  $T = \{f(a, b), f(b, a)\}$   
**Proof:** Assume the  $\downarrow\text{DTA } \mathcal{A} = (Q, \Sigma, q_0, \Delta)$  accepts  $T$ . Let  $(q_0, f, (q_1, q_2)) \in \Delta$ , so also  $(q_1, a), (q_1, b), (q_2, a), (q_2, b) \in \Delta$ . However, that means the tree  $f(a, a)$  is accepted by the run  $q_0(q_1, q_2)$  which is a contradiction. On the other hand, NTAs can clearly recognize this property.
- DTD  $\not\subseteq$  single-type EDTD  
**Example:**  $T = \{t \in T_{\{a, b\}} \mid \text{there is a path in } t \text{ on which } a \text{ occurs exactly twice}\}$
- NUTA w/ unique root  $\not\subseteq$  NUTA  
**Example:**  $T = \{a, b\}$
- FO  $\not\subseteq$  MSO  
**Example:**  $T =$  positive boolean terms that evaluate to true
- DTWA  $\not\subseteq$  TWA  
**Example:**  $T_{N \setminus D}$   
 $\Sigma_0 = \{a, b\}, \Sigma_2 = \{f\}$   
 $t \in T_{N \setminus D}$  iff  $|t|_a = 3 \wedge \text{lca}(u, v) \sqsubseteq \text{lca}(v, w)$  **Proof:** DTWAs cannot recognize this tree language (no proof). TWAs can:  
  - Check whether there are exactly three  $a$  and move to the right-most one (DFS).
  - While going up, guess a node and go to the left-most ancestor.
  - The tree is in  $T_{N \setminus D}$  iff there are exactly two leafs labeled  $a$  right of that node. (DFS)
- TWA  $\not\subseteq$  NTA  
**Example:** all paths in the skeleton have even length  
**Proof:**  $\Sigma_0 = \{a, c\}, \Sigma_2 = \{b\}$   
Skeleton of a tree  $t$ : replace all subtrees that contain exactly one  $a$ .  
TWAs cannot recognize this tree language (no proof). NTAs can (find "merge" points and count the parity)

## 4.3 Class Equalities

### 4.3.1 Regular Ranked

- $\text{NTA} \Rightarrow \text{DTA}$   
**Proof:** Subset construction.
- $\text{NTA} \Leftrightarrow \downarrow\text{NTA}$   
**Proof:** Reverse the transitions and initial states  $\leftrightarrow$  final states.



- $\downarrow\text{NTA} \Leftrightarrow \text{Regular Tree Grammar}$   
**Proof:** Non-terminals correspond to states and transitions  $(q, a, q_1, \dots, q_n)$  correspond to rules  $A_q \rightarrow a(A_{q_1}, \dots, A_{q_n})$
- $\text{MSO} \Leftrightarrow \text{NTA}$   
**Proof:** same as S2S
- $\text{Reg. exp.} \Leftrightarrow \text{NTA}$   
**Proof:** Theorem 2

#### 4.3.2 Regular Unranked

- $\text{NUTA} \Rightarrow \text{DUTA}$   
**Proof:** Specialized subset construction.  
 $\mathcal{A} = (Q, \Sigma, \Delta, F) \Rightarrow \mathcal{A}' = (2^Q, \Sigma, \Delta', \{P \subseteq Q \mid P \cap F \neq \emptyset\})$   
 $\Delta' = \{(L_{a,P}, a, P) \mid a \in \Sigma, P \subseteq Q\}$  with  $L_{a,P} = \bigcap_{p \in P} K_{a,p} \cap \bigcap_{p \notin P} K_{a,p}^c$   
 $K_{a,p} = \{P_1 \dots P_n \in (2^Q)^* \mid \exists p_1 \in P_1, \dots, p_n \in P_n : p_1 \dots p_n \in L_{a,p}\}.$
- $\text{MSO} \Leftrightarrow \text{NUTA}$

#### 4.3.3 EDTD

- $\text{NUTA with unique root} \Leftrightarrow \text{EDTD (in poly. time)}$   
**Proof:**  $\Rightarrow$  Let  $q_0, \dots, q_n$  be an enumeration of  $Q$ . We then set  $D = \{\{a^{(i)} \mid a \in \Sigma, 0 \leq i \leq n\}, P, a^{q_0}\}$  where  $a$  is the unique root symbol of the automaton. Rules in  $P$  guess arbitrary symbols for fitting states.  
 $\Leftarrow$  Analogously, we use  $Q = \Sigma \times \{1, \dots, n\}$  where  $n$  is the maximal type in  $\Sigma'$  and rules mimic the transitions.

### 4.4 Closures

#### 4.4.1 Regular Ranked

- Regular (ranked) trees are closed under complement.  
**Proof:** Make  $\Delta$  total (add sink state) and set  $F' := Q \setminus F$ .
- Regular (ranked) trees are closed under union and intersection.  
**Proof:** Product construction ( $F_\cap = F_1 \times F_2$ ,  $F_\cup = (Q_1 \times F_2) \cup (F_1 \times Q_2)$ )

#### 4.4.2 Regular Unranked

- Regular unranked trees are closed under complement, union, and intersection.  
**Proof:** via FCNS, because ranked trees are closed under these operations

#### 4.4.3 TWA

- TWAs are closed under union.  
**Proof:** Non-deterministically choose at the start whether to execute  $\mathcal{A}_1$  or  $\mathcal{A}_2$ .
- TWAs and DTWAs are closed under intersection.  
**Proof:** Execute  $\mathcal{A}_1$ . If it accepts, move to the root and execute  $\mathcal{A}_2$ .
- Open Problem: Are TWAs closed under complement?
- DTWAs are closed under complement.  
**Proof:** Theorem 4

### 4.5 Problems / Complexity

#### 4.5.1 Regular Ranked

- Membership problem for NTAs is decidable.
- Reachable states of NTAs can be computed in linear time in  $|\mathcal{A}|$ . **Algorithm:**
  1. Initial reachable states  $R = \{q \in Q \mid \exists a \in \Sigma_0 : (a, q) \in \Delta\}$
  2. For each transition  $\tau = \{\bar{q}, a, p\} \in \Delta$ , count  $\text{in}(\tau) = |\bar{q}|$  and remember  $\forall q \in \bar{q} : \tau \in \text{tr}(q)$ .
  3. Grow  $R$  by processing each reachable state once: Decrement  $\text{in}(\tau)$  by 1; if that value reaches 0, every ingoing state of  $\tau$  is reachable and therefore, the outgoing state is.
- Emptiness of an NTA can be decided in linear time in  $|\mathcal{A}|$ .  
**Algorithm:**  $T(\mathcal{A}) = \emptyset$  iff  $\text{Reachable}(\mathcal{A}) \cap F = \emptyset$
- Given a DTA  $\mathcal{A}$ ,  $\sim_{T(\mathcal{A})}$  can be computed in time  $\text{poly}(|Q^m \times \Sigma \times Q|)$  where  $m$  is the maximal arity in  $\Sigma$ .  
**Algorithm:**
  1. Mark all  $(q, q')$  with  $(q, q') \in (F \times Q \setminus F) \cup (Q \setminus F \times F)$ .
  2. As long as there is still change in the marks, execute step 3:
  3. If  $(p, p')$  is already marked and there are  $q_1, \dots, q_{i-1}, q, q', q_{i+1}, \dots, q_n \in Q$  and  $a \in \Sigma_n$  such that  $p = \delta(q_1, \dots, q, \dots, q_n, a)$  and  $p' = \delta(q_1, \dots, q', \dots, q_n, a)$ , then mark the pair  $(q, q')$ .
  4.  $p \sim_{T(\mathcal{A})} q$  iff  $(p, q)$  is not marked.

#### 4.5.2 Regular Unranked

- Emptiness / membership / inclusion for NUTAs is decidable in polynomial / polynomial / exponential time.  
**Algorithm** (Emptiness): Use FCNS transformation.
- Algorithm** (Membership): Construct  $\mathcal{A}_t$  with  $T(\mathcal{A}_t) = \{t\}$  and check  $\mathcal{A} \cap \mathcal{A}_t \stackrel{?}{=} \emptyset$ .
- Algorithm** (Inclusion): Check  $T(\mathcal{A}_1) \cap T(\mathcal{A}_2)^c \stackrel{?}{=} \emptyset$ . Complementation is exponential.
- Inclusion for complete DUTAs is decidable in polynomial time.  
**Algorithm:** Same algorithm as for general NUTAs but complementation can be done in polynomial time.

### 4.5.3 Grammars

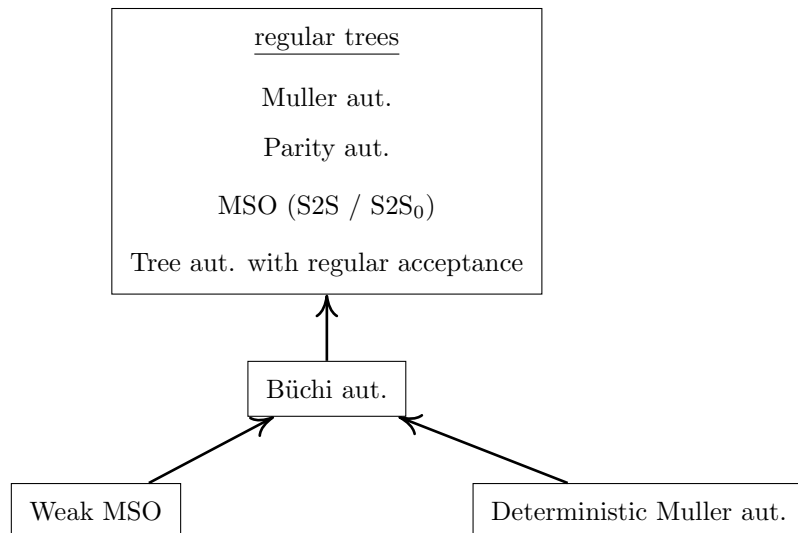
- Emptiness / membership for EDTDs is decidable in polynomial time.

**Proof:** EDTD can be converted to NUTA in polynomial time.

- Inclusion for deterministic EDTDs is decidable in polynomial time.

**Proof:** Let  $D_1, D_2$  be deterministic EDTDs. Using the previous results and theorem 3, we can construct NUTAs  $\mathcal{A}, \mathcal{B}$  with  $T(\mathcal{A}) = T(D_1)$  and  $T(\mathcal{B}) = T(D_2)^c$  in polynomial time. Then  $T(D_1) \subseteq T(D_2)$  iff  $T(\mathcal{A}) \cap T(\mathcal{B}) = \emptyset$ .

## 5 Infinite Tree Models



### 5.1 Class Exclusions

- $\text{BTA} \not\subseteq \text{Regular tree}$   
**Example:**  $T_{\text{fin}} = \{t \in T_{\{a,b\}} \mid \text{every infinite path has only fin. many } b\}$   
**Proof:**
- $\text{DMTA} \not\subseteq \text{BTA}$   
**Example:**  $T_{\text{fin}}^c = \{t \in T_{\{a,b\}} \mid \text{there is a path with inf. many } b\}$   
**Proof:** BTA-recognizable (see below) but not a path tree language.
- $\text{WMSO} \not\subseteq \text{BTA}$   
**Example:**  $\{t \in T_{\{a,b\}} \mid \text{every infinite path has infinitely many } b\}$   
**Proof:**

### 5.2 Class Equalities

#### 5.2.1 Regular Trees

- $\text{PTA}, \text{MTA} \Rightarrow \text{TA with regular acceptance}$   
**Proof:** By definition and PA/MA regularity.
- $\text{TA with reg. acc.} \Rightarrow \text{PTA}$   
**Proof:** TA  $\mathcal{A}$ , DPA  $\mathcal{A}'$  over alphabet  $Q$  that defines Acc. Define PTA with state space  $Q \times P$ .  
 $(q, a, q_1, q_2) \in \Delta \Rightarrow ((q, p), a, (q_1, \delta(p, q)), (q_2, \delta(p, q))) \in \Delta'$
- $\text{PTA} \Leftrightarrow \text{MSO (S2S)}$  **Proof:** ??

## 5.3 Closures

### 5.3.1 Regular Trees

- The class of regular tree languages is closed under union and intersection.  
**Proof:** ??
- The class of regular tree languages is closed under projection.  
**Proof:** ??
- PTAs are closed under complement ( $2^{\mathcal{O}(kn \cdot \log(kn))}$  states for  $|Q| = n$ ,  $|\text{img}(c)| = k$ ) **Proof:** ??

### 5.3.2 BTA

- BTAs are not closed under complement.  
**Proof:**  $T_{\text{fin}}^{\mathbb{C}} = \{t \in T_{\{a,b\}} \mid \text{there is a path with inf. many } b\}$   
 $T_{\text{fin}}^{\mathbb{C}}$  is BTA-recognizable but its complement is not.

### 5.3.3 DMTA

- DMTAs are not closed under union or complement.
- DMTAs are closed under intersection.  
**Proof:** product automaton

## 5.4 Characterizations

- $T \subseteq T_{\Sigma}$  is DMTA-recognizable iff  $T$  is a path tree language.  
 $T : 2^{(\{0,1\} \times \Sigma)^{\omega}} \rightarrow 2^{T_{\Sigma}}, L \mapsto \{t \in T_{\Sigma} \mid \forall \pi \in \{0,1\}^{\omega} : \pi^{\frown}(t|_{\pi}) \in L\}$   
**Proof:**
- $T \subseteq T_{\Sigma}$  is WMSO-definable iff  $T$  and  $T^{\mathbb{C}}$  are BTA-recognizable.

## 5.5 Problems

### 5.5.1 Regular Trees

- The membership problem for regular tree languages is decidable.  
**Proof:** via membership game as in complementation
- The emptiness problem for regular tree languages is decidable.  
**Proof:** ??