

# 1 Infinite Computations

**Theorem 1.** *NBA-recognizable languages are closed under complement.*

*Proof.* Let  $\mathcal{A} = (Q, \Sigma, q_0, \Delta, F)$  be an NBA. We define the transition profile of a word  $w \in \Sigma^*$  as a finite directed graph  $t(w) = (Q, E, E_F)$  with  $E = \{(p, q) \in Q \times Q \mid \mathcal{A} : p \xrightarrow{w} q\}$  and  $E_F = \{(p, q) \in Q \times Q \mid \mathcal{A} : p \xrightarrow[F]{w} q\}$ . Then we define  $\text{TP} = \{t(w) \mid w \in \Sigma^*\}$  and the transition profile automaton  $\text{TPA}_F = (\text{TP}, \Sigma, t(\varepsilon), \delta_{\text{TPA}}, F)$  with  $\delta_{\text{TPA}}(t(u), a) = t(ua)$ .

For  $t \in \text{TP}$ , let  $U_t = \{u \in \Sigma^+ \mid t(u) = t\}$ . These sets are regular, as they are accepted by the NFA  $\text{TPA}_{\{t\}}$ .

Let  $\bar{t} = t_0 t_1 \dots \in \text{TP}^\omega$  be an infinite sequence of transition profiles. We call  $\bar{t}$  accepting if there are  $q_1 \dots \in Q^\omega$  such that every  $t_i$  has an edge  $(q_i, q_{i+1})$  and infinitely many of these edges are labeled by  $F$ . Let  $\text{NTP} = \{(t_0, t_1) \in \text{TP} \times \text{TP} \mid t_0 t_1^\omega \text{ is non-accepting}\}$ .

**Claim :**  $L(\mathcal{A})^c = \bigcup_{(t_0, t_1) \in \text{NTP}} U_{t_0} U_{t_1}^\omega$ . Then one can construct an NBA for  $L(\mathcal{A})^c$ .

For every  $\alpha \in \Sigma^\omega$ , let  $\alpha = u_0 u_1 \dots \in (\Sigma^*)^\omega$  be a factorization of  $\alpha$  into finite words and let  $\bar{t} = t(u_0) t(u_1) \dots$ . Then  $\bar{t}$  is accepting iff  $\alpha \in L(\mathcal{A})$ .

In particular, this is true for  $t(u_1) = t(u_2) = \dots$ , so it remains to be shown that every word  $\alpha$  has such a factorization.

**Ramsey's Theorem:** Let  $C$  be a finite set,  $X$  with  $|X| = \aleph_0$ ,  $E = \{(x, y) \in X \times X \mid x \neq y\}$ , and  $f : E \rightarrow C$ . Then there is an infinite  $Y \subseteq X$  such that  $|f(E \cap (Y \times Y))| = 1$ .

For a  $\alpha \in \Sigma^\omega$ , let  $X = \mathbb{N}$  and  $C = \text{TP}$ . For  $i < j$  we set  $f(j, i) = f(i, j) = t(\alpha[i, j])$ . Using Ramsey's theorem, there is an infinite  $Y \subseteq \mathbb{N}$  such that  $f(E \cap (Y \times Y)) = \{t\}$ . Let  $Y = \{i_j \mid j > 0\}$  such that  $i_j < i_{j+1}$  and  $i_0 := 0$ . We define  $u_j = \alpha[i_j, i_{j+1}]$ . Then  $\alpha = u_0 u_1 u_2 \dots$  and  $t(u_1) = t(u_2) = \dots$ .  $\square$

**Theorem 2.** *For every  $\varphi \in \text{LTL}$ , one can construct an equivalent GBA with  $\mathcal{O}(2^{|\varphi|})$  states.*

*Proof.* Let  $\text{cl}(\varphi) \subseteq \text{LTL}$  be the set of all sub-formulas in  $\varphi$  (including  $\varphi$  itself). We define a  $\varphi$ -expansion of a word  $\alpha \in (\mathbb{B}^n)^\omega$  as a function  $\beta : \mathbb{N} \times \text{cl}(\varphi) \rightarrow \mathbb{B}$  as below. The GBA then is  $\mathcal{A} = (Q, \mathbb{B}^n, q_0, \Delta, F)$ .

- $Q = \{q_0\} \cup 2^{\text{cl}(\varphi)}$
- $F = (F_\psi)_{\psi \in \text{Ucl}}$  where  $\text{Ucl} = \{\psi \in \text{cl}(\varphi) \mid \psi = \psi_1 U \psi_2\}$
- $\Delta : q_0 \xrightarrow{a} \Phi$  with  $\varphi \in \Phi$  according to  $\varphi$ -expansion rules
- $\Delta : \Phi \xrightarrow{a} \Psi$  according to  $\varphi$ -expansion rules

$\beta$  is a  $\varphi$ -extension of  $\alpha$  if it satisfies the following local conditions

- $\beta(i, p_j) = a_j$  where  $\alpha(i) = (a_1, \dots, a_n)$ .
- $\beta(i, \neg\psi) = 1$  iff  $\beta(i, \psi) = 0$ .

- $\beta(i, \psi \wedge \vartheta) = 1$  iff  $\beta(i, \psi) = \beta(i, \vartheta) = 1$ .
- $\beta(i, \psi \vee \vartheta) = 1$  iff  $\beta(i, \psi) = 1$  or  $\beta(i, \vartheta) = 1$ .
- $\beta(i, X\psi) = 1$  iff  $\beta(i + 1, \psi) = 1$ .
- $\beta(i, \psi U \vartheta) = 1$  iff  $\beta(i, \vartheta) = 1$  or  $[\beta(i, \psi_1) = 1 \text{ and } \beta(i + 1, \psi U \vartheta) = 1]$

and the following global condition

$$\forall i \quad \beta(i, \psi U \vartheta) = 1 \rightarrow \exists k \geq i \quad \beta(k, \vartheta) = 1$$

The local conditions are checked in the transitions of  $\Delta$ . The global transition is checked by the acceptance sets  $F_\psi$ .  $\square$

**Theorem 3** (Landweber). *Let  $\mathcal{A} = (Q, \Sigma, q_0, \delta, \mathcal{F})$  be a DMA.*

1.  *$L(\mathcal{A})$  is DBA-recognizable iff  $\mathcal{F}$  is closed under super loops.*
2.  *$L(\mathcal{A})$  is E-recognizable iff  $\mathcal{F}$  is closed under reachable loops.*

*Proof.*  $\square$

**Theorem 4.** *NBAs, S1S, S1S<sub>0</sub>, and  $\exists$ S1S have the same expressive power.*

*Proof.* **NBA  $\Rightarrow$   $\exists$ S1S** Let  $\mathcal{A} = (Q, \mathbb{B}^n, 1, \Delta, F)$  be the NBA with  $Q = \{1, \dots, m\}$ . We encode a run of  $\mathcal{A}$  on a word with a formula.

$$\varphi_{\mathcal{A}}(X_1, \dots, X_n) = \exists Y_1 \dots \exists Y_m \text{Part}(\overline{Y}) \wedge Y_1(0) \wedge \text{Trans}(\overline{X}, \overline{Y}) \wedge \text{Fin}(\overline{Y})$$

$$\text{Part}(Y_1, \dots, Y_m) = \forall x \left( \bigvee_{i=1}^m Y_i x \wedge \bigwedge_{i=1}^m \bigwedge_{j \neq i} \neg Y_j x \vee \neg Y_i x \right)$$

$$\text{Trans}(\overline{X}, \overline{Y}) = \forall x \bigvee_{\tau \in \Delta} \psi_\tau(x, \overline{X}, \overline{Y})$$

$$\psi_{(p,a,q)}(x, X_1, \dots, X_n, Y_1, \dots, Y_m) = Y_p x \wedge Y q(x+1) \wedge X_a x$$

$$\text{Fin}(Y_1, \dots, Y_m) = \forall x \exists y \left( x < y \wedge \bigvee_{q \in F} Y_q y \right)$$

**$\exists$ S1S  $\Rightarrow$  S1S** trivial

**S1S  $\Rightarrow$  S1S<sub>0</sub>** Let  $\varphi \in \text{S1S}$ . We define an equivalent  $\varphi' \in \text{S1S}_0$  inductively.

- Boolean operators stay the same.
- $\exists x \psi(x) \mapsto \exists X_x (\text{Sing}(X_x) \wedge \psi'(X_x))$
- $Xx \mapsto X_x \subseteq X$
- $x + 1 = y \mapsto \text{Succ}(X_x, X_y)$

**S1S<sub>0</sub>**  $\Rightarrow$  **NBA**  $\exists, \vee, \neg$  correspond to projection, union, and complement of automata respectively. Therefore it suffices to give NBAs for the three base formulas.

- $X_1 \subseteq X_2$ :  $Q = F = \{q_0\}$ ,  $\Delta = \{(q_0, a, q_0) \mid a \neq (1 \ 0)\}$ .
- $\text{Sing}(X)$ :  $Q = \{q_0, q_f\}$ ,  $F = \{q_f\}$ ,  $\Delta = \{(q_0, 0, q_0), (q_f, 0, q_f), (q_0, 1, q_f)\}$ .
- $\text{Succ}(X_1, X_2)$ :  $Q = \{q_0, q_1, q_2\}$ ,  $F = \{q_2\}$ ,  
 $\Delta = \{(q_0, (0 \ 0), q_0), (q_2, (0 \ 0), q_2), (q_0, (1 \ 0), q_1), (q_1, (0 \ 1), q_2)\}$ .

The complexity of this construction is  $2 \uparrow \uparrow |\varphi|$ .

□

**Theorem 5** (McNaughton). *A language is NBA-recognizable iff it is DMA-recognizable.*

*Proof.*  $\Leftarrow$  NBA with  $L(\mathcal{A}) = \bigcup_{F \in \mathcal{F}} \left( \bigcap_{q \in F} L(\mathcal{A}_q) \cap \bigcap_{q \notin F} \overline{L(\mathcal{A}_q)} \right)$  where  $\mathcal{A}_q$  is  $\mathcal{A}$  starting in  $q$ .

$\Rightarrow$

□

**Theorem 6.** *For each Muller automaton, one can construct an equivalent parity automaton. The construction preserves determinism.*

*Proof.* Let  $\mathcal{A} = (Q, \Sigma, q_0, \Delta, \mathcal{F})$  be a Muller automaton with  $Q = \{q_0, \dots, q_{n-1}\}$ . We define the LAR automaton  $\mathcal{A}_{\text{LAR}} = (\text{LAR}(Q), \Sigma, [q_0 q_1 \dots q_{n-1}, 1], \Delta_{\text{LAR}}, c_{\text{LAR}})$  as follows:

- $\text{LAR}(Q)$  contains an ordering of  $Q$  and a so called hit-marker:  $[p_1 \dots p_n, h]$  with  $1 \leq h \leq n$ . This means that the most recently seen state was  $p_1$  which was at position  $h$  in the list before.
- $c_{\text{LAR}}([p_1 \dots p_n, h]) := \begin{cases} 2h & \text{if } P \in \mathcal{F} \\ 2h - 1 & \text{if } P \notin \mathcal{F} \end{cases}$  where  $P = \{p_1, \dots, p_h\}$ .
- $\Delta_{\text{LAR}} : [p_1 \dots p_n, h] \xrightarrow{a} \text{up}([p_1 \dots p_n, h], p')$  for all  $(p_1, a, p') \in \Delta$ , where  $\text{up}([p_1 \dots p_n, h], p') = [p' p_1 \dots p_{i-1} p_{i+1} \dots p_n, i]$ .

**Claim** : Let  $\rho \in Q^\omega$  be a run of  $\mathcal{A}$  on some word  $\alpha$  and let  $\rho' \in (\text{LAR}(Q))^\omega$  be the corresponding run of  $\mathcal{A}_{\text{LAR}}$ . Then in  $\rho'$ , the hit marker  $h$  is greater than  $|\text{Inf}(\rho)|$  only finitely often; and the hit segment  $\{p_1, \dots, p_h\}$  equals  $\text{Inf}(\rho)$  infinitely often.  $\square$

**Theorem 7.** *For each ABA, one can construct an equivalent NBA with states at most  $3^{|Q|}$ .*

*Proof.* Let  $\mathcal{A} = (Q, \Sigma, q_0, \delta, F)$  be an ABA. We define  $\mathcal{A}' = (\{0, 1, 2\}^Q, \Sigma, f_0, \Delta, \{0, 2\}^Q)$  with  $f_0(q) = \begin{cases} 1 & \text{if } q = q_0 \\ 0 & \text{else} \end{cases}$  and  $\Delta$  as described below.

A state is a function  $f : Q \rightarrow \{0, 1, 2\}$ . Consider a run-tree of  $\mathcal{A}$  on some word and all states that are “active” on one level in the tree.  $f(q)$  is 0 for all states which are not active and 1 or 2 for all others. A state is assigned to 1 by default, which just means that it is active in some path. It is assigned 2 if “recently” on all paths it is active on, a final state in  $F$  was seen. Recently means that it occurred since the last time that an entire level in the run tree was made up of final states.

Formally, we have  $(f, a, g) \in \Delta$  for all  $g : Q \rightarrow \{0, 1, 2\}$  which satisfy the following:

- The active states need to be passed on, i.e. for all  $q \in Q$ : if  $f(q) \in \{1, 2\}$  then there must be an  $X_q \subseteq Q$  with  $X_q \models \delta(q, a)$  such that  $g(X_q) \subseteq \{1, 2\}$ .
- A state is assigned 2 if it is final, i.e.  $g(q) = 2$  if  $q \in F$ .
- Otherwise, a 1 overwrites a 2 for succession, i.e. for all  $p \in P$ , if there is a  $q$  with  $f(q) = 1$  and  $p \in X_q$ , then also  $g(p) = 1$ .
- If all states are marked with a 2,  $\mathcal{A}'$  reached a final state. We reset the values to  $g(p) = 2$  iff  $p \in F$ .

$\square$

## 2 Tree Automata

**Theorem 8.** *Let  $\mathcal{A} = (Q, \Sigma, q_0, \Delta, F)$  be a TWA. There is an NTA  $\mathcal{A}'$  of size exponential in  $|Q|$  that recognizes  $T(\mathcal{A})$ .*

*Proof.* Let  $\sim_{T(\mathcal{A})}$  be the usual equivalence relation, i.e.  $t_1 \sim_{T(\mathcal{A})} t_2$  iff  $\forall s \in S_\Sigma : s \cdot t_1 \in T(\mathcal{A}) \leftrightarrow s \cdot t_2 \in T(\mathcal{A})$ . We define a relation  $\sim \subseteq T_\Sigma \times T_\Sigma$  such that  $\text{index}(\sim_{T(\mathcal{A})}) \leq \text{index}(\sim) \leq 2^{|Q|^2 \cdot m+1}$ , where  $m$  is the maximal rank in  $\Sigma$ .

Let  $t_0 \in T_\Sigma$  and  $a_m \in \Sigma_m$  be arbitrary. For every  $t \in T_\Sigma$  and  $1 \leq i \leq m$ , we define  $t^{(i)} = a_m(t_0, t_0, \dots, t, \dots, t_0)$ , meaning the  $i$ -th subtree below the root is  $t$ . Further, we define a relation  $B_t^i \subseteq Q \times Q$  with  $(p, q) \in B_t^i$  iff there is a run segment  $\rho$  of  $\mathcal{A}$  on  $t^{(i)}$ , such that the run begins at the root of  $t$ , never leaves that subtree until the end. Meaning,  $\rho = (p, i)(q_1, iu_1) \dots (q_n, iu_n)(q, \varepsilon)$ .

Finally, let  $t_1 \sim t_2$  iff  $t_1 \in T(\mathcal{A}) \leftrightarrow t_2 \in T(\mathcal{A})$  and  $\forall i : B_{t_1}^i = B_{t_2}^i$ .

Idea:  $(p, q) \in B_t^i$  if  $\mathcal{A}$  can enter  $t$  as  $i$ -th child with state  $p$  and after some while leaves it again with state  $q$ .

**Claim :** Let  $t_1 \sim t_2$ . Then  $t_1 \sim_{T(\mathcal{A})} t_2$ .

Let  $s \in S_\Sigma$ . Due to the symmetric definition of  $\sim$ , it suffices to show that  $t_1 \in T(\mathcal{A})$  implies  $t_2 \in T(\mathcal{A})$ , so let  $t_1 \in T(\mathcal{A})$ . If  $s = \circ$ , then  $s \cdot t_1 = t_1 \in T(\mathcal{A})$ . By definition of  $\sim$ , this implies  $s \cdot t_2 = t_2 \in T(\mathcal{A})$ .

Otherwise  $s \neq \circ$ . Let  $\rho_1 \rho_2 \rho_3$  be an accepting run of  $\mathcal{A}$  on  $s \cdot t_1$  such that  $\rho_1$  only stays outside of  $t_1$  and  $\rho_2$  only stays inside of  $t_1$ . Since  $B_{t_1}^i = B_{t_2}^i$ , there is a run segment of  $\mathcal{A}$  on  $t_2$  which enters and exits the tree with the same states as  $\rho_2$  does, meaning it can replace  $\rho_2$  in the accepting run. Repeating this procedure gives an accepting run of  $\mathcal{A}$  on  $s \cdot t_2$ , so  $t_2 \in T(\mathcal{A})$ .

**Notes** on the construction: each state in the NTA corresponds to a list of  $Q$ -states that  $\mathcal{A}$  had when visiting this node, together with the direction from which it was coming. That can be used to check the correctness of a run.  $\square$

**Theorem 9.** *A language of finite trees  $T \subseteq T_\Sigma$  can be recognized by an NTA iff it can be described by a regular tree expression.*

*Proof.*  $\Rightarrow$  Let  $\mathcal{A} = (Q, \Sigma, \Delta, F)$  be an NTA for  $T$ . For  $R, I \subseteq Q, q \in Q$ , we define  $T(R, I, q) \subseteq T_{\Sigma \cup C_R}$  (where  $C_R = \{c_p \mid p \in R\}$ ) as the set of all trees on which  $\mathcal{A}$  has a run that only uses states in  $I$  and ends in  $q$ . We can inductively define regular expressions for  $T(R, I, q)$ . Then  $T(\mathcal{A}) = \bigcup_{q \in F} T(\emptyset, Q, q)$ .

For all  $R \subseteq Q, q \in Q$ ,  $T(R, \emptyset, q)$  contains only trees of height 0 or 1, so it is a finite union of singular languages.

$$T(R, I \cup \{i\}, q) = T(R, I, q) + T(R \cup \{i\}, I, q) \cdot^{c_i} (T(R \cup \{i\}, I, i))^{*c_i} \cdot^{c_i} T(R, I, i)$$

$\Leftarrow$  Show by induction that a regular expression  $r$  can be transformed to a NTA  $\mathcal{A}_r$ .

- If  $r = t \in T_{\Sigma \cup C}$ , then there is an automaton  $\mathcal{A}_t$  with  $T(\mathcal{A}_t) = \{t\}$ .
- If  $r = s + t$ , then  $\mathcal{A}_r$  is the union automaton of  $\mathcal{A}_s$  and  $\mathcal{A}_t$ .
- If  $r = s \cdot^c t$ , then  $\mathcal{A}_r = (Q_s \cup Q_t, \Sigma \cup C, \Delta_r, F_s)$ , where  $\Delta_r$  simulates  $\mathcal{A}_s$  and  $\mathcal{A}_t$  but the final transitions in  $\mathcal{A}_t$  are replaced by initial transitions to  $\mathcal{A}_s$ .
- If  $r = s^{+c}$ , let  $\mathcal{A}_r = (Q_s, \Sigma \cup C, \Delta_r, F_s)$ , where  $\Delta_r$  simulates  $\mathcal{A}_s$  and allows “restarts” when a final state could be reached.

□

**Theorem 10.** *Let  $D$  be an EDTD. We can construct a NUTA  $\mathcal{A}$  with  $T(D)^{\mathbb{C}} = T(\mathcal{A})$  in polynomial time in  $|D|$ .*

*Proof.* Let  $D = (\Sigma', P, S^{(1)})$ . For  $a^{(i)} \in \Sigma'$ , let  $a^{(i)} \rightarrow r_{a,i}$  be the according rule in  $P$ , where  $r_{a,i}$  is a regular expression that can be transformed to a DFA in polynomial time. If  $b \in \Sigma$  occurs in  $r_{a,i}$  then it must have a unique type  $j$  (since  $D$  is single-typed). We call  $b^{(j)}$  the  $b$ -successor of  $a^{(i)}$ . If  $b$  does not occur in  $r_{a,i}$ , we say that the  $b$ -successor is  $b^\perp$ . Furthermore, we assume  $r_{a,\perp} = \varepsilon$  for all  $a$ .

We define a typing function  $f : \text{dom}_t \rightarrow \{\perp, 1, \dots, k\}$ . We assign  $f(\varepsilon) = \begin{cases} 1 & \text{if } \text{val}_t(\varepsilon) = S \\ \perp & \text{else} \end{cases}$ .

For the other nodes, let  $u$  be a node with parent  $v$ . We call  $\text{val}_t(v) = a$  and  $\text{val}_t(u) = b$ . Then there is a unique  $i \in \{\perp, 1, \dots, k\}$  such that  $b^{(i)}$  is the  $b$ -successor of  $a^{f(v)}$ . We set  $f(u) := i$ .

**Claim:**  $t \in T(D)$  iff  $\forall v \in \text{dom}_t : f(v) \neq \perp$  and  $a_1^{f(v_1)} \dots a_m^{f(v_m)} \in L(r_{a,f(v)})$ , where  $a = \text{val}_t(v)$  and  $a_j = \text{val}_t(v_j)$ . (without proof)

Using this claim, we can provide an automaton  $\mathcal{A} = (Q, \Sigma, \Delta, F)$  that accepts a tree iff it violates the constraint.

- $Q = \Sigma \times \{\perp, 1, \dots, k\} \times \{0, 1\}$ , where the last component denotes whether a violation was found.
- $\Delta = \{(L_{a,i,x}, a, (a, i, x)) \mid a \in \Sigma, i \in \{\perp, 1, \dots, k\}, x \in \{0, 1\}\}$   
Let  $\alpha = (a_1, i_1, x_1) \dots (a_m, i_m, x_m) \in Q^m$  and  $w := a_1^{(i_1)} \dots a_m^{(i_m)} \in (\Sigma')^m$ .
  - $\text{succ} := \Leftrightarrow$  for all  $1 \leq j \leq m$ ,  $a_j^{(i_j)}$  is the  $a_j$ -successor of  $a^{(i)}$ .
  - $\text{sat}_0 := \Leftrightarrow w \in L(r_{a,i})$  and for all  $1 \leq j \leq m$ ,  $x_j = 0$  and  $i_j \neq \perp$ .
  - $\text{sat}_1 := \Leftrightarrow w \notin L(r_{a,i})$  or there is a  $1 \leq j \leq m$  such that  $x_j = 1$  or  $i_j = \perp$ .

Then  $\alpha \in L_{a,i,x}$  iff  $\text{succ}$  and  $\text{sat}_x$  hold.

- $F = \{(S, 1, 1)\} \cup \{(a, i, x) \mid a \neq S\}$ , meaning either a violation was found or the starting symbol was not  $S$ .

□

**Theorem 11.** *The class of DTWA-recognizable languages is closed under complement.*

*Proof.* Let  $\mathcal{A} = (Q, \Sigma, q_0, \delta, \{q_f\})$  be a DTWA that only moves to  $q_f$  at the root. The **backwards configuration graph**  $\text{BCG}(\mathcal{A}, t)$  is defined as a tree over  $Q \times \text{dom}_t$  with root  $(q_f, \varepsilon)$ . For a node  $(q, u)$ , the children are all  $(p, v)$  such that  $(p, v) \rightarrow_{\mathcal{A}} (q, u)$ . We define  $\overline{\mathcal{A}}$  in a way that it performs DFS on the BCG of the input tree and accepts iff the node  $(q_0, \varepsilon)$  is found.

For that, let  $\prec \subseteq (Q \times \text{Dir})^2$  be an arbitrary linear order on  $Q \times \text{Dir}$ . We set  $\overline{\mathcal{A}} = (\overline{Q}, \Sigma, q_0, \overline{\delta}, q_f)$  with  $\overline{Q} = \{q_0, q_f\} \cup \{(p, (q, d)) \mid p, q \in Q, d \in \text{Dir}\}$ . The behavior of  $\overline{\delta}$  is described below. Let  $\langle q, (q', d) \rangle$  be a state.

**Case 1** : In the ordering  $\prec$ ,  $(\hat{q}, \hat{d})$  is the next largest element after  $(q', d)$ . (for  $q_0$  we also consider this case with the  $\prec$ -minimal pair.)

**Case 2** :  $(q', d)$  □

**Theorem 12.** *Let  $T \subseteq T_{\Sigma}$ .  $T$  is regular iff  $\text{fcns}(T)$  is regular.*

*Proof.*  $\Rightarrow$  Let  $\mathcal{A} = (Q, \Sigma, \Delta, F)$  be a NUTA with  $T(\mathcal{A}) = T$ . Wlog we assume that  $\mathcal{A}$  is normalized. For every transition  $\tau = (L_{a,q}, a, q) \in \Delta$ , let  $\mathcal{B}_{a,q} = (P_{a,q}, Q, p_{a,q}^0, \Delta_{a,q}, F_{a,q})$  be a NFA with  $L(\mathcal{B}_{a,q}) = L_{a,q}$ . We define  $\mathcal{A}_{\text{fcns}} = (Q_{\text{fcns}}, \Gamma, \Delta_{\text{fcns}}, F_{\text{fcns}})$  so that  $T(\mathcal{A}_{\text{fcns}}) = \text{fcns}(T)$ .

- $Q_{\text{fcns}} = \{q_f, q_{\#}\} \cup \bigcup_{a \in \Sigma, q \in Q} P_{a,q}$
- $F_{\text{fcns}} = \{q_f\}$
- $\Delta_{\text{fcns}}$ :
  - $(\#, q_{\#})$
  - For all  $p \in \bigcup_{a \in \Sigma, q \in Q} P_{a,q}$ :  $(\#, p)$
  - For all  $a \in \Sigma, q \in F$ :  $(p_{a,q}^0, q_{\#}, a, q_f)$
  - For all  $a \in \Sigma, p \in P, p' \in P, q \in Q$  with  $(p, q', p') \in \bigcup_{b \in \Sigma, q' \in Q} \Delta_{b,q'}$ :  $(p_{a,q}^0, p', b, p)$

Via induction on  $t_1 \dots t_n$ , one can show that  $p \in \Delta_{\text{fcns}}^*(\text{fcns}(t_1 \dots t_n))$  iff there are  $q_1, \dots, q_n \in Q$  such that  $\forall i : q_i \in \Delta^*(t_i)$  and  $q_1 \dots q_n \in L(\mathcal{B}_{a,q} \text{ init } p)$ .

$\Leftarrow$  Let  $\mathcal{A} = (Q, \Sigma, \Delta, F)$  be an NTA with  $T(\mathcal{A}) = \text{fcns}(T)$ . We define □