

1 List Of Models

1.1 Infinite Words

- DBA
- NBA
- GBA
- Rabin automaton
- Muller automaton
- Parity automaton
- E automaton
- A automaton
- coBA
- weak BA
- Staiger-Wagner automaton
- ABA
- LTL
- S1S
- \exists S1S
- S1S₀

1.2 Finite Trees

- DTA
- NTA
- \downarrow DTA
- \downarrow NTA
- DUTA
- NUTA
- deterministic DTD
- DTD
- deterministic EDTD

- single-type EDTD
- EDTD
- Relax NG
- FO
- MSO
- Regular expressions
- DTWA
- TWA

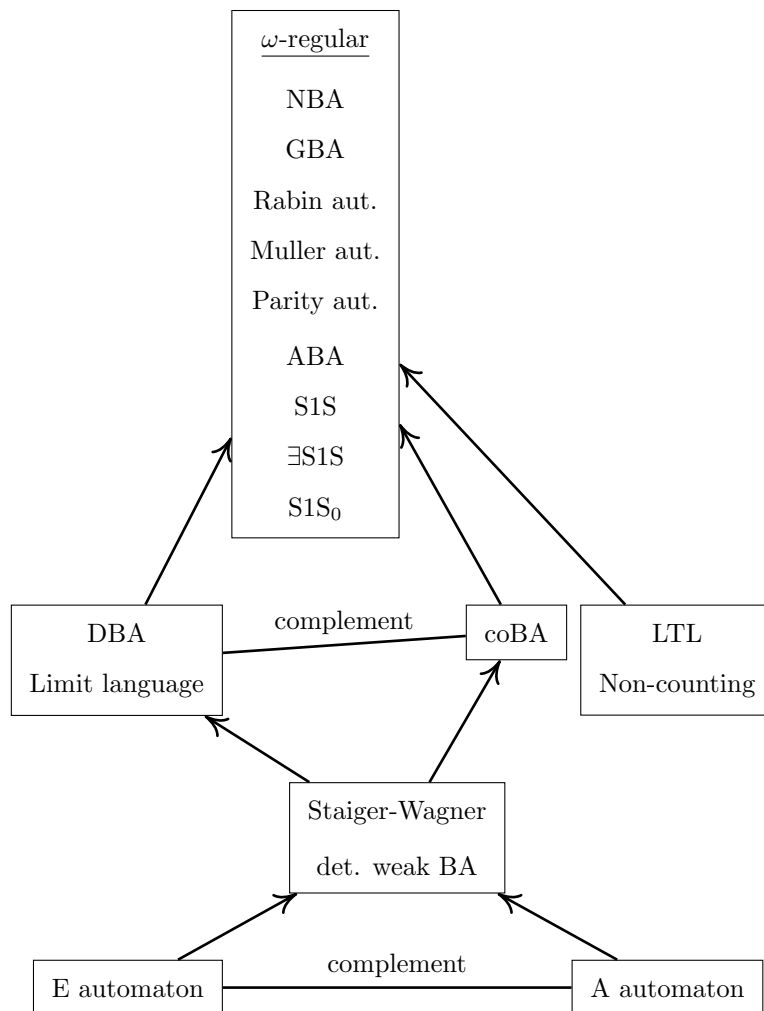
1.3 Infinite Trees

- BTA
- Muller TA
- Parity TA
- DMTA
- S2S (MSO / WMSO)
- S2S₀ (MSO / WMSO)

2 List Of Games

- Büchi
- Staiger-Wagner
- weak Parity
- Reachability
- Safety
- Muller
- Parity
- Rabin
- Streett
- Gale-Stewart
- Wadge

3 Infinite Word Models



3.1 Class Inclusions

- E aut. \subseteq Staiger-Wagner
Proof: SWA with $\mathcal{F} = \{Q' \subseteq Q \mid F \cap Q' \neq \emptyset\}$.
- A. aut. \subseteq Staiger-Wagner
Proof: SW closed under complement,
- Staiger-Wagner \subseteq DBA / coBA
Proof: \mathcal{A} SWA $\Rightarrow \mathcal{A}' = (Q \times 2^Q, \Sigma, (q_0, \emptyset), \delta', F')$
Collect all visited states and accept if that set stays in \mathcal{F} .

- $\text{DBA} \subseteq \text{NBA}$
trivial
- $\text{coBA} \subseteq \text{NBA}$
Proof: NBA closed under complement.
- $\text{LTL} \subseteq \text{NBA}$
Proof: ??
- $\text{LTL} \subseteq \text{ABA}$
Proof: ??

3.2 Class Exclusions

- $\text{E aut.} \not\subseteq \text{A aut.}$
Example: $(a + b)^* a (a + b)^\omega$
Proof: ??
- $\text{A aut.} \not\subseteq \text{E aut.}$
Example: $\{a^\omega\}$
Proof: ??
- $\text{DBA} \not\subseteq \text{coBA}$
Example: $(a^* b)^\omega$
Proof: ??
- $\text{coBA} \not\subseteq \text{DBA}$
Example: $(a + b)^* a^\omega$
Proof: ??
- $\text{LTL} \not\subseteq \text{NBA}$
Example: $((a + b) a)^\omega$
Proof: ??

3.3 Class Equalities

3.3.1 NBA

- $\text{NBA} \Rightarrow \omega\text{-regular}$
Proof: ??
- $\omega\text{-regular} \Rightarrow \text{NBA}$
Proof: ??
- $\text{NBA} \Rightarrow \exists \text{S1S}$
Proof: ??
- $\text{S1S} \Rightarrow \text{S1S}_0$
Proof: ??

- $S1S_0 \Rightarrow NBA$

Proof: ??

- Det. Muller $\Rightarrow NBA$

Proof: NBA with $L(\mathcal{A}) = \bigcup_{F \in \mathcal{F}} \left(\bigcap_{q \in F} L(\mathcal{A}_q) \cap \bigcap_{q \notin F} \overline{L(\mathcal{A}_q)} \right)$ where \mathcal{A}_q is \mathcal{A} starting in q .

- NBA \Rightarrow det. Muller

Proof: ??

- (det.) Muller \Rightarrow (det.) Parity

Proof: ??

- ABA $\Rightarrow NBA$

Proof: ??

3.3.2 LTL

LTL \Leftrightarrow Non-counting

No proof. Remarks in F8.

3.3.3 SW

Staiger-Wagner \Leftrightarrow det. weak BA

Proof: ??

3.4 Closures

3.4.1 NBA

- Closed under union

Proof: ??

- Closed under intersection

Proof: ??

- Closed under complement

Proof: ??

3.4.2 DBA

- Not closed under complement (inf. many $a \leftrightarrow$ fin. many a)

3.4.3 SW

- Closed under union

Proof: ??

- Closed under intersection

Proof: ??

- Closed under complement
Proof: ??

3.5 Characterizations

- Parity conditions are directly convertible to Rabin chain conditions and vice-versa.
Proof: Assign priorities in ascending order; $E_k \rightarrow 0$, $F_k \setminus E_k \rightarrow 1$, $E_{k-1} \setminus F_k \rightarrow 2 \dots$
- U is ω -regular iff U is a Boolean combination of DBA-languages
Proof: NBAs are closed under Boolean operations.
- U is DBA-recog. iff $U = \lim(L)$ for some regular $L \subseteq \Sigma^*$.
Proof: ??
- U is E-recog. iff $U = L \cdot \Sigma^*$ for some regular $L \subseteq \Sigma^*$.
Proof: ??
- Landweber's theorem
Proof: ??
- $\text{DBA} \cap \text{coBA} \Rightarrow \text{SW}$
Proof: ??

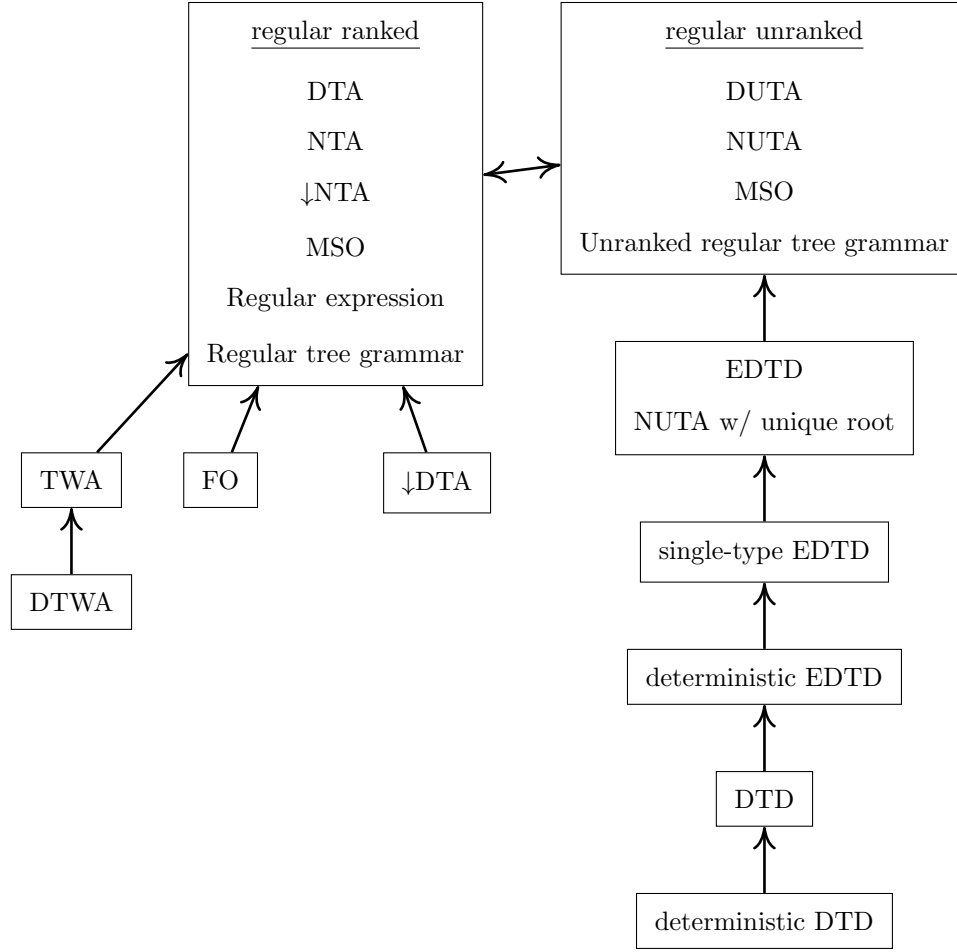
3.6 Duality

- U is A-recog. iff $\Sigma^\omega \setminus U$ is E-recog.
Proof: ??
- U is coBA-recog. iff $\Sigma^\omega \setminus U$ is DBA-recog.
Proof: ??

3.7 Problems / Complexity

- Emptiness problem for NBAs is decidable in poly. time.
Proof: ??
- Emptiness problem for ABAs is PSPACE-complete.
No proof. Remarks in F23.
- Membership problem for ABAs is decidable in poly. time.

4 Finite Tree Models



4.1 Class Inclusions

- Regular Ranked \subseteq Regular Unranked

FCNS: Let Σ be an unranked alphabet. We define $\Gamma_0 = \{\#\}$ and $\Gamma_2 = \Sigma$. Let $\bar{t} = t_1 \dots t_n \in T_\Sigma^*$ with $t_1 = a(t'_1 \dots t'_m)$. We define

$$\text{fcns}(\bar{t}) = \begin{cases} \# & \text{if } n = 0 \\ a(\text{fcns}(t'_1, \dots, t'_m), \text{fcns}(t_2 \dots t_n)) & \text{else} \end{cases}$$

See Theorem 5.

- det. DTD \subseteq DTD \subseteq det. EDTD \subseteq single-type EDTD \subseteq EDTD
trivial

- EDTD \subseteq Regular tree grammar
Proof: $N = \Sigma', P_{\text{gram}} = P \cup \{a^{(n)} \rightarrow a \mid a, n\}$
- TWA \subseteq NTA
Proof: Theorem 1

4.2 Class Exclusions

- $\downarrow\text{DTA} \not\subseteq \text{NTA}$
Example: $T = \{f(a, b), f(b, a)\}$
Proof: Assume the $\downarrow\text{DTA } \mathcal{A} = (Q, \Sigma, q_0, \Delta)$ accepts T . Let $(q_0, f, (q_1, q_2)) \in \Delta$, so also $(q_1, a), (q_1, b), (q_2, a), (q_2, b) \in \Delta$. However, that means the tree $f(a, a)$ is accepted by the run $q_0(q_1, q_2)$ which is a contradiction. On the other hand, NTAs can clearly recognize this property.
- DTD $\not\subseteq$ single-type EDTD
Example: $T = \{t \in T_{\{a, b\}} \mid \text{there is a path in } t \text{ on which } a \text{ occurs exactly twice}\}$
- NUTA w/ unique root $\not\subseteq$ NUTA
Example: $T = \{a, b\}$
- FO $\not\subseteq$ MSO
Example: $T =$ positive boolean terms that evaluate to true
- DTWA $\not\subseteq$ TWA
Example: $T_{N \setminus D}$
 $\Sigma_0 = \{a, b\}, \Sigma_2 = \{f\}$
 $t \in T_{N \setminus D}$ iff $|t|_a = 3 \wedge \text{lca}(u, v) \sqsubseteq \text{lca}(v, w)$ **Proof:** DTWAs cannot recognize this tree language (no proof). TWAs can:
 - Check whether there are exactly three a and move to the right-most one (DFS).
 - While going up, guess a node and go to the left-most ancestor.
 - The tree is in $T_{N \setminus D}$ iff there are exactly two leafs labeled a right of that node. (DFS)
- TWA $\not\subseteq$ NTA
Example: all paths in the skeleton have even length
Proof: $\Sigma_0 = \{a, c\}, \Sigma_2 = \{b\}$
Skeleton of a tree t : replace all subtrees that contain exactly one a .
TWAs cannot recognize this tree language (no proof). NTAs can (find "merge" points and count the parity)

4.3 Class Equalities

4.3.1 Regular Ranked

- $\text{NTA} \Rightarrow \text{DTA}$
Proof: Subset construction.
- $\text{NTA} \Leftrightarrow \downarrow\text{NTA}$
Proof: Reverse the transitions and initial states \leftrightarrow final states.

- $\downarrow\text{NTA} \Leftrightarrow \text{Regular Tree Grammar}$
Proof: Non-terminals correspond to states and transitions (q, a, q_1, \dots, q_n) correspond to rules $A_q \rightarrow a(A_{q_1}, \dots, A_{q_n})$
- $\text{MSO} \Leftrightarrow \text{NTA}$
Proof: same as S2S
- $\text{Reg. exp.} \Leftrightarrow \text{NTA}$
Proof: Theorem 2

4.3.2 Regular Unranked

- $\text{NUTA} \Rightarrow \text{DUTA}$
Proof: Specialized subset construction.
 $\mathcal{A} = (Q, \Sigma, \Delta, F) \Rightarrow \mathcal{A}' = (2^Q, \Sigma, \Delta', \{P \subseteq Q \mid P \cap F \neq \emptyset\})$
 $\Delta' = \{(L_{a,P}, a, P) \mid a \in \Sigma, P \subseteq Q\}$ with $L_{a,P} = \bigcap_{p \in P} K_{a,p} \cap \bigcap_{p \notin P} K_{a,p}^c$
 $K_{a,p} = \{P_1 \dots P_n \in (2^Q)^* \mid \exists p_1 \in P_1, \dots, p_n \in P_n : p_1 \dots p_n \in L_{a,p}\}.$
- $\text{MSO} \Leftrightarrow \text{NUTA}$

4.3.3 EDTD

- $\text{NUTA with unique root} \Leftrightarrow \text{EDTD (in poly. time)}$
Proof: \Rightarrow Let q_0, \dots, q_n be an enumeration of Q . We then set $D = \{\{a^{(i)} \mid a \in \Sigma, 0 \leq i \leq n\}, P, a^{q_0}\}$ where a is the unique root symbol of the automaton. Rules in P guess arbitrary symbols for fitting states.
 \Leftarrow Analogously, we use $Q = \Sigma \times \{1, \dots, n\}$ where n is the maximal type in Σ' and rules mimic the transitions.

4.4 Closures

4.4.1 Regular Ranked

- Regular (ranked) trees are closed under complement.
Proof: Make Δ total (add sink state) and set $F' := Q \setminus F$.
- Regular (ranked) trees are closed under union and intersection.
Proof: Product construction ($F_\cap = F_1 \times F_2$, $F_\cup = (Q_1 \times F_2) \cup (F_1 \times Q_2)$)

4.4.2 Regular Unranked

- Regular unranked trees are closed under complement, union, and intersection.
Proof: via FCNS, because ranked trees are closed under these operations

4.4.3 TWA

- TWAs are closed under union.
Proof: Non-deterministically choose at the start whether to execute \mathcal{A}_1 or \mathcal{A}_2 .
- TWAs and DTWAs are closed under intersection.
Proof: Execute \mathcal{A}_1 . If it accepts, move to the root and execute \mathcal{A}_2 .
- Open Problem: Are TWAs closed under complement?
- DTWAs are closed under complement.
Proof: Theorem 4

4.5 Problems / Complexity

4.5.1 Regular Ranked

- Membership problem for NTAs is decidable.
- Reachable states of NTAs can be computed in linear time in $|\mathcal{A}|$. **Algorithm:**
 1. Initial reachable states $R = \{q \in Q \mid \exists a \in \Sigma_0 : (a, q) \in \Delta\}$
 2. For each transition $\tau = \{\bar{q}, a, p\} \in \Delta$, count $\text{in}(\tau) = |\bar{q}|$ and remember $\forall q \in \bar{q} : \tau \in \text{tr}(q)$.
 3. Grow R by processing each reachable state once: Decrement $\text{in}(\tau)$ by 1; if that value reaches 0, every ingoing state of τ is reachable and therefore, the outgoing state is.
- Emptiness of an NTA can be decided in linear time in $|\mathcal{A}|$.
Algorithm: $T(\mathcal{A}) = \emptyset$ iff $\text{Reachable}(\mathcal{A}) \cap F = \emptyset$
- Given a DTA \mathcal{A} , $\sim_{T(\mathcal{A})}$ can be computed in time $\text{poly}(|Q^m \times \Sigma \times Q|)$ where m is the maximal arity in Σ .
Algorithm:
 1. Mark all (q, q') with $(q, q') \in (F \times Q \setminus F) \cup (Q \setminus F \times F)$.
 2. As long as there is still change in the marks, execute step 3:
 3. If (p, p') is already marked and there are $q_1, \dots, q_{i-1}, q, q', q_{i+1}, \dots, q_n \in Q$ and $a \in \Sigma_n$ such that $p = \delta(q_1, \dots, q, \dots, q_n, a)$ and $p' = \delta(q_1, \dots, q', \dots, q_n, a)$, then mark the pair (q, q') .
 4. $p \sim_{T(\mathcal{A})} q$ iff (p, q) is not marked.

4.5.2 Regular Unranked

- Emptiness / membership / inclusion for NUTAs is decidable in polynomial / polynomial / exponential time.
Algorithm (Emptiness): Use FCNS transformation.
- Algorithm** (Membership): Construct \mathcal{A}_t with $T(\mathcal{A}_t) = \{t\}$ and check $\mathcal{A} \cap \mathcal{A}_t \stackrel{?}{=} \emptyset$.
- Algorithm** (Inclusion): Check $T(\mathcal{A}_1) \cap T(\mathcal{A}_2)^c \stackrel{?}{=} \emptyset$. Complementation is exponential.
- Inclusion for complete DUTAs is decidable in polynomial time.
Algorithm: Same algorithm as for general NUTAs but complementation can be done in polynomial time.

4.5.3 Grammars

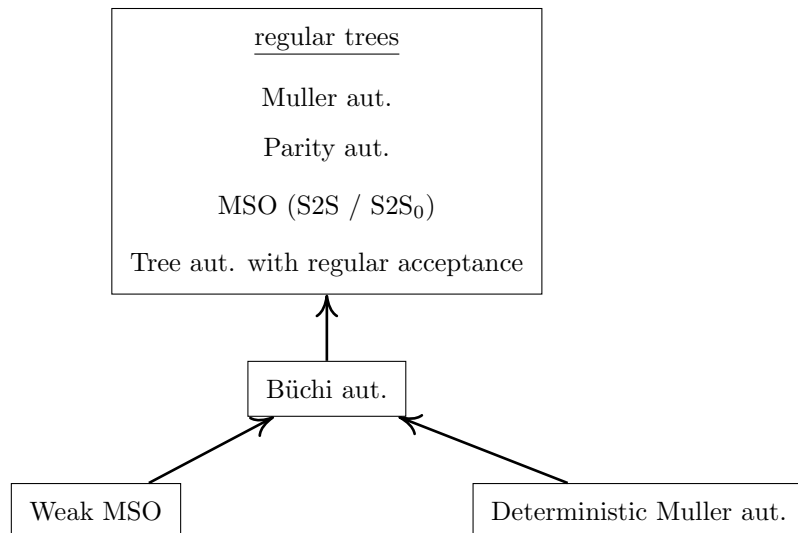
- Emptiness / membership for EDTDs is decidable in polynomial time.

Proof: EDTD can be converted to NUTA in polynomial time.

- Inclusion for deterministic EDTDs is decidable in polynomial time.

Proof: Let D_1, D_2 be deterministic EDTDs. Using the previous results and theorem 3, we can construct NUTAs \mathcal{A}, \mathcal{B} with $T(\mathcal{A}) = T(D_1)$ and $T(\mathcal{B}) = T(D_2)^c$ in polynomial time. Then $T(D_1) \subseteq T(D_2)$ iff $T(\mathcal{A}) \cap T(\mathcal{B}) = \emptyset$.

5 Infinite Tree Models



5.1 Class Exclusions

- $\text{BTA} \not\subseteq \text{Regular tree}$
Example: $T_{\text{fin}} = \{t \in T_{\{a,b\}} \mid \text{every infinite path has only fin. many } b\}$
Proof:
- $\text{DMTA} \not\subseteq \text{BTA}$
Example: $T_{\text{fin}}^c = \{t \in T_{\{a,b\}} \mid \text{there is a path with inf. many } b\}$
Proof: BTA-recognizable (see below) but not a path tree language.
- $\text{WMSO} \not\subseteq \text{BTA}$
Example: $\{t \in T_{\{a,b\}} \mid \text{every infinite path has infinitely many } b\}$
Proof:

5.2 Class Equalities

5.2.1 Regular Trees

- $\text{PTA}, \text{MTA} \Rightarrow \text{TA with regular acceptance}$
Proof: By definition and PA/MA regularity.
- $\text{TA with reg. acc.} \Rightarrow \text{PTA}$
Proof: TA \mathcal{A} , DPA \mathcal{A}' over alphabet Q that defines Acc. Define PTA with state space $Q \times P$.
 $(q, a, q_1, q_2) \in \Delta \Rightarrow ((q, p), a, (q_1, \delta(p, q)), (q_2, \delta(p, q))) \in \Delta'$
- $\text{PTA} \Leftrightarrow \text{MSO (S2S)}$ **Proof:** ??

5.3 Closures

5.3.1 Regular Trees

- The class of regular tree languages is closed under union and intersection.
Proof: ??
- The class of regular tree languages is closed under projection.
Proof: ??
- PTAs are closed under complement ($2^{\mathcal{O}(kn \cdot \log(kn))}$ states for $|Q| = n$, $|\text{img}(c)| = k$) **Proof:** ??

5.3.2 BTA

- BTAs are not closed under complement.
Proof: $T_{\text{fin}}^{\mathbb{C}} = \{t \in T_{\{a,b\}} \mid \text{there is a path with inf. many } b\}$
 $T_{\text{fin}}^{\mathbb{C}}$ is BTA-recognizable but its complement is not.

5.3.3 DMTA

- DMTAs are not closed under union or complement.
- DMTAs are closed under intersection.
Proof: product automaton

5.4 Characterizations

- $T \subseteq T_{\Sigma}$ is DMTA-recognizable iff T is a path tree language.
 $T : 2^{\{0,1\} \times \Sigma} \rightarrow 2^{T_{\Sigma}}, L \mapsto \{t \in T_{\Sigma} \mid \forall \pi \in \{0,1\}^{\omega} : \pi^{\frown}(t|_{\pi}) \in L\}$
Proof:
- $T \subseteq T_{\Sigma}$ is WMSO-definable iff T and $T^{\mathbb{C}}$ are BTA-recognizable.

5.5 Problems

5.5.1 Regular Trees

- The membership problem for regular tree languages is decidable.
Proof: via membership game as in complementation
- The emptiness problem for regular tree languages is decidable.
Proof: ??