

# State Space Reduction For Parity Automata

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January 10, 2019

We establish the notion of **merger functions**. Using that definition, we present three of our newly developed heuristic techniques to reduce the number of states in deterministic parity automata.

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1. Deterministic Parity Automata
2. Why do we need heuristic reduction?
3. Merger functions as a framework
4. Delayed Simulation
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$\omega$ -words are words of one-sided infinite length:  $\alpha \in \Sigma^\omega \Leftrightarrow \alpha : \mathbb{N} \rightarrow \Sigma$   
 $a^\omega, aa(ba)^\omega, (abc)^\omega$

$\omega$ -automata are finite transition structures that describe a language  
 $L(\mathcal{A}) \subseteq \Sigma^\omega$   
 $\{a^n b^\omega \mid n \in \mathbb{N}\}$

Deterministic parity automata (DPA):

- ▶ State set  $Q$
- ▶ Alphabet  $\Sigma$
- ▶ Transition function  $\delta : Q \times \Sigma \rightarrow Q$
- ▶ Priority function  $c : Q \rightarrow \mathbb{N}$

An  $\omega$ -word  $\alpha$  starting in a state  $q_0 \in Q$  induces a run  $q_0 q_1 q_2 \dots$ . The DPA accepts  $\alpha$  iff the **smallest** priority that occurs infinitely often in the sequence  $c(q_0)c(q_1)c(q_2)\dots$  is **even**.

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# Why do we need heuristic reduction?

Goal: Reduce number of states in the automaton to ease run time of follow up algorithms.

**Minimization Problem:** Given an automaton  $\mathcal{A}$ , what is the smallest number of states required to recognize the same language as  $\mathcal{A}$ ?

For deterministic finite automata (on finite words): Minimization is solvable in  $\mathcal{O}(n \log n)$ .

For DPAs: Minimization is NP-hard.  $\square$

A DPA can be interpreted as a Moore automaton with  $c$  being the output function.

## Theorem.

*Deterministic Moore automata can be minimized in log-linear time.*

Idea: Compute equivalence  $\equiv_M$  with  $p \equiv_M q$  iff  
 $\forall w \in \Sigma^* : c(\delta^*(p, w)) = c(\delta^*(q, w))$ . Build the quotient automaton w.r.t.  
 $\equiv_M$ .

The same algorithm can be used to reduce DPAs but will not give minimal DPAs in general.



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## Definition.

Let  $\mathcal{A} = (Q, \Sigma, \delta, c)$  be a DPA. A **merger function** is a function  $\mu : D \rightarrow 2^Q \setminus \{\emptyset\}$  such that

- ▶ all sets in  $D$  are pairwise disjoint
- ▶ for all  $X \in D$ ,  $\mu(X) \cap (U \setminus X) = \emptyset$ , where  $U = \bigcup D$

$$\mu(M) = C$$

Merge all states in  $M \subseteq Q$  into any one representative of  $C \subseteq Q$ .

For a congruence relation  $\sim$ , the quotient automaton is defined by state set  $Q_{\sim} = \{[q]_{\sim} \mid q \in Q\}$ .

This is captured by the merger function  $\mu_{\div} : Q_{\sim} \rightarrow 2^Q, \kappa \mapsto \kappa$ .

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## Definition.

$p \equiv_{\text{de}} q$  iff for all  $w \in \Sigma^*$ , every run that starts in  $\delta^*(p, w)$  or  $\delta^*(q, w)$  eventually sees a priority of at most  $\min\{c(\delta^*(p, w)), c(\delta^*(q, w))\}$ .

## Definition.

Let  $\mathfrak{C}_{\text{de}} = \{[q]_{\equiv_{\text{de}}} \mid q \in Q\}$  be the set of  $\equiv_{\text{de}}$ -equivalence classes. Define the **delayed simulation merger** as  $\mu_{\text{de}} : \mathfrak{C}_{\text{de}} \rightarrow 2^Q, \kappa \mapsto c^{-1}(\min c(\kappa))$ .

## Theorem.

*Merging states according to  $\mu_{\text{de}}$  preserves language.*

We define a deterministic Büchi automaton  $\mathcal{G}_{de}$  such that  $p \equiv_{de} q$  iff both  $L(\mathcal{G}_{de}, q_{de}^0(p, q))$  and  $L(\mathcal{G}_{de}, q_{de}^0(q, p))$  are universal, i.e.  $\Sigma^\omega$ .

This automaton uses the state set  $Q_{de} = Q \times Q \times (c(Q) \cup \{\checkmark\})$ .

Computing states of universal language in a DBA requires linear time.

## Theorem.

$\equiv_{de}$  can be computed in  $\mathcal{O}(n^2k)$ .

# Delayed Simulation Automaton

$$\mathcal{G}_{\text{de}} = (Q_{\text{de}}, \Sigma, \delta_{\text{de}}, F_{\text{de}})$$

States are  $Q_{\text{de}} = Q \times Q \times (c(Q) \cup \{\checkmark\})$ .

The first two components are a “simulation” of the original DPA. The third component are the so called “obligations”.

Transitions  $\delta_{\text{de}}$ .

The first two components mimic the transitions of  $\mathcal{A}$ . The third component is defined by  $\gamma : Q_{\text{de}} \times \Sigma \rightarrow c(Q) \cup \{\checkmark\}$ . (next slide)

Accepting states are  $F_{\text{de}} = Q \times Q \times \{\checkmark\}$ .

# Delayed Simulation Automaton: $\gamma$

Let  $0 \leq_{\checkmark} 1 \leq_{\checkmark} 2 \leq_{\checkmark} \dots \leq_{\checkmark} \checkmark$ .

For  $p, q \in Q$ ,  $k \in c(Q) \cup \{\checkmark\}$ ,  $a \in \Sigma$ , set

$\gamma((p, q, k), a) = \gamma'(\delta^*(p, a), \delta^*(q, a), k)$ , where  $\gamma'$  is defined as follows:

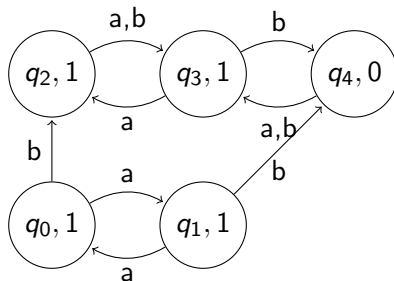
If any of the following is true, then  $\gamma'(i, j, k) = \checkmark$ .

- ▶  $i$  is odd,  $j$  is even, and  $i \leq_{\checkmark} k$
- ▶  $i$  is odd,  $j$  is even, and  $j \leq_{\checkmark} k$
- ▶  $i$  is odd,  $j$  is odd,  $j \geq i$ , and  $i \leq_{\checkmark} k$
- ▶  $i$  is even,  $j$  is even,  $j \leq i$ , and  $j \leq_{\checkmark} k$

Otherwise,  $\gamma'(i, j, k) = \min_{\leq_{\checkmark}} \{i, j, k\}$ .

$q_{\text{de}}^0(p, q) = (p, q, \gamma'(c(p), c(q), \checkmark))$ .

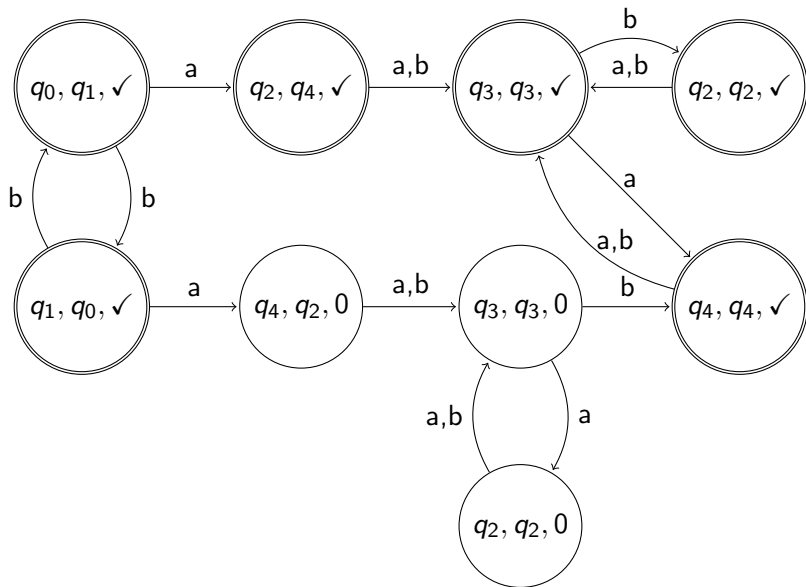
# Delayed Simulation Automaton



A DPA with 5 states. We want to check whether  $q_0 \equiv_{\text{de}} q_1$  is true.



# Delayed Simulation Automaton



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## Definition.

Let  $\sim$  be a congruence relation and let  $\lambda \subseteq Q$  be an equivalence class of  $\sim$ . The **path refinement** equivalence  $\equiv_{PR}^\lambda$  is the smallest relation

