# Implementing Tuple Variables in Gecode Bachelor Thesis Uppsala University

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December 3, 2015

#### Abstract

Constraint programming is a programing paradigm focusing on high performance computing. There exists several different frameworks for constraint programming. Gecode is one of them, and is the one chosen for this project. In the present version of Gecode the available variable types are booleans, integers, floats and integer sets. In this thesis a new variable type - tuples - is implemented and analyzed. It is shown that for some problems, this variable type can improve performance significantly.

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# Acknowledgements

Thanks to Jean-Noël Monette and Pierre Flener for being helpful supervisors.

Thanks to Joseph Scott for helping me configuring Gecode.

Thanks to Johan Gustafsson for general help with C++.

# 1 Introduction

Intro...

# 2 Background

# 2.1 Constraint Programming

Constraint programming (CP) is a method to solve problems by modeling the problem with variables and constraints that the variables must fulfill. The variables have an initial domain of possible values. The CP solver then uses the constraints to remove impossible values.

A very typical problem suitable for constraint programming is a sudoku. The most intuitive model is a  $9 \times 9$  matrix of integer variables. The constraints that all variables have a domain of  $\{1..9\}$ , and that all variables in a row, column and box must be different. For a specific sudoku constraints are added to require specific variables to have predetermined values.

In constraint programming, different variable types may be used. Gecode comes with boolean, integer, set and float variables. The available variable types limits the options to model a specific problem. With more variable types a specific problem can be modeled in different ways. There is a problem called Eight queens<sup>1</sup>. The problem is to place eight queens on a chess board in such a way that no queen threatens another. If only boolean variables are available, the problem would have to modeled with 64 boolean variables. With integer variables it would be sufficient with only 8 variables. In the boolean case each variable b[i][j] would tell if a tile has a queen or not, while in the integer case each variable x[i] would tell on which row the queen in column i should be placed on. By analyzing the Eight queen problem it is easy to realize that a solution must have one queen per column.

In principal the CP-solver loops propagating and branching until the problem is solved. The propagators has two main tasks. The most important task is to determine if a solution has been found or not. A solution is a state where all variables are assigned, which means their domain has been pruned (shrinked) to exactly one, and all propagators reports that the values assigned to the variables satisfies the constraints. The second task is not mandatory, but crucial for performance. A propagator can prune values from a variable's domain. For example, if a variable x has a domain of  $\{1..9\}$  and the constraint  $x \leq 4$  is used, a propagator could instantaneously remove the values  $\{5..9\}$  from the domain.

When no propagator can prune any values a brancher takes over. The brancher typically splits the variable it is connected to into two parts, one with an assigned value and one with the rest of the domain, thus creating a search tree. After this branching the propagators are called again. The branchers determines the structure of the tree, and the search engine determines how the tree will be traversed.

<sup>1</sup>https://en.wikipedia.org/wiki/Eight\_queens\_puzzle

#### 2.2 Gecode

Gecode is an open source CP solver programmed in C++ and is licensed under the MIT license. It is flexible and supports customization of most parts, including variable types, branchers and propagators. It is suitable for both education and professional use. In this thesis, Gecode 4.3.2 is used.

For more information, have a look at the homepage. http://www.gecode.org

#### 2.3 The need for Tuple Variables

When using a CP solver, is is desirable to minimize the search tree to avoid brute force searching. The size of the search tree depends on the model and the propagators. With good models and propagators the variable domains can be pruned to smaller sizes, keeping the branching and searching to a minimum. For example, if two variables x and y have domains  $dom(x) = \{1, 2, 3\}$  and  $dom(y) = \{2, 3, 4\}$ , and the constraint y < x is applied the domains can be pruned to  $dom(x) = \{3\}$  and  $dom(y) = \{2\}$ . If we instead have the same variables but add the constraint  $x \neq y$  nothing would be pruned, since for all values in both domains there exists solutions.

In this example the problem was modeled with two integer variables. An tuple is an ordered list of elements with fixed length. If the problem would be modeled with one tuple variable instead of two integers pruning would be possible. Then we would have a tuple variable t with domain  $dom(t) = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 1, 4 \rangle, \langle 2, 2 \rangle, \langle 2, 3 \rangle, \langle 2, 4 \rangle, \langle 3, 2 \rangle, \langle 3, 3 \rangle, \langle 3, 4 \rangle\}$  and pruning with the same constraint would yield  $dom(t) = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 1, 4 \rangle, \langle 2, 3 \rangle, \langle 2, 4 \rangle, \langle 3, 2 \rangle, \langle 3, 4 \rangle\}$ . This shrinks the size of the search tree from 9 to 7.

If we instead look at the case where  $dom(x), dom(y) = \{1..1000\}$  and the constraint x = y+1 the benefits are more obvious. Using integer variables only the values x = 1 and y = 1000 can be pruned. If tuple variables are used, the size of the domain would shrink from  $10^6$  to  $10^3$ . This indicates that tuple variables can be quite useful. Note though that these two examples are selected because they are easy to understand, and in reality the performance would probably drop if tuple variables were used for them. A more realistic example is the constraint  $DFA(state_1, cost_1, state_0, cost_0, token, statefun, costfun)$ . It is a constraint for a dfa with cost and a solution has to satisfy  $state_1 = statefun(state_0, token), cost_1 = cost_0 + costfun(state_0, token)$ . This is the constraint used in this thesis. Furthermore, the constraint is linked in several steps:  $DFA(state_n, cost_n, state_{n-1}, cost_{n-1}, token_{n-1}, statefun, costfun)$ 

The main benefit of a tuple is the possibility to link variables together. The most obvious case is when dealing with coordinates since a coordinate by definition is a tuple, but it can be generalized to any case where it is interesting to see if a combination of two values are part of a solution.

# 2.4 Creating a New Variable in Gecode

# 2.4.1 Specification File

Creating the specification file is the first thing that needs to be done when designing a new variable. A simple specification file could look like this:

[General]

Name: IntTuple

Namespace: MPG::IntTuple

[ModEvent]

Name: FAILED=FAILED

[ModEvent]

Name: VAL=ASSIGNED

[ModEvent]

Name: NONE=NONE

[PropCond]

Name: NONE=NONE

[PropCond]

Name: VAL=ASSIGNED

ScheduledBy: VAL

[End]

There are three different sections in the file. The specification file must start with the [General] section and end with [End]. There are also sections for modification events and propagation conditions. The specification file is used by a configuration script to generate base class, from which the variable implementation class will inherit.

The [General] section is trivial. This is where the name and namespace of the variable are specified. Both name and namespace are arbitrary. In Gecode the standard variables have namespace Gecode::VarName and in the examples in the documentation they instead have the namespace MPG::VarName.

The [ModEvent] section specifies the modification events. The modification events describes how the variables changes. When a propagator wants to prune the domain for a variable it tells the variable to do that, using the class methods that the variable implementation provides. If no values are pruned, the variable returns the modification event NONE, and if all values are pruned it returns FAILED. When the domain of a variable gets pruned to one ASSIGNED is returned. For all variables, modification events for NONE, FAILED and ASSIGNED are required. More modification events may be added to avoid unnecessary executions of propagators. For example, the modification event BND can be used when only the boundaries of a domain has changed.

The [PropCond] section describes how the propagators are scheduled, depending on how the variables have changed. For all variables, propagator conditions for NONE and ASSIGNED are required.

## 2.4.2 Variable Implementation

The variable implementation class would with the specification file in the previous section be called IntTupleImp and inherit from the class IntTupleVarBase generated from the specification file. It has to implement a function called assigned that returns true if the variable is assigned.

#### 2.4.3 Variable Class

The variable class is the class that is used when modeling the actual problem and inherits from the variable implementation. It is a read only user interface, and a programmer that does not program any custom branchers or propagators will not use anything else than this. This class would be called IntTupleVar.

#### 2.4.4 Deltas

Deltas are used for passing information about changes to improve efficiency. In this thesis an empty class is used. The only reason it is defined at all is that some virtual functions takes Deltas as arguments.

#### 2.4.5 Views

The variable implementation class implements methods for modification of the variable, but the variable class does not give access to these. Instead these are called from a view, which is a read and write interface to the implementation class.

#### 2.4.6 Propagators

A propagator implements constraints and prunes variables. They interact with the views and have four possible return values.

- ES\_FAILED There are no values in the domains that satisfies the constraint.
- ES\_FIX The propagator is at a fixpoint. It is impossible for the propagator to prune more values before the domain has changed for any of the variables the propagator depends on, by either a brancher or another propagator.
- ES\_NOFIX The propagator may be at a fixpoint, but it is not guaranteed. Running it once more may or may not prune some values.
- ES\_SUBSUMED The propagator is done. Regardless of any changes made to the variables this particular propagator will not be able to do anything more.

The propagator must implement ES\_FAILED and at least one of the others. ES\_NOFIX is safe to return in the sense that it does not promise anything. Furthermore, the propagator needs the following methods:

- post The method for posting a constraint, which basically is saying that a certain constraint should be used.
- dispose This works as a destructor. The only reason to not have a regular destructor is that destructors in C++ can't take arguments.
- copy A method to copy the propagator.
- cost Estimates the cost to run the propagator. This does not affect the correctness, but may improve efficiency by making it easier for the Gecode engine to schedule cheap propagators before expensive ones.
- propagate The method which does the actual work. It prunes domains and determines the status to return.

A propagator must also subscribe to views, that is, telling Gecode when the propagator should be awaken. For instance, a propagator for the constraint  $x \leq y$  should subscribe to the maximum value of x and minimum value of y.

**Consistency** A propagator can work with different consistencies. Bound consistency means that the propagator ensures that the bounds (min and max value for an integer variable) satisfies the constraint. If if dom(x) = 1, 5, 7, 8 and dom(y) = 1, 3, 7 and the constraint x = y is applied, the propagator would yield dom(x) = 1, 5, 7 and dom(y) = 1, 3, 7 with bounds consistency, since there are solutions to x = 1, x = 7, y = 1 and y = 7. x = 5 and y = 3 is not considered. Pruning the whole domain does in general cost more execution time.

#### 2.4.7 Branchers

When there are no propagators able to prune any variables the CP solver must start searching. The branchers determines how this is done. The simplest brancher just puts the first value in the first branch and the rest of the values in a second branch. Another way is to pick a random value in the domain, but more sophisticated ways exist. For the IntPair variable only a simple brancher is implemented. The domain of IntPair is just a long sorted list, and the brancher picks the first value.

A brancher must implement the following methods:

- status
- choice
- commit
- print
- ngl

# 3 Body

To keep things simple only two-dimensional integer tuples are considered, so called Pairs. The specification file is kept almost as minimal as described in the introduction. None of the propagators utilizes the boundaries anyway, and there is only one propagator working for each execution. The only thing added to the specification file is the line Dispose: true. This is needed when using external memory resources outside Gecode, and the domains are stored as vectors from the standard library. The way Gecode is designed, the destructors for variables are never called. If a variable needs a destructor it needs to be replaced by a method called dispose. This method should explicitly call vector::~vector().

#### 3.1 Implementation of Exact IntPair

The exact version does not approximate the domain, that is, the implementation is a long list of all integer pairs that's currently in the domain. The domain is stored as std:vector < struct{int x, int y}>.

States 15 Tokens 5 Maxcost 3 Maxtotalcost 12 Steps 6

approx	822	20.879	$15 \ 332$	
exact	938	20.879	15 784	
intopt	4697	146 895	$11\ 576$	
int	13502	$272\ 063$	11 808	

# 3.2 Implementation of Approximate IntPair

This version of IntPair approximates the domain by just storing one dimension exactly. For the other dimension, only the boundaries are stored. The benefit is less use of memory and possibly faster calculations for some problems, but the drawback is that it can't be pruned as efficient as the exact version because it can only achieve bounds consistency. The domain is stored as std::vector<struct{int x, int y\_low, int y\_high}>

#### 3.3 Correctness verification

The correctness is verified in several steps. For all problems an assert is used to ensure that the solution printed actually is a solution before the solution is printed. This ensures that no false solutions occur. There are a number of trivial tests in testsrc/ with pre-calculated number of solutions. If the number of solutions are correct and all solutions fullfill the requirements the algorithms works correctly.

For bigger problems it is very hard to pre-calculate the number of solutions. Instead the tests relies on probability. The two IntPairs and one integer implementation are compared to ensure that they put out the same number of solutions, and the same solutions. It is very unlikely that they all are wrong in the exactly same way. These tests are found in tripletestsrc/ and multisteptestsrc/

#### 3.4 Performance Test

To test the performance the same problem is solved in three different ways. Two of them is IntPair, one exact and one with one dimension approximated. The last one is solved with the integer variable that comes with Gecode, and is just for reference. The purpose of this thesis is to show that tuples can be very useful. For that reason the reference propagator have been optimized, while the Pairs have been left with a very naive implementation. The problem is a DFA with costs. The executables accepts six arguments:

- seed The seed for the random generator to generate the same DFA for all executables.
- states Number of states.
- tokens Size of the alphabet.
- cost Max cost per transition in the DFA. This is decreased by one, so setting this to 1 means no cost.
- max cost Maximum cumulative cost for the variables.
- steps Number of steps in the DFA.

In table we can see advantages for IntPair. In all tests it wins over the regular integer solution in both execution time and the size of the search tree. However, it does so at the cost of higher memory usage. A node in the integer variant uses five integer nodes, while the pair variant uses two pairs and one integer. The size of a node for the integer case should roughly

```
States 15 Tokens 6 Maxcost 3 Maxtotalcost 12 Steps 6
           2 734
                    68 523
                             24 468
 approx
           3 305
 exact
                    68 523
                             26 \ 432
                   482 543
 intopt
          16 092
                             11 536
          48 974
                   893 687
                             11 \ 852
 int
States 15 Tokens 7 Maxcost 3 Maxtotalcost 12 Steps 6
 approx
           6 512
                     169 \ 435
                               43 552
           7 890
                     169 435
                               48 \ 436
 exact
          33 471
                   1 193 363
                               11 540
 intopt
 int
          94 656
                   2 209 935
                               11 592
States 15 Tokens 8 Maxcost 3 Maxtotalcost 12 Steps 6
 approx
                      396 625
            16 171
                                89 620
           19 296
                      396 625
                                99 476
 exact
 intopt
           89 288
                    2791621
                                 11 540
 int
          278 314
                    5 170 617
                                 11 520
States 20 Tokens 5 Maxcost 3 Maxtotalcost 12 Steps 6
             924
                    20 189
                             15 656
 approx
           1 114
                    20 189
                             15 688
 exact
           5 390
 intopt
                   143 413
                             11 492
 int
          15\ 459
                   264\ 427
                             11 \ 920
States 30 Tokens 5 Maxcost 3 Maxtotalcost 12 Steps 6
            1 400
                    23 833
                             16 444
 approx
 exact
            1 722
                    23 833
                             16 720
           6638
                   167 251
                              11 540
 intopt
 int
          18 819
                   310 233
                             11 508
States 40 Tokens 5 Maxcost 3 Maxtotalcost 12 Steps 6
 approx
            1 788
                    24\ 467
                             17\ 052
 exact
           2 113
                    24\ 467
                             17 140
           7 269
                   172 417
                              11 616
 intopt
          22 506
                   319 207
                             11 940
 int
States 50 Tokens 5 Maxcost 3 Maxtotalcost 12 Steps 6
           2 124
                    26959
                             17 928
 approx
           2 824
                    26959
                             17 864
 exact
           8 294
 intopt
                   189 809
                             11 620
          25 228
                   351 485
                             11 492
 int
States 20 Tokens 5 Maxcost 2 Maxtotalcost 7 Steps 7
                      93\ 227
 approx
           4 454
                               31 260
 exact
           3 787
                      93 227
                               30 472
 intopt
          29 841
                     755 629
                               11 588
          75 \ 422
                   1 407 969
                               11 792
 int
States 20 Tokens 5 Maxcost 4 Maxtotalcost 21 Steps 7
                                 30 980
 approx
            4 360
                       93 227
 exact
            5 754
                       93 227
                                 32 216
           32 078
                      755 629
                                 11 576
 intopt
 int
          120 871
                    1\ 408\ 223
                                11 872
```

```
States 20 Tokens 5 Maxcost 6 Maxtotalcost 35 Steps 7 approx 4 433 93 227 30 984 exact 8 017 93 227 33 920 intopt 34 375 755 629 11 520 int 162 428 1 408 225 11 560
```

States 20	Tokens 5	Maxcost 8	Maxtotalcost	49 Steps 7
approx	4761	$93\ 227$	30 980	
exact	$11\ 252$	$93\ 227$	$35 \ 356$	
intopt	34 747	$755\ 629$	11584	
int	$201\ 479$	$1\ 408\ 225$	$11\ 544$	

States 20	Tokens 5	Maxcost 15	Maxtotalcost	119 Steps 7
approx	4996	$93\ 227$	$31\ 076$	
exact	19 604	$93\ 227$	36 600	
intopt	$38\ 510$	$755\ 629$	$11\ 572$	
int	366591	$1\ 408\ 225$	11 888	

Tokens $5$	${\bf Maxcost}\ 4$	Maxtotalcost	21 Steps 7
4694	$93\ 227$	$31\ 236$	
5 594	$93\ 227$	$32\ 472$	
$33\ 072$	$755\ 629$	$11\ 524$	
$122\ 911$	1 408 223	11 940	
	4 694 5 594 33 072	4 694       93 227         5 594       93 227         33 072       755 629	

States 20	Tokens 5	Maxcost 4	Maxtotalcost	30 Steps 8
approx	$21\ 419$	$431\ 051$	$108\ 652$	
exact	25 822	$431\ 051$	$113\ 684$	
intopt	$178\ 268$	3 924 361	$11\ 492$	
int	$754\ 456$	7 372 777	$11\ 864$	

States 20	Tokens 5 ${\rm M}$	axcost 4 Max	totalcost 36 Ste	ps 9
approx	101 929	$1\ 992\ 011$	490 820	
exact	$123 \ 114$	1992011	$514 \ 332$	
intopt	$996\ 341$	$20\ 128\ 261$	$11\ 572$	
int	$4\ 543\ 939$	$38\ 056\ 369$	11 848	

States 20	Tokens 5 M	axcost 4 Maxt	totalcost 42 S	Steps 10
approx	$482\ 644$	$9\ 207\ 489$	$2\ 371\ 316$	_
exact	$566\ 024$	$9\ 207\ 489$	$2\ 479\ 084$	
intopt	$5\ 383\ 065$	$102\ 243\ 101$	$11\ 532$	
int	timeout			

be equivalent to Steps(States + Maxtotalcost) plus some overhead, but for the pair case the domain sizes of the pairs is equal to the number of states times the max total cost. This means that the size per node is Steps \* States \* Maxtotalcost.

## 4 Related Work

- 4.1 Implementation of bit-vector variables in a CP solver
- 4.2 A propagator design framework for constraints over sequences

# 5 Conclusions and future work

The tests shows that tuples show potential. Both of them perform better than the integer variant in both number of nodes and total execution time. The only flaw with this experiment is that the integer solution may not be optimized enough. There is still some room for further improvement, like implementing back propagation, but the integer variant only has one unoptimized part, which is the propagator. The IntPair variants have two. Both the propagator and the variable implementation is far from optimized.

# 5.1 Rewrite the implementation in such a way that it may be accepted in a new Gecode release

All big software libraries have coding standards. There is plenty of work to rewrite the code for IntPairs in such a way that it satisfies Gecodes standards. For instance, vector from std is not allowed. Furthermore, the code is far from ready in other aspects. It contains only the methods needed to run the tests in this thesis, that is, proving that tuples actually is a good idea. One example of this is that it exists methods to get the minimum and maximum x-value for the domain, but no methods for y-value. Also, the propagators and the brancher currently only accepts arrays.

#### 5.2 Test different implementations with different domain representations

In this thesis there is one exact and one approximate version. The approximation is exact in one dimension and only stores the boundaries for the second. This is just one way of doing it and there are several other ways of approximating the domain.

#### 5.3 Extend the tuples to arbitrary dimensions and not just pairs

This would require a complete rewrite of the variable implementation.

# 6 Git repository

http://github.com/klutt/gecode-tuples

## References

- [1] Calculus, Adams
- [2] Operating systems and its concepts, Galvin