# A Generalized and Fast-converging Non-negative Latent Factor Model for Predicting User Preferences in Recommender **Systems**

#### Ye Yuan

Chongqing Engineering Research Center of Big Data Application for **Smart Cities** 

Chongqing Key Laboratory of Big Data and Intelligent Computing, Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences, Chongqing, China, yuanye@cigit.ac.cn

## Xin Luo†

Chongqing Engineering Research Center of Big Data Application for **Smart Cities** 

Chongqing Key Laboratory of Big Data and Intelligent Computing, Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences, Chongqing, China, luoxin21@cigit.ac.cn

# Mingsheng Shang

Chongqing Engineering Research Center of Big Data Application for **Smart Cities** 

Chongqing Key Laboratory of Big Data and Intelligent Computing, Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences, Chongqing, China, msshang@cigit.ac.cn

#### Di Wu

Chongqing Engineering Research Center of Big Data Application for Smart Cities Chongqing Key Laboratory of Big Data and Intelligent Computing, Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences, Chongqing, China, wudi@cigit.ac.cn

#### **ABSTRACT**

Recommender systems (RSs) commonly describe its user-item preferences with a high-dimensional and sparse (HiDS) matrix filled with non-negative data. A non-negative latent factor (NLF) model relying on a single latent factor-dependent, non-negative and multiplicative update (SLF-NMU) algorithm is frequently adopted to process such an HiDS matrix. However, an NLF model mostly adopts Euclidean distance for its objective function, which is naturally a special case of  $\alpha$ - $\beta$ -divergence. Moreover, it frequently suffers slow convergence. For addressing these issues, this study proposes a generalized and fast-converging nonnegative latent factor (GFNLF) model. Its main idea is two-fold: a) adopting  $\alpha$ - $\beta$ -divergence for its objective function, thereby enhancing its representation ability for HiDS data; b) deducing its momentum-incorporated non-negative multiplicative update (MNMU) algorithm, thereby achieving its fast convergence. Empirical studies on two HiDS matrices emerging from real RSs demonstrate that with carefully-tuned hyperparameters, a GFNLF model outperforms state-of-the-art models in both computational efficiency and prediction accuracy for missing data of an HiDS matrix.

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# **CCS CONCEPTS**

• Computing methodologies • Machine learning • Machine learning approaches • Factorization methods

#### **KEYWORDS**

Non-negative latent factor,  $\alpha$ - $\beta$ -divergence, Momentum, Highdimensional and sparse, Recommender system, User preference

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### Introduction

The rapid expansion world-wide-web has caused the problem of information overload. How to develop an intelligent system to filter the useful information out of massive data has become a heated issue. In such context, RSs have been proven highly efficient in addressing information overload by connecting valuable information to people actively, rather than passively, according to their information usage history [1-6, 39, 40].

In RSs, a user-item rating matrix [7-9] is usually the fundamental data source, where each entry is modeled according to the corresponding user-item usage history. High values in a rating matrix commonly denote strong user-item preferences [10-12]. With exponentially growing quantities of users and items in RSs, only a few items can be observed by each user. This phenomenon leads to the rating matrix high-dimensional and

<sup>†</sup> X. Luo (Corresponding author) is also with the Hengrui (Chongqing) Artificial Intelligence Research Center, Department of Big Data Analyses Techniques, Cloudwalk, Chongqing, China. Y. Yuan is also with the University of Chinese Academy of Sciences, Beijing, China.

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c) M2's computational efficiency is significantly higher than that of its peers. As recorded in Table 4, M2 obviously consumes less total time cost to achieve its lowest RMSE. For instance, on D1, M2 consumes 3197.3 seconds to achieve its lowest RMSE. This is 66.62% of 4799.3 seconds by M1, 64.44% of 4961.3 seconds by M3, 6.48% of 49343.1 seconds by M4, and 7.15% of 44712.8 seconds by M5. Note that although M2's time cost per iteration is slightly higher than that of M1 and M3 as shown in Figure 7, M2's iteration is obviously less due to adopting the generalized momentum method.

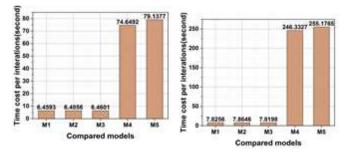


Figure 7: Time cost per iteration of compared models.

Table 4: Total time cost of compared models (second).

Dataset	Ml	M2	М3	M4	M5
D1	4799.3	3197.3	4961.3	49343.1	44712.8
D2	6338.7	1533.6	6138.5	46064.2	44655.8

#### 4.6 Summary

Based on the experimental results, we summarize that:

- a) By carefully selecting appropriate  $\alpha$  and  $\beta$ , GFNLF outperforms NLF with Euclidean distance on prediction accuracy; b) The momentum-incorporated non-negative multiplicative update (MNMU) algorithm is able to significantly improve the convergence rate without accuracy loss;
- c) When compared with state-of-the-art models, GFNLF can achieve obviously higher computational efficiency as well as competitive prediction accuracy for missing values in HiDS matrices.

## 5 Conclusion

High-dimensional and sparse matrices (HiDS) matrices with non-negativity constraints are commonly encountered in RSs. High values in an HiDS matrix usually denote strong user-item preferences. NLF can address such matrices efficiently. However, NLF mostly adopts Euclidean distance for its objective function, which is naturally a special case of  $\alpha$ - $\beta$ -divergence. Moreover, it frequently suffers slow convergence. To address this issue, this paper innovatively proposes a generalized and fast-converging non-negative latent factor (GFNLF) model. We first turn NLF into a generalized form by adopting  $\alpha$ - $\beta$ -divergence to enhance its representation ability for HiDS data. Subsequently, we design a momentum-based single latent factor-dependent, non-negative

and multiplicative update (MNMU) to achieve its fast convergence. Empirical studies show that GFNLF outperforms state-of-the-art models in both computational efficiency and prediction accuracy for missing data of an HiDS matrix. Hence, it is useful for RSs to desire highly efficient, accurate and nonnegative latent factor analysis.

However, the performance of GFNLF depends largely on carefully tuning hyperparameters ( $\alpha$ ,  $\beta$  and  $\kappa$ ). In this work, we pre-tune their values, but the tuning process is an inefficient task. Consequently, making them self-adaptation is an essential issue. According to prior research [38], evolutionary computing-based frameworks may be useful for adaptive picking up the optimal hyperparameters. Of cause, great efforts are needed for redirecting such frameworks to GFNLF. We plan to address such issues in the future.

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