fork() creates a new process (child) by duplicating the calling process.

The child process receives a copy-on-write duplicate of the parent's memory and file descriptor table.

Use wait() or waitpid() in the parent to reap the child and avoid zombie processes.

• Each child should call exit() (or return from main()) to terminate cleanly.

• All exec\*() functions replace the current process image with a new program.

• execl(path, arg0, ..., NULL) — List of arguments; full path required.

• execlp(file, arg0, ..., NULL) — List + PATH lookup.

• execle(path, arg0, ..., NULL, envp) — List + customenvironment.

• execv(path, argv[]) — Vector of arguments; full path.

• execvp(file, argv[]) — Vector + PATH lookup.

• execvpe(file, argv[], envp[]) — Vector + PATH + cus-

tom environment (GNU-only) Function Use When PATH Lookup Static args, full path execl No Static args, uses PATH Yes execlp Static args, custom env Dynamic args, full path execle NoNo execv Dynamic args, uses PATH Yes execvp execvpe Dynamic args, PATH, env Yes (GNU-only)
• pthread\_create() spawns a thread that shares the same

address space.

pthread\_join() waits for a thread to finish.

• Semaphores (sem\_t) are integer counters used to control access to shared resources.

sem\_init(sem, [0(thread)|1(process)], value) — Initialize semaphore to given value.

• sem\_wait(sem) — Decrement; blocks if value is 0.

• sem\_post(sem) — Increment; unblocks one waiter if any.

• sem\_destroy(sem) — Cleans up; does not free memory.

• Used for mutual exclusion (binary semaphores) or limiting access (counting semaphores).

Dining Philosophers: Limit-Seat Strategy

• Using a semaphore initialized to N-1 prevents deadlock in the dining philosopher problem.

Limits the number of philosophers who can attempt to pick up chopsticks to ensure progress.

Prevents circular wait, breaking one of Coffman's deadlock

conditions. Starvation is still possible due to unfair scheduling.

```
typedef struct
         int _status[N];
sem_t mutex;
sem_t matter,
sem[t sem[N];
} SharedMem;
void takeChpStk(SharedMem* shm, int i) {
         sem_wait(&shm->mutex);
shm->_status[i] = HUNGRY;
safeToEat(shm, i);
sem_post(&shm->mutex);
         sem_wait(&shm->sem[i]);
f void safeToEat(SharedMem* shm, int i) {
    if ((shm->_status[i] == HUNGRY) &&
        (shm->_status[LEFT] != EATING) &
        (shm->_status[RIGHT] != EATING))
        shm->_status[i] = EATING;
                           sem_post(&shm->sem[i]);
void putChpStk(SharedMem* shm, int i) {
         sem_wait(&shm->mutex);
shm->_status[i] = THINKING;
safeToEat(shm, LEFT);
safeToEat(shm, RIGHT);
sem_post(&shm->mutex);
```

- Turnaround t: Total time from job arrival to completion.
- **Response t**: Time from job arrival to first CPU execution.
- Waiting time: Time a job spends in the ready queue. • **Throughput**: Number of jobs completed per unit time.

| Algorithm   | Preemptive                  | Fairness           | Response Time            |
|-------------|-----------------------------|--------------------|--------------------------|
| FCFS<br>SJF | No                          | Arrival-order fair | Poor                     |
|             | No                          | No                 | Excellent for short jobs |
| SRT         | Yes                         | No                 | Best for short jobs      |
| RR          | Yes                         | Yes                | Good                     |
| Lottery     | Optional                    | Probabilistic      | Fair on average          |
| MLFQ        | Yes                         | Adaptive           | Excellent                |
| Algorithm   | Turnaround Time             |                    | Starvation Risk          |
| FCFS        | High (convoy effect)        |                    | Low                      |
| SJF         | Optimal (theoretical)       |                    | High                     |
| SRT         | Optimal                     |                    | High                     |
| RR          | Medium (depends on quantum) |                    | Low                      |
| Lottery     | Fair on average             |                    | Low                      |
| MLFQ        | Adaptive                    |                    | Moderate (if not tuned)  |

## Table storage:

UPP: user process pages

SWAP: Non-memory resident iser process page

Process page table: in PCB table in OS mem region in RAM

Open file table: in OS mem region in RAM

• File descriptor table: in PCB

• Dynamically allocated mem in a prgram: UPP or SWAP

• file decriptor returned from an open(...) syscall: UPP or SWAP

compiled binary files: not part of the virtual mem

## Contiguous mem:

Tracking free space:

Bitmap: 1 bit per block, where 0 = free, 1 = allocated.
Linked List: Each free block links to the next.
Buddy System: Memory is split into power-of-2 blocks; recursive splitting/merging.

• Fragmentation:
— Internal: Block larger than needed.

External: Gaps between allocated blocks.

#### **Paging**

Fixed-size units: Logical pages and physical frames.

• Page Table: Maps pages to frames.

• TLB: Hardware cache for recent page table entries.

### Segmentation

• Logical memory divided into named segments (code, stack,

Each has a base and limit. Logical Address = <Segment ID, Offset>.

#### Virtual mem

- Logical memory can exceed physical memory.
- Disk serves as backing store.

## Demand Paging

- Pages are only loaded on access. No memory resident page
- (+) Reduces startup time and memory usage.
- (-) more page fault at start; page fault can cascade on other processes (e.g. thrashing)

#### Page Access

```
Check page table:
   if memory resident: acess physical mem; done;
   else: [page fault] -> trap to OS
                    e: [page lault] -> trap to US
locate page in secondary storage;
load into physical mem;
update page table;
goto Check page table;
```

## Single-Level

• Flat array of entries.

• Wasteful for sparse address spaces.

## Multilevel

• Use a page directory pointing to page tables.

• Only allocate when needed.

page dir base reg →<page\_dir#, page#, ofst>

• overhead =  $sizeof(page\_dir) + \sum sizeof(small\_pagetable)$ 

## **Inverted Page Table**

- One entry per frame:  $\langle pid, page \# \rangle \rightarrow frame$ .
- Compact but slow due to full-table lookup.

| Feature           | Discout Desires                      |
|-------------------|--------------------------------------|
|                   | Direct Paging                        |
| Page Table Size   | Grows linearly with virtual space    |
| Lookup Cost       | Fast (1 access + TLB)                |
|                   | Simple calculation                   |
| Space Efficiency  | Poor with sparse address spaces      |
| Entry Granularity | One entry per virtual page           |
| Process Isolation | Each process has separate page table |

| Multilevel Paging                     | Inverted Page Table                     |
|---------------------------------------|---|
| Compact, only allocates needed tables | Fixed size (per physical frame)         |
| Slower (multi-level lookup)           | Slow unless hashed (may need full scan) |
| Multiple memory accesses              | Needs reverse mapping                   |
| Good for sparse address spaces        | Excellent for large sparse spaces       |
| One entry per virtual page            | One entry per physical frame            |
| Each process has separate page table  | Global table with PID tag               |

- **Temporal Locality:** Recently used memory will be used
- Spatial Locality: Nearby memory addresses are likely to be used soon.

# Page Replacement Algorithms

- OPT: Replace page with furthest next use (ideal).
- FIFO: Oldest page out.
- LRU: Least recently used page.
- Clock: Approximate LRU using reference bits.  $T_{access} = (1 - p) \cdot T_{mem} + p \cdot T_{page\_fault}$

- Local Replacement
   Only evict pages from the same process.

  - Predictable and isolated.
    if not enf allocated, hinders process progress

#### Global Replacement

- Victim page can belong to any process.
- More flexible, allows self-adjustment, but less stable.
- bad behaved process can affect others

#### Thrashing

- Excessive page faults reduce CPU utilization.
- Can lead to cascading faults in global replacement.
- Working Set Model: Allocate enough frames for W(t,  $\Delta$ ).
- A file is the smallest amount of information that can be written to secondary memory. It is a named collection of data, used for organizing secondary memory
- A file type is a description of the information contained in the file. A file extension is a part of the file name that follows a dot and identifies the file type
- What does it mean to open and close a file? Operating systems keep a table of currently open files. The open operation enters the file into this table and places the file pointer at the beginning of the file. The close operation removes the file from the table of open files
- Truncating a file means that all the information on the file is erased but the administrative entries remain in the file tables. Occasionally, the truncate operation removes the information from the file pointer to the end.

| Aspect             | Memory Management               |  |
|--------------------|---------------------------------|--|
| Underlying Storage | RAM                             |  |
| Access Speed       | Constant                        |  |
| Unit of Addressing | Physical memory address         |  |
| Usage              | Address space for process       |  |
|                    | Implicit when process runs      |  |
| Organization       | Paging/Segmentation: determined |  |
|                    | by HW & OS                      |  |

| Aspect             | File System Management            |  |
|--------------------|-----------------------------------|--|
| Underlying Storage | Disk                              |  |
| Access Speed       | Variable disk I/O time            |  |
| Unit of Addressing | Disk sector                       |  |
| Usage              | Non-volatile data                 |  |
|                    | Explicit access                   |  |
| Organization       | Many FS types: ext* (Linux), FAT* |  |
|                    | (Windows), HFS* (Mac)             |  |

- Name: A human-readable reference to the file. **Identifier**: A unique ID for the file used internally by the file system.
- **Type:** Indicates the type of file (e.g., executable, text file, object file, directory, etc.).
- **Size**: Current size of the file (in bytes, words, or blocks).
- **Protection**: Access permissions, which may include reading, writing, and execution rights.
- Time, date, and owner information: Includes creation time, last modification time, owner ID, etc.
- **Table of content**: Metadata that enables the file system to determine how to access the file.
- A process uses the open() system call to access a file:
  - Example: int fd = open("data.txt", O\_RDONLY);
  - Returns a file descriptor (fd), an integer index into the process's file descriptor table.
- Internally, the OS performs:
  - Path resolution and access permission check.
  - Loads file metadata (e.g. inode) into memory.
  - Creates an entry in the System-wide Open File Table, including:

- \* File offset (initially 0)
- \* Pointer to file metadata (inode)
- \* File mode (read, write, etc.)
- Updates the process's Per-Process File Descriptor Table:
  - \* fd points to the corresponding system-wide table entry.
- Shared file descriptors:
  - Two fds pointing to the same system-wide entry share offset and metadata.
  - Created using dup(), dup2(), or inherited from fork().
- Accessing file info:
  - Use fcntl(fd, F\_GETFL) to query file status flags.
  - Use lseek(fd, 0, SEEK\_CUR) to query current offset.

| Feature                  | Contiguous | Linked List | FAT                  | Inode-based (e.g., ext) |
|--------------------------|------------|-------------|----------------------|-------------------------|
| Access time (random)     | Fast       | Slow        | Moderate             | Fast                    |
| Access time (sequential) | Fast       | Fast        | Fast                 | Fast                    |
| Disk fragmentation       | High       | None        | None                 | Low                     |
| Supports random access   | Yes        | No          | Yes (with FAT table) | Yes                     |
| Space efficiency         | Poor       | Good        | Good                 | Very good               |
| Pointer overhead         | None       | High        | High (FAT in memory) | Low (indirect blocks)   |
| File size flexibility    | Poor       | Good        | Good                 | Excellent               |
| Crash recovery           | Poor       | Poor        | Moderate             | Good (iournaling)       |

| Feature              | FAT                     | EXT (inode)                  | NTFS (MFT)                |
|----------------------|-------------------------|------------------------------|---------------------------|
| Allocation method    | FAT table (linked list) | Inode + indirect blocks      | Extents + B-tree indexing |
| Metadata location    | Centralized table       | Distributed inodes           | MFT entries               |
| Scalability          | Poor for large disks    | Very scalable                | Highly scalable           |
| Crash tolerance      | Low (no journaling)     | High (journaling via ext3/4) | High (journaling)         |
| Maximum file size    | Limited                 | Large (ext4: 16 TiB)         | Very large                |
| Directory management | Linear list             | Hash tree (ext4)             | B-tree                    |

| Feature                  | Hard Link                      | Symbolic Link                             |
|--------------------------|--------------------------------|---|
| Points to                | Inode (actual file)            | File path (string)                        |
| Requires own inode       | No                             | Yes                                       |
| Spans file systems       | No                             | Yes                                       |
| Can link to directory    | No                             | Yes                                       |
| Broken if target deleted | No                             | Yes (becomes dangling)                    |
| Deletes actual file?     | Only if last hard link removed | No  |
| ls -l output             | Normal file                    | $l \text{ with } \rightarrow \text{path}$ |

- open(const char \*pathname, int flags[,mode]):
   Opens a file and returns a file descriptor (int).

  - flags specify access mode: O\_RDONLY, O\_WRONLY, O\_RDWR, O\_CREAT, etc.
  - mode is required if O\_CREAT is used, to set permission
- bits.
   read(int fd, void \*buf, size\_t count):
   Reads up to count bytes from file descriptor fd into buffer buf.
  - Returns the number of bytes read, or 0 on EOF.
- Advances the file offset by the number of bytes read.

   write(int fd, const void \*buf, size\_t count):

   Writes up to count bytes from buffer buf to file descriptor fd.
  - Returns the number of bytes written.
  - Advances the file offset by the number of bytes written.
- lseek(int fd, off\_t offset, int whence):
   Moves the file offset for fd.
   whence can be SEEK\_SET, SEEK\_CUR, or SEEK\_END.
  - Returns the new offset, or -1 on error.
- close(int fd):
   Closes the file descriptor fd.
  - Releases the file table entry and associated kernel re-
  - sources.

    Returns 0 on success, -1 on error.