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# 1 Overview

- Week 1-3: Classical AI, search algorithms
  - 1. Uninformed search
  - 2. Local search: hill climbing
  - 3. Informaed search: A\*
  - 4. Adversarial search Minimax
- Week 4-7: Classical ML
  - 1. Decision trees
  - 2. Linear/Logistic regression
  - 3. Kernels and support vector machines
  - 4. "Classical" unsuperivese learning
- Week 10-12: Modern ML
  - 1. Neural networks
  - 2. Deep learning
  - 3. Sequential data
- Week 13: Misc.

# 2 AI: Computers Trying to Behave Like Humans

- PEAS Framework:
  - **Performance measure:** define "goodness" of a solution
  - Environment: define what the agent can and cannot do
  - **Actuators:** outputs
  - Sensors: inputs
- Agent function is sufficient.
- Common agent structures (to define an AI agent):
  - Reflex
  - Goal-based
  - Utility-based
  - Learning
  - (Others possible; can mix and match!)
- Exploration vs exploitation

# 3 Problem Statement

fully observable  $\land$  deterministic  $\land$  static  $\land$  discrete  $\implies$  only need to observe once To solve a prob using search:

- A goal or a set of goals
- a model of the enironment
- a search algorithm

goal formulation -> problem formulation -> search -> execute

- 1. goal formulation
- 2. problem formulation, eg. path finding
  - states: nodes representation invariant:: abstract states should correspond to concrete states
  - initial state: starting node
  - goal states/test: dest node Goal test: define the goal using a function is goal
  - actions: move along an edge ::  $|actions(state)| \leq branching\_factor$
  - transition model:  $f(curr\_state, action) \implies next\_state$
  - action cost function: see edges
- 3. Important facts:
  - Representation Invariant: ensure that the abstract states correspond to concrete states
  - Goal Test: Goal defined via a function  $is\_goal$
  - Action: a set of action(state),  $|actions(state)| \leq branching\_factor$
  - Transition model:  $f(curr\_state, action) \implies next\_state$

# Search

#### Uninformed search

No information that could guide the seaech: no clue how good a state is

```
create frontier
// create visited // with vsited memory
insert Node(initial_state) to frontier
while frontier is not empty:
    node = frontier.pop()
    if node.state is goal:
        return solution
// if node.state in visited: // with vsited memory
// continue
// visited.add(state)
    for action in actions(node.state):
    next_state = transition(node.state, action)
    frontier.add(Node(next_state))
return failure
```

Different subvariant of tree search uses differen DS for the frontier.

Search Type	Data Structure for Frontier	
BFS	Queue	
DFS	Stack	
UCS (Uniform-cost Search)	Priority Queue	

# Depth limited search

limit the search to depth l backtrack when the limit is hit. time complexity: exponential to search depth space complexity: size of the frontier

```
create frontier
tier = 0
insert Node(initial_state) to frontier
while (!empty(frontier)) && (tier <= limit):
    node = frontier.pop()
    tier++
    if node.state is goal:
        return solution
    for action in actions(node.state):
    next_state = transition(node.state, action)
    frontier.add(Node(next_state))
return failure</pre>
```

# Iterative deeptening search

search with depth from 0 to inf return soln when found. Both complete

```
create frontier
tier = 0
insert Node(initial_state) to frontier
while (!empty(frontier)) && (tier <= limit):
    node = frontier.pop()
    tier++
    if node.state is goal:
        return solution
    for action in actions(node.state):
    next_state = transition(node.state, action)
    frontier.add(Node(next_state))
return failure</pre>
```

## Summary

Name	Time Complexity*	Space Complexity*	Complete?	Optimal?
Breadth-first Search	Exponential	Exponential	Yes	Yes
Uniform-cost Search	Exponential	Exponential	Yes	Yes
Depth-first Search	Exponential	Polynomial	No#	No
Depth-limited Search	Exponential	Polynomial**	No**	No**
Iterative Deepening Search	Exponential	Exponential**	Yes	Yes

<sup>#</sup> Not complete if not tracking visited nodes, search may stuck in loop before visiting all nodes.

<sup>\*</sup> In terms of some notion of depth/tier

<sup>\*\*</sup> If used with DFS

# 4 Local Search

Systematic search: typically complete and optimal under certain constraints. However intractable sometimes Local search: typically incomplete and suboptimal, but has anytime property, ie. longer time -> better solution. Able to provide good enough solution under reasonable amount of time.

#### 4.1

- 1. Start at random position in the state space
- 2. iteratively move from a state to another neighouring state vie perturbation or construction
- 3. solution is the final state
- State space: all possible configuration (1)
- Search space: a subset of state space that will be explored (2)

#### 4.1.1 Perturbation search

- Search space: complete candidate solutons
- search step: modification of one or more solution

For example: swap a path with another path

## 4.1.2 Constructive search

- partial candidate soluton
- extension of one or more solution

For example: path finding

### 4.2

goal formulation -> problem formulation -> search -> execute

- 1. goal formulation
- 2. problem formulation, eg. path finding
  - states: nodes representation invariant:: abstract states MAYNOT directly correspond to concrete states
  - initial state: starting node, a candidate solution
  - goal states/test: dest node [optional] Goal test: define the goal using a function  $is\_goal\ f(curr\_state, action) \implies next\_state$
  - Successor function: a function that generates neighbouring states by applying modifications from the curren tstate. This defines the local search space

## 4.3 Evaluation function

A math function that assess the quality or desireability of the solution. Some solutions may be unacceptable but there are some less bad than the others.

# 4.4 Hill Climbing/ Greedy Local Search

```
curr_state = init_state
while 1:
    best_succ = best(successor(curr_state))
    if (eval(best) <= eval(curr_state))
        return curr_state
    curr_state = best_succ</pre>
```

# 4.5 State space landscape

- Global max:
- Local max:
- shoulder:

# 5 Adversarial search

# 5.1 Classical adversarial games

- Fully observable
- Deterministic
- discrete
- No infinite run
- 2-player zero-sum
- turn taking

#### termns

- Player: agent
- Turn:
- Move
- End state
- winning condition

•

## 5.2 Problem formulation in adversarial search

- states: nodes representation invariant:: abstract states MAYNOT directly correspond to concrete states
- initial state: starting node, a candidate solution
- Terminal State: state the outcome of the game when it terminates
- Utility function: output the value of a state from the perspetive of our agent

## 5.3 Minimax

In the view of A, A try to maximize the outcome of the game, B will try to minimize A's outcome, as the gam is zero sum

• expend(state) => [a]

```
max_value(state):
    if is_terminal(state): return utility(state)
    v = -∞
    for next_state in expand(state):
        v = max(v, min value for player A in next_state)
    return v

min_value(state):
    if is_terminal(state): return utility(state)
    v = ∞
    for next_state in expand(state):
        v = min(v, max value for player A in next_state)
    return v

minimax(state):
    v = max_value(state)
    return action in expand(state) with value v
```

# 5.4 Alpha-beta prunning

From the viewpoint of the MAX player:

- $\alpha$ : the value of the best choice for the MAX player so far
- $\beta$ : the value of the best choice for the MIN player so far

An optimized version of the Minimax algorithm using prunning:

```
max_value(state, α, β):
    if is_terminal(state): return utility(state)
    v = -∞
    for next_state in expand(state):
        v = max(v, min(v, α, β))
    return v

min_value(state, α, β):
    if is_terminal(state): return utility(state)
    v = ∞
    for next_state in expand(state):
        v = min(v, max(v, α, β))
    return v

alpha-beta search(state):
    v = max_value(state, -∞, ∞) // initialized α to be -∞, β to ∞
    return action in expand(state) with value v
```

# 6 Learning agent

For problems that the function are difficult to specify, solutions re intractale to compute in general. Typically episodic,

$$DL \subset ML \subset AL$$

# 6.1 supervised

Learn the mapping input, feedback given -> output, given a dataset, it minimizes the difference betwenn the prediction and the provided correct ans using a leanign algorithm e.g. image ientification

- 1. Train phase: try minimizing the diff between pred and correct ans given using the training set, resulting in a training agen function, known as the model/hypothesis
- 2. Testing/evaluation phase: using a test set to measure the performance of the model. The performance on unseen data measures the generalization of the model

#### Task

- Classification: to predict discreate labels or catagories on the input features
- Regression: predict continuous numetical value based on input features

#### Dataset

$$D = \bigcup_{[1,n]} \{ (x^{(i)}, y^{(i)}) \}$$

### True data generation function

$$y = f^*(x) + \epsilon$$

where  $f^*(x)$  is true but unknow, which generates the label from the input features;  $\epsilon$  is some noise or error term, which account for the randomness or imperfection in the date generating process. the goal is to find a function that best approximately  $f^*(x)$ 

# Hypothesis class

THE set of models or functions that maps from inputs to outputs  $h: X \implies Y$  that can be learned by a learing algorithm. Each element of the hypo clas  $h \in \mathcal{H}$ 

## LEarnign algorithm

$$A(D_{train}, H_{hypo}) = h(x), h \in \mathcal{H} \approx f^*(x)$$

#### PErformance measure

$$h(x) \approx f^*(x)$$
  
 $PM(D_{test}, h \in H_{hypo}) \mapsto$ 

Try the hypothesis h on a new set of examples (test data)

#### Regression: error

Absolute error = 
$$|\hat{y} - y|$$
 (3)

Squared error = 
$$(\hat{y} - y)^2$$
 (4)

Mean squared error

$$MSE = \frac{1}{N} \sum_{i=0}^{N} (\hat{y}^{(i)} - y^{(i)})^2$$

Mean absolute error

$$MAE = \frac{1}{N} \sum_{i=0}^{N} |\hat{y}^{(i)} - y^{(i)}|$$

where

$$\hat{y}^{(i)} = h(x^{(i)})$$

Accuracy:

$$A = \frac{1}{N} \sum_{i=1}^{n} \mathbb{1}_{\hat{y}^{(i)} = y^{(i)}}$$

#### confusion matrix

True positive, false positive, false negative, true negative (2, 1, 3, 4 quadrnt)

$$Accuracy = \frac{TP + TN}{TP + FN + FP + TN}$$
 
$$Precision = \frac{TP}{(TP + FP)}$$

maximize if FP is costly

$$Recall = \frac{TP}{(TP + FN)}$$

maximize recall if FN is dangerous

$$F1 = \frac{2}{\frac{1}{P} + \frac{1}{R}}$$

# 6.2 Decision Tree

Greedy, top-down, recursive, use

```
DTL(examples, attributes, default):

if (examples = \emptyset): return default

if (\forall e \in example, e has the same classification c): return c

if (attributes = \emptyset): return mode(examples)

best = choose_attribute(attributes, examples)

tree = new decision tree with root test best

for v_i of best do:

examples_i = \{e|e \in examples, e.best = v_i\}

subtree = DTL(examples, attributes \ best, mode(examples))

tree.add(v_i: subtree)
```

 $f:[attribute vector] \mapsto Boolean$ 

Basically nested if-else

#### Expressioveness

Decision trees can express any function of the input attributess. Trivially, Consistent trainign set  $\rightarrow$  Consistent decision tree, but unlikely to generalize to new examples

Each row in the truth table is represented as a path in the decison tree

### Size of the hypothesis class

For n boolean attributes, there are n boolean func, n distinct truth tables rach with  $2^n$  rows  $\to 2^{2^n}$  decision trees

#### Informativeness

Ideally we want to sekect an attibute that aplits the examples into all positive or all negative. Entropy: The higher the more random, thus less informative

$$I(P(v_1)...P(v_k)) = -\sum_{i=1}^{k} P(v_i)log_2P(v_i)$$

For data set contains boolean outputs,

$$I(P(+), P(-)) = -\frac{p}{p+n}log_2\frac{p}{p+n} - \frac{n}{p+n}log_2\frac{n}{p+n}$$

#### Information gain

Information gain = entropy of this node - entropy of children nodes

$$IG(A) = I(\frac{p}{p+n}, \frac{n}{p+n}) - remainder(A)$$

$$remainder(A) = \sum_{i=1}^{v} \frac{p_i + n_i}{p + n} I(\frac{p_i}{p_i + n_i}, \frac{n_i}{p_i + n_i})$$

## Decision tree pruning

- By sample size
- by max search depth

## 6.3 unsupervised

FInd pattern. No feedback given. e,g, group images by char

## 6.4 Reinforment

Trial and error, reward given based on observation adn action. eg. chess