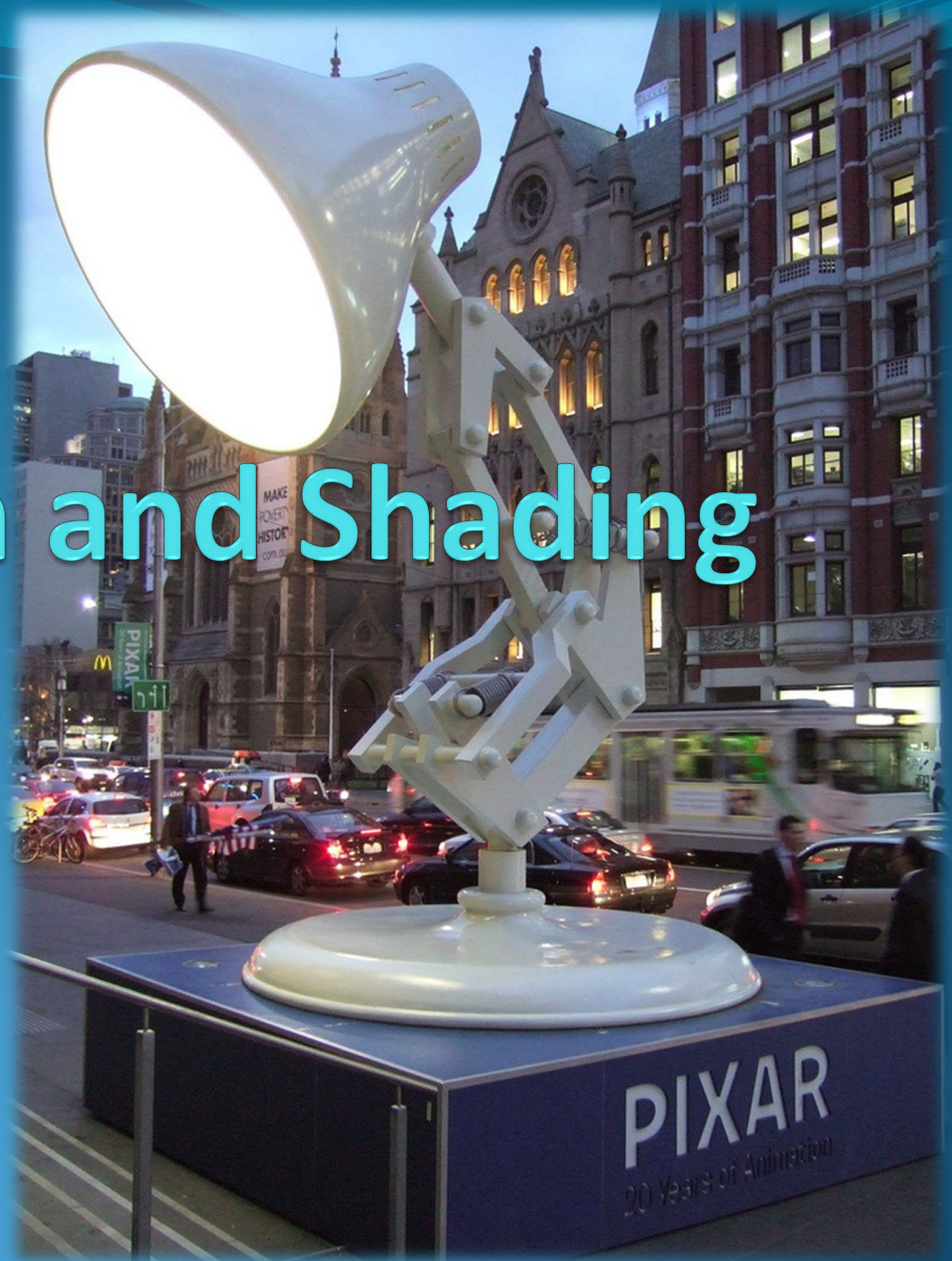


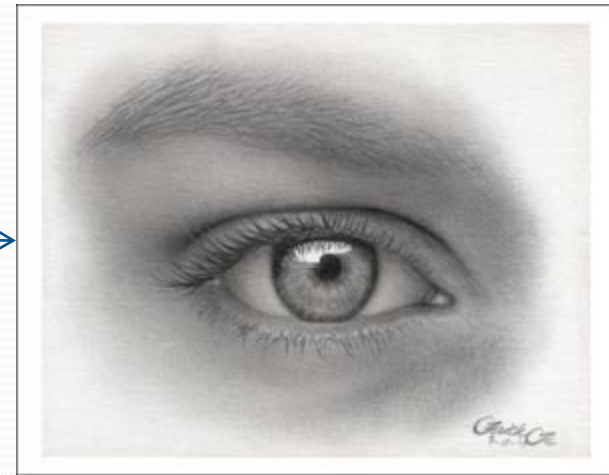
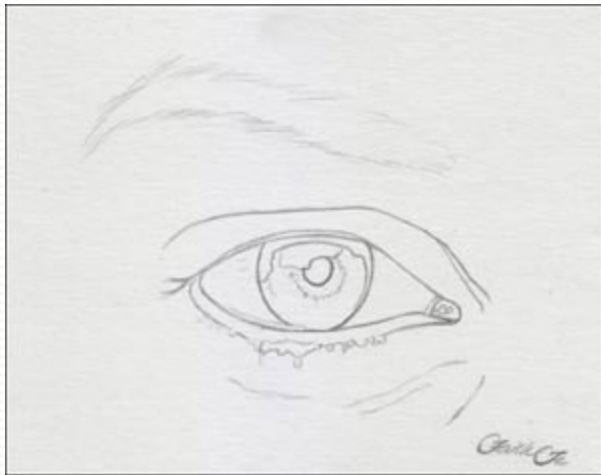
Illumination and Shading

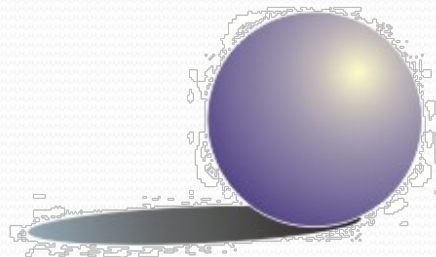
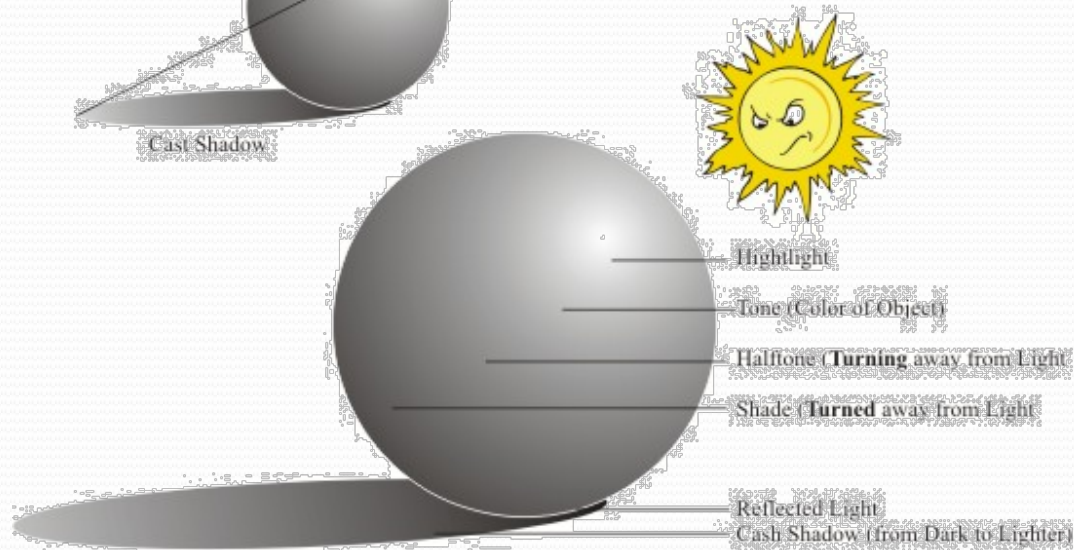
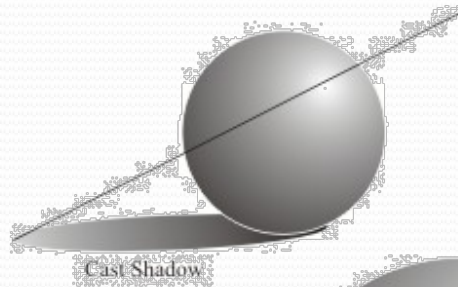
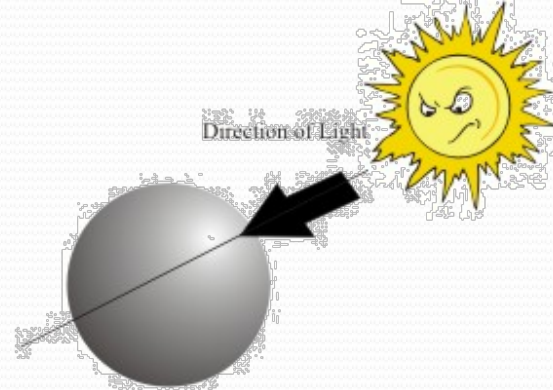
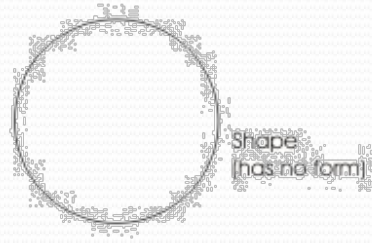
“Fiat Lux!”



Illumination and Shading

- Shading (dictionary definition)
 - The use of marking made within outlines to suggest three-dimensionality, shadow, or degrees of light and dark in a picture or drawing (Merriam-Webster)



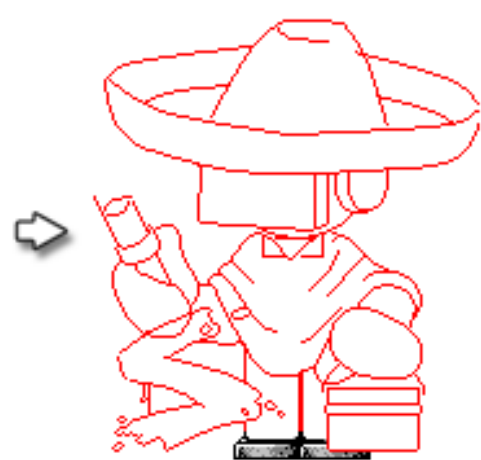




original sketch



scaled sketch & outline



outline



shading in progress



final illustration

Wireframe



Ambient Lighting



+ Diffuse Lighting

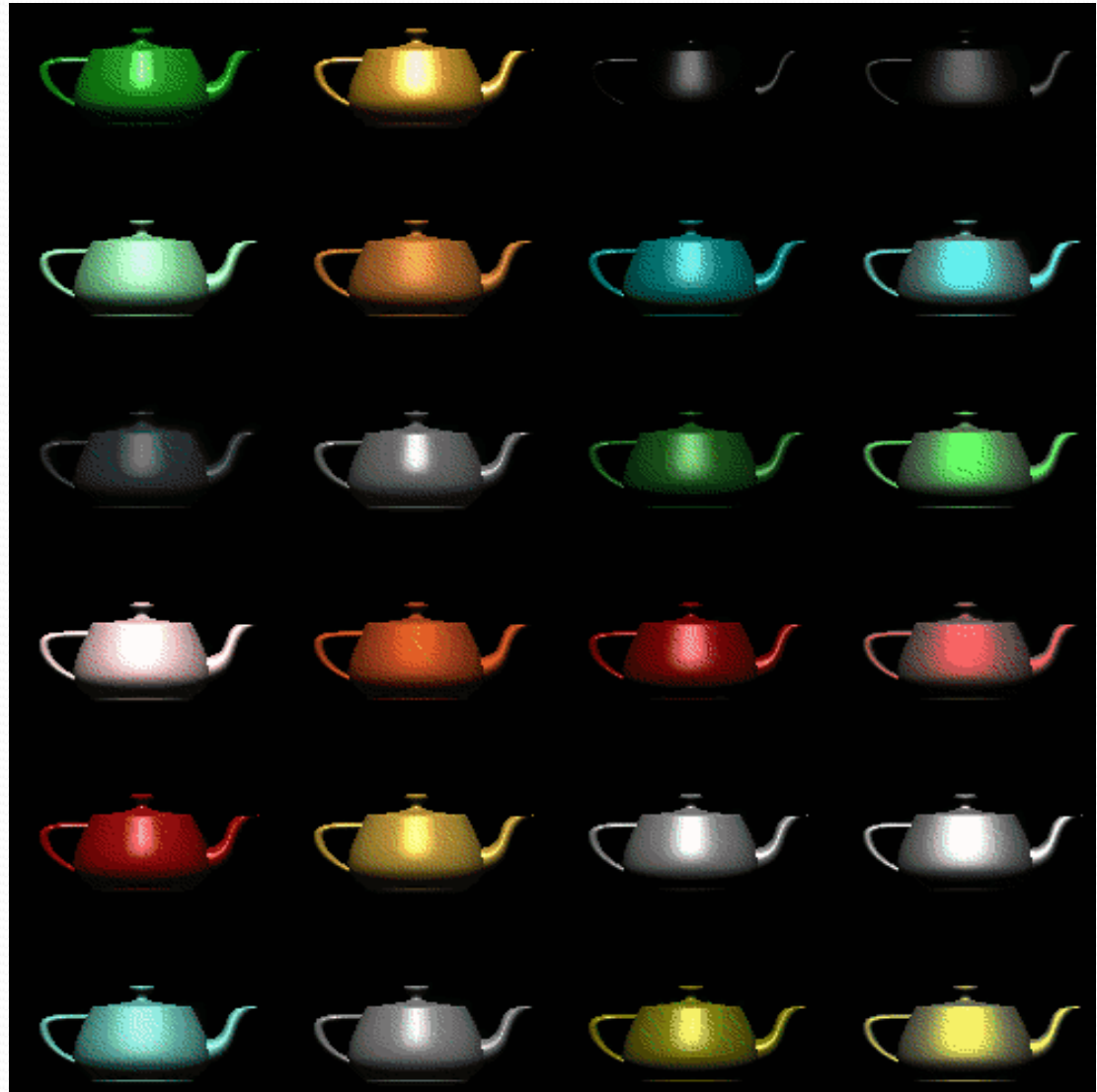


+ Specular Lighting



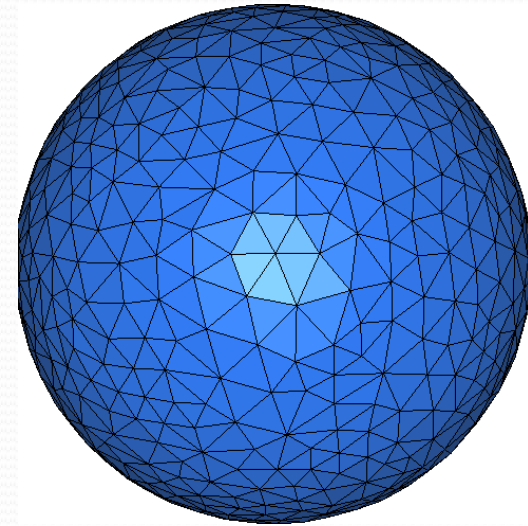
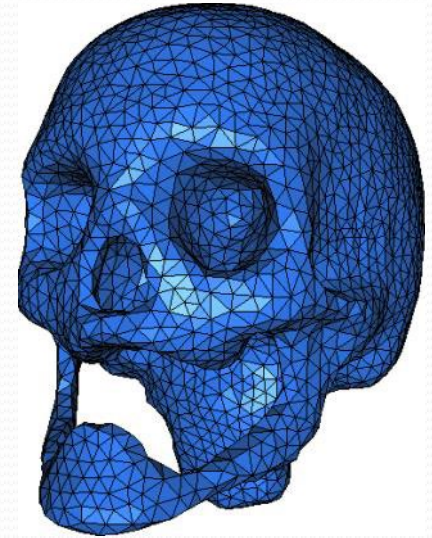
Materials

- By different colors and reflectivity, we can deceive the viewers that the objects are made of different materials
 - E.g. plastic, metals, etc.



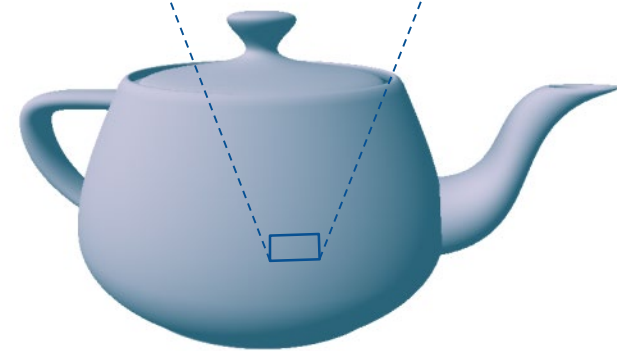
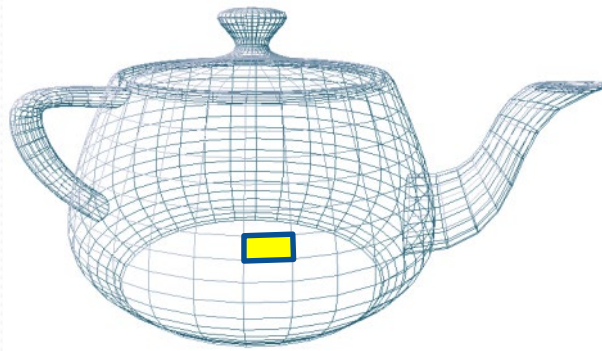
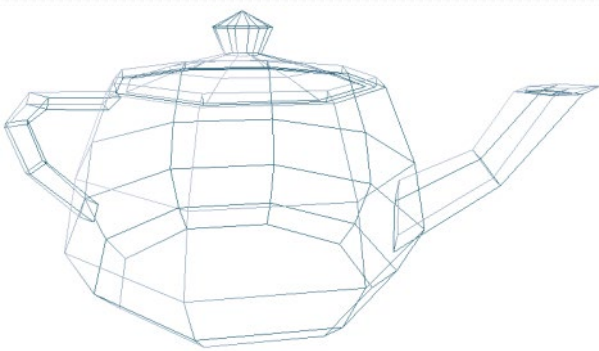
Illumination and Shading

- Input:
 - Objects are in polygonal mesh representation
 - With their **connectivity** (topology)
 - Namely, we know the neighboring polygons of each polygon
- Create a raster-scan image that
 - Looks realistic
 - And do it fast, namely, in real time



Polygonal Models

- Polygonal meshes are approximation of curve surfaces
 - If you have “fine enough” polygons, they look like a curve surface

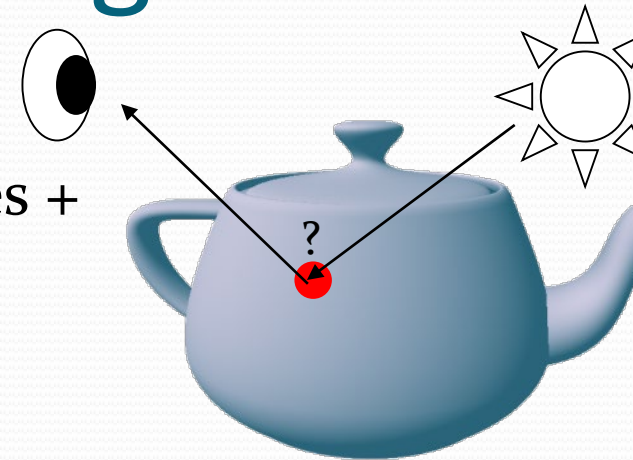


- Question:
 - How do you “color” every polygon to make it looks smooth

Illumination and Shading

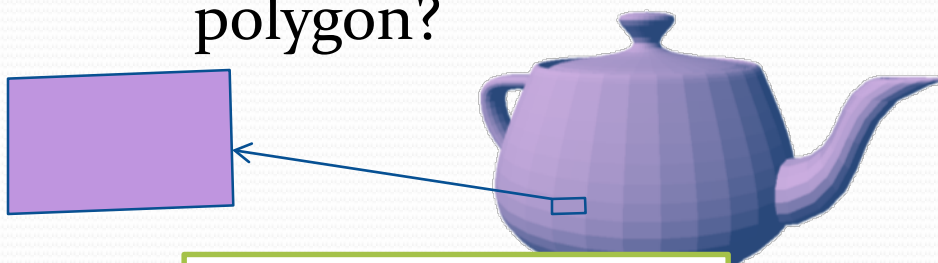
- Illumination model

- Given the surface **point** + light sources + the viewer
- How to compute the colour of that surface **point**

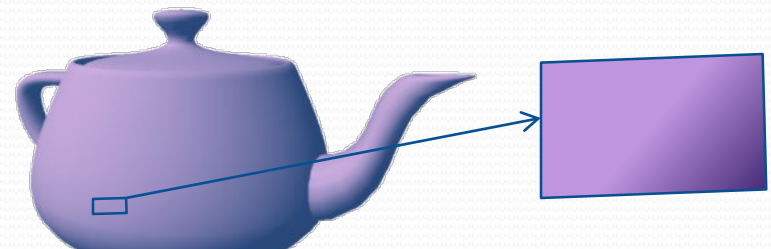


- Shading

- How do we determine the color of a polygon?

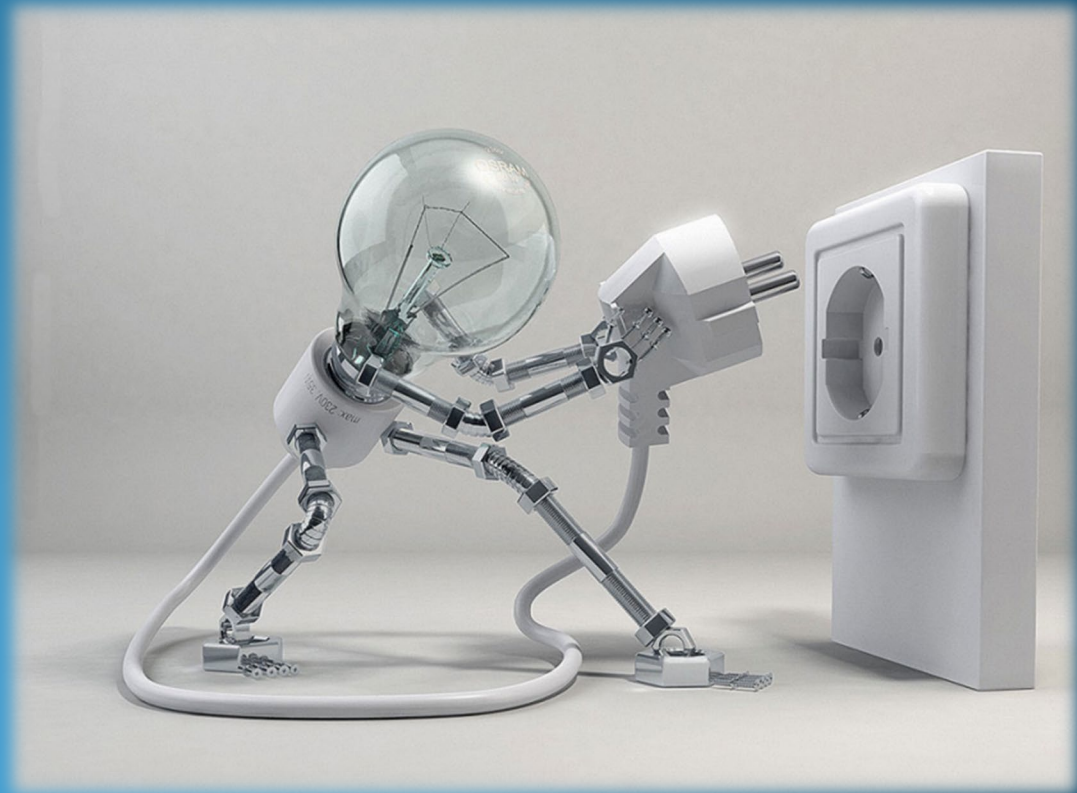


One single color for each polygon?



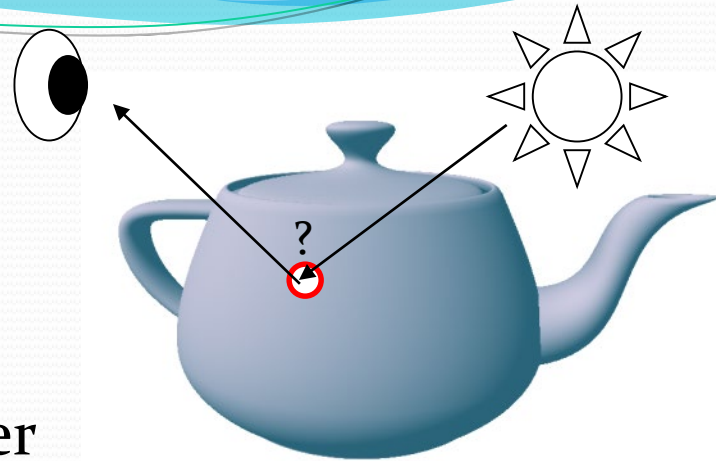
Blending colors for each polygon?

Illumination Model



Illumination Model

- Given the configurations of
 - Lights + a surface point + the viewer
- Compute the color of a surface point by the **Phong Illumination Equation**:



Final color of a point on the surface

$$I_{Phong} = \begin{pmatrix} r \\ g \\ b \end{pmatrix} = I_a K_a + f_{att} I_p K_d (N \cdot L) + f_{att} I_p K_s (R \cdot V)^n$$

PIE

Phong Illumination Model

- Phong Model (1975) - *A local point reflection model that compromises both acceptable image quality and processing speed.*

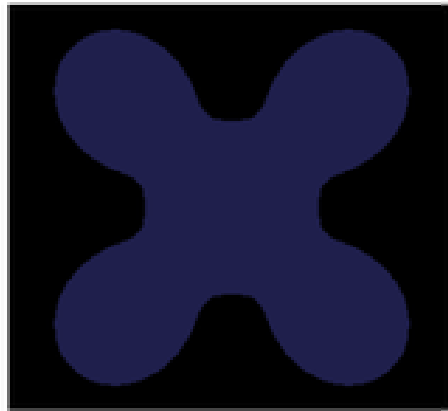
Bùi Tường Phong
(December 14, 1942 – July 1975) was a **Vietnamese-born French-American** computer graphics researcher and pioneer.



Phong Illumination Equation (PIE)

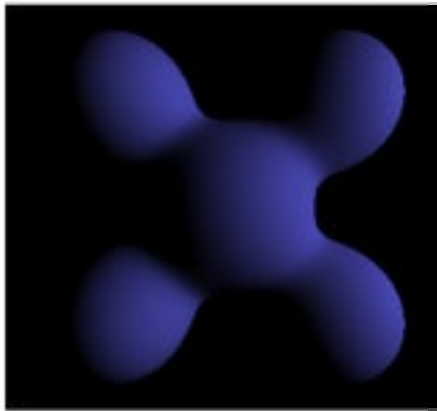
- For every point on a surface, we can compute its color/intensity by the Phone Illumination Equation:

$$I_{Phong} = \begin{bmatrix} i_r \\ i_g \\ i_b \end{bmatrix} = \boxed{I_a K_a} + f_{att} I_p K_d (N \cdot L) + f_{att} I_p K_s (R \cdot V)^n$$



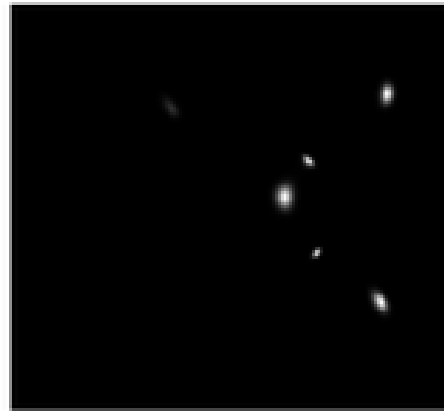
Ambient

+



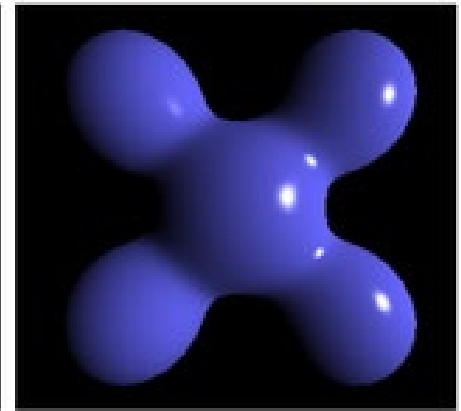
Diffuse

+



Specular

=



Phong Reflection

Capital letters are 3 x 1 vectors and small caps are just constants

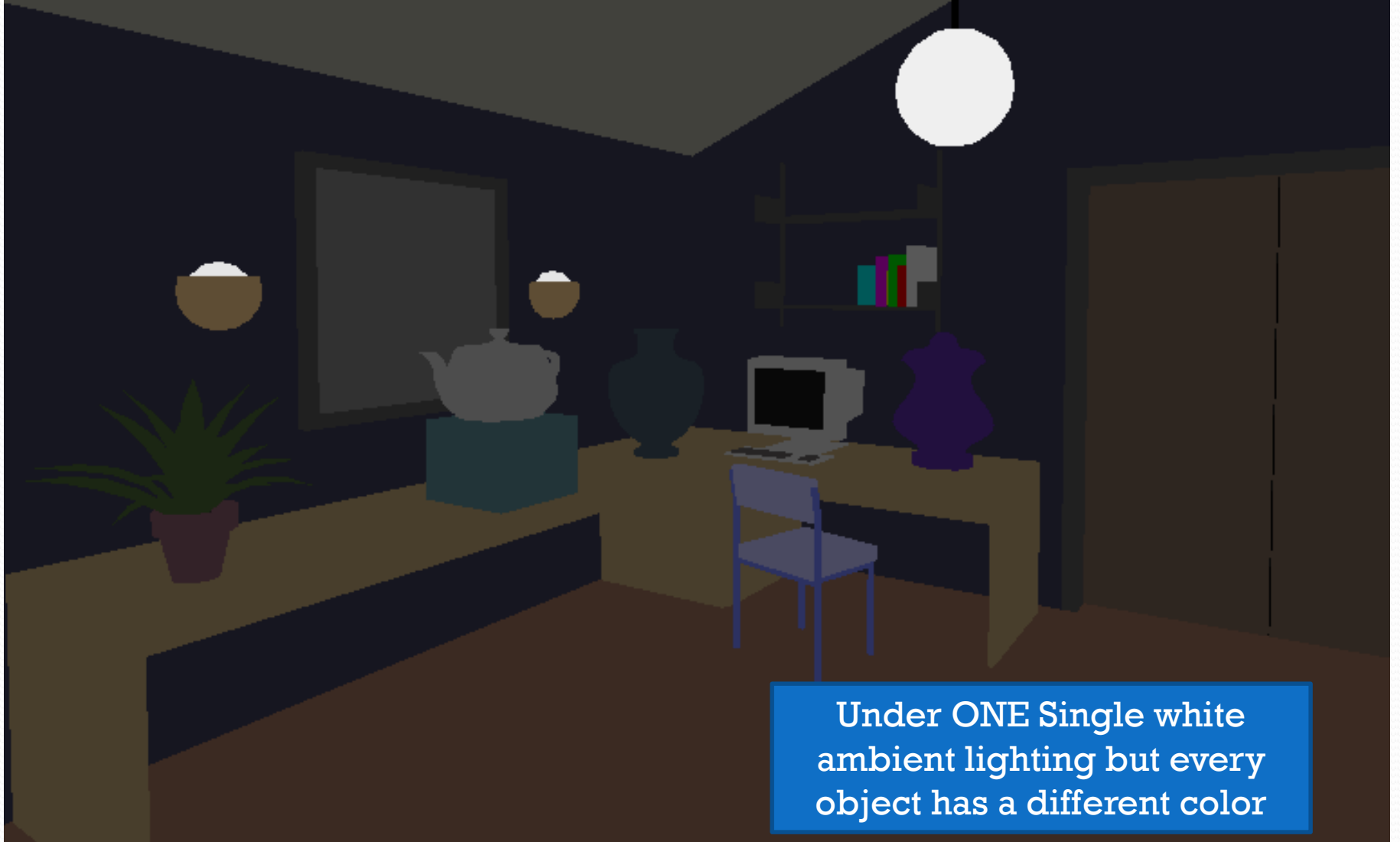
Ambient Term

- A ambient light is used to produce an uniform lighting effect on every point on every surface in the scene. Its luminance I_a is specified by

$$I_a = \begin{bmatrix} i_{ar} \\ i_{ag} \\ i_{ab} \end{bmatrix}$$

- Note that this light is “universal”, namely, every surface receive the same color and intensity of light

Ambient Lighting



Under ONE Single white ambient lighting but every object has a different color

Material Property

- Then, for different objects, how can they appear as different colors?
- For EACH object/surface, we specify its own ambient material property

$$K_a = \begin{bmatrix} k_{ar} \\ k_{ag} \\ k_{ab} \end{bmatrix}$$

- Referring to the PIE, the color on a specific object is

$$I_a K_a = \begin{bmatrix} i_{ar} k_{ar} \\ i_{ag} k_{ag} \\ i_{ab} k_{ab} \end{bmatrix}$$

*Note that also there are other “k” for the remaining two terms

*Note that $I_a K_a$ is NOT the dot product
Actually most of the multiplications in PIE are NOT dot products

Ambient Lighting

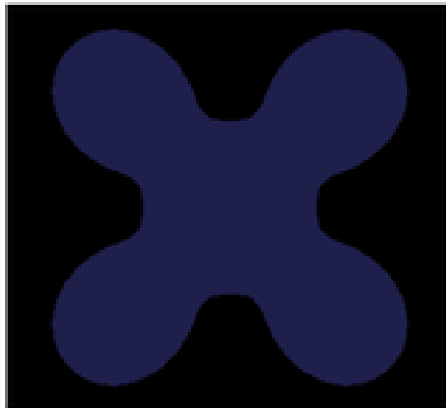


However, it does
not look nice

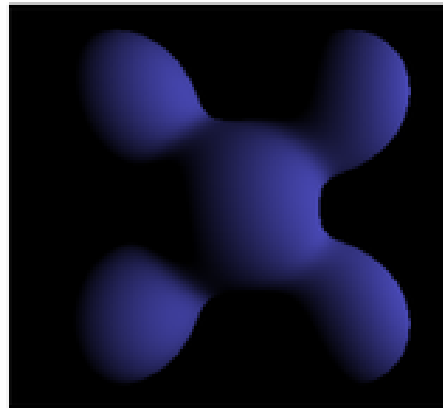
Diffuse Term of PIE

- The diffuse term give different colors to different points on the surface according to the light positions and surface normals

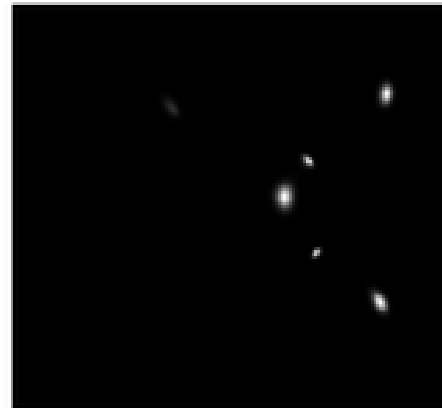
$$I_{Phong} = \underbrace{I_a K_a}_{\text{Ambient}} + \underbrace{f_{att} I_p K_d (N \cdot L)}_{\text{Diffuse}} + \underbrace{f_{att} I_p K_s (R \cdot V)^n}_{\text{Specular}}$$



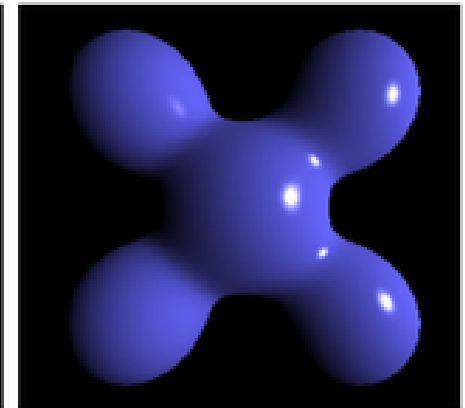
Ambient



Diffuse



Specular



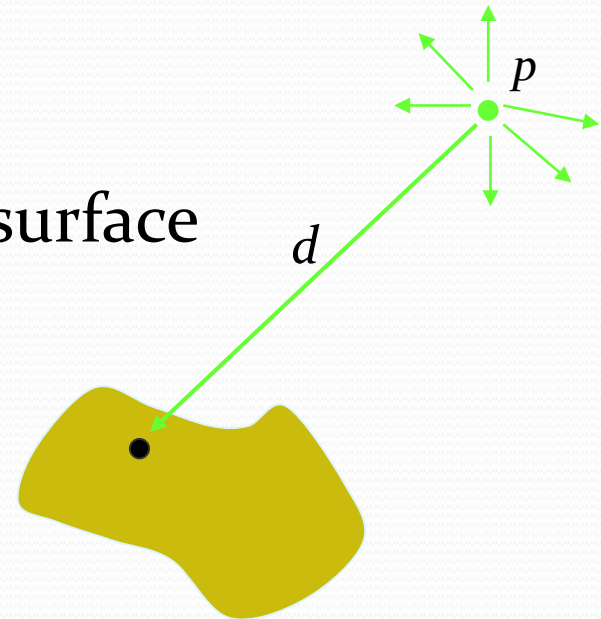
= Phong Reflection

Point Light Source

- Assuming the light is a point at position p
- Emitting light in every direction
- Similar to ambient light, its color/intensity is specified by a vector I_p
- However, the light received will be weaker if the object is far away from the light
 - When distance $d \uparrow$, light received \downarrow
- So the light intensity received by the surface point is

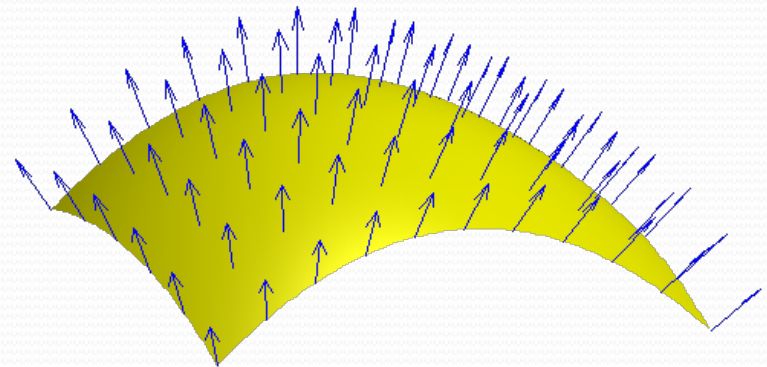
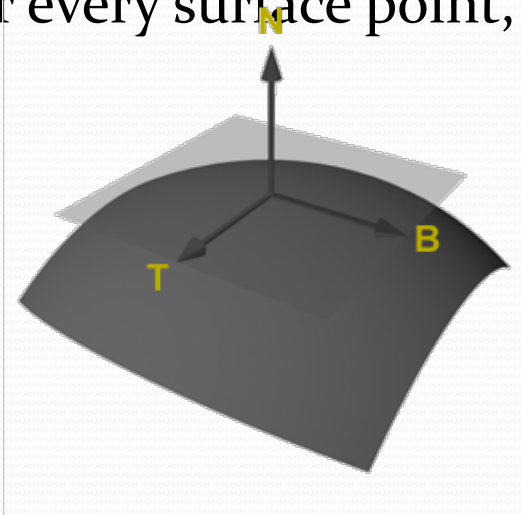
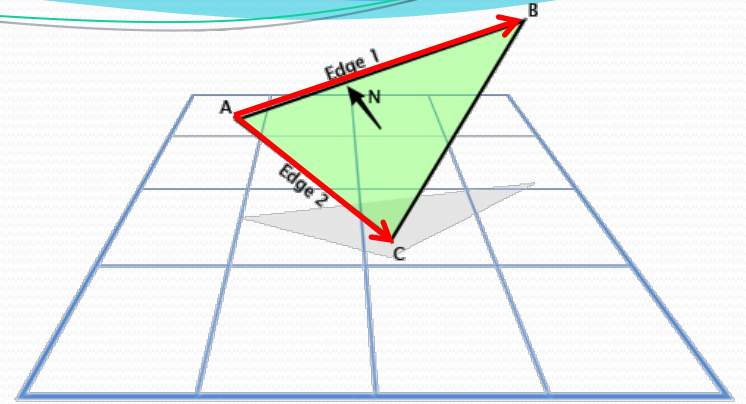
$$f_{att} I_p = \frac{1}{a + bd + cd^2} I_p$$

- a, b, c are user defined constants



Surface Normal

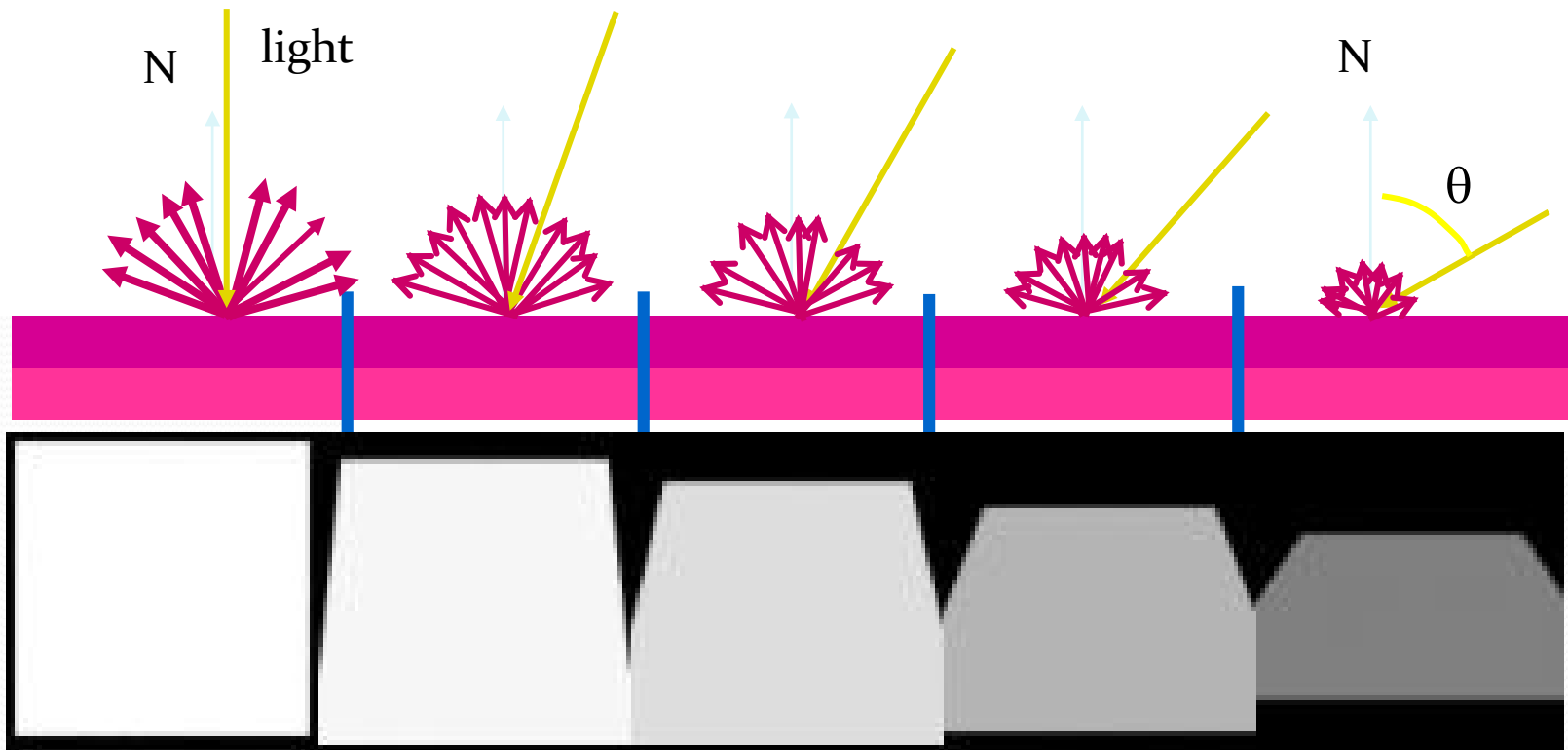
- For a triangle
 - The triangle spans a plane
 - There will be a normal vector N that is perpendicular
- $$N = (B - A) \times (C - A)$$
 - (Note that the normal vector has two choices, N or $-N$)
- For a curved surface
 - For every surface point, there is a plane “parallel” to it



Diffuse Reflection

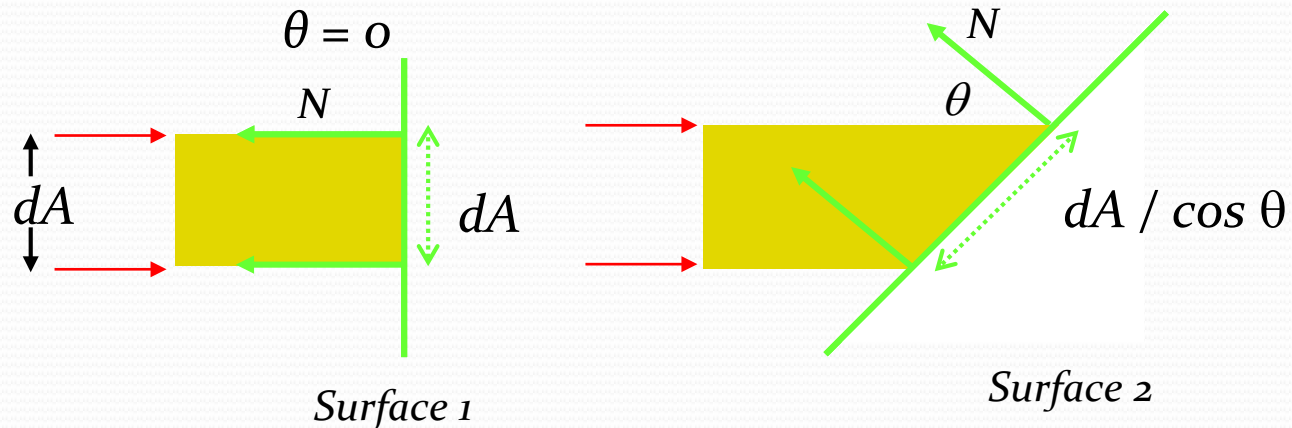
- Lambert's Cosine Law
 - $\text{diffuse reflection} \propto \cos \theta = N \cdot L$

This IS the dot product



Diffuse Term

- Consider 2 surfaces of different orientation, a light beam of infinitesimal cross-sectional area dA intercepts the surface at different angles of incident θ .



- Average amount of light energy that falls on a surface $\propto \cos \theta$

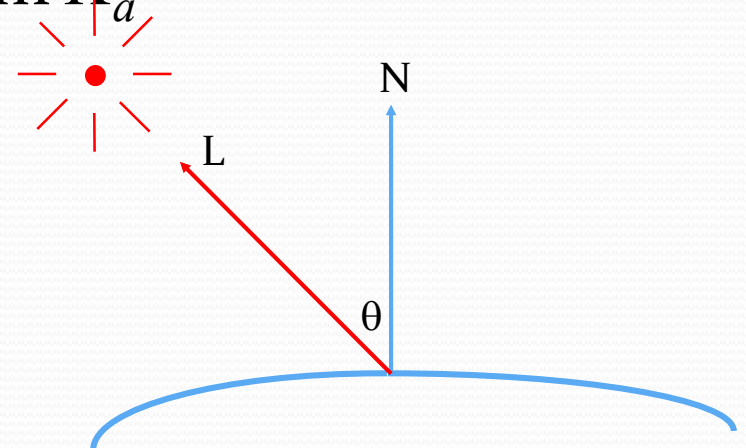
Diffuse Term

The direction unit vector from the surface point to the light source

- This accounts for the $\cos \theta = N \cdot L$ term in the Lambert's cosine law mentioned earlier

$$I_{Phong} = I_a K_a + \boxed{f_{att} I_p K_d (N \cdot L)} + f_{att} I_p K_s (R \cdot V)^n$$

- K_d is the diffuse material property $[k_{dr} \ k_{dg} \ k_{db}]^T$ for the object, usually it is different from K_a



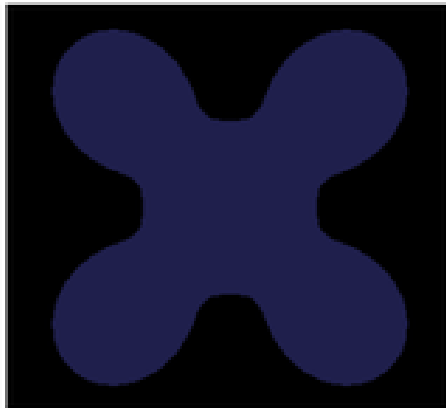
*Remember to normalize every directional vector



Specular Term of PIE

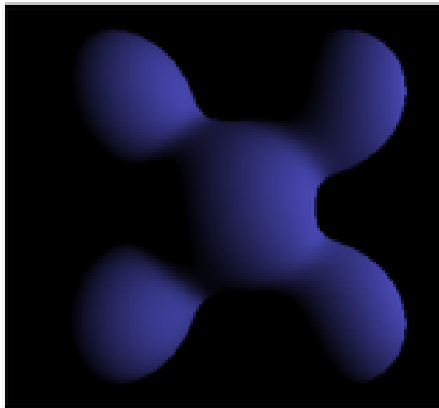
- Adding highlight for shiny objects

$$I_{Phong} = \underbrace{I_a K_a}_{\text{Ambient}} + \underbrace{f_{att} I_p K_d (N \cdot L)}_{\text{Diffuse}} + \underbrace{f_{att} I_p K_s (R \cdot V)^n}_{\text{Specular}}$$



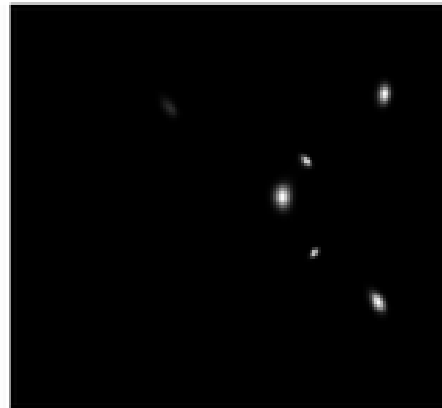
Ambient

+



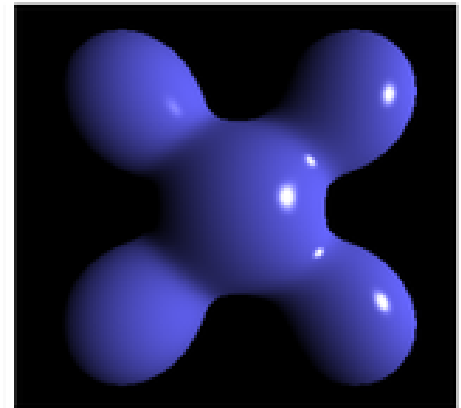
Diffuse

+



Specular

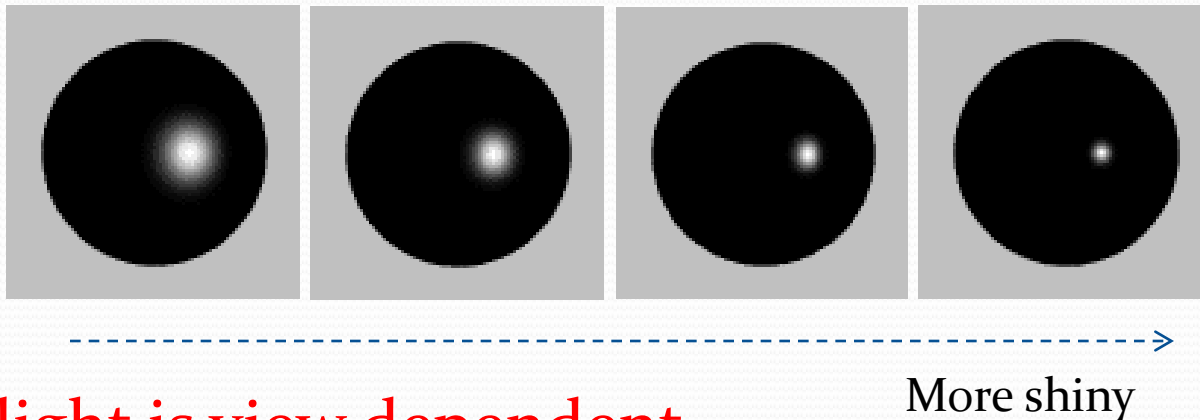
=



Phong Reflection

Specular Reflection

- Because we assume that the light source is a point, shininess is inversely proportional to the size of the highlight



- Highlight is view dependent.**
 - The highlight on the object will “move on the object” when the viewer moves

Specular Term

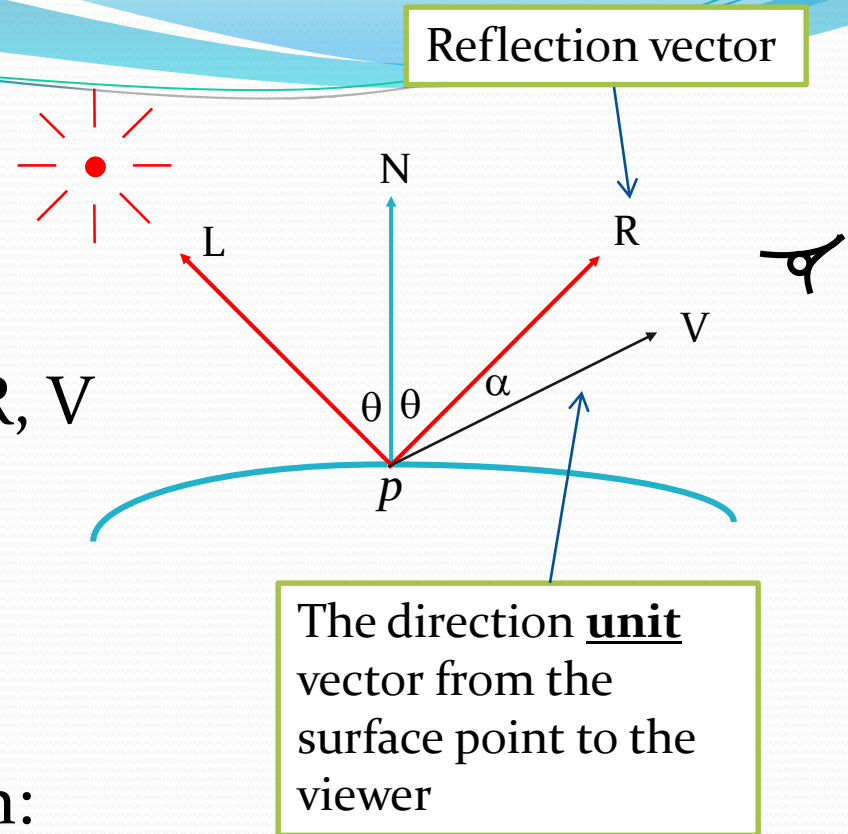
- Define 4 unit vectors: N , L , R , V
- Then

$$\alpha = \cos^{-1}(R \cdot V)$$
$$R = 2(N \cdot L)N - L$$

- Phong Illumination Equation:

$$I_{Phong} = I_a k_a + f_{att} I_p k_d (N \cdot L) + \boxed{f_{att} I_p k_s (R \cdot V)^n}$$

- With n : shininess coefficient
 - n increases, highlights become smaller and sharper



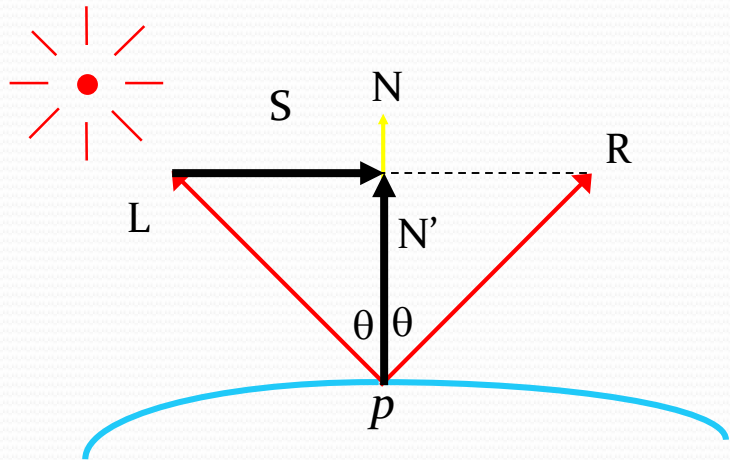
Computing the Reflection Vector

$$|N'| = N \cdot L$$

$$N' = (N \cdot L) N$$

$$S = N' - L$$

$$\begin{aligned} R &= L + 2S \\ &= 2N' - L \\ &= 2(N \cdot L) N - L \end{aligned}$$



Adjusting Parameters

Material Properties

More types of light sources

Material Properties

$$I_{Phong} = I_a K_a + f_{att} I_p K_d (N \cdot L) + f_{att} I_p K_s (R \cdot V)^n$$

- Material properties are modeled by *ambient, diffuse, specular reflection coefficients* K_a, K_d, K_s
- Each of them is a vector of 3 colors with values between 0 and 1
- The shininess coefficient n could be between 1 and ~500

Changing K_s and n

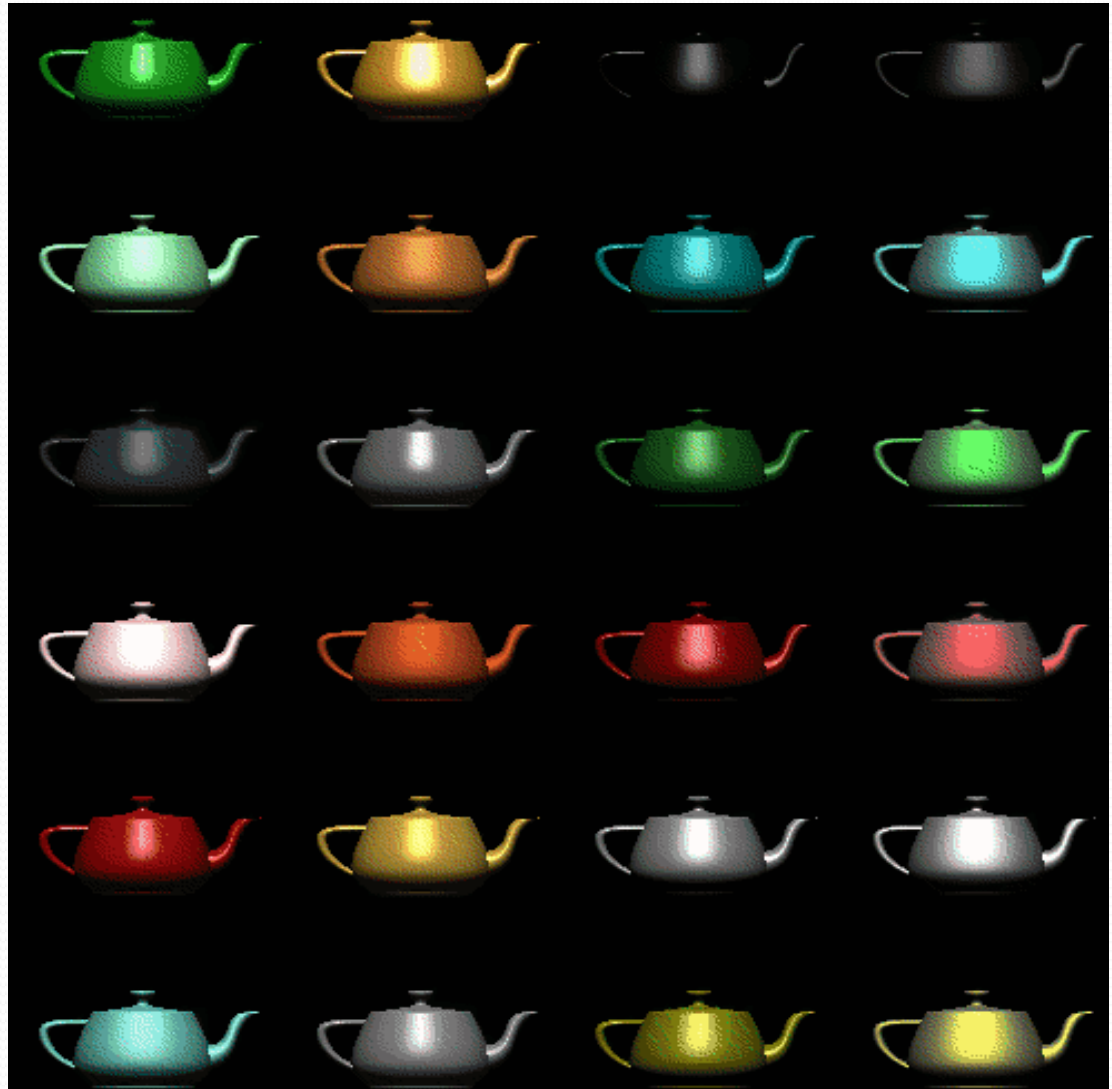
K_s



n



- Using Phong model, can shade object with all kinds of colors with different material properties
 - K_a, K_d, K_s



Multiple Lightings

- Original:

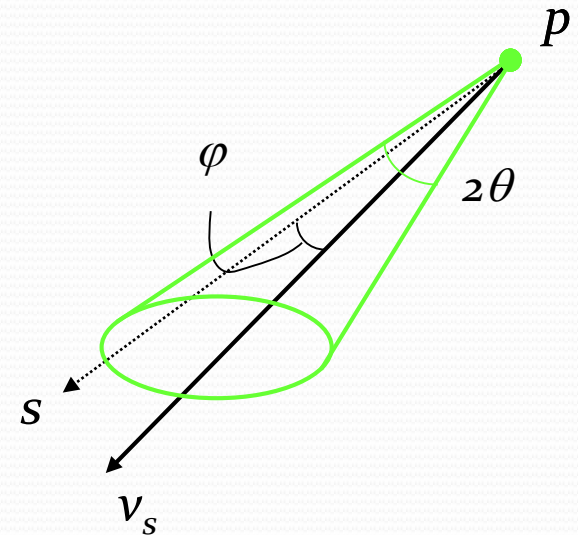
$$I_{Phong} = I_a K_a + f_{att} I_p [K_d (N \cdot L) + K_s (R \cdot V)^n]$$

- More than one light source

$$I_{Phong} = I_a K_a + \sum f_{att,i} I_{p,i} [K_d (N \cdot L) + K_s (R \cdot V)^n]$$

More Light Source: Spot Light

- Constructed from a point light source p by *defining a cone* with a limit of an angle of 2θ at which light from the source can be seen
 - θ : spotlight cutoff angle
- v_s : spotlight direction
- s : vector from p to a point on the illuminated surface
- $\varphi = \cos^{-1}(s \cdot v_s)$
- With s and v_s being unit vectors.

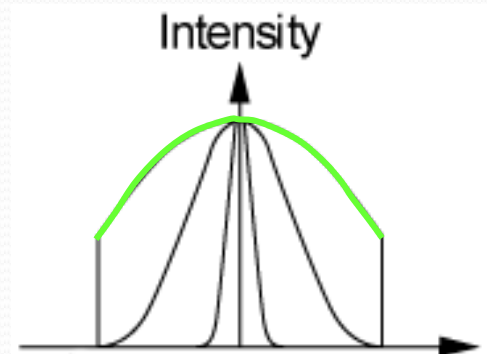
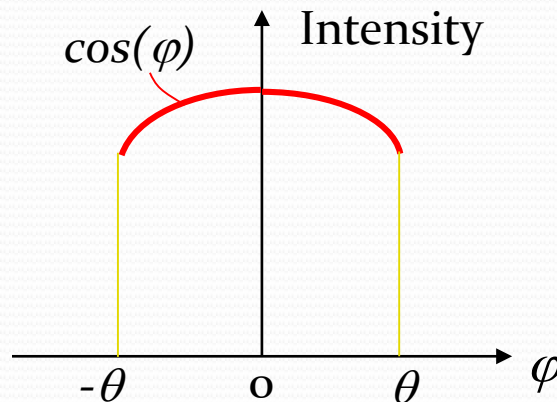
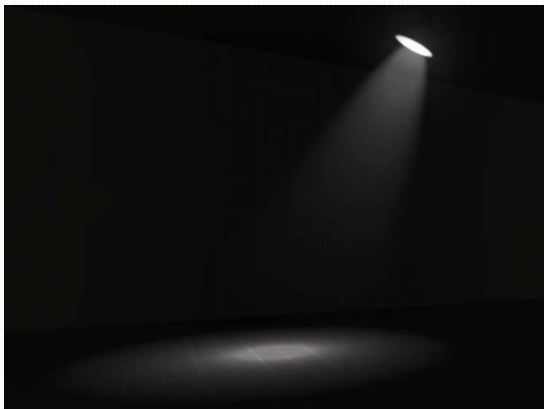
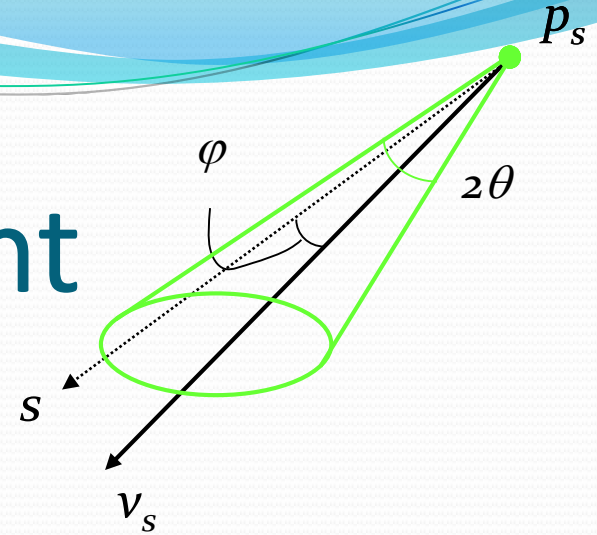


Light Source: Spot Light

- To model more realistic spot lights...
 - Soft cutoff
- Light intensity received by the surface point (at the s direction) is

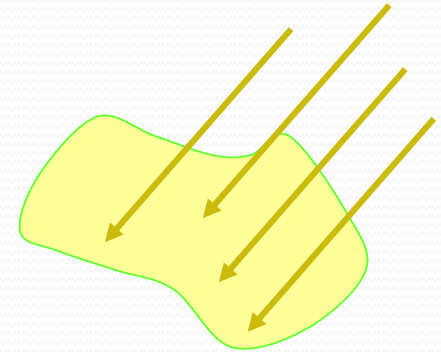
$$I_p' = I_p (\cos \varphi)^n = I_p (s \cdot v_s)^n$$

- n : spotlight exponent

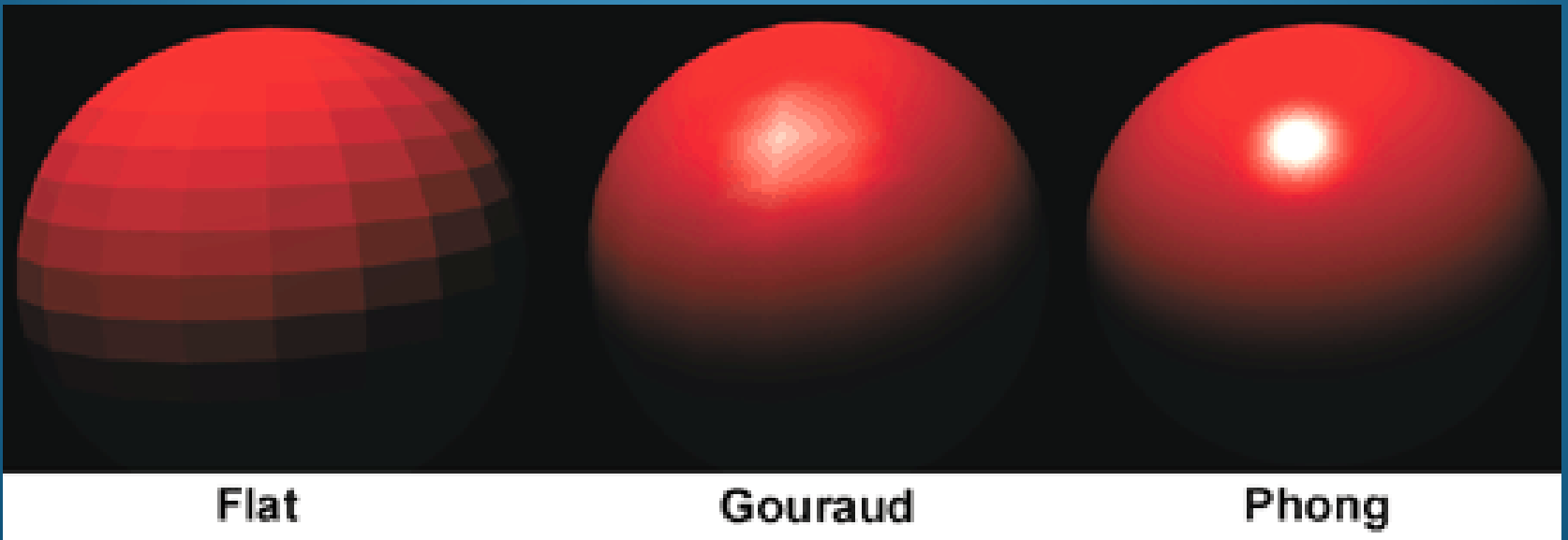


Light Source: Parallel Light

- If a light is too far away (e.g. the sun)
- We treat that every beam of light is in the same direction

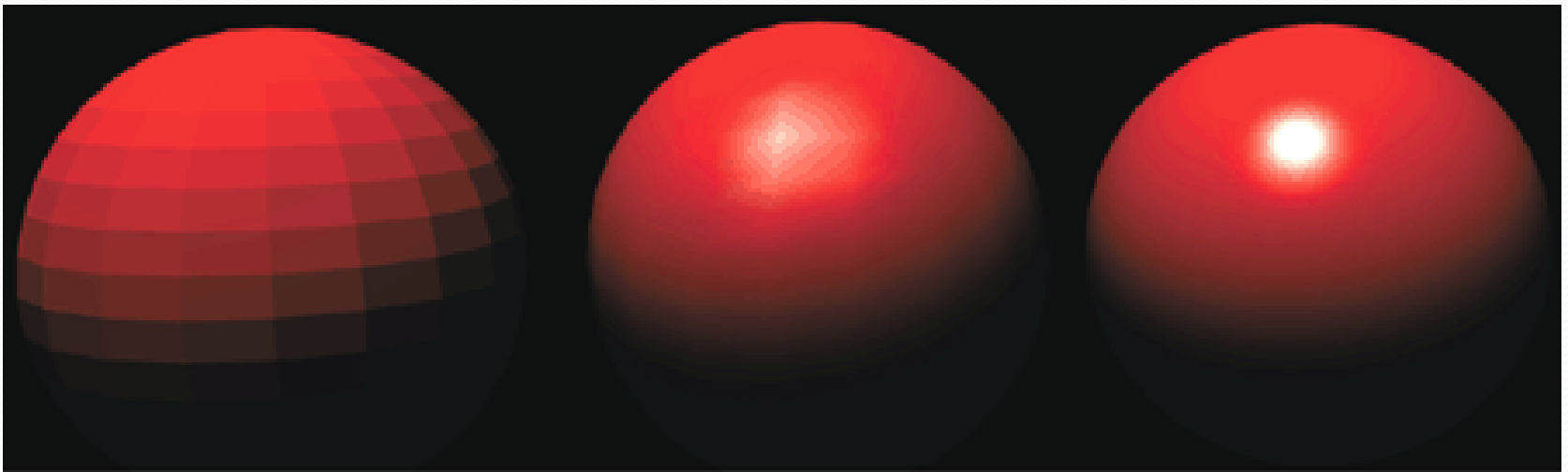


Shading



Three Types of Shading

- Flat shading
- Gouraud shading
- Phong Shading
 - (Don't mix up with Phong illumination Equation)



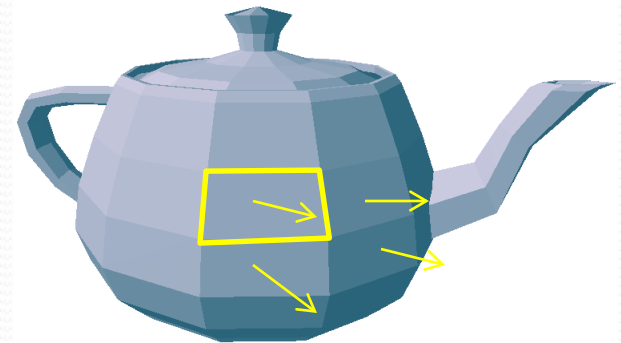
Flat

Gouraud

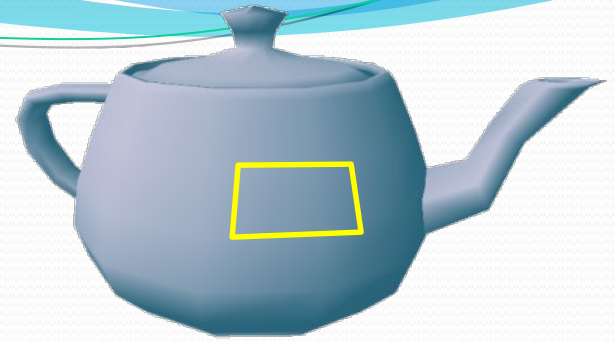
Phong

Flat Shading

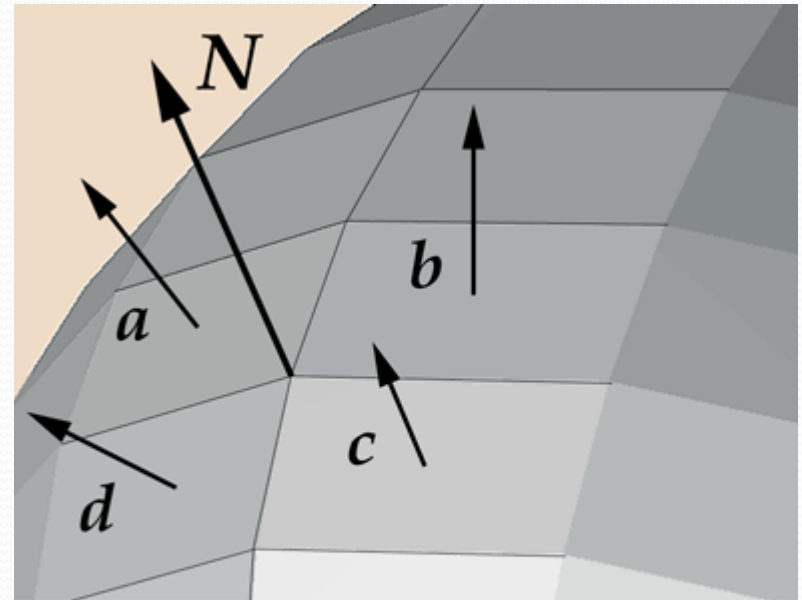
- For every polygon, we color the whole polygon with one color only
- Just pick any point on each polygon (e.g. the middle point, or a corner) and calculate its color by the normal of the polygon with PIE, and use this color for the whole polygon
- Distinctive color difference between each neighboring polygons
- OpenGL:
 - `glShadeModel (GL_FLAT) ;`



Gouraud Shading

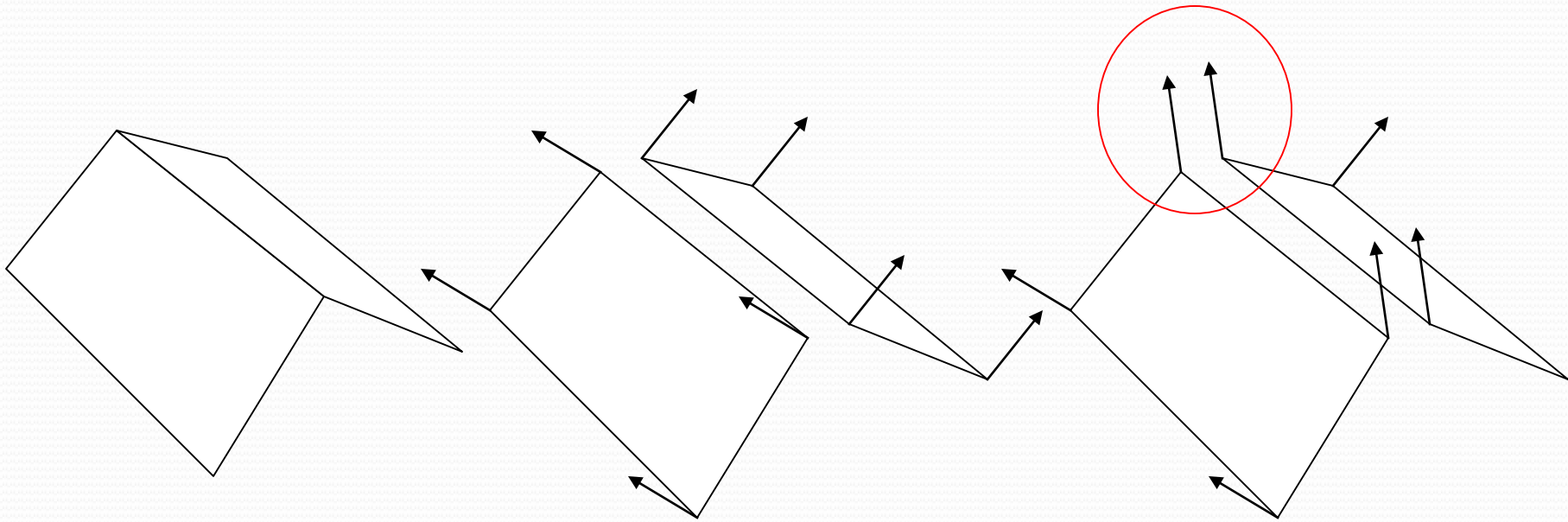


- For each **vertex**, compute the **average** normal vector of the polygons that share with the vertex
 - That's why we need to know the connectivity/topology
 - Because we need to know which are the neighboring polygon
- Then compute the average vector as the **vertex normal**

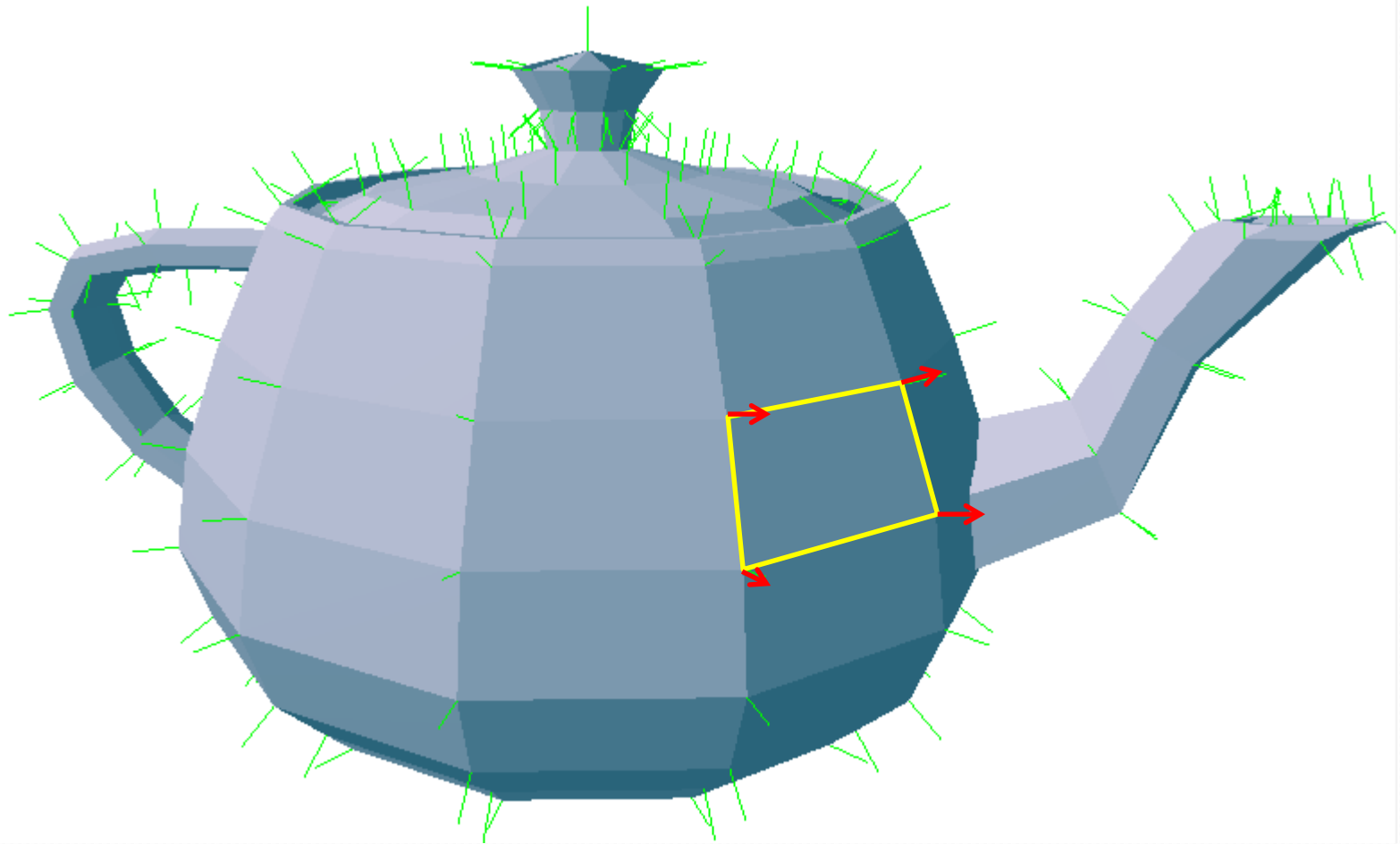


$$N = \frac{a + b + c + d}{4}$$

Normals at the shared edge

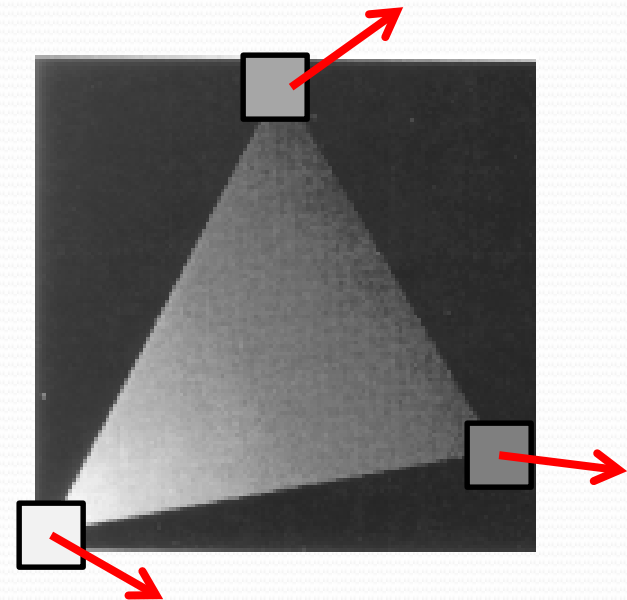


One Polygon with Different Vertex Normal Vectors

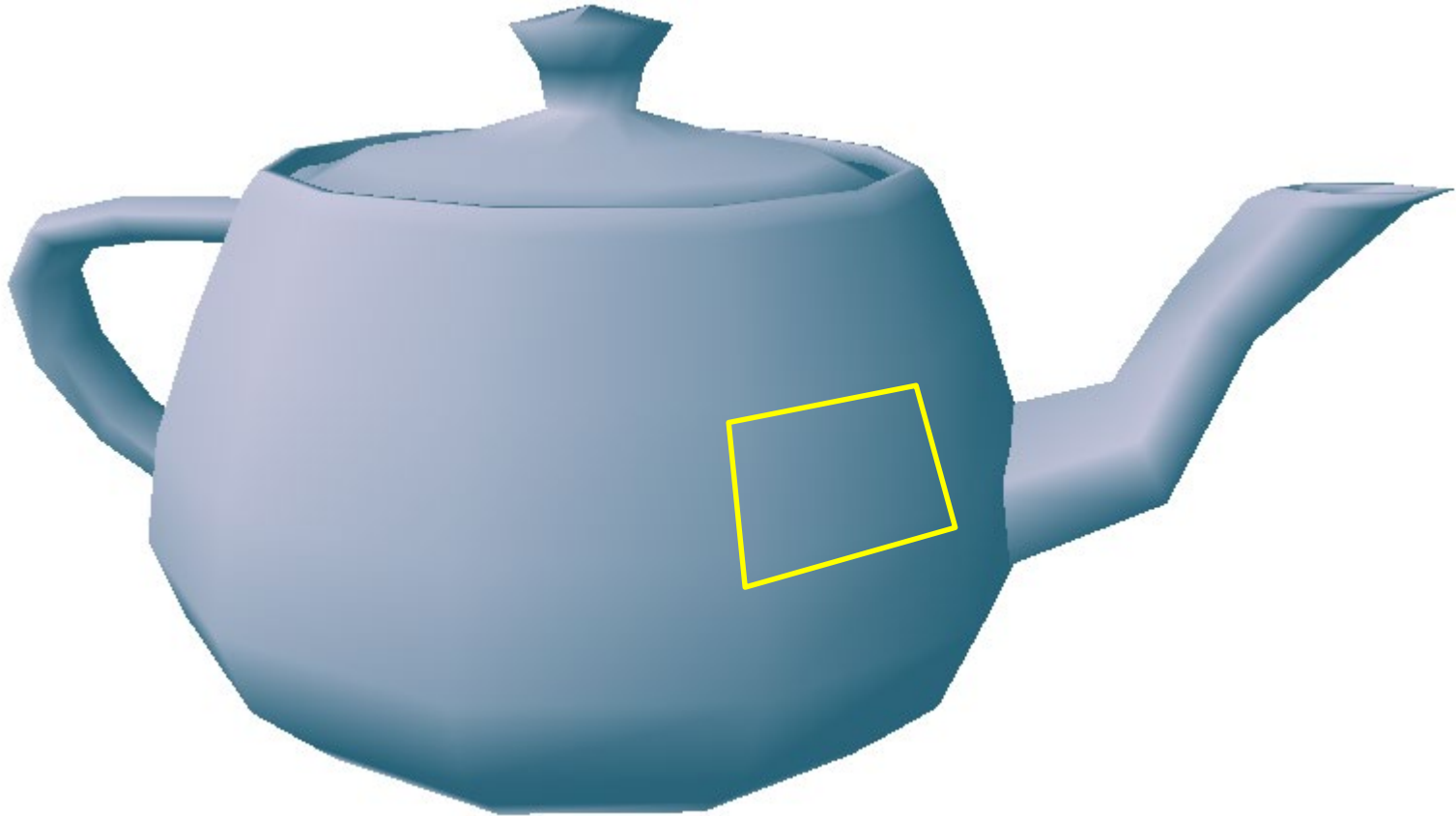


Gouraud Shading

- For each polygon, each vertex has a different vertex normal and position
 - Thus each vertex will have a different color by PIE
- Then, in SCA, interpolate the color as in Lecture 3



Gouraud Shading

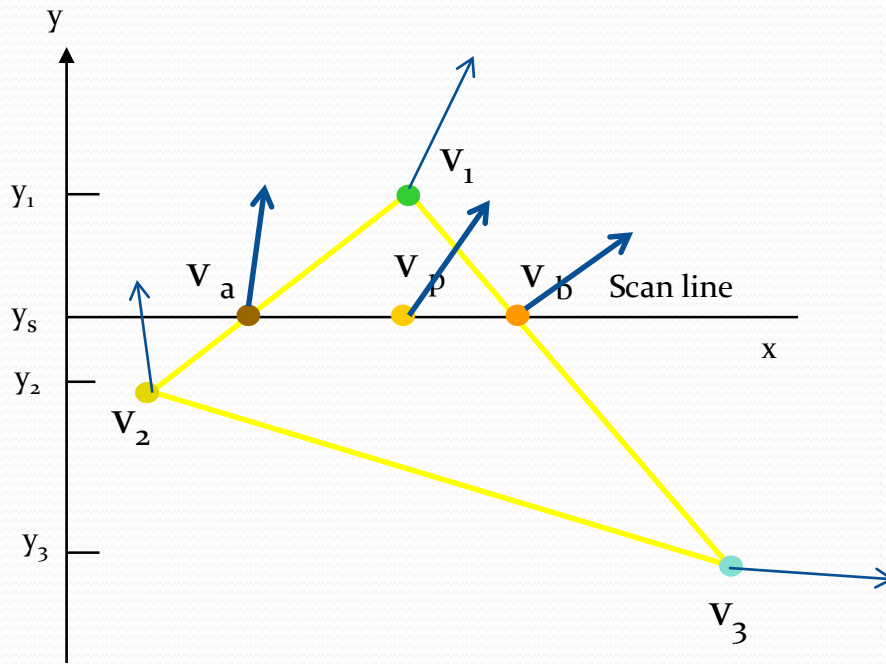


- OpenGL: `glShadeModel(GL_SMOOTH)`

Phong Shading

- Same as Gouraud Shading, every vertex of a polygon has a different vertex normal vector
- Except that, in Phong Shading, we do **NOT** compute the colors of the vertices for interpolation
- Instead, for each pixel in SCA, we interpolate the **normal vectors**
- Finally, for each pixel, we have it's screen coordinate (with z value also)
 - We inversely transform it back to the world coordinate to compute it's color by PIE

Vector Interpolation



- Then, transform p (and the normal vector v_p) back to the world coordinate to compute the color/intensity

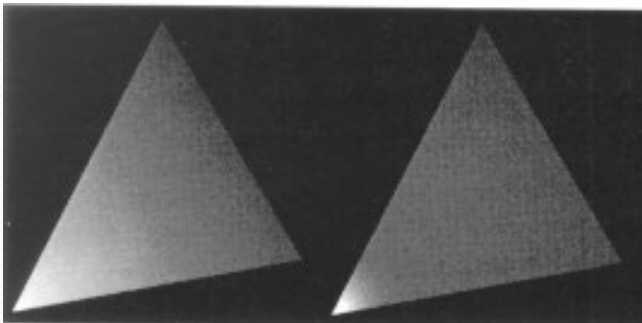
Gouraud shading



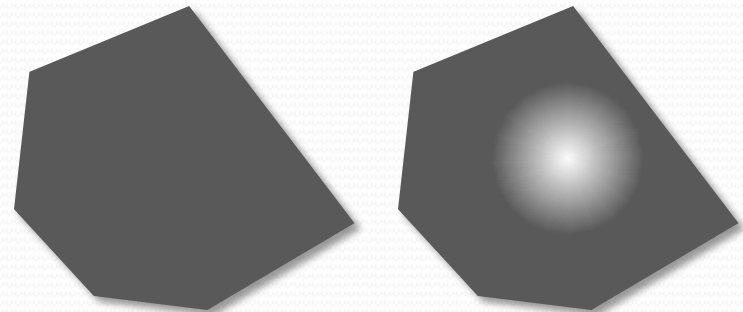
Phong shading

Gouraud vs Phong Shadings

- Highlights are produced more faithfully with Phong shading
 - Gouraud shading produces only “linear interpolation” of colors
 - Gouraud shading may even miss the highlight



Gouraud shading Phong shading



Gouraud shading Phong shading

- But... too bad, OpenGL does not support Phong Shading
 - SL does support, though...

Non-realistic Shading

Extra: Toon Shading

- Or “Cel-shading”



flatshade



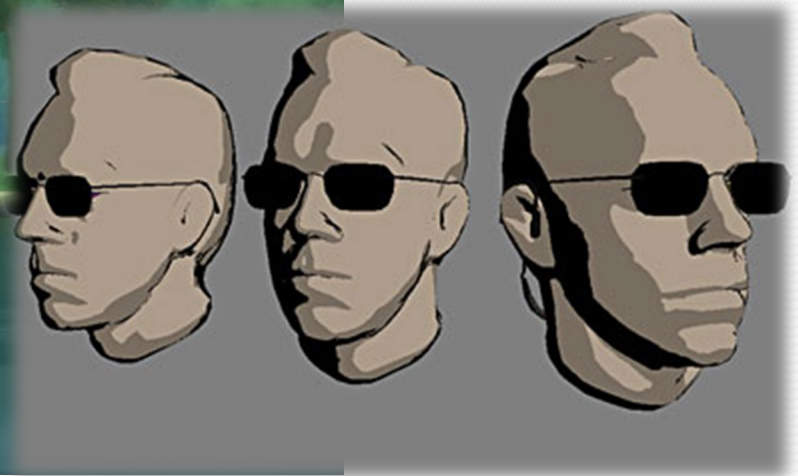
smoothshade



phongshade



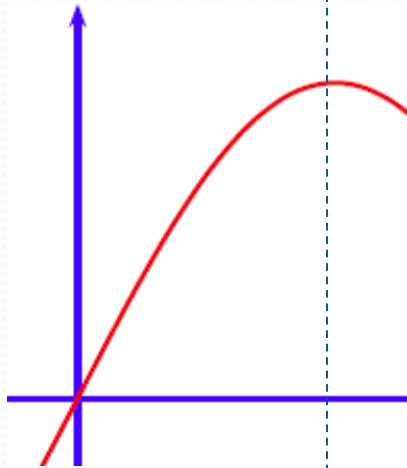
toonshade



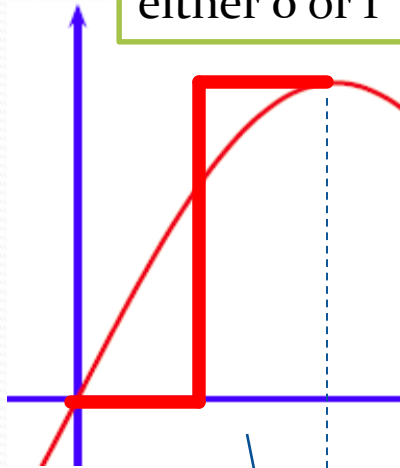
Toon Shading

$$I_{Phong} = I_a K_a + f_{att} I_p K_d (N \cdot L) + f_{att} I_p K_s (R \cdot V)^n$$

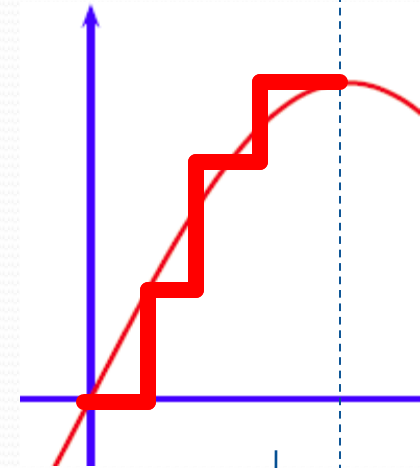
E.g. round up to
either 0 or 1



Smooth
Shading



2 Colors



3 Colors



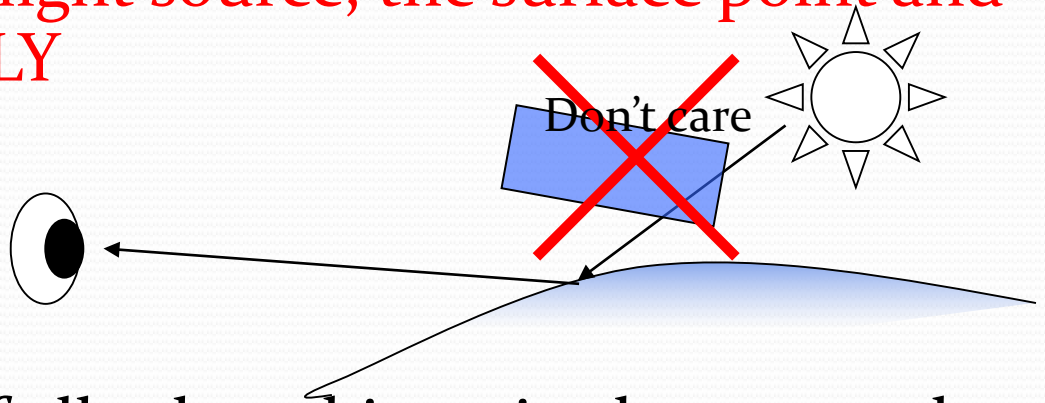
4 Colors

Problems with Interpolated Shading with Polygonal Models

- Non-global effects
 - No shadow
- Polygonal silhouette
- Orientation dependence
- Shared vertices
- Misleading vertex normals

Non-global Illumination

- ONLY consider the light source, the surface point and the viewer DIRECTLY



- Ignore the effects of all other objects in the scene when considering a particular surface element
- Trade-offs - pay a price in lost of realism for rendering speed
 - i.e. interesting light effects, e.g. shadows, inter-object
 - reflection, refraction, etc will be lost.

Global vs Non-global Illumination

- Global (Ray Tracing)
 - More photorealistic/complex
 - Compute ALL type of physical simulation of light interactions
 - Slow
 - E.g. CG movies
- Non-global (e.g. Phong Shading)
 - **ONLY consider**
 - **The light source, the surface point and the viewer DIRECTLY**
 - Faster but not so realistic
 - E.g. no shadow/reflection
 - E.g. Real time 3D games

Phong Shading

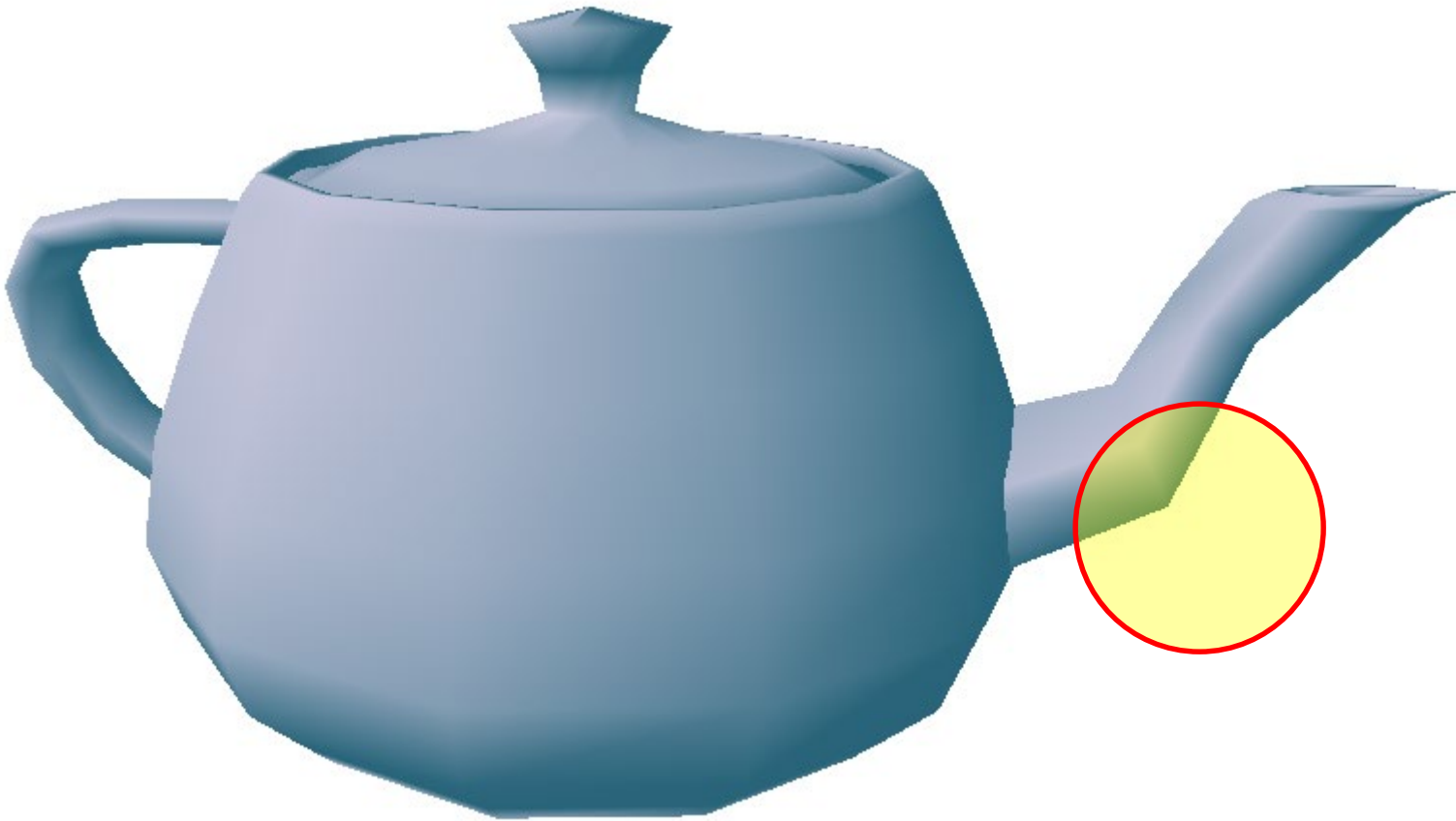


Ray Tracing



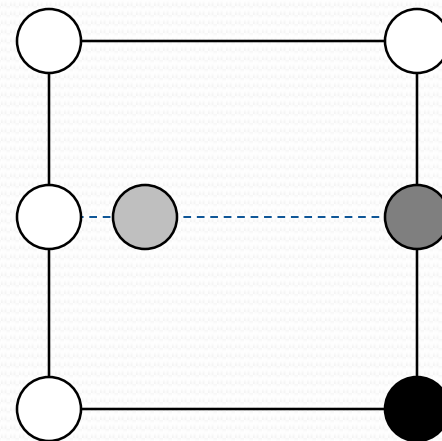
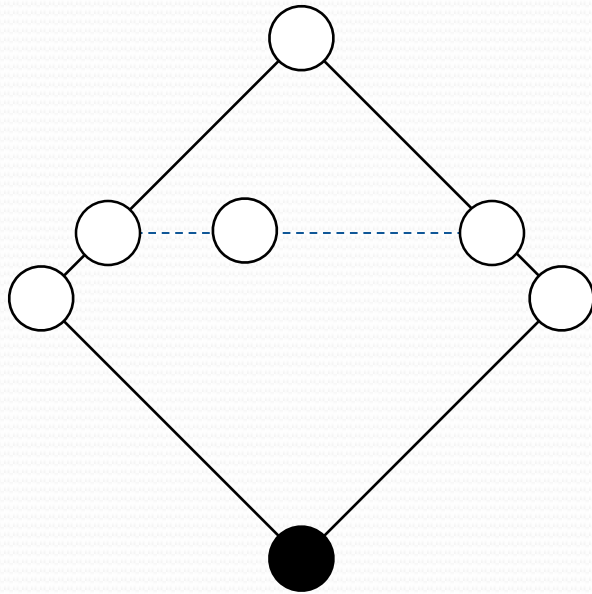


Polygonal Silhouette



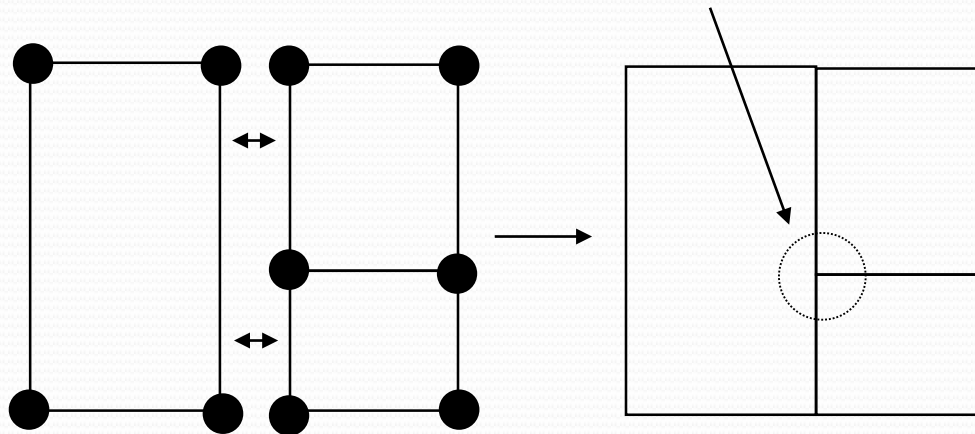
Problems with Interpolated Shading

- Orientation dependence

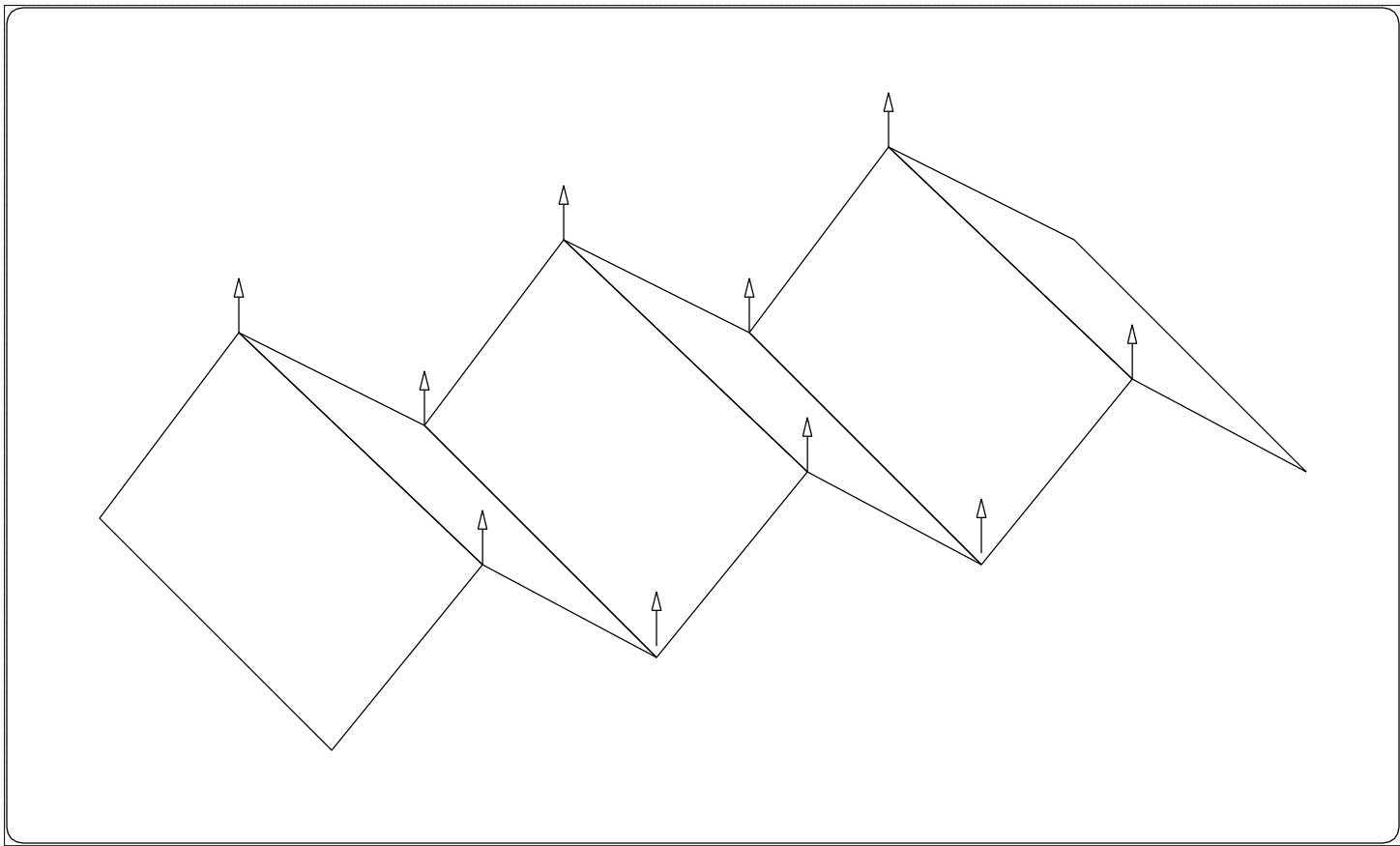


Problems with Interpolated Shading

- Shared vertices



Disadvantage (Misleading Normal Vectors)



Bump Mapping

Bump Mapping

- Similar to Texture mapping
- Instead of mapping colors onto a surface, we map distortion of normals
- More details later.....

