, language where all strings have exact middle character removed, must have had odd length to begin with, closed under FIN but not INF (can get empty set if never Using closure to prove nonregular: assume it is regular, use closure with known **Extra**: to describe language with at least 2 different substrings of length 2  $L = \{w \in \{a, b\}^* : \exists x, y(x \neq y \land |x| = 2 \land |y| = 2 \land Substr(x, w) \land Substr(y, w))\}$ regular language, result is known nonregular language, so it must be nonregular, ex:  $\#_a(w) = \#_b(w)$ , intersect with  $a^*b^*$  to get  $a^nb^n$ , intersection & complement most Finite State Machines DFSM Quintuple:  $M = (K, \Sigma, \delta, s, A), K = \text{finite set of states}, \Sigma = \text{alphabet}, \delta$ useful Octal divisible by 7: 0, 7, 16, 25, ..., true only if sum of digits divisible by 7, so states in FSM are mod 7, is regular = transition function from  $(K \times \Sigma)$  to  $K, s \in K$  = initial state,  $A \subseteq K$  = set of **Configuration**: element of  $K \times \Sigma^*$ , current state and remaining input  $a^ib^jc^k; i,j,k>=0$ , if i=1 then j=k: can change conditions to  $i\neq j$ **Yields relation**:  $|-_M$ , relates 2 configurations if M can move from the first to the second in 1 step,  $|-_M^*$  for 0 or more **Computation**: finite sequence of configurations for some  $n \geq 0$  such that  $C_0$ is an initial configuration,  $C_n$  is of the form  $(q, \varepsilon)$  for some state  $q \in K_M$  and  $C_0 | -M C_1 | -M C_2 | -M \ldots | -M C_n$ because p < k), get  $k + (\frac{k!}{p})p = k + k!$ , alternatively prove that the complement is not regular using closure  $(\neg L = a^n b^n \cup \{\text{out of order}\}, \text{ intersect with } a^*b^* \text{ to get})$ **DFSM will halt in** |w| **steps**: execute computation from  $C_0$  to  $C_n$ , each step will consume one character, so n = |w|,  $C_n$  is either accepting or rejecting configuration,  $a^n b^n$ ) so will halt after |w| steps Parity: odd if number of 1 is odd for binary string Context-Free Languages MinDFSM(M:DFSM): Rewrite system: list of rules & algorithms for applying them, match LHS of some Initialise classes with an accepting class & non-accepting class rule against some part of working string & applies it, loop until told to stop, takes system & initial string as input, if given grammar & start symbol will generate For each class with more than 1 state For each state and character check which class it goes to language CFG: no restriction on RHS but LHS must still have 1 nonterminal If behaviour differs between states split them **CFG Quadruple**:  $(V, \Sigma, R, S)$ , R is finite subset of  $(V - \Sigma) \times V$ End for End for CFL: Language is context-free iff generated by some CFG Go through all the classes again until no splitting happens Recursive: RHS can generate own LHS Each class becomes its own state, transitions already defined above Self-embedding: recursive but also includes terminals on both sides afterwards, if Number of states  $\geq$  equivalence classes in L: suppose it is less than equivalence not true then must be regular (not necessarily vice versa) Concatenation: use 2 nonterminals together in RHS, generate each separately classes, then by pigeonhole principle there must be at least 1 state that contains  ${}^{n}b^{n}: S \rightarrow aSb|\varepsilon$ strings from 2 equivalence classes, but then future behaviour on these two strings will be identical, which is not consistent with the fact that they are in different Balanced parentheses:  $S \to \varepsilon |SS|(S)$ equivalence classes  $ww^R$  (Even palindrome):  $S \to aSa|bSb|\varepsilon$  $\#_{a}(w) = \#_{b}(w)$ :  $S \to aSb|bSa|SS|\varepsilon$ Arithmetic:  $S \to E + E|E * E|(E)|id$ **NDFSM Quintuple**: replace  $\delta$  with  $\Delta$ , transition relation, finite subset of  $(K \times (\Sigma \cup \{\varepsilon\})) \times K$ NDFSM vs DFSM: can enter configuration with input symbols left but no move  $(a^{n}b^{n})^{*}$  (each region can have different n):  $S \to MS|\varepsilon, M \to aMb|\varepsilon$   $a^{n}b^{m}: n \neq m$ :  $S \to A, B$  (more a than b, more b than a),  $A \to a, aA, aAb$  (at available (halt without accepting), can enter configuration from which 2 or more competing transitions available ( $\varepsilon$ -transition, more than 1 transition for single input eps(q):  $\{p \in K : (q, w)| -_{M}^{*}(p, w)\}$ , closure of  $\{q\}$  under relation  $\{(p, r)$ : there is a transition  $(p, \varepsilon, r) \in \Delta\}$ , to calculate initialise  $result = \{q\}$ , add all transitions  $(p, \varepsilon, r) \in \Delta$  where  $p \in result, r \notin result$  to result, then return result ndfsmtodfsm(M: NDFSM): no changes, remove unproductive Removing unreachable rules: mark start as reachable & all others as not, if LHS Compute eps(a) for each state q, s' = eps(s) (initial state) Set  $active\text{-states} = \{s'\}$  (set of set of states) and  $\delta' = \varnothing$ reachable mark all nonterminals in RHS as reachable, loop until no changes, remove unreachable While  $\exists Q \in active\text{-states}$  for which  $\delta'$  has not been computed //computing  $\delta'$ Parse trees: leaf nodes are terminals except  $\varepsilon$ , root is start, all others are nonter-For each  $c \in \Sigma_M$ Set new- $state = \emptyset$ Branching factor: longest RHS length For each state  $q \in Q$ Generative capacity: weak (set of strings), strong (set of parse trees) For each state  $p:(q,c,p)\in\Delta$ Set  $new\text{-state}=new\text{-state}\cup eps(p)$ Ambiguity: multiple parse trees for 1 string, can come from different operator first in arithmetic or from rules  $S \to SS|\varepsilon$ , even if only 1 S needed can generate multiple End for & nullify Add (Q, c, new-state) to  $\delta'$ , if  $new\text{-}state \notin active\text{-}states$  insert it Reducing ambiguity: remove null rules, recursive rules with symmetric RHS & End for ambiguous optional postfix (ex: dangling else, can attach to inner or outer if) Removing null rules: mark all nonterminals in null rules as nullable, if any rule End for End while has nullable RHS then mark LHS as nullable, then for all modifiable rules (RHS has Set K' = active-states and  $A' = \{A \in K' : Q \cap A \neq \emptyset\}$ Extra: when making FSM may start with complement Regular expressions Removing symmetric rules: force branching to 1 direction, replace  $S \to SS$  with  $\overline{\varnothing,\varepsilon,}$  every element of  $\Sigma,$  if  $\alpha,\beta$  are regex then so are  $\alpha\beta,\alpha$   $\cup$  $\rightarrow SS_1|S_1$  for left branching, then make  $S_1$  do what S originally did  $\beta, \alpha^*, \alpha^+, (\alpha)$ , no actual need for rules for  $\varepsilon$  and  $\alpha^*$ Order of operations: Kleene star, concatenation, union (high to low) Chomsky Normal Form FSM & Regex equivalence: can create FSM to accept regex (do so for each rule), algorithm exists for other way fsmtoregexheuristic(M:FSM): remove unreachable states, if no accepting states return  $\varnothing$ , if start state part of loop create new start state s & connect s to elements of F than C CNF: RHS has 1 terminal or 2 nonterminals, parsers can use binary trees, exact original start via  $\varepsilon$ -transition, if multiple accepting states create new accepting state & connect old ones to new with  $\varepsilon$ -transition, if only 1 state return  $\varepsilon$ , rip out all states other than start & accept, return regex length of derivations known (|w|-1 applications of nonterminal rules & |w| applications of terminal rules) fsmtoregex(M : FSM): buildregex(standardize(M))mixed rules (RHS length  $\iota$  1 & has terminal), remove long rules (length  $\iota$  2) **Remove unit productions**: pick one  $X \to Y$ , remove, for every rule  $Y \to \dots$  make standardize(M:FSM): remove unreachable states, create start & accepting if needed (from heuristic), if multiple transitions exist between 2 states collapse, create any missing transitions with Ø new rule  $X \to \dots$  unless it has already been removed once buildregex(M:FSM): Remove mixed rules: make new nonterminal  $T_a$  for each terminal a, for all quali-

Regular Languages & Grammar equivalence: construction, both ways grammartofsm(G): create separate state for each nonterminal, start state if rule exist with single terminal RHS create new state labeled #, for each rule  $X \to aY$  create transition from X to Y labeled a, if  $X \to a$  go to #, if  $X \to \varepsilon$  mark as accept, mark state # as accept, if undefined (state, input) pairs remaining point

them to dead state & add loops to dead state for each character

 $R(p,rip)\hat{R}(rip,rip)^*R(rip,q)$ , either direct or via rip), then remove rip

If both p & q are not rip compute new transition  $(R'(p,q) = R(p,q) \cup$ 

**Quadruple:**  $(V, \Sigma, R, S)$ , V = rule alphabet, both nonterminals & terminals,  $\Sigma =$  terminals,  $\subseteq V$ , R = finite set of rules, LHS single nonterminal, RHS is  $\varepsilon$ , single terminal or single terminal + single nonterminal, S = nonterminal

no accepting return Ø, if only 1 state return

While states exist that are not start or accepting

Select some state rip

End while Return final regex Regular grammar

For every transition from p to q

induction, pigeonhole principle, proving cardinality, diagonalization Languages, Strings
Alphabet: finite set of symbols

Replication:  $w^0 = \varepsilon$ ,  $w^{i+1} = w^i w$ Reverse:  $w^R = w = \varepsilon$  if |w| = 0, else  $\exists a \in \Sigma$  and  $\exists u \in \Sigma^*$  such that w = ua, then

define  $w^R = au^R$  ( $wx)^R = x^rw^r$ : induction on |x|, base case |x| = 0 so  $x = \varepsilon$ , consider any string

x where |x| = n + 1, then x = ua for some character a and |u| = n, so  $(wx)^R = n$ 

 $\Sigma^*$ : countably infinite with non-empty alphabet, enumerate with lexicographic order

 $\begin{array}{l} \boldsymbol{L_1L_2}\colon \{w\in \Sigma^*: \exists s\in L_1(\exists t\in L_2(w=st))\}\\ \boldsymbol{L^*}\colon \{\varepsilon\}\cup \{w\in \Sigma^*: \exists k\geq 1(\exists w_1,w_2,\ldots w_k\in L(w=w_1w_2\ldots w_k))\} \text{ or }\\ L^0\cup L^1\cup L^2\cup\ldots \end{array}$ 

 $L^{\circ} \cup L^{\circ} \cup L^{\circ} \cup \dots$   $L^{+} : LL^{*} \circ L^{*} - \{\varepsilon\} \text{ iff } \varepsilon \notin L \text{ or } L^{0} \cup L^{1} \cup L^{2} \cup \dots$   $(L_{1}L_{2})^{R} = L_{2}^{R}L_{1}^{R} : \forall x(\forall y((xy)^{R} = y^{R}x^{R})) \text{ from before, then } (L_{1}L_{2})^{R} = \{(xy)^{R} : x \in L_{1} \text{ and } y \in L_{2}\} = L_{2}^{R}L_{1}^{R}$   $\vdots : x \in L_{1} \text{ and } y \in L_{2}\} = \{y^{R}x^{R} : x \in L_{1} \text{ and } y \in L_{2}\} = L_{2}^{R}L_{1}^{R}$ 

Machine power hierarchy: FSM (regular), PDA (context-free), TM (semi-decidable & decidable)

Rule of least power: use least powerful language suitable for expressing info,

firstchars(L):  $\{w : \exists y \in L(y = cx \land c \in \Sigma_L \land x \in \Sigma_L^* \land w \in c^*)\}$ , closed under FIN but not INF (since result is first character \*)

Decision problem: problem to which answer is yes/no or true/false

 $(w(ua))^R = (wu)a^R = a(wu)^R = a(u^Rw^R) = (au^R)w^R = (ua)^Rw^R = x^Rw^R$ Language: set of strings (finite/infinite) from alphabet, uncountably infinite num-

String: finite sequence of symbols from alphabet Replication:  $w^0 = \varepsilon, w^{i+1} = w^i w$ 

Decision procedure: answers decision problem

ber of these (power set of  $\Sigma^*$ )

constraints or programs on WWW

 $w = x_1 x_2$ 

Nonregular languages Number of regular languages: countably infinite, upper bound is number of FSM/regex, lower bound is every element of  $a^+$  as its own language Every finite language is regular: union them all Show that L is regular: finite, FSM, regex, finite equivalence classes, regular grammar, closure theorems

Closure properties: union, concatenation, Kleene star, complement (swap accept & not, need all transitions explicitly, dead states & DFSM), intersection  $\neg (\neg L_1 \cup \neg L_2)$ , De Morgan's law), difference  $(L_1 \cap \neg L_2)$ , reverse (turn start to accept, create new start connected by  $\varepsilon$  to accepting states, flip transitions), letter

chop(L):  $\{w : \exists x \in L(x = x_1cx_2, x_1 \in \Sigma_L^*, x_2 \in \Sigma_L^*, c \in \Sigma_L, |x_1| = |x_2|, \text{ and } x \in \Sigma_L^*, c \in \Sigma_L^*, c$ substitution ( $letsub(L_1) = \{w \in \Sigma_2^* : \exists y \in L_1 \land w = y \text{ except every character } c \text{ of } y \text{ is replaced by } sub(c)\}$ ,  $sub = \text{function from } \Sigma_1 \text{ to } \Sigma_2^*)$  **Pumping theorem:**  $\exists k \geq 1 (\forall \text{strings } w \in L, \text{where} |w| \geq k (\exists x, y, z(w = xyz, |xy| \leq L, w))$  $k, y \neq \varepsilon, \forall q \geq 0(xy^q z \text{ is in L})))$ 

all strings with length  $\geq 1$  is pumpable, so need to intersect with  $ab^{*}c^{*}$ , so i=1 guaranteed and results in  $ab^{j}c^{k}$ ;  $j, k>0 \land j=k$ , then use pumping theorem  $a^{i}b^{j}$ ,  $i\neq j$ : must use  $a^{k}b^{k+k!}$ , if only use  $a^{k}b^{k+1}$  can just pump 2 a at a time to skip the equal part,  $y=a^{p}$  for some nonzero p, pump in  $\frac{k!}{p}$  times (must be integer

least one extra generated),  $B \rightarrow b, Bb, aBb$   $a^{m}b^{m}c^{p}d^{q}: m+n=p+q: S \rightarrow aSd|T|U, T \rightarrow aTc|V, U \rightarrow bUd|V, V \rightarrow bVc|\varepsilon$  Removing unproductive rules: mark every nonterminal as productive & every terminal as productive, if RHS all productive then mark LHS productive, loop until

at least 1 nullable) add new rules containing variant without nullable, remove null

**Operator order**: if parsed first (ex: in arithmetic,  $E \to E + T$ ) then lowest priority

Normal form: for set C of data is a set of syntactically valid objects, for every element of C there is an equivalent element in F with respect to some set of tasks (possibly except finite exceptions), and at least some tasks are easier to perform on

Conversion to CNF: remove null rules, remove unit productions  $(A \to B)$ , remove

fying rules substitute terminals for new nonterminals, then add rules  $T_a \rightarrow a$  for all

Remove long rules: chain them, ex:  $A \to BCDE$  becomes  $A \to BX_1, X_1 \to CX_2, X_2 \to DE$ 

Pushdown automaton

Sixtuple:  $(K, \Sigma, \Gamma, \Delta, s, A)$ ,  $\Gamma$  is stack alphabet,  $\Delta$  is transition relation, finite subset of  $(K \times (\Sigma \cup \{\varepsilon\}) \times \Gamma^*) \times (K \times \Gamma^*)$ , state, input, pop, state, push Configuration: element of  $K \times \Sigma^* \times \Gamma^*$ , initial is  $(s, w, \varepsilon)$ 

Top of stack: leftmost character

Accepting: in accepting state, stack & input empty Transitions: input/pop/push

 $a^nb^n$ : push all a, when see b start popping a for every b, for 2n on b push 2 a for every a in input

Balanced parentheses: push opening, pop opening for every closing  $wcw^{R}$ : push everything before c, after pop matching

Even palindrome: like above, but nondeterministically decide where the middle is instead of using c ( $\varepsilon/\varepsilon/\varepsilon$ )

 $a^m b^n : m \neq n$ : start with equal, if stack & input empty reject, if leftover detected in either stack or input clear & accept, still need to be sure of order

 $\neg a^n b^n c^n$ : out of order,  $i \neq j, j \neq k$  (last two is just unequal a, b, c)

**Deterministic**: iff  $\Delta_M$  contains no pairs of transitions that complete with each other & whenever M is in accepting configuration never forced to choose between accept & continue (via  $\varepsilon$ -transition with no popping)

Reduce nondeterminism: use # as bottom of stack marker & \$ as end of string

CFG to PDA top down: 2 states, start at p, q accepting, from p to q push start symbol, loop on q for each rule pop LHS and push RHS, for each terminal pop

CFG to PDA bottom up: 2 states, start at p, q accepting, from p to q pop start symbol, loop on p for each rule pop reverse RHS and push LHS (reduce), for each terminal push (shift)

terminal push (shift)

Pumping lemma for CF

Show CF: create CFG, PDA, use closure

Definition:  $\exists k \geq 1 (\forall \text{strings } w \in L, \text{ where } |w| \geq k (\exists u, v, x, y, z (w = uvxyz, vy \neq \varepsilon, |vxy| \leq k, \forall q \geq 0 (uv^q xy^q z \text{ is in L }))))$ Closures: union (CFG with 2 possible paths), concatenation (above), Kleene star (let start repeat or  $\varepsilon$ ), reverse (convert to CNF, flip nonterminal rules), interection with D  $\frac{1}{2} \frac{1}{2} \frac{$ with R, difference with R Intersection: try  $a^nb^nc^m \cap a^mb^nc^n$ , get  $a^nb^nc^n$ , 2 originals CF but result not, but

intersection of CF & R is CF (do it same way as intersection of R, works since only 1 stack needed) Complement:  $a^nb^nc^n$  Difference:  $\neg L = \Sigma^* - L$ ,  $\Sigma^*$  is CF, if closed under difference would be

closed under complement, but just proved latter is false, but works for CF & R  $(L_1 - L_2 = L_1 \cap \neg L_2)$ 

 $(L_1 - L_2 = L_1 \cap \neg L_2)$  ww: intersect with R, so  $L' = L \cap a^*b^*a^*b^*$  to restrict form, then use pumping lemma on L', if L is CF then L' must be CF but it is not Deterministic CF Closures: complement but not union & intersection Proof that nondeterministic CFL exists: complement of  $a^ib^jc^k$ :  $i \neq j \lor j \neq k$  is

**Proof that nondeterministic CFL exists**: complement of  $a^ib^jc^k: i \neq j \lor j \neq k$  is  $a^nb^nc^n \cup$  out of order, but then intersecting with  $a^*b^*c^*$  returns  $a^nb^nc^n$ , so original is CF but not DCF

Turing machine

Sixtuple:  $(K, \Sigma, \Gamma, \delta, s, H)$ ,  $\Sigma$  does not contain  $\square$ ,  $\Gamma$  must contain  $\square$  & have  $\Sigma$  as subset,  $H \subseteq K$  is set of halting states,  $\delta$  is transition function  $(K - H) \times \Gamma$  to  $K \times \Gamma \times \{\rightarrow, \leftarrow\}$ 

**Transition action:** go to next state, write, move head **Convert**  $a^ib^j$ ,  $0 \le j \le i$  **to**  $a^nb^n$ : mark a with \$, find next b, if found mark with # & search for next pair, else write # & search for unmarked a, finally replace \$ to a & # to b

Configuration:  $K \times ((\Gamma - \{\Box\})\Gamma^*) \times \Gamma \times (\Gamma^*(\Gamma - \{\Box\})) \cup \{\varepsilon\}$ , state, active tape up to scanned square, scanned square, all active tape after scanned square

Start head position: before input

**Macros**:  $M_x$  writes x, L/R moves left/right once, h halts, y accepts, n rejects,

 $x \leftarrow a, b$  label in transition means if read either then assign to variable x & continue, if part of L/R then find first one & store,  $L/R_x$  find first x to left/right of current Convert  $w \in \{1\}^*$  to  $w^3$ : mark each 1 with #, go to end, put 2 #, repeat until no 1, then convert all # to 1

Convert  $u \square w$  to uw: start from middle blank, for each character in 2nd half store

in variable, write blank in original spot, write variable to the left  $a^nb^nc^n$ : for each a mark, then mark corresponding b & c, if no more a verify no

leftover b or c, also verify order of characters wcw: look at each character from 1st half & compare to corresponding 2nd half

using variables

 $b^*a(a \cup b)^*$ : at least 1 a, b can come anywhere, so just scan for a **Computing**: TM computes a function iff for all w in alphabet & w is an input on

which f is defined M(w) = f(w), otherwise it does not halt, output of function is everything after head

Computable/Recursive: iff TM exists that computes it and always halts

Copy machine: for each character store in variable, write blank,  $R_{\square}$  2x (skip middle blank & get to end of 2nd part), write variable, go back to start of 1st part & write variable back

Binary +1: go to end, flip all successive 1s at the end to 0s, then behind all the flipped bits write 1

f(w) = ww: copy, then shift 2nd part back

Busy beaver functions: S(n) is max steps executed by TM (with tape alphabet blank & 1, halt on blank tape) with n non-halting states when started on blank tape before halting,  $\Sigma(n)$  is max number of 1s left on tape by TM with n non-halting

Simulating multi-tape TM on single tape:  $O(n \times (|w| + n)) = O(n^2)$  assuming that  $n \geq w$ , n is number of passes

Nondeterministic quintuple:  $(K, \Sigma, \Gamma, \Delta, s, H)$ ,  $\Delta$  is subset of  $((K - H) \times \Gamma) \times (K - H)$  $(K \times \Gamma \times \{\rightarrow, \leftarrow\})$ 

2 of at least 1 letter: nondeterministically select 1 letter, then see if there are two of them

Week 10:

Simulating real computer:

Tapes: memory, program counter, address register, accumulator, current instruction opcode, input, output

Formats: memory is series of (address, value) pairs separated by delimiters, instruction is series of instruction number, 4-bit opcode & address (no need to separate last

Algorithm: Move input string to input file, initialise PC to 0, loop (scan memory for instruction matching PC, copy opcode to current instruction, copy address to address register, PC+1, scan memory for address in address register (operand), if load copy memory to accumulator, if add add operand to accumulator, if jump copy address register to PC, etc) Performance:  $O(n^3)$ ,  $O(n^6)$  on single tape

Encoding TM:

States: number them from 0 to |K|-1 in binary, use smallest number of digits that fits, 0 for start, prefix with y for accept, n for reject, h for halt, q else

Tape alphabet: prefix with a, same numbering as states, 0 is blank

Transitions: (state, input, state, output, move), special case for start state that

Final: list of transitions

Universal TM: on input iM,  $w_i$ , U must halt iff M halts on w, if M is deciding/semideciding then must also accept/reject accordingly, if M computes function then  $U(M, w_i)$  must equal M(w)

Running UTM: Tapes: M's tape,  $|M_{\tilde{L}}|$  (program to run), encoding of M's state (program counter) Initialisation: copy  $|M|_{\tilde{b}}$  to program tape, write 1st state to state tape

Simulation: scan program for quintuple that matches current (state, input) pair, perform associated action, if no matching quintuple halt, finally report result

Church-Turing Thesis: all formalisms powerful enough to describe everything we think of as computational algorithm are equivalent