

**Proof techniques:** construction, contradiction, counterexample, case enumeration, induction, pigeonhole principle, proving cardinality, diagonalization

**Languages, Strings**

**Alphabet:** finite set of symbols

**String:** finite sequence of symbols from alphabet

**Replication:**  $w^0 = \varepsilon, w^{i+1} = w^i w$

**Reverse:**  $w^R = w = \varepsilon$  if  $|w| = 0$ , else  $\exists a \in \Sigma$  and  $\exists u \in \Sigma^*$  such that  $w = ua$ , then define  $w^R = au^R$

**$(wx)^R = x^R w^R$ :** induction on  $|x|$ , base case  $|x| = 0$  so  $x = \varepsilon$ , consider any string  $x$  where  $|x| = n + 1$ , then  $x = ua$  for some character  $a$  and  $|u| = n$ , so  $(wx)^R = (w(ua))^R = (wu)a^R = a(wu)^R = a(u^R w^R) = (au^R)w^R = (ua)^R w^R = x^R w^R$

**Language:** set of strings (finite/infinite) from alphabet, uncountably infinite number of these (power set of  $\Sigma^*$ )

**$\Sigma^*$ :** countably infinite with non-empty alphabet, enumerate with lexicographic order

**$L_1 L_2$ :**  $\{w \in \Sigma^* : \exists s \in L_1 (\exists t \in L_2 (w = st))\}$

**$L^*$ :**  $\{\varepsilon\} \cup \{w \in \Sigma^* : \exists k \geq 1 (\exists w_1, w_2, \dots, w_k \in L (w = w_1 w_2 \dots w_k))\}$  or  $L^0 \cup L^1 \cup L^2 \cup \dots$

**$L^+$ :**  $LL^*$  or  $L^* - \{\varepsilon\}$  iff  $\varepsilon \notin L$  or  $L^0 \cup L^1 \cup L^2 \cup \dots$

**$(L_1 L_2)^R = L_2^R L_1^R$ :**  $\forall x (\forall y ((xy)^R = y^R x^R))$  from before, then  $(L_1 L_2)^R = \{(xy)^R : x \in L_1 \text{ and } y \in L_2\} = \{y^R x^R : x \in L_1 \text{ and } y \in L_2\} = L_2^R L_1^R$

**Decision problem:** problem to which answer is yes/no or true/false

**Decision procedure:** answers decision problem

**Machine power hierarchy:** FSM (regular), PDA (context-free), TM (semi-decidable & decidable)

**Rule of least power:** use least powerful language suitable for expressing info, constraints or programs on WWW

**firstchars(L):**  $\{w : \exists y \in L (y = cx \wedge c \in \Sigma_L \wedge x \in \Sigma_L^* \wedge w \in c^*)\}$ , closed under FIN but not INF (since result is first character  $^*$ )

**chop(L):**  $\{w : \exists x \in L (x = x_1 c x_2, x_1 \in \Sigma_L^*, x_2 \in \Sigma_L^*, c \in \Sigma_L, |x_1| = |x_2|, \text{ and } w = x_1 x_2)\}$

**Language** where all strings have exact middle character removed, must have had odd length to begin with, closed under FIN but not INF (can get empty set if never odd length)

**Extra:** to describe language with at least 2 different substrings of length 2  $L = \{w \in \{a, b\}^* : \exists x, y (x \neq y \wedge |x| = 2 \wedge |y| = 2 \wedge \text{Substr}(x, w) \wedge \text{Substr}(y, w))\}$

**Finite State Machines**

**DFSM Quintuple:**  $M = (K, \Sigma, \delta, s, A)$ ,  $K$  = finite set of states,  $\Sigma$  = alphabet,  $\delta$  = transition function from  $(K \times \Sigma)$  to  $K$ ,  $s \in K$  = initial state,  $A \subseteq K$  = set of accepting states

**Configuration:** element of  $K \times \Sigma^*$ , current state and remaining input

**Yields relation:**  $|-_M$ , relates 2 configurations if  $M$  can move from the first to the second in 1 step,  $|-_M^*$  for 0 or more

**Computation:** finite sequence of configurations for some  $n \geq 0$  such that  $C_0$  is an initial configuration,  $C_n$  is of the form  $(q, \varepsilon)$  for some state  $q \in K_M$  and  $C_0 |-_M C_1 |-_M C_2 |-_M \dots |-_M C_n$

**DFSM will halt in  $|w|$  steps:** execute computation from  $C_0$  to  $C_n$ , each step will consume one character, so  $n = |w|$ ,  $C_n$  is either accepting or rejecting configuration, so will halt after  $|w|$  steps

**Parity:** odd if number of 1 is odd for binary string

**MinDFSM( $M : DFSM$ ):**

Initialise classes with an accepting class & non-accepting class

For each class with more than 1 state

For each state and character check which class it goes to

If behaviour differs between states split them

End for

End for

Go through all the classes again until no splitting happens

Each class becomes its own state, transitions already defined above

**Number of states  $\geq$  equivalence classes in L:** suppose it is less than equivalence classes, then by pigeonhole principle there must be at least 1 state that contains strings from 2 equivalence classes, but then future behaviour on these two strings will be identical, which is not consistent with the fact that they are in different equivalence classes

**NDFSM Quintuple:** replace  $\delta$  with  $\Delta$ , transition relation, finite subset of  $(K \times (\Sigma \cup \{\varepsilon\})) \times K$

**NDFSM vs DFSM:** can enter configuration with input symbols left but no move available (halt without accepting), can enter configuration from which 2 or more competing transitions available ( $\varepsilon$ -transition, more than 1 transition for single input character)

**eps(q):**  $\{p \in K : (q, w) |-_M^* (p, w)\}$ , closure of  $\{q\}$  under relation  $\{(p, r) : \text{there is a transition } (p, \varepsilon, r) \in \Delta\}$ , to calculate initialise  $result = \{q\}$ , add all transitions  $(p, \varepsilon, r) \in \Delta$  where  $p \in result, r \notin result$ , then return  $result$

**ndfsmtodfsm( $M : NDFSM$ ):**

Compute  $eps(q)$  for each state  $q, s' = eps(s)$  (initial state)

Set  $active\text{-}states = \{s'\}$  (set of set of states) and  $\delta' = \emptyset$

While  $\exists Q \in active\text{-}states$  for which  $\delta'$  has not been computed //computing  $\delta'$

For each  $c \in \Sigma_M$

Set  $new\text{-}state = \emptyset$

For each state  $q \in Q$

For each state  $p : (q, c, p) \in \Delta$

Set  $new\text{-}state = new\text{-}state \cup eps(p)$

End for

Add  $(Q, c, new\text{-}state)$  to  $\delta'$ , if  $new\text{-}state \notin active\text{-}states$  insert it

End for

End for

End while

Set  $K' = active\text{-}states$  and  $A' = \{A \in K' : Q \cap A \neq \emptyset\}$

**Extra:** when making FSM may start with complement

**Regular expressions**

**Allowable:**  $\emptyset, \varepsilon$ , every element of  $\Sigma$ , if  $\alpha, \beta$  are regex then so are  $\alpha\beta, \alpha \cup \beta, \alpha^*, \alpha^+, (\alpha)$ , no actual need for rules for  $\varepsilon$  and  $\alpha^*$

**Order of operations:** Kleene star, concatenation, union (high to low)

**FSM & Regex equivalence:** can create FSM to accept regex (do so for each rule), algorithm exists for other way

**fsmtoregexheuristic( $M : FSM$ ):** remove unreachable states, if no accepting states return  $\emptyset$ , if start state, part of loop create new start state  $s$  & connect  $s$  to original start via  $\varepsilon$ -transition, if multiple accepting states create new accepting state & connect old ones to new with  $\varepsilon$ -transition, if only 1 state return  $\varepsilon$ , rip out all

states other than start & accept, return regex

**fsmtoregex( $M : FSM$ ):**  $buildregex(standardize(M))$

**standardize( $M : FSM$ ):** remove unreachable states, create start & accepting if needed (from heuristic), if multiple transitions exist between 2 states collapse, create any missing transitions with  $\emptyset$

**buildregex( $M : FSM$ ):**

If no accepting return  $\emptyset$ , if only 1 state return  $\varepsilon$

While states exist that are not start or accepting

Select some state  $rip$

For every transition from  $p$  to  $q$

If both  $p$  &  $q$  are not  $rip$  compute new transition  $(R'(p, q) = R(p, q) \cup R(p, rip)R(rip, rip)^*R(rip, q)$ , either direct or via  $rip$ ), then remove  $rip$

End for

End while

Return final regex

**Regular grammar**

**Quadruple:**  $(V, \Sigma, R, S)$ ,  $V$  = rule alphabet, both nonterminals & terminals,  $\Sigma$  = terminals,  $\subseteq V$ ,  $R$  = finite set of rules, LHS single nonterminal, RHS is  $\varepsilon$ , single terminal or single terminal + single nonterminal,  $S$  = nonterminal

**Regular Languages & Grammar equivalence:** construction, both ways

**grammartofsm( $G$ ):** create separate state for each nonterminal, start state is  $S$ , if rule exist with single terminal RHS create new state labeled  $\#$ , for each rule  $X \rightarrow aY$  create transition from  $X$  to  $Y$  labeled  $a$ , if  $X \rightarrow a$  go to  $\#$ , if  $X \rightarrow \varepsilon$  mark as accept, mark state  $\#$  as accept, if undefined (state, input) pairs remaining point them to dead state & add loops to dead state for each character

**Nonregular languages**

**Number of regular languages:** countably infinite, upper bound is number of FSM/regex, lower bound is every element of  $a^+$  as its own language

**Every finite language is regular:** union them all

**Show that L is regular:** finite, FSM, regex, finite equivalence classes, regular grammar, closure theorems

**Closure properties:** union, concatenation, Kleene star, complement (swap accept & not, need all transitions explicitly, dead states & DFSM), intersection ( $\neg(\neg L_1 \cup \neg L_2)$ , De Morgan's law), difference  $(L_1 \cap \neg L_2)$ , reverse (turn start to accept, create new start connected by  $\varepsilon$  to accepting states, flip transitions), letter substitution ( $letsub(L_1) = \{w \in \Sigma_2^* : \exists y \in L_1 \wedge w = y \text{ except every character } c \text{ of } y \text{ is replaced by } sub(c)\}$ ,  $sub$  = function from  $\Sigma_1$  to  $\Sigma_2^*$ )

**Pumping theorem:**  $\exists k \geq 1 (\forall \text{strings } w \in L, \text{ where } |w| \geq k (\exists x, y, z (w = xyz, |xy| \leq k, y \neq \varepsilon, \forall q \geq 0 (xy^q z \text{ is in } L))))$

**Using closure to prove nonregular:** assume it is regular, use closure with known regular language, result is known nonregular language, so it must be nonregular, ex:  $\#_a(w) = \#_b(w)$ , intersect with  $a^*b^*$  to get  $a^n b^n$ , intersection & complement most useful

**Octal divisible by 7:** 0, 7, 16, 25, ..., true only if sum of digits divisible by 7, so states in FSM are mod 7, is regular

**Extras:**

**$a^i b^j c^k; i, j, k \geq 0$ , if  $i = 1$  then  $j = k$ :** can change conditions to  $i \neq 1 \vee j = k$ , all strings with length  $\geq 1$  is pumpable, so need to intersect with  $ab^*c^*$ , so  $i = 1$  guaranteed and results in  $ab^j c^k; j, k > 0 \wedge j = k$ , then use pumping theorem

**$a^i b^j, i \neq j$ :** must use  $a^k b^{k+1}$ , if only use  $a^k b^{k+1}$  can just pump 2  $a$  at a time to skip the equal part,  $y = a^p$  for some nonzero  $p$ , pump in  $\frac{k+1}{p}$  times (must be integer because  $p < k$ ), get  $k + (\frac{k+1}{p})p = k + k!$ , alternatively prove that the complement is not regular using closure ( $\neg L = a^n b^n \cup \{\text{out of order}\}$ , intersect with  $a^*b^*$  to get  $a^n b^n$ )

**Context-Free Languages**

**Rewrite system:** list of rules & algorithms for applying them, match LHS of some rule against some part of working string & applies it, loop until told to stop, takes system & initial string as input, if given grammar & start symbol will generate language

**CFG:** no restriction on RHS but LHS must still have 1 nonterminal

**CFG Quadruple:**  $(V, \Sigma, R, S)$ ,  $R$  is finite subset of  $(V - \Sigma) \times V^*$

**CFL:** Language is context-free iff generated by some CFG

**Recursive:** RHS can generate own LHS

**Self-embedding:** recursive but also includes terminals on both sides afterwards, if not true then must be regular (not necessarily vice versa)

**Concatenation:** use 2 nonterminals together in RHS, generate each separately

**$a^n b^n$ :**  $S \rightarrow aSb | \varepsilon$

**Balanced parentheses:**  $S \rightarrow \varepsilon | SS | (S)$

**$ww^R$ :**  $S \rightarrow aSa | bSb | \varepsilon$

**$\#_a(w) = \#_b(w)$ :**  $S \rightarrow aSb | bSa | SS | \varepsilon$

**Arithmetic:**  $S \rightarrow E + E | E * E | (E) | id$

**$(a^n b^n)^*$  (each region can have different  $n$ ):**  $S \rightarrow MS | \varepsilon, M \rightarrow aMb | \varepsilon$

**$a^n b^m : n \neq m$ :**  $S \rightarrow A, B$  (more  $a$  than  $b$ , more  $b$  than  $a$ ),  $A \rightarrow a, aA, aAb$  (at least one extra generated),  $B \rightarrow b, Bb, aBb$

**Removing unproductive rules:** mark every nonterminal as productive & every terminal as productive, if RHS all productive then mark LHS productive, loop until no changes, remove unproductive

**Removing unreachable rules:** mark start as reachable & all others as not, if LHS reachable mark all nonterminals in RHS as reachable, loop until no changes, remove unreachable

**Parse trees:** leaf nodes are terminals except  $\varepsilon$ , root is start, all others are nonterminals

**Branching factor:** longest RHS length

**Generative capacity:** weak (set of strings), strong (set of parse trees)

**Ambiguity:** multiple parse trees for 1 string, can come from different operator first in arithmetic or from rules  $S \rightarrow SS | \varepsilon$ , even if only 1  $S$  needed can generate multiple & nullify

**Reducing ambiguity:** remove null rules, recursive rules with symmetric RHS & ambiguous optional postfix (ex: dangling else, can attach to inner or outer if)

**Removing null rules:** mark all nonterminals in null rules as nullable, if any rule has nullable RHS then mark LHS as nullable, then for all modifiable rules (RHS has at least 1 nullable) add new rules containing variant without nullable, remove null rules

**Removing symmetric rules:** force branching to 1 direction, replace  $S \rightarrow SS$  with  $S \rightarrow SS_1 | S_1$  for left branching, then make  $S_1$  do what  $S$  originally did

**Operator order:** if parsed first (ex: in arithmetic,  $E \rightarrow E + T$ ) then lowest priority