



Computer program

- ◆ **A computer program is an instance, or concrete representation, for an algorithm in some programming language.**



Estimation Techniques

- Determine the major parameters that affect the problem.
- Derive an equation that relates the parameters to the problem.
- Select values for the parameters, and apply the equation to yield an estimated solution.



Asymptotic Performance

- ◆ **In this course, we care most about *asymptotic performance***
 - How does the algorithm behave as the problem size gets very large?
 - ◆ Running time
 - ◆ Memory/storage requirements
 - ◆ Bandwidth/power requirements/logic gates/etc.

Asymptotic Notation

- ◆ **By now you should have an intuitive feel for asymptotic (big-O) notation:**
 - *What does $O(n)$ running time mean? $O(n^2)$? $O(n \lg n)$?*
 - *How does asymptotic running time relate to asymptotic memory usage?*
- ◆ **Our first task is to define this notation more formally and completely**

Input Size

◆ Time and space complexity

- This is generally a function of the **input size**
 - ◆ E.g., sorting, multiplication
- How we characterize input size depends:
 - ◆ Sorting: number of input items
 - ◆ Multiplication: total number of bits
 - ◆ Graph algorithms: number of nodes & edges
 - ◆ Etc

Running Time

◆ Number of primitive steps that are executed

- Except for time of executing a function call most statements roughly require the same amount of time

- ◆ $y = m * x + b$

- ◆ $c = 5 / 9 * (t - 32)$

- ◆ $z = f(x) + g(y)$

◆ We can be more exact if need be

Algorithm Efficiency

- ◆ **There are often many approaches (algorithms) to solve a problem. How do we choose between them?**
- ◆ **At the heart of computer program design are two (sometimes conflicting) goals:**
 - To design an algorithm that is easy to understand, code and debug.
 - To design an algorithm that makes efficient use of the computer's resources.



Algorithm Efficiency

- ◆ **Goal (1) is the concern of Software Engineering.**
- ◆ **Goal (2) is the concern of data structures and algorithm analysis.**
- ◆ **When goal (2) is important, how do we measure an algorithm's cost?**
 - An algorithm has both time and space requirements, called its **complexity**, that we can measure.



Algorithm's complexity

- ◆ we measure an algorithm's **time complexity**—the time it takes to execute—or its **space complexity**—the memory it needs to execute.
- ◆ Typically we analyze these requirements separately.
- ◆ So a “best” algorithm might be the fastest one or the one that uses the least memory.



How to Measure Efficiency?

- ◆ **For most algorithms, running time depends on "size" of the input.**
 - This problem size is the number of items that an algorithm processes.

How to Measure Efficiency?

- ◆ **Running time is expressed as $T(n)$ for some function T on input size n .**
 - you find a function of the problem size that behaves like the algorithm's actual time requirement.
 - The value of the function is said to be directly proportional to the time requirement. Such a function is called a **growth-rate function**. Typical growth-rate functions are algebraically simple.
 - It measures how an algorithm's time requirement grows as the problem size grows.

How to Measure Efficiency?

- ◆ The process of measuring the complexity of algorithms is called the **analysis of algorithms**.
- ◆ Empirical comparison (run programs).
- ◆ Asymptotic Algorithm Analysis.
- ◆ Critical resources:
- ◆ Factors affecting running time:
 - An algorithm's basic operation is the most significant contributor to its total time requirement.



Examples of Growth Rate

◆ Example 1:

```
int largest(int* array, int n)    // Find largest value
{ int currlarge = array[0];      // Store largest seen
  for (int i=1; i<n; i++)        // For each element
    if (array[i] > currlarge)    // If largest
      currlarge = array[i];
                                // Remember it
  return currlarge;             // Return largest
}
```

Examples of Growth Rate

◆ **Example 2:**
sum = 0;
for (i=1; i<=n; i++)
 for (j=1; j<=n; j++)
 sum++;

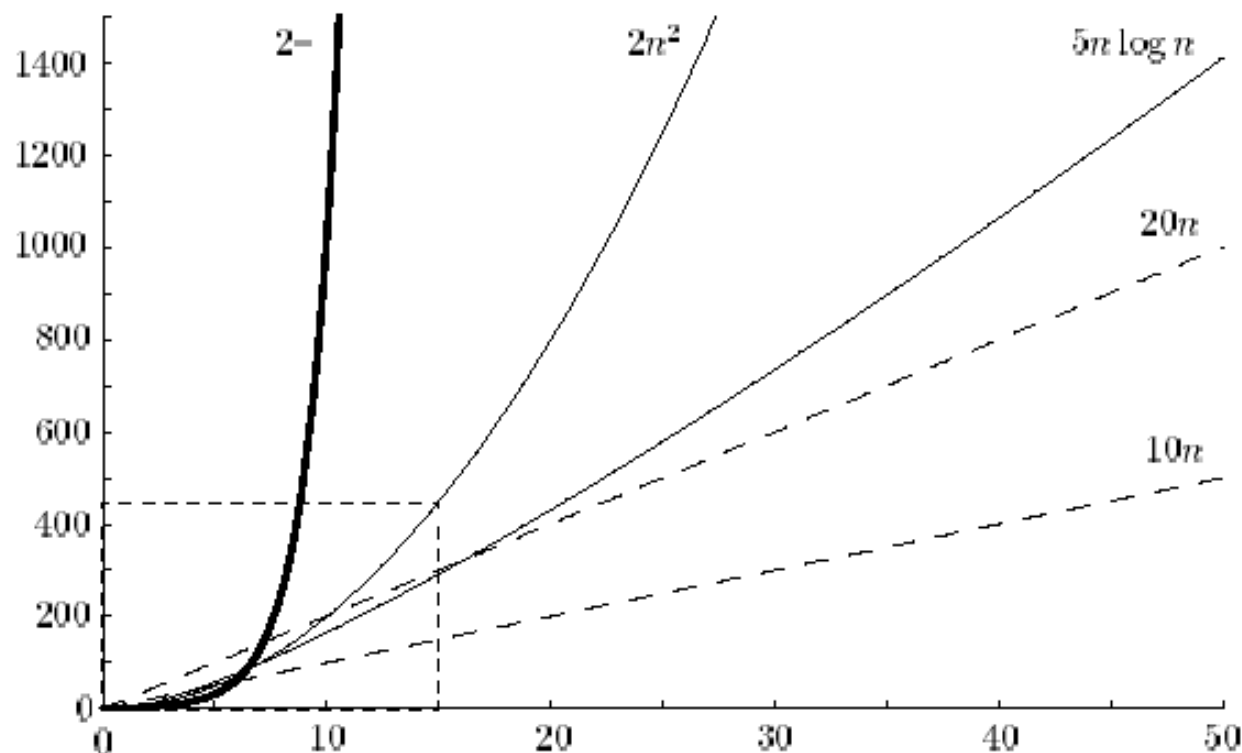


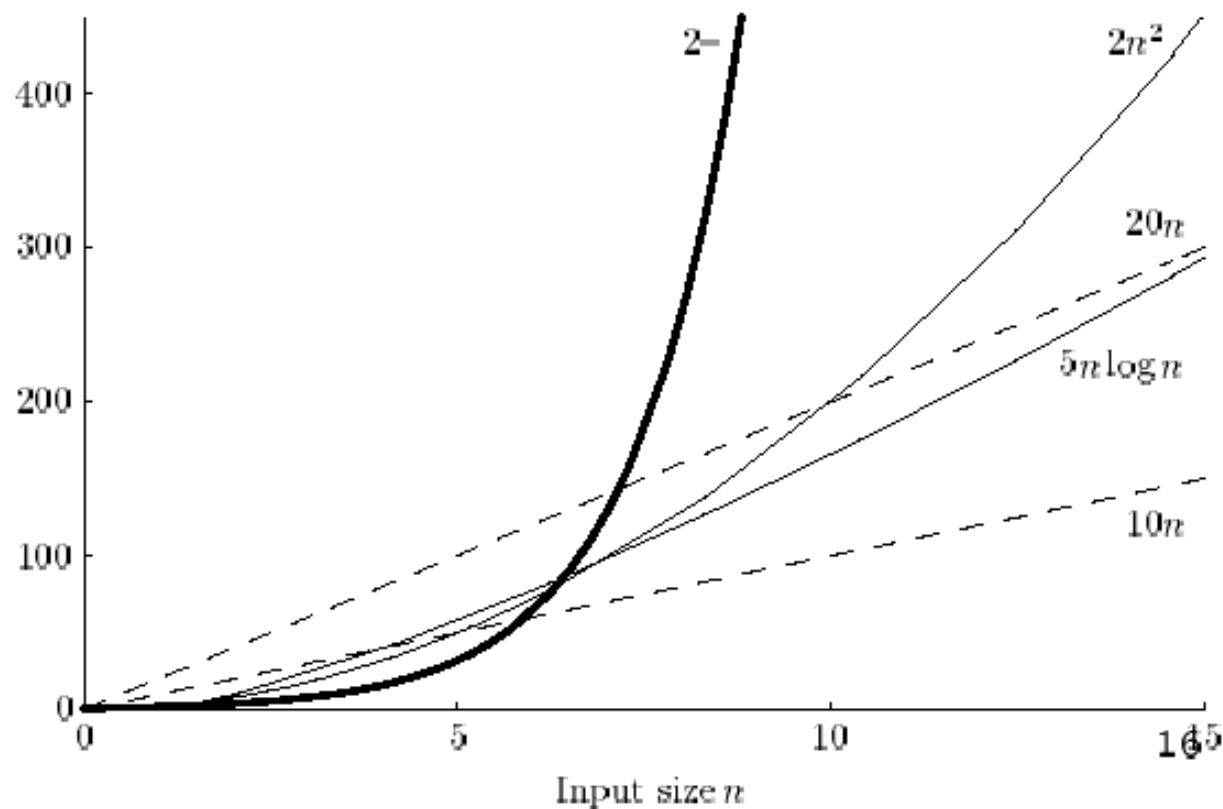
Faster Computer or Algorithm?

- ◆ What happens when we buy a computer 10 times faster?



Growth Rate Graph







$T(n)$	n	n'	Change	n'/n
$10n$	1,000	10,000	$n' = 10n$	10
$20n$	500	5,000	$n' = 10n$	10
$5n \log n$	250	1,842	$\sqrt{10}n < n' < 10n$	7.37
$2n^2$	70	223	$n' = \sqrt{10}n$	3.16
2^n	13	16	$n' = n + 3$	--

n : Size of input that can be processed in one hour (10,000 steps).

n' : Size of input that can be processed in one hour on the new machine (100,000 steps).

growth-rate functions

◆ Typical growth-rate functions evaluated at increasing values of n

$$1 < \log(\log n) < \log n < \log^2 n < n \\ < n \log n < n^2 < n^3 < 2^n < n!$$

n	$\log(\log n)$	$\log n$	$\log^2 n$	n	$n \log n$	n^2	n^3	2^n	$n!$
10	2	3	11	10	33	10^2	10^3	10^3	10^5
10^2	3	7	44	100	664	10^4	10^6	10^{30}	10^{94}
10^3	3	10	99	1000	9966	10^6	10^9	10^{301}	10^{1435}
10^4	4	13	177	10,000	132,877	10^8	10^{12}	10^{3010}	$10^{19,335}$
10^5	4	17	276	100,000	1,660,964	10^{10}	10^{15}	$10^{30,103}$	$10^{243,338}$
10^6	4	20	397	1,000,000	19,931,569	10^{12}	10^{18}	$10^{301,030}$	$10^{2,933,369}$

◆ **The effect of doubling the problem size on an algorithm's time requirement**

Growth-Rate Function for Size n Problems	Growth-Rate Function for Size $2n$ Problems	Effect on Time Requirement
1	1	None
$\log n$	$1 + \log n$	Negligible
n	$2n$	Doubles
$n \log n$	$2n \log n + 2n$	Doubles and then adds $2n$
n^2	$(2n)^2$	Quadruples
n^3	$(2n)^3$	Multiplies by 8
2^n	2^{2n}	Squares



Best, Worst and Average Cases

- ◆ **Not all inputs of a given size take the same time.**
- ◆ **Sequential search for K in an array of n integers:**
 - Begin at first element in array and look at each element in turn until K is found.

Analysis

◆ **Worst case**

- Provides an upper bound on running time
- An absolute guarantee

◆ **Average case**

- Provides the expected running time
- Very useful, but treat with care: what is "average"?
 - ◆ Random (equally likely) inputs
 - ◆ Real-life inputs



Best, Worst and Average Cases

- ◆ **Best Case:**
- ◆ **Worst Case:**
- ◆ **Average Case:**
- ◆ **While average time seems to be the fairest measure, it may be difficult to determine.**
- ◆ **When is worst case time important?**

Asymptotic Analysis: Big-oh

- ◆ **Definition:** For $T(n)$ a non-negatively valued function, $T(n)$ is in the set $O(f(n))$ if there exist two positive constants c and n_0 such that $T(n) \leq cf(n)$ for all $n > n_0$.

Asymptotic Analysis: Big-oh

- ◆ **Usage:** The algorithm is in $O(n^2)$ in [best, average, worst] case.
- ◆ **Meaning:** For all data sets big enough (i.e., $n > n_0$), the algorithm always executes in less than $cf(n)$ steps [in best, average or worst case].



Asymptotic Analysis: Big-oh

- ◆ **Upper Bound.**
- ◆ **Example: if $T(n) = 3n^2$ then $T(n)$ is in $O(n^2)$.**
- ◆ **Wish tightest upper bound:**
- ◆ **While $T(n) = 3n^2$ is in $O(n^3)$, we prefer $O(n^2)$.**



Simplifying Rules:

- ◆ **If $f(n)$ is in $O(g(n))$ and $g(n)$ is in $O(h(n))$, then $f(n)$ is in $O(h(n))$.**
 - ◆ In simple terms, $f(n)$ is $O(g(n))$ means that $c \times g(n)$ provides an upper bound on $f(n)$'s growth rate when n is large enough. For all data sets of a sufficient size, the algorithm will always require fewer than $c \times g(n)$ basic operations.
- ◆ **If $f(n)$ is in $O(kg(n))$ for any constant $k > 0$, then $f(n)$ is in $O(g(n))$.**

Simplifying Rules:

- ◆ If $f_1(n)$ is in $O(g_1(n))$ and $f_2(n)$ is in $O(g_2(n))$, then $(f_1 + f_2)(n)$ is in $O(\max(g_1(n), g_2(n)))$.
- ◆ If $f_1(n)$ is in $O(g_1(n))$ and $f_2(n)$ is in $O(g_2(n))$ then $f_1(n)f_2(n)$ is in $O(g_1(n)g_2(n))$.

Identities

◆ The following identities hold for Big Oh notation:

- $O(k g(n)) = O(g(n))$ for a constant k
- $O(g_1(n)) + O(g_2(n)) = O(g_1(n) + g_2(n))$
- $O(g_1(n)) \times O(g_2(n)) = O(g_1(n) \times g_2(n))$
- $O(g_1(n) + g_2(n) + \dots + g_m(n)) = O(\max(g_1(n), g_2(n), \dots, g_m(n)))$
- $O(\max(g_1(n), g_2(n), \dots, g_m(n))) = \max(O(g_1(n)), O(g_2(n)), \dots, O(g_m(n)))$

Running Time of a Program

- ◆ **Example 1:**

`a = b;`

This assignment takes constant time, so it is(1).

- ◆ **Example 2:**

`sum = 0;`

`for (i=1; i<=n; i++)`

`sum += n;`

Running Time of a Program

- ◆ **Example 3:**

```
sum = 0;
```

```
for (j=1; j<=n; j++) // First for loop
```

```
    for (i=1; i<=j; i++) // is a double loop
```

```
        sum++;
```

```
for (k=0; k<n; k++) // Second for loop
```

```
    A[k] = k;
```

More Examples

- ◆ **Example 4.**

```
sum1 = 0;
```

```
for (i=1; i<=n; i++) // First double loop
```

```
    for (j=1; j<=n; j++) // do n times
```

```
        sum1++;
```

```
sum2 = 0;
```

```
for (i=1; i<=n; i++) // Second double loop
```

```
    for (j=1; j<=i; j++) // do i times
```

```
        sum2++;
```




Other Control Statements

- ◆ **while loop: analyze like a for loop.**
- ◆ **if statement: Take greater complexity of then/else clauses.**
- ◆ **switch statement: Take complexity of most expensive case.**
- ◆ **Subroutine call: Complexity of the subroutine.**

The complexities of program constructs

Construct	Time Complexity
Consecutive program segments S_1, S_2, \dots, S_k whose growth-rate functions are g_1, \dots, g_k , respectively	$\max(O(g_1), O(g_2), \dots, O(g_k))$
An if statement that chooses between program segments S_1 and S_2 whose growth-rate functions are g_1 and g_2 , respectively	$O(\text{condition}) + \max(O(g_1), O(g_2))$
A loop that iterates m times and has a body whose growthrate function is g	$m \times O(g(n))$

Analyzing Problems

- ◆ **Upper bound: Upper bound of best known algorithm.**
- ◆ **Lower bound: Lower bound for every possible algorithm.**

Other notations

- ◆ **Big Oh.** *$f(n)$ is of order at most $g(n)$ —that is, $f(n)$ is $O(g(n))$ —if positive constants c and N exist such that $f(n) \leq c \times g(n)$ for all $n \geq N$. That is, $c \times g(n)$ is an upper bound on the time requirement $f(n)$. In other words, $f(n)$ is no larger than $c \times g(n)$.*
- ◆ **Thus,** an analysis that uses Big Oh produces a maximum time requirement for an algorithm.

Other notations

- ◆ **Big Omega.** *$f(n)$ is of order at least $g(n)$ —that is, $f(n)$ is $\Omega(g(n))$ —if $g(n)$ is $O(f(n))$. In other words, $f(n)$ is $\Omega(g(n))$ if positive constants c and N exist such that $f(n) \geq c \times g(n)$ for all $n \geq N$. The time requirement $f(n)$ is not smaller than $c \times g(n)$, its lower bound.*
- ◆ Thus, a Big Omega analysis produces a minimum time requirement for an algorithm.

Other notations

- ◆ **Big Theta.** *$f(n)$ is of order $g(n)$ —that is, $f(n)$ is $\Theta(g(n))$ —if $f(n)$ is $O(g(n))$ and $g(n)$ is $O(f(n))$. Alternatively, we could say that $f(n)$ is $O(g(n))$ and $f(n)$ is $\Omega(g(n))$. The time requirement $f(n)$ is the same as $g(n)$. That is, $c \times g(n)$ is both a lower bound and an upper bound on $f(n)$.*
- ◆ *A Big Theta analysis assures us that the time estimate is as good as possible.*

Space Bounds

- ◆ **Space bounds can also be analyzed with asymptotic complexity analysis.**
- ◆ **Time: Algorithm**
- ◆ **Space: Data Structure**



Space/Time Tradeoff Principle

