**A Data Mining Approach to Analyze Occupant Behavior Cause**

Xinyuyang Ren, Yang Zhao, Wim Zeiler, Gert Boxem, Feng Wei

***Keywords:*** *Data Mining, Occupant Behavior, Cause Pattern*

**ABSTRACT**

Occupants’ behavior could bring significant impact on the performance of built environment, while method for analyzing people’s behavior have not been adequately developed. The traditional methods such as survey or interview are not efficient. This study proposed a data-driven method to analyze the occupants’ behavior, supported by a specific case of analyzing people’s adjustment on ventilation system in a Dutch community. In the individual level, to analyze the cause of a single person’s behavior, a logistic regression based approach was proposed to classify occupants’ behavior of increasing/decreasing the ventilation flowrate and then reveal the causes behind. In the community level, the causes of behavior derived from different occupants were compared and three cause patterns, namely the environment-driven type, time-driven type, mixed-type were summarized afterwards. The proposed data mining methodology is effective to discover and develop occupant behavior models.

**INTRODUCTION**

The energy consumption of buildings not only depends on the deterministic aspects such as building physics and design of HVAC systems, but also on the stochastic aspects such as occupants' behavior. However, so far the occupant behaviors have not been adequately modeled. Consequently, field test studies have shown discrepancies between real and simulated performance of building [1] [2]. In the frontier of intelligent building research, one of the most important features that could indicate a building to be ‘intelligent’ is effective interaction with its occupants [3]. With a better understanding of people’s behavioral pattern, the building control system could generate tailored strategies for its occupants. Therefore, it is critical to understand occupants’ behavior and causes from real records.

*De Kroeven* in Roosendaal is a housing stock built around 1964. Between April 2010 and April 2011, it was completely renovated on the basis of passive house principles. As the result, the energy consumption should decrease 60%-70% compared with before [4]. After the renovation, to test if the presumed performance has been reached, a monitoring program was launched. Between the year 2013 and 2015, sensors were installed in 10 experimental houses and recorded varies of information including the domestic energy consumption, indoor environment as well as people’s operation on light/ventilation etc. A part of this database, introduced in *Table 1*, is used to conduct the study introduced in this article.

*Table 1．Specifications of the De Kroeven monitoring program database*

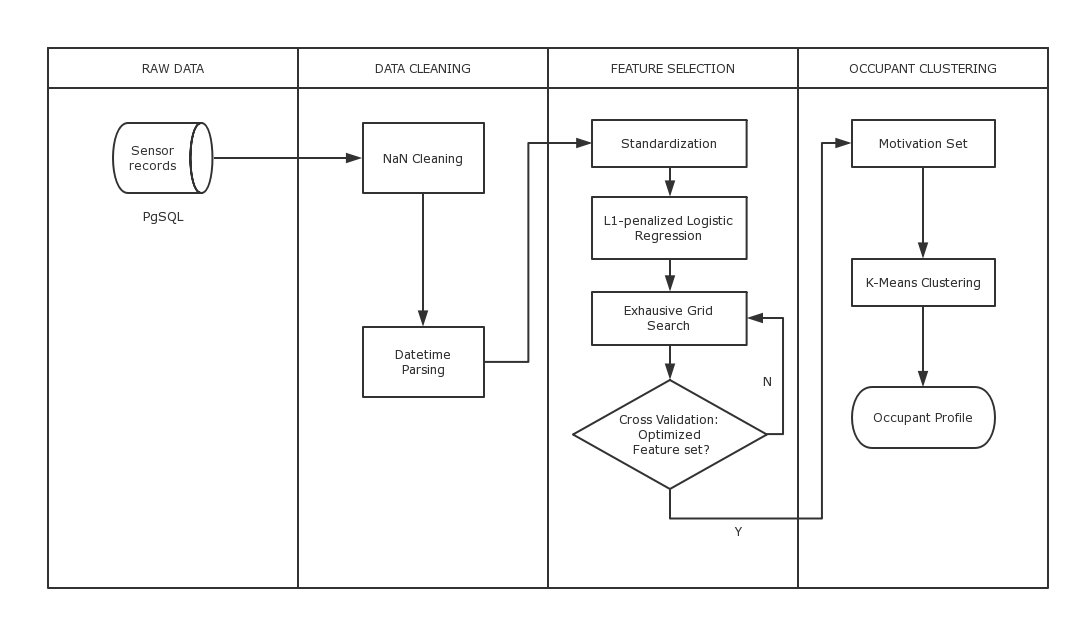
|  |  |  |
| --- | --- | --- |
| Category | Items | Interval |
| Weather Condition | Average Temperature [℃] | 1 hour |
| Average Relative humidity [%] | 1 hour |
| Average Irradiation [W/m²] | 1 hour |
| Average Wind speed [m/s] | 1 hour |
| Indoor Environment | Indoor Temperature [℃] | 3 min |
| Relative humidity [%] | 3 min |
| Concentration [ppm] | 3 min |
| Ventilation System Supply Air Temperature [℃] | 3 min |
| Occupant Behavior | Increase/decrease ventilation flow on control panel | / |

The occupants’ interaction with the ventilation control panel is chose for the case study. The following two research questions listed would be answered

* **Question 1** What is the cause for an occupant to increase/decrease ventilation flowrate?
* **Question 2** For different occupants, whether do they behave in the same way?

**METHODS**

*Figure 1* shows the schematic diagram of the data mining-based method. It describes generally how will the data stream ‘flow’ throughout the whole process and defines the basic blocks and their own functionalities.



*Figure 1.* Schematic diagram of the data-based occupancy behavior cause discovery method

Firstly, the related dataset stated in *Table 1*was extracted from the monitoring program database, including weather data, indoor environment data and occupant behavior records. After essential data cleaning and mapping, the logistic regression model was then trained to find the causes of behavior. Finally, the cause sets from different people were compared and grouped into several occupant profiles.

To find the reason why people adjust the ventilation could be seen as a feature selection question in the perspective of data mining. Mathematically, it’s possible to build a model to predict people’s behavior under a certain circumstance and then quantitatively evaluate the importance of each feature. L1-regularized logistic regression is a robust solution for this purpose by practice.

Up to the community level, comparing among different samples and grouping ones with similarities is called clustering in the data mining domain. This kind of algorithms, such as widely-used K-means, could group different samples into several clusters with the best optimized in-cluster similarity and inter-cluster difference.

In the following of this section the technique mentioned will be briefly introduced. *Logistic regression* [5], despite its name, is a linear model for classification rather than regression. It is also known in the literature as logit regression, maximum-entropy classification (MaxEnt) or the log-linear classifier. This is a standard linear regression formula

(1)

where x is a series of features, is a vector containing coefficients for each feature and represents the regression result. While in logistic regression, since we want to do a classification instead of regression, the linear regression equation is fitted in to a sigmoid function

(2)

Finally, the equation of logistic regression becomes

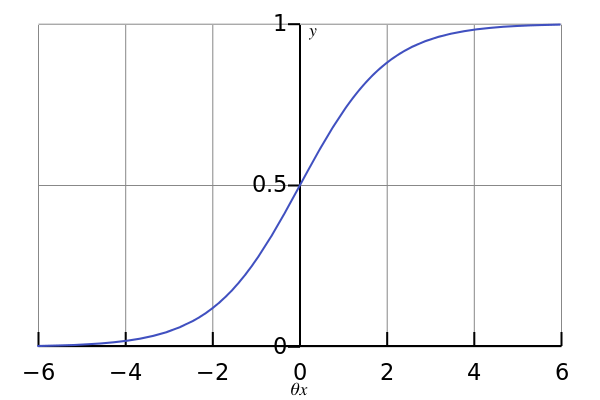
(3)

The function is plotted in *Figure 2*. It could be observed that the range of logistic regression output is between 0 and 1. A threshold, say 0.5 could be chose to divide two different categories (i.e. if output < 0.5, predict the case to be in category 0, else predict category 1).

After training with the dataset, which aimed at finding optimized to minimize the cost function

(4)

the model is adjusted to minimize the prediction error based on the training set and the coefficients of each feature.



*Figure 2 Logistic Regression Output*

Based on its linear nature, the coefficient of each feature in a trained logistic regression model is widely used to evaluate the importance of this feature. The effectiveness, interpretability and robustness of this approach have been validated by many peer researchers [1] [2] [6] [7] [8].

In addition, in this project the logistic regression kernel used is with *L1-norm regularization*, which means when calculating error in the *cost function*, there is an extra penalty factor coming from the L1-norm of the coefficient vector. The model runs repeatedly with different to make a *grid search*. Finally stopes at the parameter combination that gives the best cross validation accuracy,

(5)

As linear model penalized with L1 norm tends to give sparse solutions i.e. many of its estimated coefficients would be zero, thus it will make the feature selection more significant [9].

*K-means clustering* [10] is one of the simplest unsupervised learning algorithms that solve the clustering problem with good interpretability. It aims to partition *n* observations into *k* clusters in which each observation belongs to the cluster with the nearest mean. The clustering partition with high intra-cluster similarity and low inter-cluster similarity would be considered as good performance.

Specifically, the algorithm follows a simple way to cluster a given data set through a certain number of clusters. The basic idea is to first define k centroids, one for each cluster, which should be placed in a cunning way because different location causes different result. The next step is to take each point belonging to a given data set and associate it to the nearest centroid. When no point is pending, the first step is completed and an early grouping is done. At this point we need to re-calculate k new centroids as the barycenter of the data points belonging to a certain cluster resulting from the previous step. After we have these k new centroids, a new binding could be done in a similar way, between the same data set points and the nearest new centroid. So far the loop has been generated. As a result of this loop, we may notice that the k centroids change their location step by step until no more change. In other words, centroids do not move any more after a certain number of loops.

Finally, this algorithm aims at minimizing an *objective function*, in this case a squared error function.

(6)

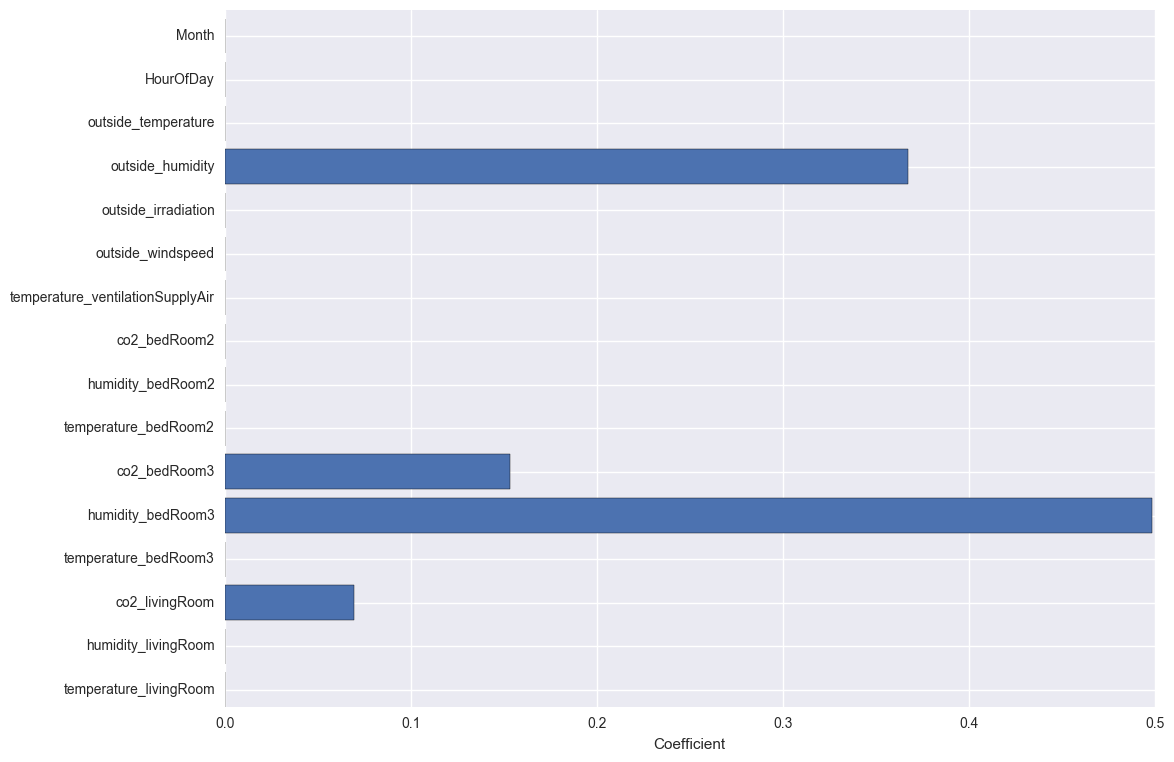
where is the chosen distance measure between a data point and the cluster center it belongs to. In this case, we choose Euclidean distance as the distance measure method.

In this study, the K-means clustering is used to group occupants from 10 different houses into several types. This approach has been validated also by the research from Simona et al. [7] and Andersen, Rune, et al [11].

**RESULT**

The model is designed to predict whether an increase/decrease adjustment on the ventilation system will occur based on input variables such as time and indoor environment.

Before fed into algorithm, the training set was standardized*,* which means all the features are rescaled into zero-mean and unit-variance distributions. Then the dataset is fed into a L1-penalized logistic regression classifier, which will optimize the cost function to predict occupants’ reaction in a certain circumstance. As the feature scale is standardized, the coefficient of the linear model trained could indicate the relative importance of the feature it corresponds to. For example, *Figure 3* shows the importance of each cause factor for occupant no.1, with the model cross-validated precision reached 86%.

****

*Figure 3 Feature importance output*

It could be observed that the less informative features for this occupant were filtered out with zero coefficients, while the remaining indicates the indoor CO2 concentration and humidity are the most important motivational drivers for this occupant to adjust the ventilation flow rate. By this approach, the main causes for occupant No.1 to adjust ventilation flowrate is identified.

**DISCUSSION**

Training similar models for every occupant could reveal the main motivational driven factors in the individual level. However, it could be expected different people should hold different preferences and are not likely to behave in the same way. Thus, expanded to the community level, a clustering analysis could group occupants into several behavior cause patterns.

The most informative feature set for each occupant, with its coefficients, is extracted from the output of logistic regression model. All the main driven factors fall into two categories: *time-related factors* including month, weekday/weekend, hour of day info and *environment-related factors*, including indoor temperature, relative humidity, CO2 concentration and outside weather info. According to those two dimensions and with essential re-scaling, the 10 occupants took part in the experiment could be represented in *Figure 4*. The horizontal axis represents the importance of *indoor environment factors* in determining occupants’ behavior, while the vertical axis represents the importance of *time-related factors*.



*Figure 4 Cause patterns of ventilation system operations*

K-Means algorithm indicates 3 different types of occupants:

* Indoor environment sensitive occupants (plotted in star): 2, 4, 6, 8
* Time sensitive occupants (plotted in cross): 7, 9
* Mixed type occupants (plotted in dots): 1, 3, 5, 10

The complexity of occupants’ behavioral cause pattern could be observed from the data mining results. The Indoor environment sensitive occupants are more likely to interact with their ventilation control panel when they feel slightly unsatisfied about the indoor comfort, while the time sensitive occupants are more likely to behave with fixed timetables (e.g., as soon as they wake up or come back from work etc. they adjust the ventilation). Of course, there are also some people in between, as mixed-type occupants their behaviors are effected considerably by both factors in the same time.

**CONCLUSION**

In this study, a data mining method is proposed to study the occupant behavior of adjusting the ventilation flow in a recently-renovated community in the Netherlands. The objective is to reveal the hidden cause behind occupants’ behavior and seek for possible behavior patterns among different people. A *L1-regularized logistic regression classifier* was developed and tuned to predict occupant’s possible reaction to a certain circumstance, during which it also evaluates the relative importance of each feature in the decision-making process mathematically. In a bigger picture, the comparison among different occupants indicated 3 unique cause patterns. Namely the *environment-driven* type, corresponds the occupants who are more sensitive to the environmental factors. *Time-driven* type, corresponds to the occupants who hold relative fixed temporal habits. As well as *mixed-type* occupants, whose behavior is more randomized with no single preference pattern which is clear enough on environment and temporal factors.

The data-based method to investigate occupants’ behavior introduced in this study enable new possibility to leverage the BMS data. The learning drawn from the study could be used either to model people’s behavior more precisely in the building simulation program as well as to contribute to the improvement of intelligent building.

Also, besides the traditional approaches to investigate people’s behavior by conducting a survey or interview, the algorithmic method is more robust with less man-made disturbances.

## REFERENCE

[1] Calì, Davide, et al. "Analysis of occupants' behavior related to the use of windows in German households." Building and Environment 103 (2016): 54-69.

[2] Andersen, Rune Vinther, Bjarne W. Olesen, and Jørn Toftum. "Modelling window opening behavior in Danish dwellings." Proceedings of indoor air (2011).

[3] Wong, Johnny KW, Heng Li, and S. W. Wang. "Intelligent building research: a review." Automation in construction 14.1 (2005): 143-159.

[4] The report of monitoring program Kroeven 2013

[5] Hosmer Jr, David W., and Stanley Lemeshow. Applied logistic regression. John Wiley & Sons, 2004.

[6] Shi, Shanshan, and Bin Zhao. "Occupants’ interactions with windows in 8 residential apartments in Beijing and Nanjing, China." Building Simulation. Vol. 9. No. 2. Tsinghua University Press, 2016.

[7] D'Oca, Simona, and Tianzhen Hong. "A data-mining approach to discover patterns of window opening and closing behavior in offices." Building and Environment 82 (2014): 726-739.

[8] Andersen, Rune, et al. "Window opening behavior modelled from measurements in Danish dwellings." Building and Environment 69 (2013): 101-113.

[9] Dodge, Yadolah, ed. Statistical data analysis based on the L1-norm and related methods. Birkhäuser, 2012.

[10] Andrew Moore: “K-means and Hierarchical Clustering - Tutorial Slides”

<http://www-2.cs.cmu.edu/~awm/tutorials/kmeans.html>

[11] Fan, Cheng, Fu Xiao, and Shengwei Wang. "Development of prediction models for next-day building energy consumption and peak power demand using data mining techniques." Applied Energy 127 (2014): 1-10.

[12] Wei, Shen, et al. "Analysis of factors influencing the modelling of occupant window opening behavior in an office building in Beijing, China." (2015).

[13] Fan, Cheng, Fu Xiao, and Chengchu Yan. "A framework for knowledge discovery in massive building automation data and its application in building diagnostics." Automation in Construction 50 (2015): 81-90.

[14] Khan, Imran, et al. "Fault detection analysis of building energy consumption using data mining techniques." Energy Procedia 42 (2013): 557-566.

[15] Ren, Xiaoxin, Da Yan, and Tianzhen Hong. "Data mining of space heating system performance in affordable housing." Building and Environment 89 (2015): 1-13.

[16] Pedregosa, Fabian, et al. "Scikit-learn: Machine learning in Python." Journal of Machine Learning Research 12. Oct (2011): 2825-2