

Week 13

- Paper Reading

An Embarrassingly Simple Baseline for Imbalanced Semi-Supervised Learning

Challenge: Imbalanced SSL (both L and U exhibit class-imbalanced distribution)

the issue of confirmation bias

Problem: K -way classification problem

$$D_L := \{ (x_i, y_i) \}_{i=1}^N$$



N_k : number of labeled sample for class k

$$N = \sum_{k=1}^K N_k$$

$$\text{Imbalanced ratio } \gamma_L = \frac{N_1}{N_K}$$

$$N_1 > N_2 > \dots > N_K$$

$$D_U := \{ u_j \}_{j=1}^M$$



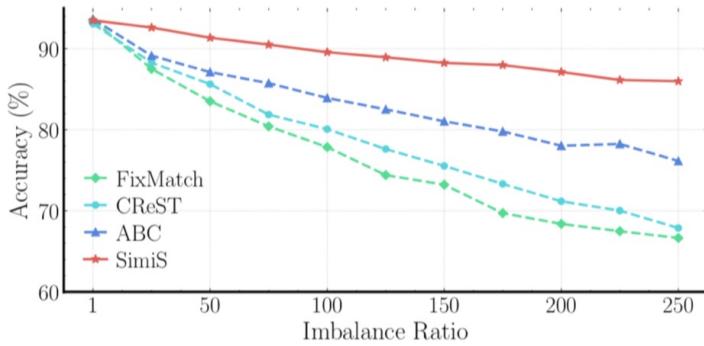
class distribution is usually
unknown in practice

$$\gamma_U > 0$$

(also consider reversely ordered
class distribution in experiment)

SimiS: A Simple Baseline for Imbalanced SSL

the test accuracy of imbalanced algorithms increases as γ_L decreases.



Would simply reducing the dataset imbalance be an effective way to
improve the performance for imbalanced SSL?

We supplement the infrequent classes with more pseudo-labels and
frequent classes with less pseudo-labels after each training epoch.

$$D_L \rightarrow P_L(y) \in \mathbb{R}^K, \quad P_L(y=k) = \frac{N_k}{N} \quad D_U \rightarrow P_U$$

1) generate pseudo-labels and corresponding confidence scores for all \mathcal{U}

$$\hat{y}_j = \arg \max f_0(y_j), \quad C_j = \max f_0(y_j)$$

$$\in \{1, 2, \dots, K\}$$

(2) the number of pseudo-labels added for class k

$$S_k = \frac{\beta}{\frac{N}{K}} \underbrace{(P_L(\arg \max_k N_k) - P_L(k))}_{\text{hyper-parameter class marginal of the most frequent class}}$$

(3) Sample the first S_k most confident pseudo-labels to expand the labeled set and start the next training epoch with expanded set.



① outperform existing methods

② show great robustness against a wide range of imbalance ratio and unlabeled data distribution.

Experiment: construct long-tailed $N_k = N_1 \delta_1^{\frac{k-1}{2k-1}}$, $M_k = M_1 \delta_u^{\frac{k-1}{2k-1}}$

| Dataset | CIFAR10-LT | | | | | | CIFAR100-LT | | | STL10-LT | | |
|-----------------|------------|------------|------------|------------|------------|------------|-------------|------------|------------|------------|------------|--------|
| | 1500 | 30 | 3000 | 4000 | 500 | 40 | 4000 | 300 | 150 | 300 | 150 | M≈100k |
| N_1 | 3000 | 1500 | 3000 | 4000 | 500 | 40 | 4000 | 300 | 150 | 300 | 150 | |
| γ_l | 100 | 100 | 150 | 100 | 100 | 150 | 100 | 10 | 10 | 15 | 10 | 20 |
| γ_u | 100 | 1/100 | 150 | 100 | 1/100 | 150 | 100 | 10 | 1/10 | 15 | NA | NA |
| Supervised | 63.62±0.40 | 63.62±0.40 | 59.82±0.32 | 47.62±0.87 | 47.62±0.87 | 43.88±1.61 | 48.01±0.45 | 48.01±0.45 | 45.37±0.54 | 46.85±1.65 | 41.6±0.77 | |
| FixMatch [31] | 76.49±0.72 | 68.92±0.79 | 72.15±0.94 | 73.14±1.03 | 62.52±0.93 | 65.68±0.67 | 57.76±0.39 | 57.56±0.47 | 53.97±0.17 | 66.56±1.02 | 56.29±4.0 | |
| w/ DARP [19] | 77.37±0.50 | 70.36±1.55 | 74.02±0.06 | 71.12±0.82 | 62.16±1.10 | 65.63±0.63 | 56.14±0.46 | 56.40±0.21 | 52.81±0.90 | 63.74±0.54 | 56.03±1.81 | |
| w/ CoReST [38] | 79.90±0.33 | 86.71±0.39 | 74.70±0.53 | 77.69±0.71 | 76.37±3.84 | 68.20±0.33 | 58.56±0.34 | 60.07±0.24 | 55.43±0.17 | 65.52±1.01 | 61.38±1.1 | |
| w/ CoReST+ [38] | 79.60±0.00 | 73.98±0.34 | 75.39±0.42 | 78.70±0.40 | 63.72±0.87 | 72.73±2.26 | 58.19±0.37 | 59.53±0.34 | 55.39±0.23 | 66.27±0.59 | 62.63±1.69 | |
| w/ ABC [25] | 84.01±0.15 | 83.45±0.54 | 80.94±0.85 | 79.40±0.88 | 79.21±0.44 | 69.50±1.86 | 58.25±0.20 | 59.24±0.17 | 55.38±0.47 | 70.64±0.89 | 65.68±1.06 | |
| w/ DASO [28] | 78.87±0.80 | 74.47±0.60 | 74.92±0.36 | 73.63±0.46 | 65.08±0.90 | 67.13±1.06 | 58.16±0.21 | 59.25±0.23 | 54.82±0.53 | 69.31±0.91 | 62.45±2.23 | |
| w/ CoSSL [12] | 82.35±0.79 | 77.47±0.56 | 79.00±0.41 | 75.82±0.61 | 73.26±0.78 | 70.56±0.55 | 58.00±0.39 | 57.77±0.31 | 55.49±0.43 | 71.44±0.45 | 69.01±0.80 | |
| w/ SAW [22] | 80.93±0.31 | 76.73±0.66 | 77.67±0.70 | 75.20±1.01 | 70.04±1.58 | 68.51±1.16 | 57.55±0.45 | 58.12±0.34 | 54.00±0.63 | 69.30±0.69 | 65.80±1.22 | |
| w/ Adsh [14] | 78.43±0.32 | 70.45±0.26 | 73.96±0.47 | 75.97±0.68 | 65.64±1.82 | 66.55±2.94 | 58.65±0.35 | 55.49±0.55 | 54.55±0.46 | 69.35±1.12 | 64.82±1.41 | |
| w/ DePL [34] | 80.65±0.52 | 74.53±0.61 | 76.58±0.12 | 76.98±1.70 | 69.67±1.34 | 71.95±2.54 | 57.08±0.29 | 57.31±0.55 | 53.89±0.44 | 69.46±0.62 | 65.93±1.22 | |
| w/ SimiS | 88.31±0.08 | 87.96±0.29 | 86.27±0.23 | 83.51±0.25 | 82.83±0.40 | 81.51±0.38 | 68.45±0.31 | 68.79±0.03 | 66.25±0.09 | 77.48±0.31 | 73.69±0.42 | |
| w/ SimiS + LA | 89.78±0.23 | 90.99±0.08 | 88.36±0.26 | 85.69±0.44 | 86.15±0.53 | 83.75±0.16 | 71.28±0.06 | 71.47±0.12 | 69.64±0.11 | 79.10±0.15 | 75.85±0.08 | |
| ReMixMatch [2] | 78.86±0.77 | 75.05±0.07 | 74.61±0.82 | 74.76±0.52 | 70.80±0.63 | 71.10±0.95 | 60.78±0.03 | 61.08±0.33 | 57.54±0.34 | 75.33±0.37 | 67.43±0.86 | |
| w/ DARP [19] | 79.50±0.53 | 76.04±0.89 | 75.26±0.10 | 76.47±0.40 | 71.20±0.58 | 65.15±0.88 | 62.12±0.40 | 62.26±0.39 | 58.63±0.51 | 73.51±0.11 | 67.72±1.84 | |
| w/ CoReST [38] | 79.12±2.12 | 88.45±0.17 | 73.13±0.26 | 72.12±0.59 | 76.68±0.33 | 66.74±0.57 | 61.18±0.42 | 65.79±0.55 | 58.89±0.13 | 72.38±0.58 | 67.79±1.71 | |
| w/ CoReST+ [38] | 81.02±0.20 | 62.70±0.26 | 76.85±0.61 | 79.76±0.55 | 58.28±0.35 | 73.76±1.18 | 64.21±0.34 | 58.68±0.49 | 61.84±0.03 | 63.94±0.55 | 58.91±1.84 | |
| w/ ABC [25] | 82.27±0.89 | 80.62±0.88 | 79.43±0.31 | 77.61±0.89 | 78.35±0.52 | 69.55±2.06 | 62.86±0.12 | 64.14±0.19 | 59.21±0.22 | 74.10±0.60 | 70.26±0.57 | |
| w/ DASO [28] | 75.04±1.58 | 81.89±0.54 | 68.83±0.22 | 72.00±0.27 | 77.44±1.28 | 66.37±1.69 | 62.12±0.24 | 62.80±0.69 | 58.97±0.29 | 75.74±0.13 | 73.49±0.32 | |
| w/ CoSSL [12] | 79.31±0.26 | 76.26±0.60 | 75.63±0.24 | 75.36±0.64 | 72.44±0.37 | 72.91±0.26 | 62.19±0.35 | 61.78±0.45 | 58.79±0.75 | 73.48±0.20 | 68.44±0.18 | |
| w/ SimiS | 86.91±0.23 | 85.94±0.08 | 81.67±0.19 | 84.62±0.23 | 79.68±0.38 | 82.50±0.35 | 67.41±0.45 | 69.29±0.42 | 64.75±0.41 | 80.84±0.41 | 79.20±0.29 | |
| w/ SimiS + LA | 87.34±0.39 | 86.94±0.18 | 82.67±0.37 | 85.60±0.18 | 79.95±0.18 | 82.95±0.20 | 68.42±0.23 | 70.30±0.14 | 65.92±0.08 | 81.91±0.08 | 79.62±0.18 | |

most of the baseline methods present a degraded performance (1) larger δ_e (2) $\delta_e = 1/\delta_u$

Perform consistently across settings with different class imbalance ratios.

Intuitively, there are more samples in the V of the tailed classes in L when $\gamma_l = 1/\gamma_u$ and the model would learn better if these samples could be utilized correctly during training. (SimiS)

Robustness to Various Imbalance Distributions:

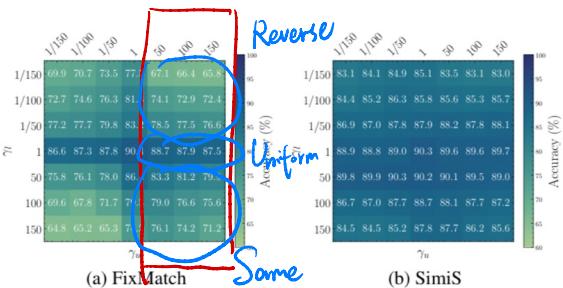


Figure 2. Accuracy of (a) FixMatch and (b) SimiS on the balanced test set of CIFAR10, trained with different combination of γ_l and γ_u . SimiS performs robustly across different training distribution.

FixMatch: $\gamma_l = \gamma_u$

SimiS = robust

More Analysis:

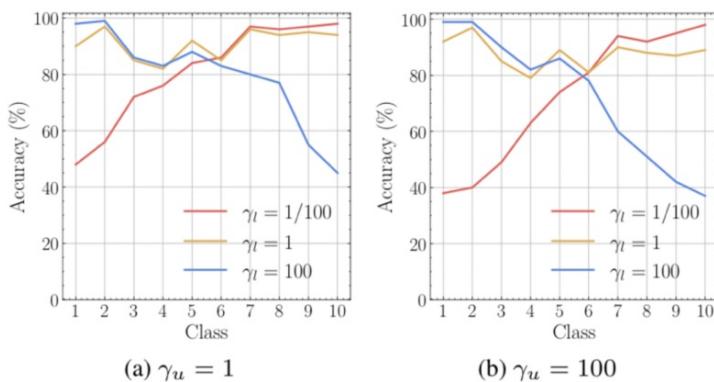


Figure 5. Class-wise accuracy on test set of CIFAR10-LT using FixMatch. We train FixMatch with different imbalance ratios in label set, i.e., $\{1/100, 1, 100\}$, and imbalance ratio in unlabeled set (a) $\gamma_u = 1$; and (b) $\gamma_u = 100$. The labeled set and unlabeled set have fixed size $N = 3420$ and $M = 7440$ respectively.

The necessity and sufficiency of reducing the imbalance ratio in the labeled set during training.

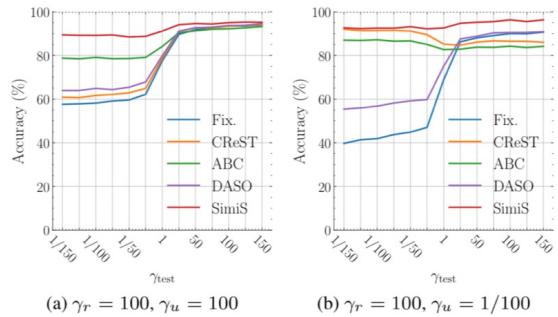


Figure 3. Accuracy on test set of different imbalance ratios. We train FixMatch with different algorithms on CIFAR10-LT using (a) $\gamma_r = 100$, $\gamma_u = 100$, and (b) $\gamma_r = 100$, $\gamma_u = 1/100$. SimiS performs robustly across different testing distribution.

Imbalanced test distribution