

# Some modeling paradigms

- **State-based models:** search problems, games
  - ▣ Applications: routing finding, game playing, etc.
  - ▣ Think in terms of **states, actions, and costs**
- **Variable-based models:** CSPs, Bayesian networks
  - ▣ Applications: scheduling, medical diagnosis, etc.
  - ▣ Think in terms of **variables and potentials**
- **Logic-based models:** propositional logic, first-order logic
  - ▣ Applications: theorem proving, verification, reasoning
  - ▣ Think in terms of **logical formulas and inference rules**

# Logical Agents

## 逻辑智能体

### Chapter 7

# Motivation: smart personal assistant



Courtesy of Facebook

...



# 逻辑智能体

- 逻辑智能体：基于知识的智能体
- 知识和推理的重要性
  - ▣ 部分可观察的环境
  - ▣ 自然语言理解
  - ▣ 基于知识的智能体的灵活性

# Two goals of logic

- Represent knowledge about the world



- Reason with that knowledge



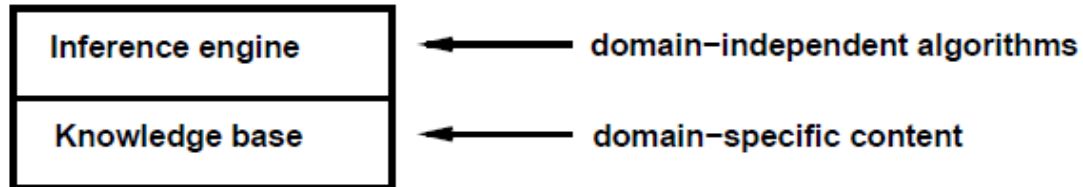
# Outline

- Knowledge-based agents
- Wumpus world
  - ▣ 关于基于知识的智能体运转的例子
- Logic in general — models and entailment (蕴涵)
- Propositional (Boolean) logic 命题逻辑
- Equivalence, validity, satisfiability 等价、合法性和可满足性
- Inference rules and theorem proving
  - ▣ forward chaining 前向链接
  - ▣ backward chaining 反向链接
  - ▣ resolution 归结

# Motivation: smart personal assistant



# Knowledge bases



**Knowledge base** (知识库) = set of **sentences** in a **formal** language

将新语句添加到知识库——

**Declarative** approach to building an agent (or other system):

**TELL** (告诉) it what it needs to know

查询目前所知内容——

Then it can **ASK** (询问) itself what to do — answers should follow from the KB

Agents can be viewed at the **knowledge level** (知识层)

i.e., **what they know**, regardless of how implemented

Or at the **implementation level** (实现层)

i.e., data structures in KB and algorithms that manipulate them



# A simple knowledge-based agent

```
function KB-AGENT(percept) returns an action
  static: KB, a knowledge base
          t, a counter, initially 0, indicating time

  TELL(KB, MAKE-PERCEPT-SENTENCE(percept, t))
  action ← ASK(KB, MAKE-ACTION-QUERY(t))
  TELL(KB, MAKE-ACTION-SENTENCE(action, t))
  t ← t + 1
  return action
```

- TELL → ASK → TELL
- 表示语言的细节隐含于MAKE-PERCEPT-SENTENCE和MAKE-ACTION-QUERY中
- 推理机制的细节隐藏于TELL和ASK中

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  t ← t + 1
  return action
```

The agent must be able to:

Represent states, actions, etc.

Incorporate new percepts

Update internal representations of the world

Deduce hidden properties of the world

Deduce appropriate actions

表示状态和行为

加入新的感知信息

更新关于世界的状态表示

推导关于世界的隐藏信息

推导应采取的合适的行为

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# Wumpus World PEAS description

## Performance measure

gold +1000, death -1000

-1 per step, -10 for using the arrow

## Environment

4×4网格

智能体初始在[1,1], 面向右方

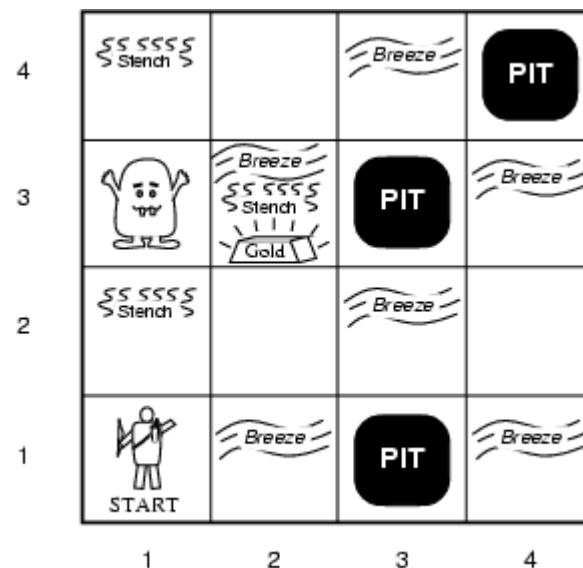
金子和wumpus在[1,1]之外随机均匀分布

[1,1]之外的任意方格是陷阱的概率是0.2

## Actuators Left turn, Right turn,

Forward, Grab, Shoot

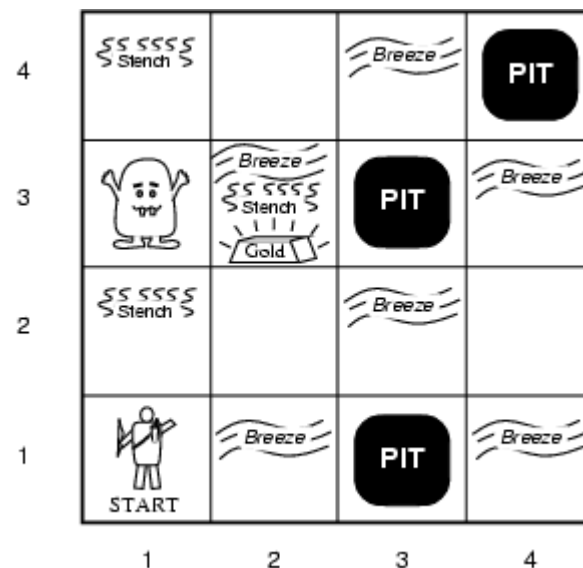
- ❑ 智能体可向前、左转或右转
- ❑ 智能体如果进入一个有陷阱或者活着的wumpus的方格, 将死去。
- ❑ 如果智能体前方有一堵墙, 那么向前移动无效
- ❑ **Grab**: 捡起智能体所在方格中的一个物体
- ❑ **Shoot**: 向智能体所正对方向射箭 (只有一枝箭)



# Wumpus World PEAS description

## Sensors

- **Smell:** 在wumpus所在之处以及与之直接相邻的方格内，智能体可以感知到臭气。
- **Breeze:** 在与陷阱直接相邻的方格内，智能体可以感知到微风。
- **Glitter(发光):** 在金子所处的方格内，智能体可以感知到闪闪金光。
- 当智能体撞到墙时，它感受到撞击。
- 当wumpus被杀死时，它发出洞穴内任何地方都可感知到的悲惨嚎叫。



以5个符号的列表形式将感知信息提供给智能体，例如(stench, breeze, none, none, none)。

# Wumpus world characterization



Observable??

# Wumpus world characterization

Observable?? No — only local perception

Deterministic??

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Deterministic?? Yes — outcomes exactly specified

Episodic??



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Episodic?? No — sequential at the level of actions

Static??

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Static?? Yes — Wumpus and Pits do not move

Discrete??

# Wumpus world characterization

Observable?? No — only local perception

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Discrete?? Yes

Single-agent??

# Wumpus world characterization

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Deterministic?? Yes — outcomes exactly specified

Episodic?? No — sequential at the level of actions

Static?? Yes — Wumpus and Pits do not move

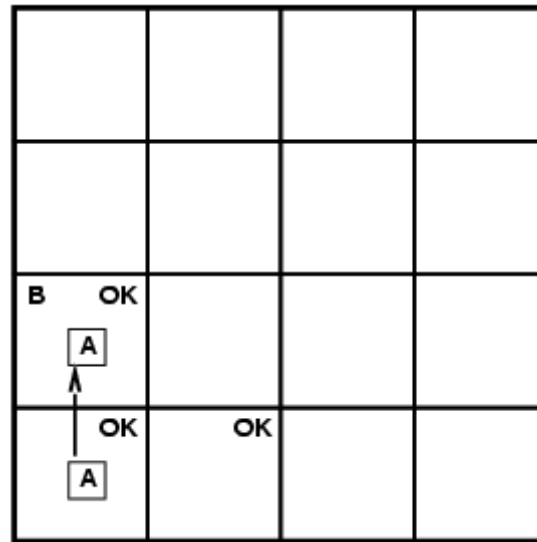
Discrete?? Yes

Single-agent?? Yes — Wumpus is essentially a natural feature

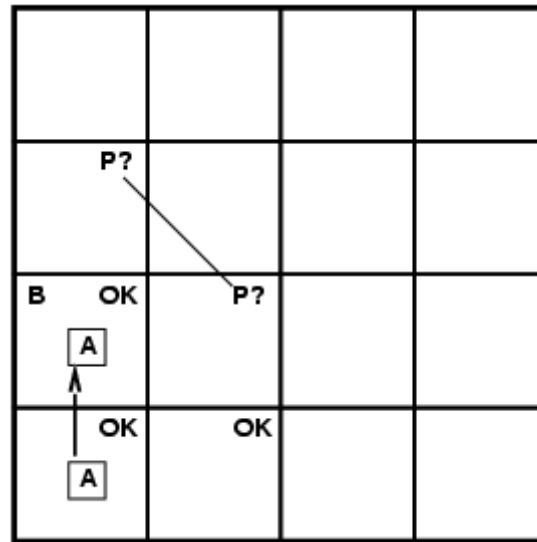
# Exploring a wumpus world

OK			
OK A	OK		

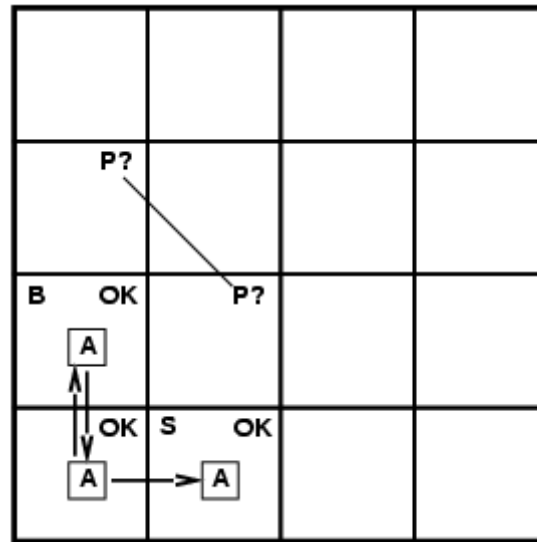
# Exploring a wumpus world



# Exploring a wumpus world

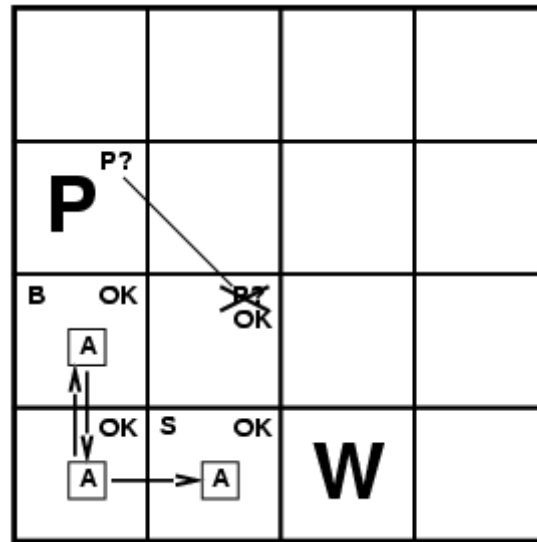


# Exploring a wumpus world

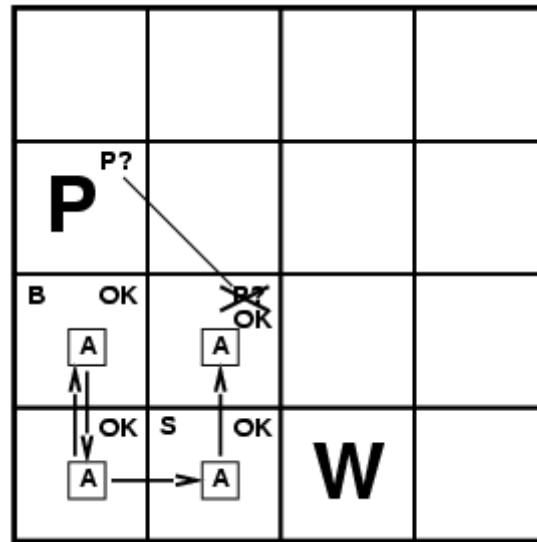




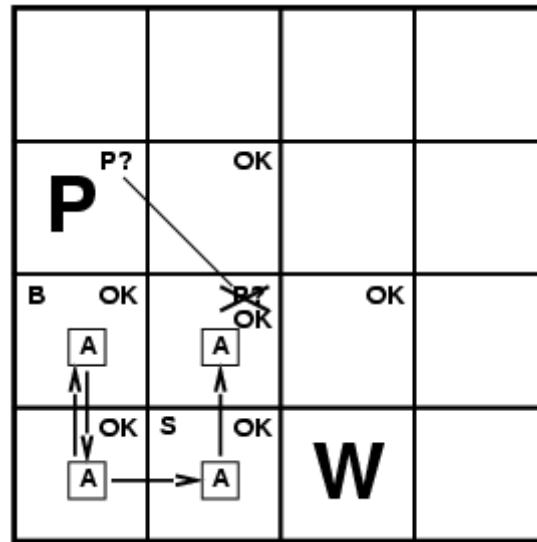
# Exploring a wumpus world



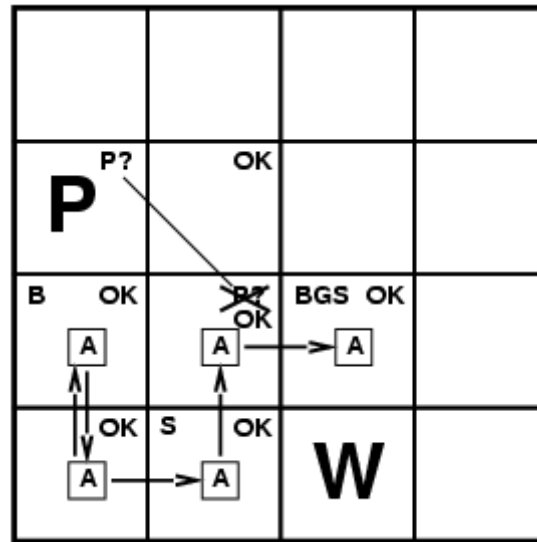
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# Logic in general

**Logics** are formal languages for representing information such that conclusions can be drawn

**Syntax** (语法) define the sentences in the language

**Semantics** (语义) define the "meaning" of sentences;  
i.e., **define truth** of a sentence in a world  
语义定义了每个语句关于每个可能世界的真值

E.g., the language of arithmetic (算术)

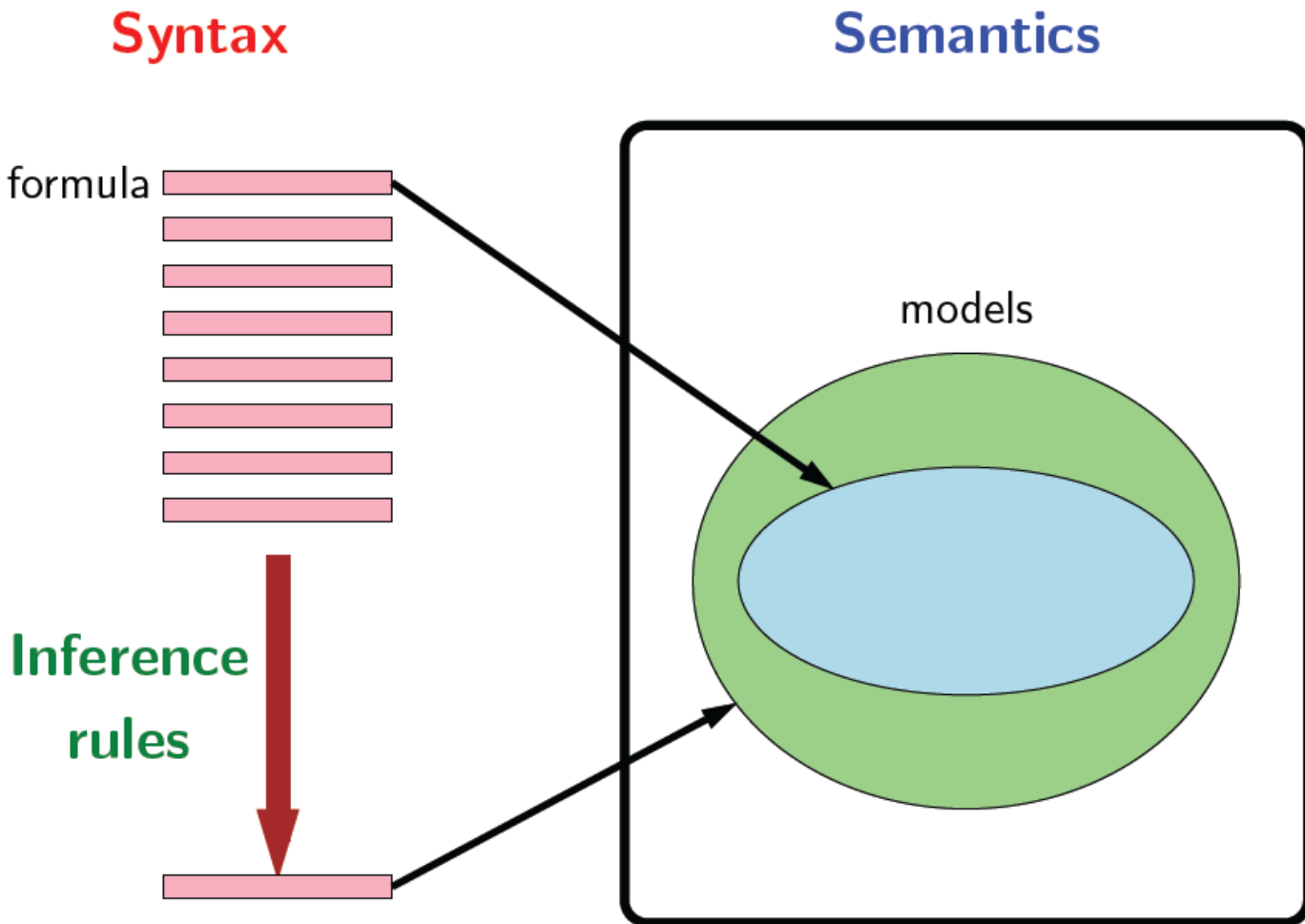
$x + 2 \geq y$  is a sentence;  $x^2 + y >$  is not a sentence

$x + 2 \geq y$  is true if the number  $x + 2$  is no less than the number  $y$

$x + 2 \geq y$  is true in a world where  $x=7, y=1$

$x + 2 \geq y$  is false in a world where  $x=0, y=6$

# Schema for logic



# Entailment 蕴涵

Entailment(蕴涵) means that one thing **follows from** another:

一个语句逻辑上跟随另一个语句而出现

$$KB \models \alpha$$

Knowledge base KB entails sentence  $\alpha$

if and only if

$\alpha$  is true in all worlds where  $KB$  is true (在KB为真的每个世界中,  $\alpha$  也为真)

E.g., the KB containing “the Giants won” and “the Reds won”  
entails “Either the Giants won or the Reds won”

E.g.,  $x + y = 4$  entails  $4 = x + y$

Entailment is a relationship between sentences (i.e., **syntax**语法)  
that is based on **semantics**语义



# Models模型

当需要精确描述时，用术语 *模型* 取代 “可能世界”

Logicians typically think in terms of **models**, which are formally structured worlds with respect to which truth can be evaluated

3 boolean symbols: A, B, C; 8 possible models:

$\{A : 0; B : 0; C : 0\}$

$\{A : 0; B : 0; C : 1\}$

$\{A : 0; B : 1; C : 0\}$

$\{A : 0; B : 1; C : 1\}$

$\{A : 1; B : 0; C : 0\}$

$\{A : 1; B : 0; C : 1\}$

$\{A : 1; B : 1; C : 0\}$

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# Models模型

当需要精确描述时，用术语 *模型* 取代 “可能世界”

Logicians typically think in terms of **models**, which are formally structured worlds with respect to which truth can be evaluated

We say **m** is a **model of** a sentence  **$\alpha$**  if  **$\alpha$**  is true in m

“m是 $\alpha$ 的一个模型” 表示语句 $\alpha$ 在模型m中为真。

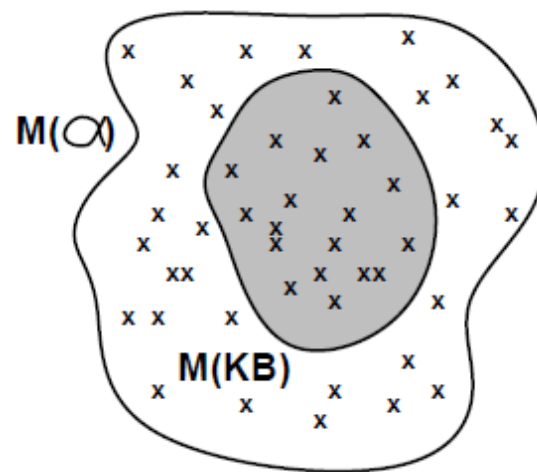
$M(\alpha)$  is the set of all models of  **$\alpha$**

Then  **$KB \models \alpha$**  if and only if  **$M(KB) \subseteq M(\alpha)$**

在KB为真的所有模型中  $\alpha$  为真

E.g.  **$KB$**  = Giants won and Reds won

**$\alpha$**  = Giants won



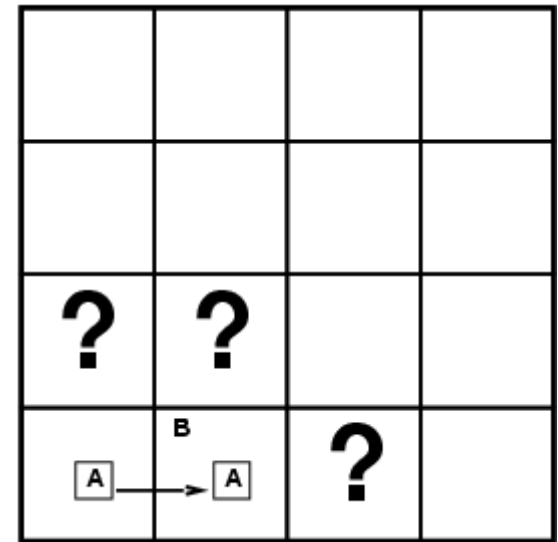
# Entailment in the wumpus world

Situation after detecting nothing in  
[1,1], moving right, breeze in  
[2,1]—知识库KB

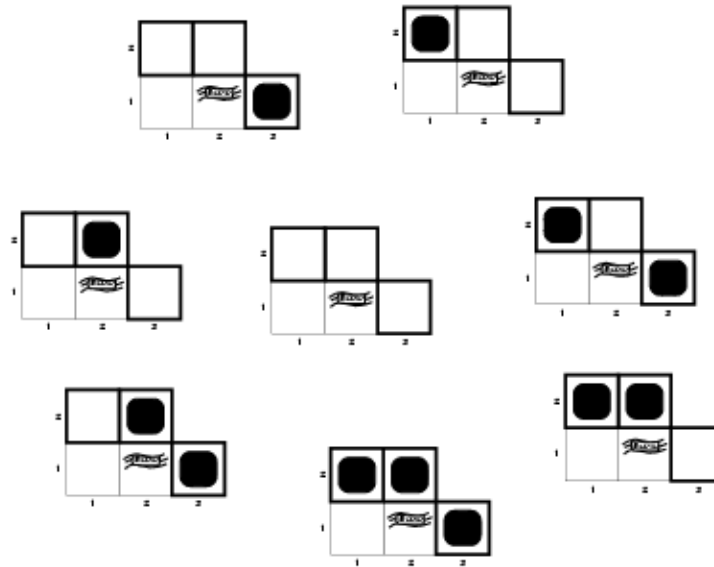
Consider possible models for *KB*  
assuming only pits

考虑相邻的方格是否包含陷阱

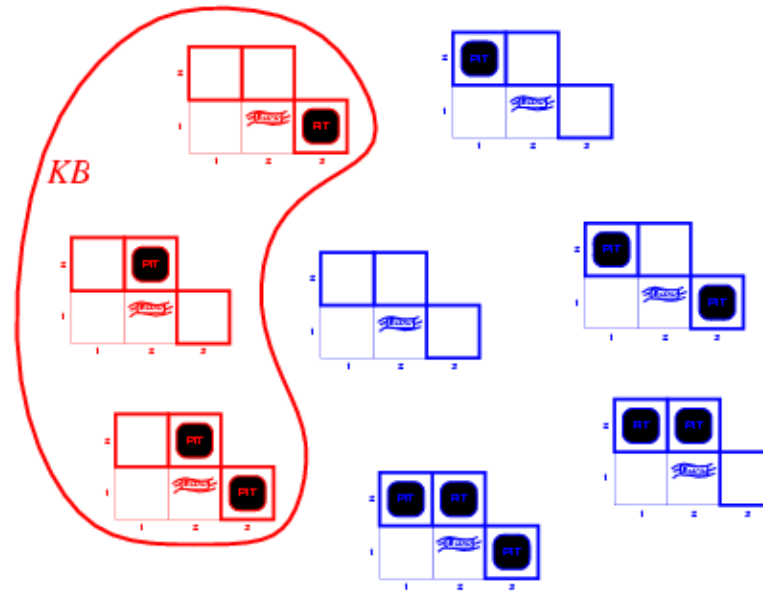
3 Boolean choices  $\Rightarrow$  8 possible  
models



# Wumpus models

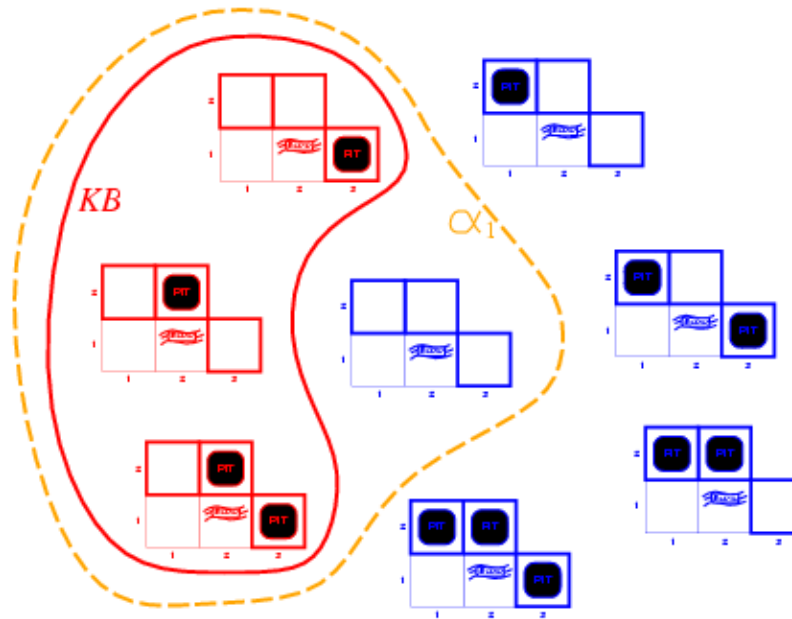


# Wumpus models



$KB = \text{wumpus-world rules} + \text{observations}$

# Wumpus models

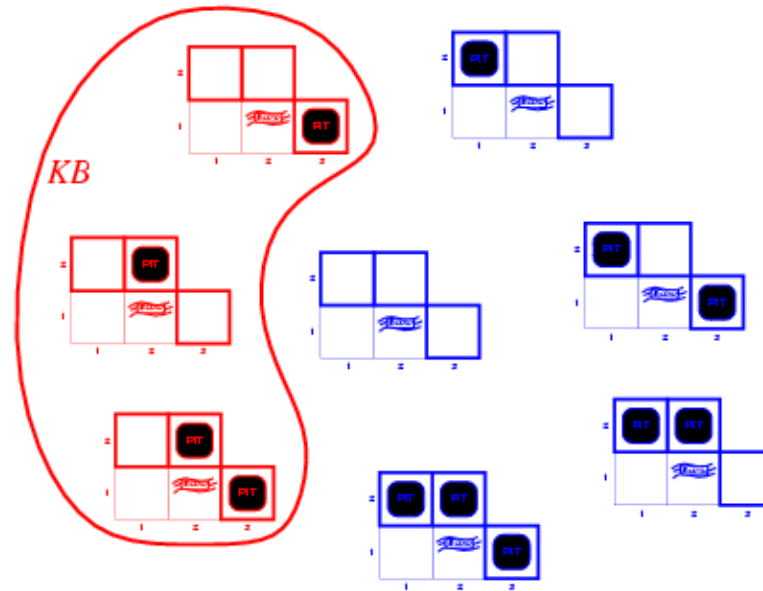


$KB$  = wumpus-world rules + observations

$\alpha_1$  = “[1,2] is safe”,  $KB \models \alpha_1$ , proved by **model checking** (模型检验)

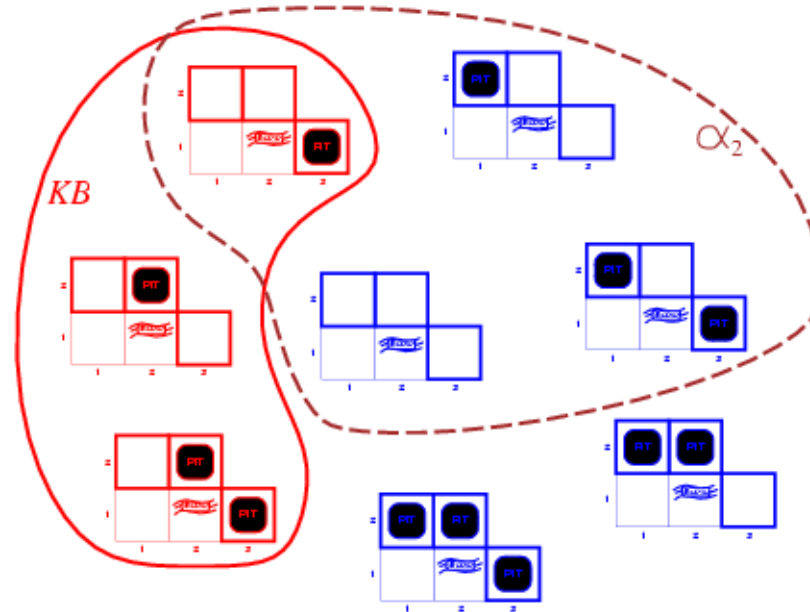
- 在 $KB$ 为真的每个模型中， $\alpha_1$  也为真，因此 $KB \models \alpha_1$

# Wumpus models



$KB = \text{wumpus-world rules} + \text{observations}$

# Wumpus models



$KB$  = wumpus-world rules + observations

$\alpha_2$  = “[2,2] is safe”,  $KB \not\models \alpha_2$

- 在KB为真的某些模型中， $\alpha_2$  为假，因此  $KB \not\models \alpha_2$



# Inference推理

$KB \vdash_i \alpha$  = sentence  $\alpha$  can be derived from  $KB$  by procedure  $i$

如果推理算法 $i$ 可以根据 $KB$ 导出 $\alpha$ ，我们表示为： $KB \vdash_i \alpha$ ，读为“ $i$ 从 $KB$ 导出 $\alpha$ ”

Consequences of  $KB$  ( $KB$ 的所有推论集合) are a haystack (干草堆) ;  $\alpha$  is a needle.  
Entailment蕴涵 = needle in haystack; inference推理 = finding it

**Soundness (可靠性)** —只导出语义蕴涵句:  $i$  is sound if

whenever  $KB \vdash_i \alpha$ , it is also true that  $KB \models \alpha$

**Completeness (完备性)** —可以生成任一蕴涵句:  $i$  is complete if

whenever  $KB \models \alpha$ , it is also true that  $KB \vdash_i \alpha$

Preview: we will define a logic (first-order logic一阶逻辑) which is expressive enough to say almost anything of interest, and for which there exists a sound and complete inference procedure.

That is, the procedure will answer any question whose answer follows from what is known by the  $KB$ .

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# Propositional logic: Syntax 语法

Propositional logic is the simplest logic – illustrates basic ideas

原子语句:

The proposition symbols (命题符号)  $P_1, P_2$  etc are sentences

代表一个或为真或为假的命题

复合句:

If  $S$  is a sentence,  $\neg S$  is a sentence (**negation**非, 否定式)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \wedge S_2$  is a sentence (**conjunction**与, 合取式)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \vee S_2$  is a sentence (**disjunction**或, 析取式)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \Rightarrow S_2$  is a sentence (**implication**蕴涵, 蕴涵式)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \Leftrightarrow S_2$  is a sentence (**biconditional**当且仅当, 双向蕴涵式)

# Propositional logic: Semantics语义

Each model specifies true/false for each proposition symbol

模型简单的固定了每个命题符号的真值

E.g.	$P_{1,2}$	$P_{2,2}$	$P_{3,1}$
	false	true	false

With these symbols, 8 possible models, can be enumerated automatically.

Rules for evaluating truth with respect to a model  $m$ :

$\neg S$	is true iff	$S$ is false	
$S_1 \wedge S_2$	is true iff	$S_1$ is true and	$S_2$ is true
$S_1 \vee S_2$	is true iff	$S_1$ is true or $S_2$ is true	
$S_1 \Rightarrow S_2$	is true iff	$S_1$ is false or	$S_2$ is true
	is false iff	$S_1$ is true and	$S_2$ is false
$S_1 \Leftrightarrow S_2$	is true iff	$S_1 \Rightarrow S_2$ is true and	$S_2 \Rightarrow S_1$ is true

Simple recursive process evaluates an arbitrary sentence, e.g.,

$$\neg P_{1,2} \wedge (P_{2,2} \vee P_{3,1}) = \text{true} \wedge (\text{true} \vee \text{false}) = \text{true} \wedge \text{true} = \text{true}$$

# Truth tables for connectives

## 5种逻辑连接符的真值表

$P$	$Q$	$\neg P$	$P \wedge Q$	$P \vee Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false	false	true	false	false	true	true
false	true	true	false	true	true	false
true	false	false	false	true	false	false
true	true	false	true	true	true	true

# Wumpus world sentences

Let  $P_{i,j}$  be true if there is a pit in  $[i, j]$  .

Let  $B_{i,j}$  be true if there is a breeze in  $[i, j]$  .

$$\neg P_{1,1}$$

$$\neg B_{1,1}$$

$$B_{2,1}$$

“Pits cause breezes in adjacent squares”

# Wumpus world sentences

Let  $P_{i,j}$  be true if there is a pit in  $[i, j]$  .

Let  $B_{i,j}$  be true if there is a breeze in  $[i, j]$  .

$$\neg P_{1,1}$$

$$\neg B_{1,1}$$

$$B_{2,1}$$

“Pits cause breezes in adjacent squares”

$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$$

$$B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$$

“A square is breezy **if and only** if there is an adjacent pit”

# Truth tables for inference

$B_{1,1}$	$B_{2,1}$	$P_{1,1}$	$P_{1,2}$	$P_{2,1}$	$P_{2,2}$	$P_{3,1}$	$R_1$	$R_2$	$R_3$	$R_4$	$R_5$	$KB$
false	false	false	false	false	false	false	true	true	true	true	false	false
false	false	false	false	false	false	true	true	true	false	true	false	false
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
false	true	false	false	false	false	false	true	true	false	true	true	false
false	true	false	false	false	false	true	true	true	true	true	true	<u>true</u>
false	true	false	false	false	true	false	true	true	true	true	true	<u>true</u>
false	true	false	false	false	true	true	true	true	true	true	true	<u>true</u>
false	true	false	false	true	false	false	true	false	false	true	true	false
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
true	true	true	true	true	true	true	false	true	true	false	true	false

Enumerate rows (different assignments to symbols),  
if **KB** is true in row, check that  $\alpha$  is too



# Inference by enumeration 枚举

Depth-first enumeration of all models is sound and complete

```
function TT-ENTAILS?( $KB, \alpha$ ) returns true or false
  inputs:  $KB$ , the knowledge base, a sentence in propositional logic
          $\alpha$ , the query, a sentence in propositional logic
   $symbols \leftarrow$  a list of the proposition symbols in  $KB$  and  $\alpha$ 
  return TT-CHECK-ALL( $KB, \alpha, symbols, []$ )

function TT-CHECK-ALL( $KB, \alpha, symbols, model$ ) returns true or false
  if EMPTY?( $symbols$ ) then
    if PL-TRUE?( $KB, model$ ) then return PL-TRUE?( $\alpha, model$ )
    else return true
  else do
     $P \leftarrow$  FIRST( $symbols$ );  $rest \leftarrow$  REST( $symbols$ )
    return TT-CHECK-ALL( $KB, \alpha, rest, \text{EXTEND}(P, \text{true}, model)$ ) and
           TT-CHECK-ALL( $KB, \alpha, rest, \text{EXTEND}(P, \text{false}, model)$ )
```

For  $n$  symbols, time complexity is  $O(2^n)$ , space complexity is  $O(n)$ ;  
problem is **co-NP-complete**

# Logical equivalence

Two sentences are **logically equivalent** (逻辑等价) iff true in same models:

$\alpha \equiv \beta$  if and only if  $\alpha \models \beta$  and  $\beta \models \alpha$

$$(\alpha \wedge \beta) \equiv (\beta \wedge \alpha) \quad \text{commutativity of } \wedge$$

$$(\alpha \vee \beta) \equiv (\beta \vee \alpha) \quad \text{commutativity of } \vee$$

$$((\alpha \wedge \beta) \wedge \gamma) \equiv (\alpha \wedge (\beta \wedge \gamma)) \quad \text{associativity of } \wedge$$

$$((\alpha \vee \beta) \vee \gamma) \equiv (\alpha \vee (\beta \vee \gamma)) \quad \text{associativity of } \vee$$

$$\neg(\neg\alpha) \equiv \alpha \quad \text{double-negation elimination}$$

$$(\alpha \Rightarrow \beta) \equiv (\neg\beta \Rightarrow \neg\alpha) \quad \text{contraposition}$$

$$(\alpha \Rightarrow \beta) \equiv (\neg\alpha \vee \beta) \quad \text{implication elimination}$$

$$(\alpha \Leftrightarrow \beta) \equiv ((\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)) \quad \text{biconditional elimination}$$

$$\neg(\alpha \wedge \beta) \equiv (\neg\alpha \vee \neg\beta) \quad \text{De Morgan}$$

$$\neg(\alpha \vee \beta) \equiv (\neg\alpha \wedge \neg\beta) \quad \text{De Morgan}$$

$$(\alpha \wedge (\beta \vee \gamma)) \equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma)) \quad \text{distributivity of } \wedge \text{ over } \vee$$

$$(\alpha \vee (\beta \wedge \gamma)) \equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma)) \quad \text{distributivity of } \vee \text{ over } \wedge$$

$\wedge$ 的可交换性

$\vee$ 的可交换性

$\wedge$ 的结合律

$\vee$ 的结合律

双重否定消去

逆否命题

蕴涵消去

双向蕴涵消去

摩根律

摩根律

$\wedge$ 对 $\vee$ 的分配率

$\vee$ 对 $\wedge$ 的分配率

# Validity and satisfiability

## 合法性与可满足性

A sentence is **valid** if it is true in **all** models,

e.g., **True**,  $A \vee \neg A$ ,  $A \Rightarrow A$ ,  $(A \wedge (A \Rightarrow B)) \Rightarrow B$

Validity is connected to inference via the **Deduction Theorem** (演绎定理) :

$KB \models \alpha$  if and only if (  $KB \models \alpha$  ) is valid

A sentence is **satisfiable** if it is true in **some** model

e.g.,  $A \vee B$ ,  $C$

A sentence is **unsatisfiable** if it is true in **no** models

e.g.,  $A \wedge \neg A$

Satisfiability is connected to inference via the following:

$KB \models \alpha$  if and only if (  $KB \wedge \neg \alpha$  ) is unsatisfiable

i.e., prove  $\alpha$  by **reduction ad absurdum** (归谬, 反证法)

假定  $\alpha$  为假, 并证明这将推导出和已知公理KB的一个矛盾

# Outline

- Knowledge-based agents
- Wumpus world
  - ▣ 关于基于知识的智能体运转的例子
- Logic in general — models and entailment (蕴涵)
- Propositional (Boolean) logic 命题逻辑
- Equivalence, validity, satisfiability 等价、合法性和可满足性
- Inference rules and theorem proving
  - ▣ forward chaining 前向链接
  - ▣ backward chaining 反向链接
  - ▣ resolution 归结

# Proof methods

Proof methods divide into (roughly) two kinds:

## Application of inference rules 推理规则的应用

Legitimate (sound) generation of new sentences from old

Proof = a sequence of inference rule applications 推理规则的应用序列

Can use inference rules as operators in a standard search alg.

寻找证明的过程与搜索问题中寻找解的过程非常类似：定义后继函数以便生成推理规则所有可能的应用。

Typically require translation of sentences into a **normal form** (范式)

## Model checking 模型检查

truth table enumeration (always exponential in  $n$ )

improved backtracking, e.g., Davis-Putnam-Logemann-Loveland

heuristic search in model space (sound but incomplete)

e.g., min-conflicts-like hill-climbing algorithms

# Forward and backward chaining

## 前向链接和反向链接

### Horn Form (restricted)

KB = **conjunction** (与) of **Horn clauses** 霍恩子句

Horn clause =

- ◆ proposition symbol (命题符号) ; or
- ◆ (conjunction of symbols)  $\Rightarrow$  symbol

E.g.,  $C \wedge (B \Rightarrow A) \wedge (C \wedge D \Rightarrow B)$

**Modus Ponens** (分离规则, 肯定前件的假言推理) (for Horn Form):  
complete for Horn KBs

$$\frac{\alpha_1, \dots, \alpha_n, \quad \alpha_1 \wedge \dots \wedge \alpha_n \Rightarrow \beta}{\beta}$$

Can be used with **forward chaining** or **backward chaining**.

These algorithms are very natural and run in **linear** time

# Forward chaining

Idea: fire any rule whose premises (前提) are satisfied in the **KB**, add its conclusion to the **KB**, until query (询问) is found

从知识库中的已知事实（正文字）开始。如果蕴涵的所有前提已知，那么把它的结论加到已知事实集。持续这一过程，直到询问 $q$ 被添加或者直到无法进行更进一步的推理

$$P \Rightarrow Q$$

$$L \wedge M \Rightarrow P$$

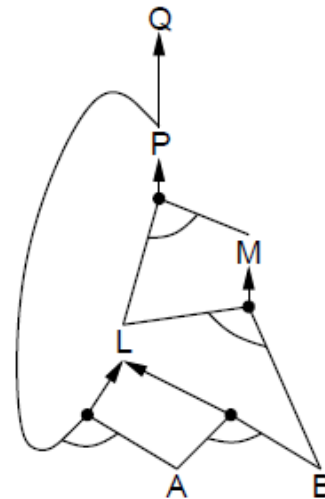
$$B \wedge L \Rightarrow M$$

$$A \wedge P \Rightarrow L$$

$$A \wedge B \Rightarrow L$$

$A$

$B$



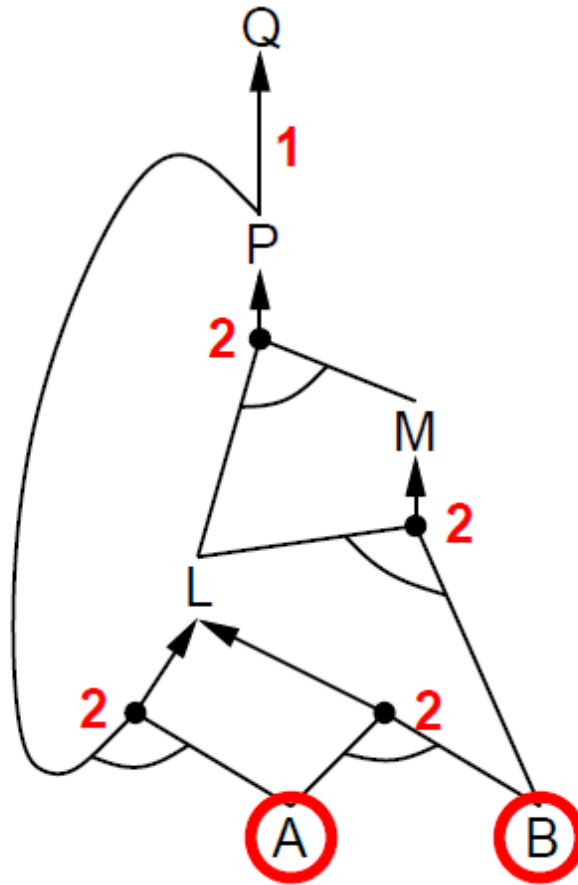
# Forward chaining algorithm

```
function PL-FC-ENTAILS?(KB, q) returns true or false
  inputs: KB, the knowledge base, a set of propositional Horn clauses
         q, the query, a proposition symbol
  local variables: count, a table, indexed by clause, initially the number of premises
                  inferred, a table, indexed by symbol, each entry initially false
                  agenda, a list of symbols, initially the symbols known in KB

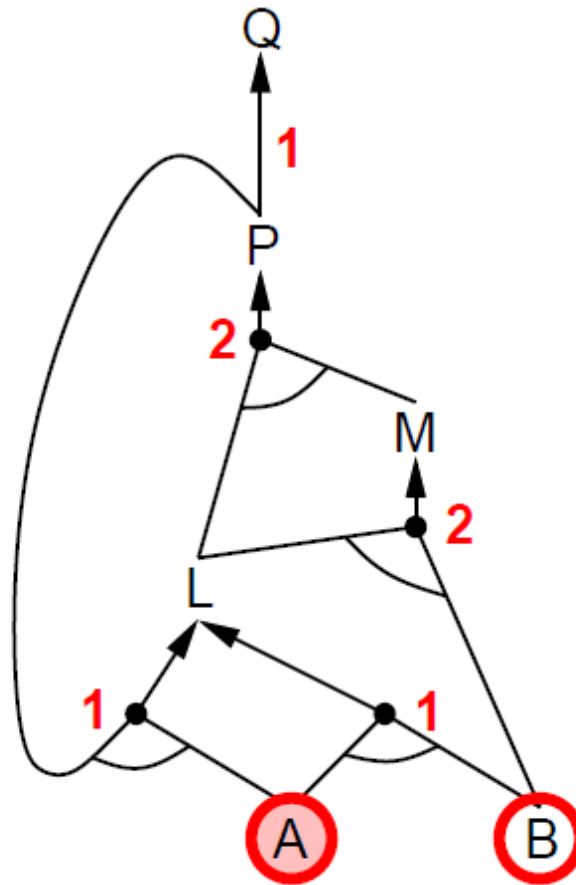
  while agenda is not empty do
    p ← POP(agenda)
    unless inferred[p] do
      inferred[p] ← true
      for each Horn clause c in whose premise p appears do
        decrement count[c]
        if count[c] = 0 then do
          if HEAD[c] = q then return true
          PUSH(HEAD[c], agenda)
  return false
```



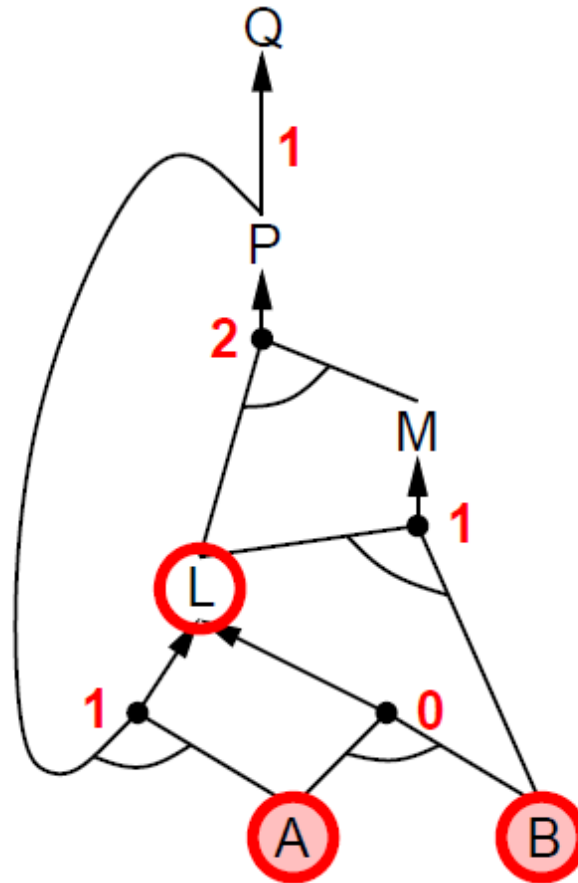
# Forward chaining example



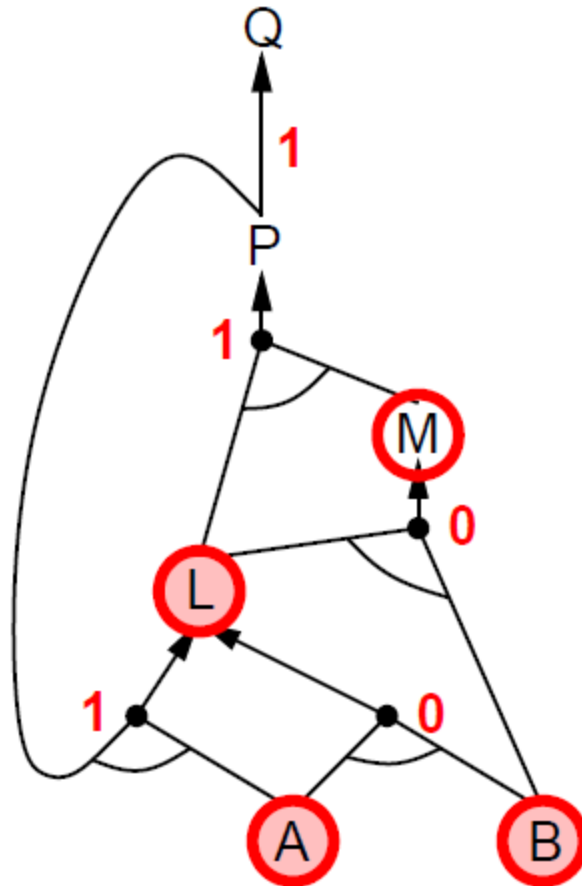
# Forward chaining example



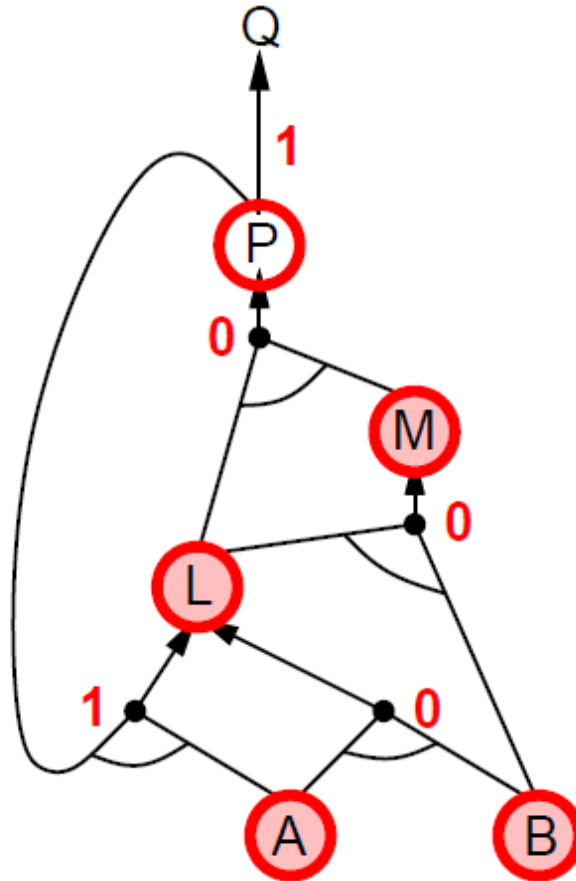
# Forward chaining example



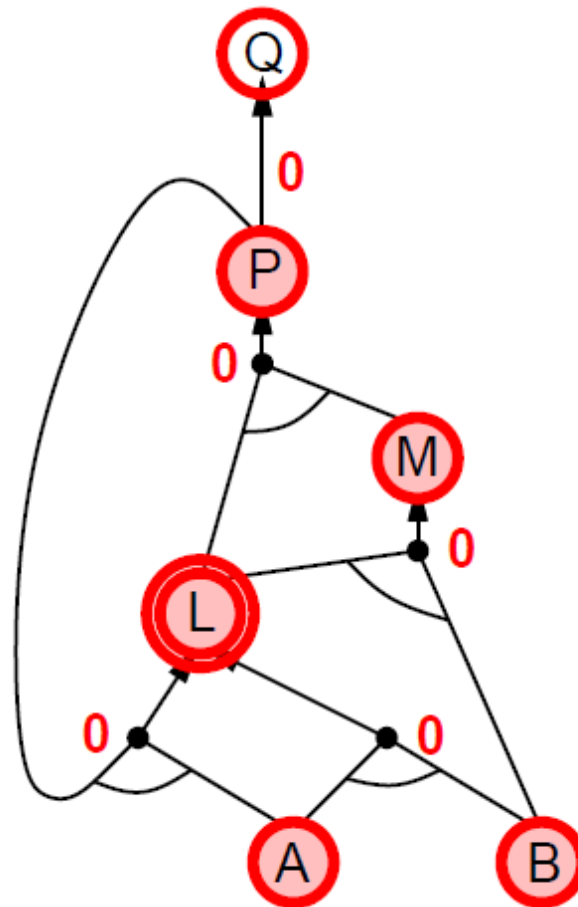
# Forward chaining example



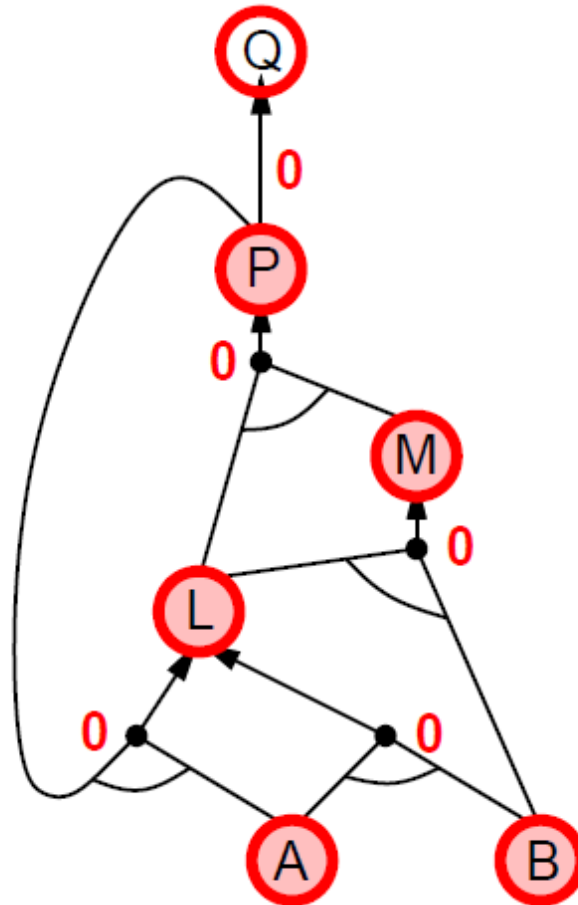
# Forward chaining example



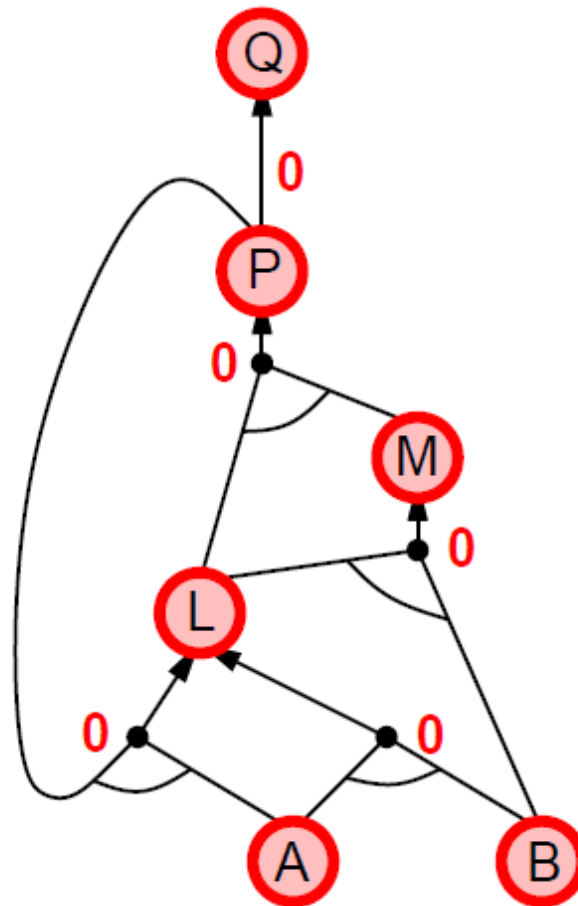
# Forward chaining example



# Forward chaining example



# Forward chaining example





# Properties of forward chaining

对于Horn KB, Forward chaining 是  
可靠的: 每个推理本质上是分离规则的一个应用  
完备的: 每个被蕴涵的原子语句都将得以生成

# Proof of completeness (完备性)

FC可推出每个被KB蕴涵的原子语句

1. FC到达不动点以后，不可能再出现新的推理。
2. 考察inferred表的最终状态，参与推理过程的每个符号为true，其它为false。  
把该推理表看做一个逻辑模型 $m$
3. 原始KB中的每个确定子句在该模型 $m$ 中都为真  
证明：假设某个子句  $a_1 \wedge \dots \wedge a_k \Rightarrow b$  在 $m$ 中为false  
那么  $a_1 \wedge \dots \wedge a_k$  在 $m$ 中为true， $b$  在 $m$ 中为false  
与算法已经到达一个不动点相矛盾
4.  $m$ 是KB的一个模型
5. 如果  $KB \models q$ ， $q$ 在KB的所有模型中必须为真，包括 $m$
6.  $q$ 在 $m$ 中为真  $\rightarrow$  在inferred表中为真  $\rightarrow$  被FC算法推断出来

# Backward chaining

Idea: 从查询 $q$ 反向进行:

to prove  $q$  by BC,

check if  $q$  is known already (检查是否 $q$ 已知为真), or  
prove by BC all premises of some rule concluding  $q$

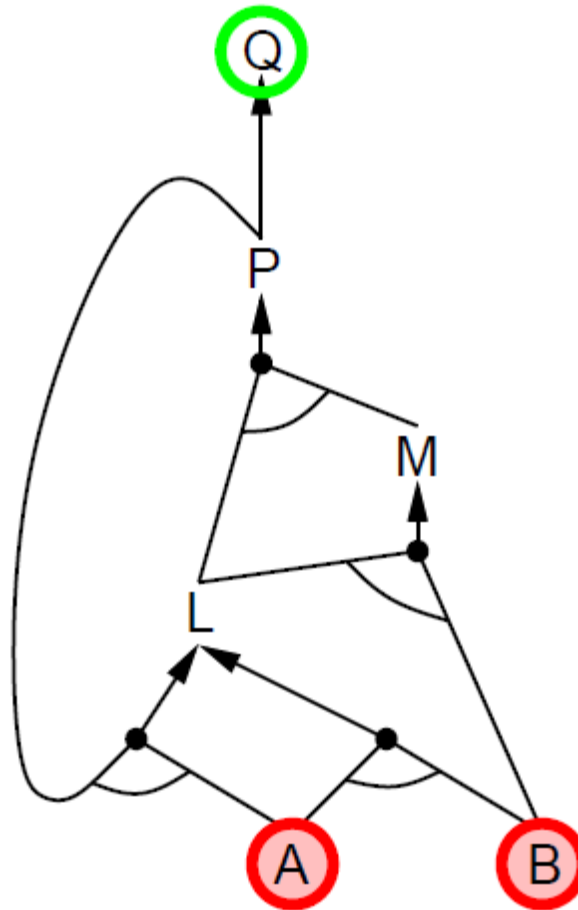
(寻找知识库中那些以 $q$ 为结论的蕴涵, 证明其中一个  
蕴涵的所有前提为真)

Avoid loops: check if new subgoal is already on the goal stack

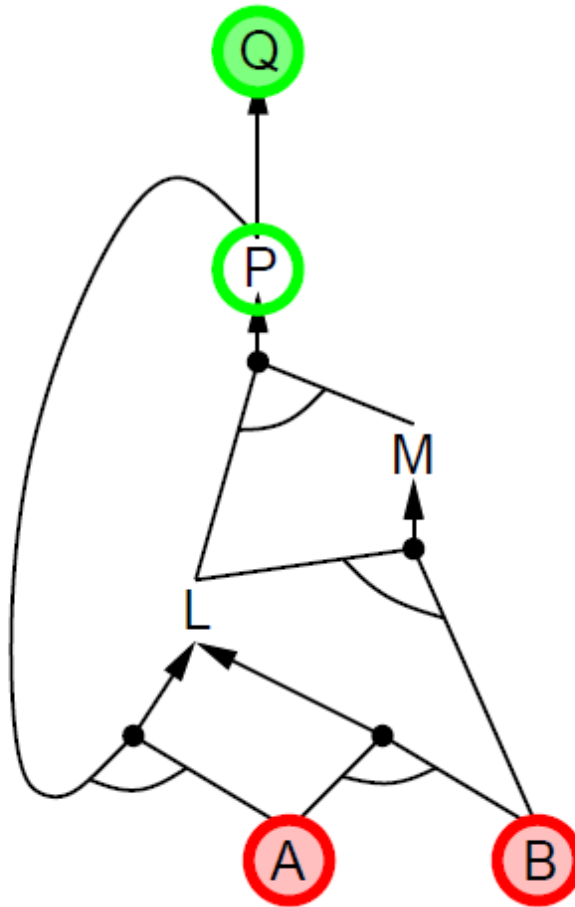
Avoid repeated work: check if new subgoal

- 1) has already been proved true, or
- 2) has already failed

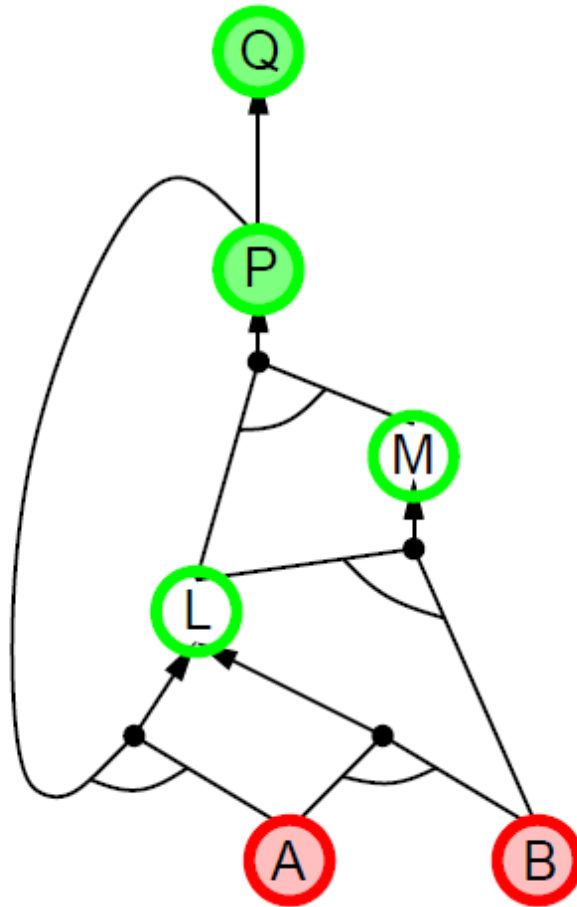
# Backward chaining example



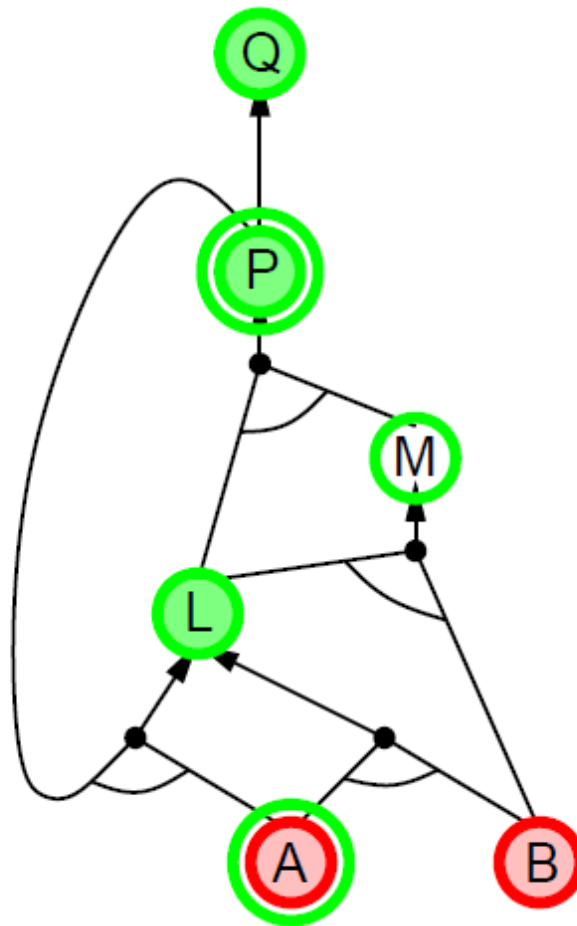
# Backward chaining example



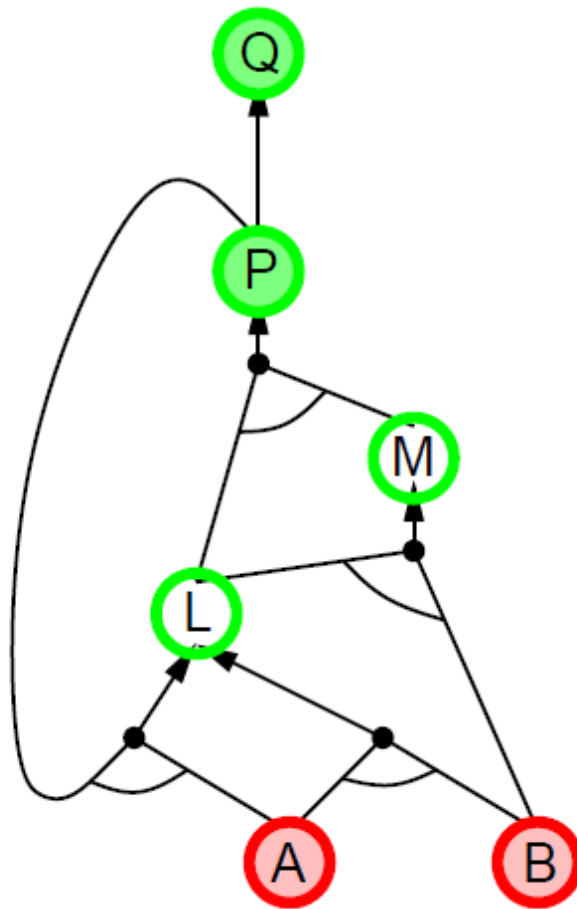
# Backward chaining example



# Backward chaining example

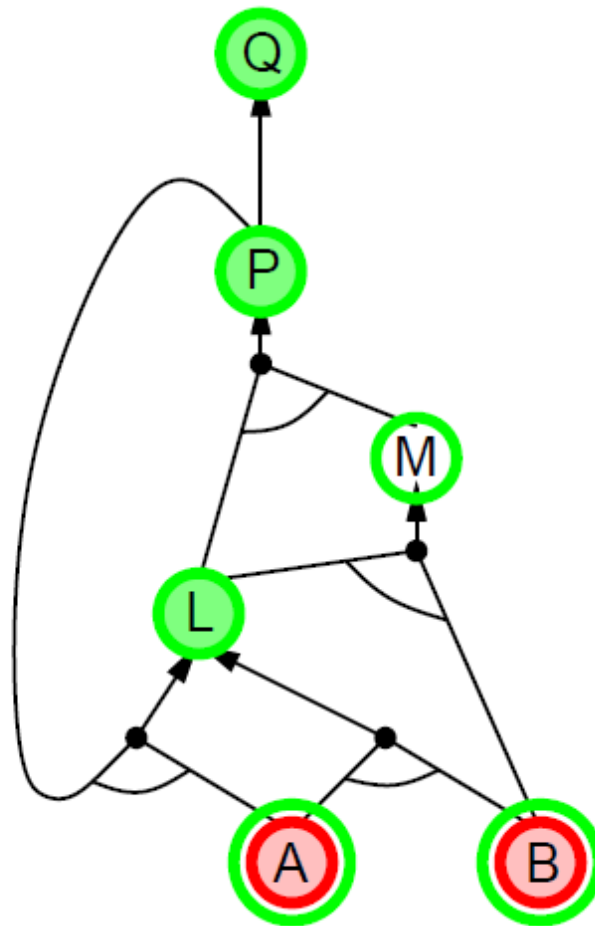


# Backward chaining example

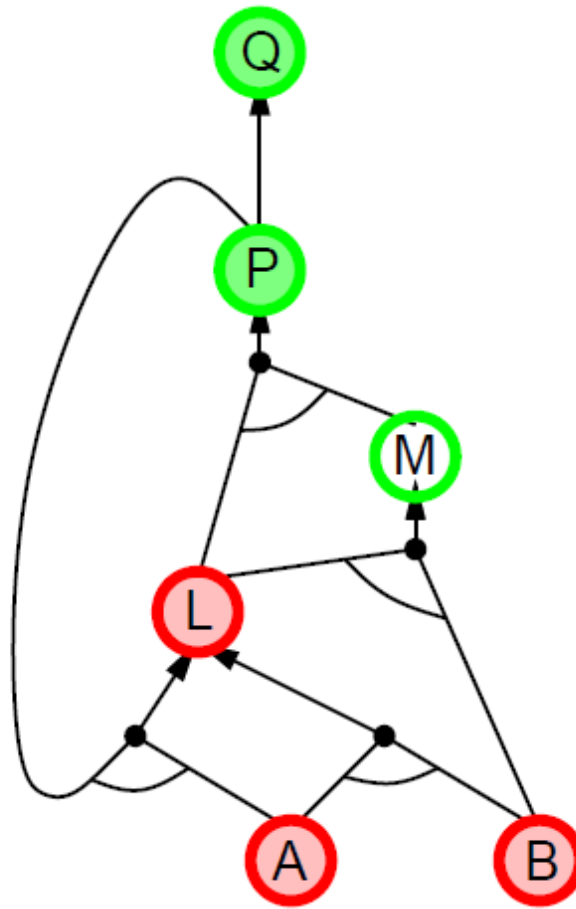




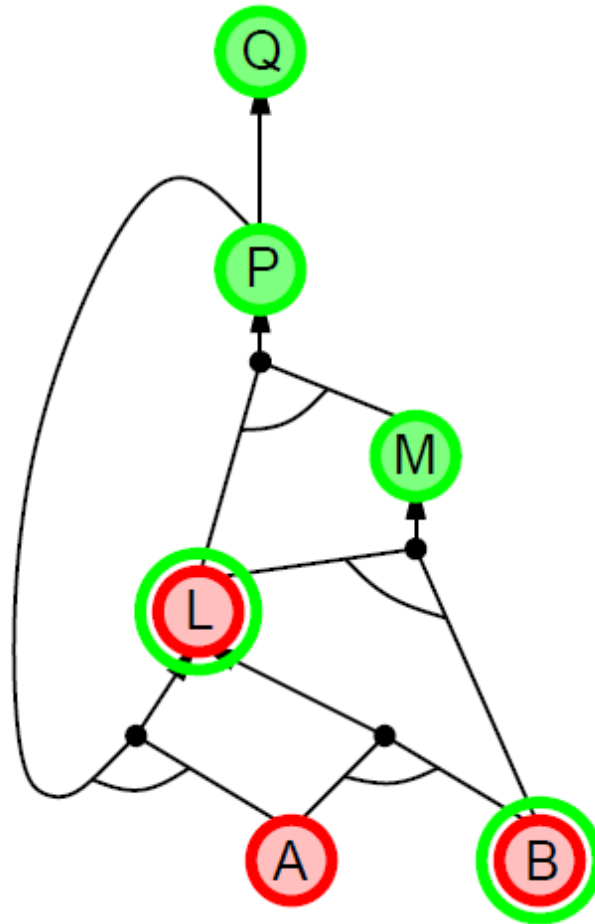
# Backward chaining example



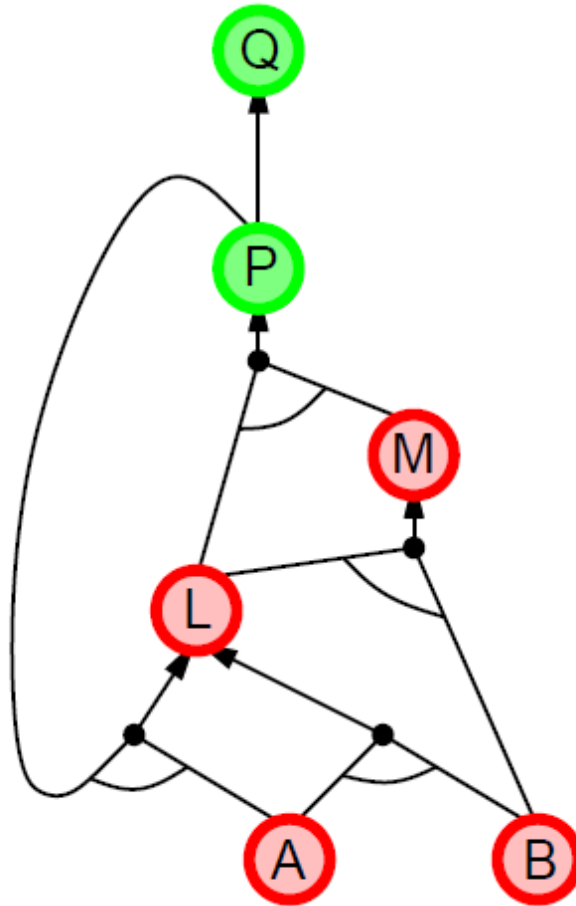
# Backward chaining example



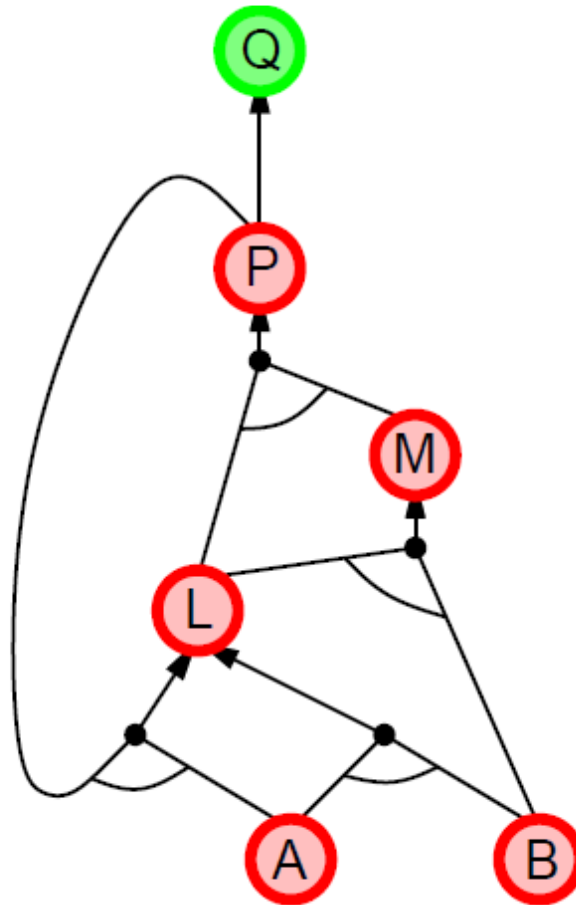
# Backward chaining example



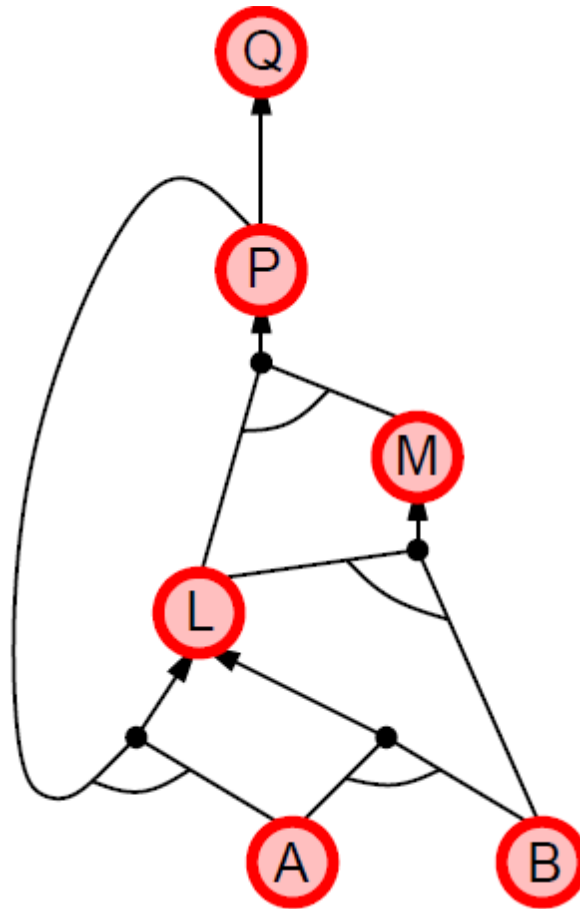
# Backward chaining example



# Backward chaining example



# Backward chaining example



# Forward vs. backward chaining

FC is **data-driven** (数据驱动) , cf. automatic, unconscious processing,  
e.g., object recognition, routine decisions

May do lots of work that is irrelevant to the goal

BC is **goal-driven** (目标指导) , appropriate for problem-solving,  
e.g., Where are my keys? How do I get into a PhD program?

Complexity of BC can be **much less** than linear in size of KB

# Resolution归结

Conjunctive Normal Form 合取范式 (CNF)

conjunction of disjunctions of literals (文字析取式的合取式)  
clauses

E.g.,  $(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$

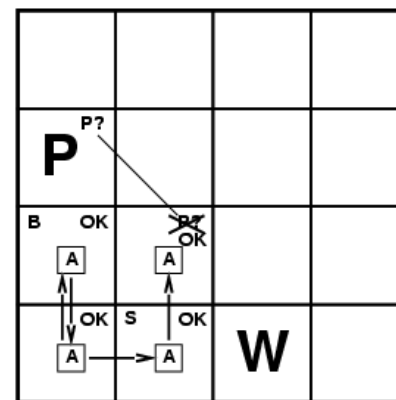
Resolution inference rule 归结推理规则 (for CNF):

$$\frac{\ell_i \vee \dots \vee \ell_k \quad m_1 \vee \dots \vee m_n}{\ell_i \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee m_1 \vee \dots \vee m_{i-1} \vee m_{i+1} \vee \dots \vee m_n}$$

where  $\ell_i$  and  $m_i$  are complementary literals (互补文字)

$$\frac{\text{E.g., } P_{1,3} \vee P_{2,2}, \quad \neg P_{2,2}}{P_{1,3}}$$

Resolution is sound and complete for propositional logic  
命题逻辑中归结是可靠和完备的





# Conversion to CNF

$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$$

1. Eliminate  $\Leftrightarrow$ , replacing  $\alpha \Leftrightarrow \beta$  with  $(\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)$ .

$$(B_{1,1} \Rightarrow (P_{1,2} \vee P_{2,1})) \wedge ((P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1})$$

2. Eliminate  $\Rightarrow$ , replacing  $\alpha \Rightarrow \beta$  with  $\neg\alpha \vee \beta$ .

$$(\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg(P_{1,2} \vee P_{2,1}) \vee B_{1,1})$$

3. Move  $\neg$  inwards using de Morgan's rules and double-negation:

$$(\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge ((\neg P_{1,2} \wedge \neg P_{2,1}) \vee B_{1,1})$$

4. Apply distributivity law ( $\vee$  over  $\wedge$ ) and flatten:

$$(\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg P_{1,2} \vee B_{1,1}) \wedge (\neg P_{2,1} \vee B_{1,1})$$

# Resolution algorithm

- Recall: KB operation boil down to satisfiability  
 $KB \models \alpha$  if and only if  $(KB \wedge \neg \alpha)$  is unsatisfiable
- Algorithm: resolution-based inference
  - ▣ Convert all formulas to CNF
  - ▣ Repeatedly apply resolution rule
  - ▣ Return unsatisfiable iff derive false

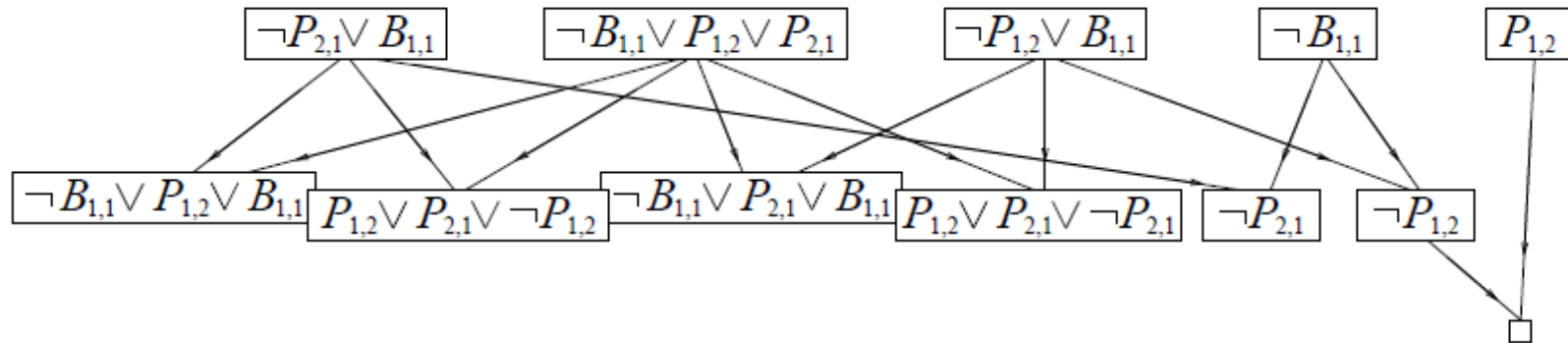
# Resolution algorithm

Proof by contradiction, i.e., show  $KB \wedge \neg\alpha$  unsatisfiable

```
function PL-RESOLUTION( $KB, \alpha$ ) returns true or false
  inputs:  $KB$ , the knowledge base, a sentence in propositional logic
          $\alpha$ , the query, a sentence in propositional logic
   $clauses \leftarrow$  the set of clauses in the CNF representation of  $KB \wedge \neg\alpha$ 
   $new \leftarrow \{ \}$ 
  loop do
    for each  $C_i, C_j$  in  $clauses$  do
       $resolvents \leftarrow$  PL-RESOLVE( $C_i, C_j$ )
      if  $resolvents$  contains the empty clause then return true
       $new \leftarrow new \cup resolvents$ 
  if  $new \subseteq clauses$  then return false
   $clauses \leftarrow clauses \cup new$ 
```

# Resolution example

$$KB = (B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})) \wedge \neg B_{1,1} \quad \alpha = \neg P_{1,2}$$



# Time complexity

## □ Modus ponens inference rule

$$\frac{p_1, \dots, p_k, (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

- Each rule application adds clause with **one** propositional symbol  $\rightarrow$  linear time

## □ Resolution inference rule

$$\frac{f_1 \vee \dots \vee f_n \vee h, \neg h \vee g_1 \vee \dots \vee g_m}{f_1 \vee \dots \vee f_n \vee g_1 \vee \dots \vee g_m}$$

- Each rule application adds clause with **many** propositional symbols  $\rightarrow$  exponential time

# Comparison



Horn clauses

any clauses

Modus ponens

resolution

linear time

exponential time

less expressive

more expressive

# Summary

Logical agents apply **inference** to a **knowledge base**  
to derive new information and make decisions

Basic concepts of logic:

- **syntax**: formal structure of **sentences**
- **semantics**: truth of sentences wrt **models**
- **entailment**: necessary truth of one sentence given another
- **inference**: deriving sentences from other sentences
- **soundness**: derivations produce only entailed sentences
- **completeness**: derivations can produce all entailed sentences

Wumpus world requires the ability to represent partial and negated information,  
reason by cases, etc.

Forward, backward chaining are linear-time, complete for Horn clauses  
Resolution is complete for propositional logic

Propositional logic lacks expressive power

# 作业

- 7.12（第二版）=7.13（第三版）
- 证明前向链接算法的完备性