

# 1 General Problems

Configuration and Specification (Sets)

## Equivalence Problem

Input:  $C = \{\alpha_1, \dots, \alpha_n\}$

Query: Whether  $\exists K \subseteq C : K \equiv \beta$

Let

$$\text{IMP}(C, \beta) := \{\alpha \mid \alpha \in C \text{ and } \beta \models \alpha\}$$

Then

$$\exists K \subseteq C (K \equiv \beta) \iff \text{IMP}(C, \beta) \models \beta$$

So, equivalence problem is in  $\text{P}^{\text{NP}[\log n]}$  which is the class of problems solvable in polynomial time with  $O(\log n)$  queries to an NP oracle.

Next we show hardness. The problem  $\text{SAT}_{\text{odd}}^n$  is complete for  $\text{P}^{\text{NP}[\log n]}$ . Where  $\text{SAT}_{\text{odd}}^n$  is the problem of determining whether the number of satisfiable formulas among  $n$  given CNF formulas.

We shall construct a reduction from  $\text{SAT}_{\text{odd}}^n$  to the equivalence configuration problem.

Suppose  $F_1, \dots, F_n$  are 3CNF formulas such that they have pairwise distinct variables. We assume  $F_i$  is not tautological, otherwise we consider  $F_i \wedge p_i$ .

It is easy to see that  $\varphi$  is satisfiable iff the number of satisfiable formulas among  $F_1, \dots, F_n$  is odd.

Please note that there is transformation  $T$  such that a 3CNF formula  $F$  is satisfiable iff  $T(F)$  is minimal unsatisfiable.

Now we have  $T(F_1), \dots, T(F_n)$ , and suppose they have  $m_1, \dots, m_n$  clauses, respectively.

By the definition of transformation  $T$ , in each  $T(F_i)$  we have a clause  $c_i = (y_{i,1} \vee \dots \vee y_{i,m_i})$ , where  $y_{i,j}$  are introduced new variables by  $T$ .

Now we can see  $F_i$  is satisfiable iff  $T(F_i) - \{c_i\}$  is satisfiable and equivalent to  $\neg c_i \wedge F_i$  are equivalent.

Let  $T'(F_i) := (T(F_i) - \{c_i\}) \vee_{cl} c_i$

Let  $C := \{T'(F_1), \dots, T'(F_n), c_1, \dots, c_n\}$ .

Let  $\beta := (c_1 \vee F_1) \wedge \cdots \wedge (c_n \vee F_n) \wedge (\neg c_1 \otimes \cdots \otimes \neg c_n)$

Suppose there are odd number of sat frmulas. For simplicility, we assume  $F_1, \dots, F_{2k+1}$  are sat, while others are unsat.

Let  $K = \{T'(F_i), \dots, T'(F_n)\}$ ,

### **Retricted Equivalence(R-equivalence)**

Input:  $C = \{\alpha_1, \dots, \alpha_n\}$ ,  $\beta$ , and a set  $V$  of variables

Query: Whether  $\exists K \subseteq C : K \equiv^V \beta$

$\Sigma_3^P$

### **Implication Problem**

Input:  $C = \{\alpha_1, \dots, \alpha_n\}$ ,  $\beta$

Query: Whether  $\exists K \subseteq C : K \models \beta$

Note: In all problems  $K$  is demanded satisfiable.

$$\alpha \equiv_V \beta \iff \forall \gamma \text{ over } V, (\alpha \models \gamma \iff \beta \models \gamma)$$

$$\alpha \models_V \beta \iff \forall \gamma \text{ over } V, (\beta \models \gamma \implies \alpha \models \gamma)$$

Euivalence Problem is in  $\text{P}^{\text{NP}[\log]n}$

R-Equivalence Problem same as above

Implication  $\Sigma_2^P$ ?

## **2 Restricted to DHORN**

$C$ : set of DHORN,  $\beta$ : DHORN

**Equivalence problem is in PTIME**

Idea: Let

### Implication problem is trivial

The existence of  $K$  is equivalent to  $C \models \beta$

### The $V$ -equivalence problem

we guess it seems  $\Sigma_2^P$ -complete.

See Hans's book page 251

## 3 Restricted to HORN

Equivalence Problem: same as the DHORN case (PTIME).

iff  $\text{IMP}(C, \beta)$  is satisfiable and  $\text{IMP}(C, \beta) \models \beta$

V-Equivalence Problem:  $\Sigma_2^P$ -complete

$$\Phi := \exists y_1, \dots, y_k \forall x_1, \dots, x_m (c_1 \vee \dots \vee c_n)$$

Where  $c_i$  is a conjunction of literals.

for each variable  $z$ , introduce a new variable  $\pi(\neg z)$ . Let  $\pi(z) = z$ .

introduce  $U$ .

for a clause  $c = L_1 \wedge \dots \wedge L_s$ , we write  $\pi(c) := \pi(L_1) \wedge \dots \wedge \pi(L_s)$

$$\begin{aligned}
C := & \{ \pi(c_i) \rightarrow U \mid i = 1, \dots, n \} \cup \\
& \{ \neg \pi(\neg x_j) \vee \neg x_j \mid j = 1, \dots, m \} \cup \\
& \{ \neg \pi(\neg y_i) \vee \neg y_i \mid i = 1, \dots, k \} \cup \\
& \{ y_i, \pi(\neg y_i) \mid i = 1, \dots, k \} \\
\beta := & U \vee \left( \bigvee_{j=1}^k (\neg \pi(\neg x_j) \wedge \neg x_j) \right)
\end{aligned}$$

(Remark: using Tsting algorithm)

Let

$$V := \{U\} \cup \{x_1, \dots, x_m\}$$

( $\exists K \subseteq C$  such that  $K \equiv_V \beta$ ) if and only if  $\Phi$  is true.

Implication Problem

NP-complete

Given a 3CNF  $F$

$$\bigwedge_{i=1}^m (L_{i,1} \vee L_{i,2} \vee L_{i,3}) \text{ over } x_1, \dots, x_n$$

For each  $i = 1, \dots, m$ , pick a new variable  $z_i$ . For each  $j = 1, \dots, n$  we pick a new variable  $\pi(\neg x_j)$ . For convenience, we also write  $x_j$  as  $\pi(x_j)$ .

Define  $C$

$$\begin{aligned}
C := & \bigcup_{i=1}^m \{ \pi(L_{i,1}) \rightarrow z_i, \pi(L_{i,2}) \rightarrow z_i, \pi(L_{i,3}) \rightarrow z_i \} \cup \\
& \bigcup_{j=1}^n \{ \rightarrow x_j, \rightarrow \pi(\neg x_j) \} \cup \\
& \{ z_1 \wedge \dots \wedge z_m \rightarrow z \}
\end{aligned}$$

Define

$$\beta := z \wedge \bigwedge (\neg \pi(\neg x_j) \vee \neg x_j)$$

Implication problem iff  $F$  is satisfiable

### Specification Problem

Given a partial configuration  $K$ , demand  $\beta$ , a set of variables  $V, W$   
Looking for  $\sigma$  over  $W$  such that

1.  $K \wedge \sigma(W) \equiv \beta$
2.  $K \wedge \sigma(W) \equiv_V \beta$
3.  $K \wedge \sigma(W) \models \beta$

### Query Learning

black box  $\alpha$

equivalence query. Guess a  $\beta$  ask whether  $\alpha \equiv \beta$ . If the answer is no,  
output a truth assignment satisfying  $\alpha$  and  $\neg\beta$  or satisfying  $\neg\alpha$  and  $\beta$ .

membership query

guess a truth assignment  $v$  answer  $v(\alpha) = 0$  or  $1$ .