

# **Digital Ethics and Data Privacy**

Topic 2: Artificial Intelligence Ethics and Governance

#### Case Scenario: Ethical Dilemma in Al



- Imagine you're the lead data scientist in a tech firm developing an AI-driven facial recognition system for law enforcement.
- During a meeting, your team discovers that the AI system is showing an accuracy discrepancy. It is less accurate in recognizing faces of people with darker skin tones, potentially leading to wrongful identifications.
- Fixing this issue would require extensive retraining of the model, which would push back the project timeline and exceed the budget.

1

Continue development without changes

No AI system is perfect

Law enforcement to use the system responsibly

Pause the development and address the issue

Flaw can lead to harmful consequences

Al system should not disadvantage any group

Release current version and perform continuous improvements

Balance approach to handle constraints with need for fair Al system

#### Case Scenario: Explainability, Transparency, and Fairness



- You are a machine learning engineer in a financial technology (fintech) company that uses AI models to evaluate and approve loan applications.
- The AI system used has been delivering excellent results in terms of risk prediction and minimizing defaults. However, it operates as a "black box" model, and its decision-making process isn't easily explainable.
- This leads to complaints from rejected applicants who want to understand the reasons behind their loan denial.

1

Stick with the less explainable AI system

Accuracy and success is more important

Advocate for new more explainable AI system

Trust-building and fairness more important

Propose a hybrid approach

Balance approach - efficiency and risk minimization

#### Case Scenario: Human-Centric Approach in Al



- Imagine you're the project lead at a tech company developing an AI-based productivity tool that uses machine learning algorithms to automate and optimize tasks for employees.
- During the testing phase, you realize that the tool, while successful in automating tasks, is also recommending job cuts in certain areas, threatening the job security of a significant number of employees.

1

Proceed with the tool

Streamline processes and improve efficiency

Reskill or upskill affected employees

Modify the tool to focus more on assisting employees

Enhance their productivity and job satisfaction

Implement the tool with a transition plan with new roles training

Balance approach – Al's efficiency benefits + human impact mitigation

## **Case Scenario: Death Predictor**

- The death predictor a highly complex AI
   algorithm that scans a patient's electronic health
   record and calculates that person's chance of
   dying within a specified period of time.
- It's a deep learning algorithm that evaluates more than 12,000 pieces of information from a patient's medical records within 24 hours of a patient being admitted to SGH. It looks at everything from age, gender, race, to disease classifications, prescription codes, to doctor's notes. And then it generates a mortality prediction.



## **Role Sheet**

Stakeholders	Key Questions to Consider
<b>Patients</b> : This group represents the individuals who are directly affected by the death predictor system.	<ul> <li>Role Sheet Questions:</li> <li>How would you feel about a prediction system determining your chance of death within a specified time?</li> <li>What are your thoughts on your doctor initiating endof-life care based on this prediction?</li> <li>How might knowing this prediction impact your mental health and relationships?</li> <li>How would you react if the prediction was wrong?</li> </ul>
<b>Doctors and Medical Staff</b> : This group will interact with the system and communicate its results to the patients.	<ul> <li>Role Sheet Questions:</li> <li>How would this system impact your relationship with patients?</li> <li>How would this affect your decision-making and approach towards patient care?</li> <li>What would you do if you disagreed with the prediction?</li> </ul>

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# **Role Sheet**

Stakeholders	Key Questions to Consider
<b>Hospital Administration</b> : This group will make the decision to implement the system and manage its consequences.	<ul> <li>Role Sheet Questions:</li> <li>How does implementing this system align with the hospital's mission and ethical guidelines?</li> <li>What are the potential legal implications if the system makes incorrect predictions?</li> <li>How would you manage potential backlash or controversy related to the system?</li> </ul>
Family Members of Patients: They will be emotionally affected by the system's predictions and involved in end-of-life decisions.	<ul> <li>Role Sheet Questions:</li> <li>How would you feel about a system predicting the likelihood of your loved one's death?</li> <li>What impact could this have on your relationship with the patient and the medical staff?</li> <li>How could this prediction affect end-of-life decision-making for your loved one?</li> </ul>

## **Role Sheet**

Stakeholders	Key Questions to Consider
Al Developers and Researchers: They develop the system and have a responsibility to ensure it is ethical, fair, and	<ul> <li>Role Sheet Questions:</li> <li>How can you ensure that the AI system is unbiased and accurate?</li> <li>How can you handle the ethical implications of making death predictions?</li> </ul>
accurate.	<ul> <li>How can the system be improved based on user feedback and errors?</li> <li>How can you ensure that the system respects patient privacy and autonomy?</li> </ul>





# Read, Do and Watch



## **Topic Objectives**



- Identify what is AI and ethical issues of AI applications.
- Outline the three generations of AI and classification of AI types.
- Learn and apply the five pillars of trustworthy AI to AI applications.
- Understand the need for governance in AI.
- Evaluate and apply an AI Ethics Governance Framework to guide responsible implementation of AI systems.
- Examine case studies of ethical issues in AI, such as bias and fairness.

## **AI and AI Ethics**



- Artificial intelligence (AI) is a term to describe a branch of computer science that is dedicated to creating intelligent machines that can do work and react like humans.
- AI Ethics is a set of guidelines that advise on the design and outcomes of AI-enabled systems



# **Al Ethics: Privacy and Surveillance**









A security guard monitors people through his augmented reality eyewear equipped with an infrared temperature detector in Hangzhou, China, March 24, 2020. © 2020

AP Photo



**Privacy and Surveillance** 

## Al At Work and School





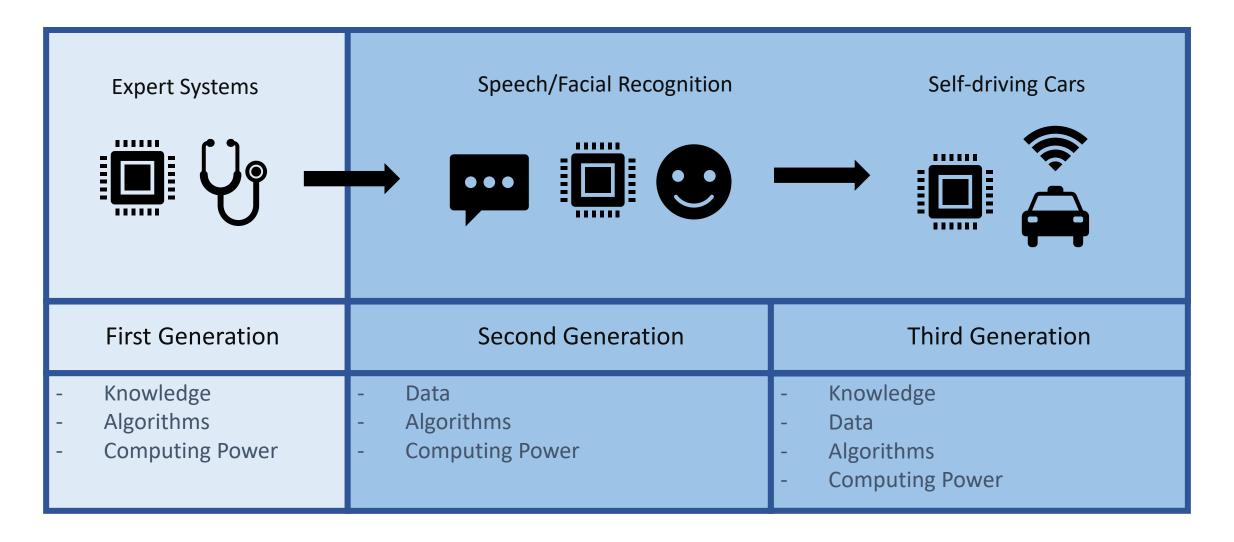






## 3 Generations of Al





# 4 Types of Artificial Intelligence









Limited Memory Intelligence



Theory of Mind

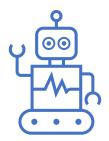


Self-Aware

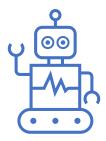
## 3 Laws of Robot

harm.

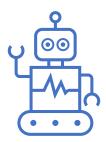




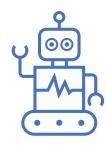
1<sup>st</sup> Law: A robot may not injure a human or, through inaction, allow a human being to come to



2<sup>nd</sup> Law: A robot must obey the orders given to it by a human being except where such orders would conflict with the First Law.



3<sup>rd</sup> Law: A robot must protect its own existence as long as such protection does not conflict with the First or Second Laws.

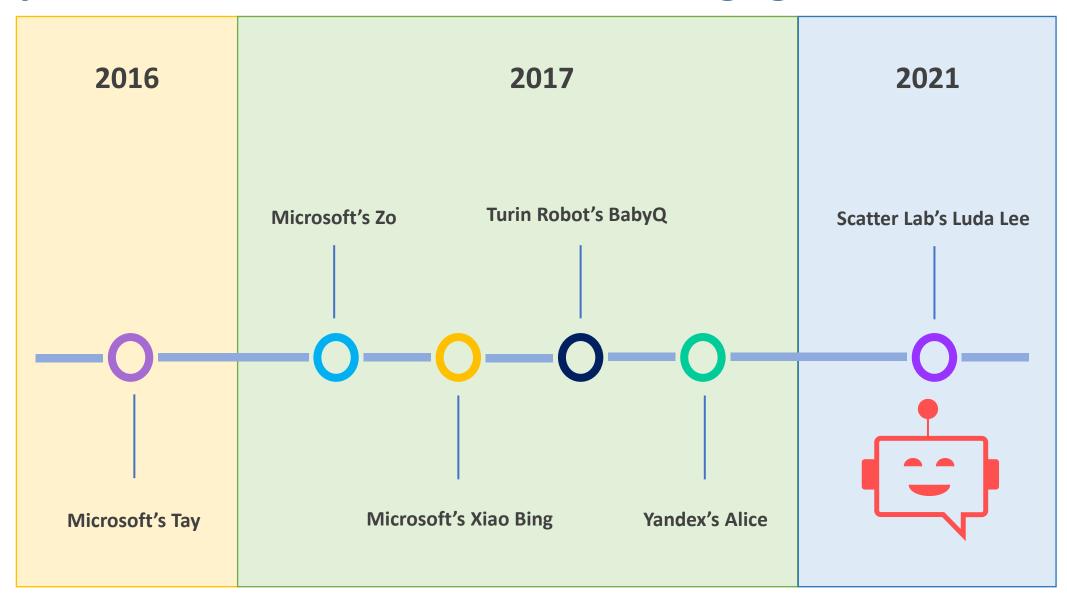


0th Law:

A robot may not harm humanity, or, by inaction, allow humanity to come to harm.

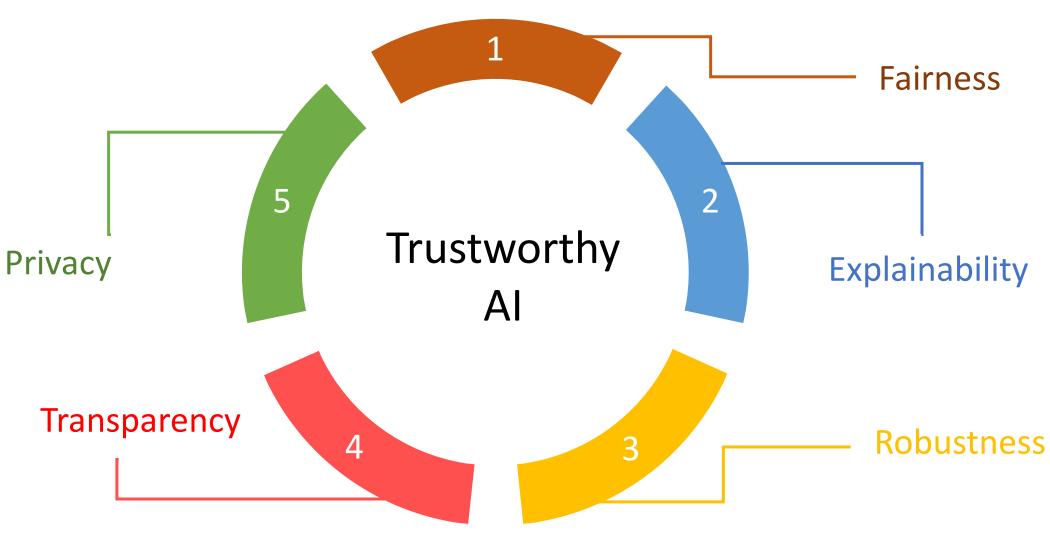
## **Epic Chatbot Failures in Social Engagement**





## **Five Pillars of Trustworthy Al**

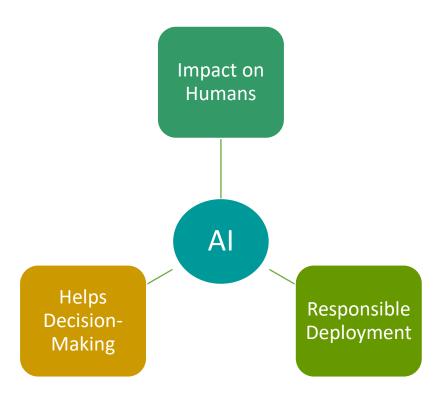




Source https://www.ibm.com/artificial-intelligence/ethics

#### Why Care for AI Ethics and Governance?





Source: AI Ethics and Governance BoK and Toolkit
Developed by SCS and IMDA

#### **Al Governance**

 Al governance is the idea that there should be a legal framework for ensuring that Al and machine learning technologies are well researched and developed with the goal of helping humanity navigate the adoption of Al systems fairly.



#### **Body of Knowledge (BoK)**

 The Body of Knowledge provides guidance to business leaders and professionals on how to deploy Al responsibly.



## **Two Principles and Four Pillars For AI Adoption**



Two guiding principles

- 1. Decisions made by AI should be "explainable, transparent and fair"
- 2. AI systems should be human-centric

Internal Governance





Operations Management

Human-Centricity





Stakeholder Communications

## **Proliferation of National AI Strategies**



Next Generation Al Development Plan for China

Artificial
Intelligence for
Europe

Executive Order on the American Al Initiative

"...to build China's firstmover advantage in the development of Al..." "...a leading role in setting the global gold standard..."

...maintaining American leadership in Al..."

"Whoever becomes the leader in this sphere will become the ruler of the world"

- Putin

## Singapore's National Al Strategy





#### **Vision**

By 2030, we see Singapore as a leader in developing and deploying scalable, impactful artificial intelligence (AI) solutions, in key sectors of high value and relevance to our citizens and businesses.

#### **Objectives**



Global Hub for Al Solutions



Govern and Manage Al Impact



Generate Economic Value & Improve Lives

## Singapore's National Al Projects



Transport and Logistics



INTELLIGENT FREIGHT PLANNING Smart Cities and Estates



SEAMLESS & EFFICIENT MUNICIPAL SERVICES

**Healthcare** 



PREDICTION &
MANAGEMENT

**Education** 



PERSONALISED EDUCATION THROUGH ADAPTIVE LEARNING & ASSESSMENT Safety and Security



BORDER CLEARANCE OPERATIONS

## **Further Reading**



1. China's Xinjiang surveillance

https://www.engadget.com/2018-02-22-china-xinjiang-surveillance-tech-spread.html? fsig=Z7sJRecikk0HcHN2kOvxVQ--%7EA

2. Generative AI at school, work and the hospital

https://theconversation.com/generative-ai-at-school-work-and-the-hospital-the-risks-and-rewards-laid-bare-227967

3. 10 ways artificial intelligence is changing the workplace <a href="https://www.businessinsider.com/ai-transforming-the-workplace-examples-2023-7">https://www.businessinsider.com/ai-transforming-the-workplace-examples-2023-7</a>

4. The brief history of artificial intelligence https://ourworldindata.org/brief-history-of-ai

5. Understanding the Four Types of Artificial Intelligence

https://iabac.org/blog/understanding-the-four-types-of-artificial-intelligence

AI ETHICS AND GOVERNANCE BODY OF KNOWLEDGE

https://www.scs.org.sg/ai-ethics-bok













# School of Computing