

# General Relativity — Gravity

## 关于时空的理论

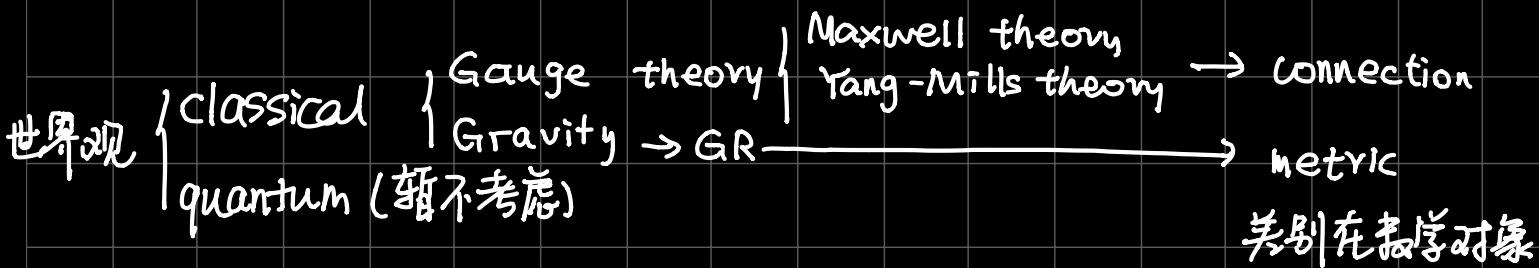
流形 度量. 取决于物质、能量的分布.

Einstein: 时空几何的结构与时空内物质和能量的分布有关 — Einstein 方程

PDE 需要初值条件 但是无法给定 — 与其它数学理论不同

时空没有局部的质量和能量，不是累加的.

测地线是不完备的.



## three formalisms

- ① Dynamical equations    ② Lagrangian formalism    ③ Hamiltonian formalism  
哲学层面上物理规律

- physical theory check list
- ① Degrees of freedom: mathematical objects used to eg: GR → metric describe the physics .
  - ② Dynamics (physical law): How do degrees of freedom behave
  - ③ spacetime model .
  - ④ Symmetry
  - ⑤ 尺度 scales
  - ⑥ state 态 / 状态 Information needed to completely specify 物理量不接壤 the physical system.
  - ⑦ observables : things physicists can measure / observable

# degrees of freedom

| ⑧ measure (classical 不涉及)

Maths: ① quadratic form (二次型) on  $\mathbb{R}^n$  (n-dim real linear space)

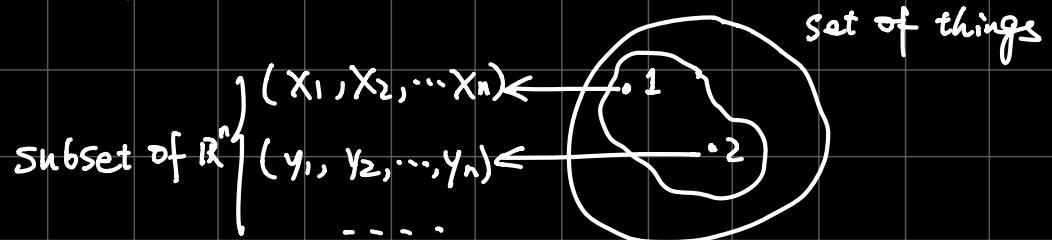
Given a basis of  $\mathbb{R}^n$  a quadratic form A has a matrix representation  $A = (A_{ij})$

Inertia theorem: we can find a basis that  $A_{ij} = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & -1 & \\ & & & \ddots & 1 \\ & & & & \ddots & 0 \\ & & & & & 0 \end{pmatrix}^p \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & -1 & \\ & & & \ddots & 1 \\ & & & & \ddots & 0 \\ & & & & & 0 \end{pmatrix}^q$

$p, q$  does not depend on the basis!

$p=n \Leftrightarrow$  positive definite (inner product)

② USE real number to parametrize (give name to things in sets)  $\rightarrow$  manifold as a model



- | ① 生标不唯一 所以可以在不同局部变换
- | ② 局部生标及变换，拼接
- | ③ 为 calculus 留空间

Manifold

$M$ : topological space

$$\left\{ \cup_{\alpha} U_{\alpha}, \cup_{\alpha} \subset M. \right.$$

且是不可数无序

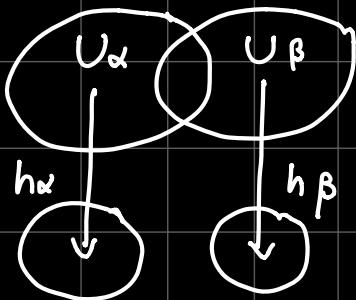
$$\bigcup_{\alpha} U_{\alpha} = M$$

$$\{(U_{\alpha}, h_{\alpha})\} \quad h_{\alpha}: U_{\alpha} \rightarrow \text{open subset of } \mathbb{R}^n$$

homeomorphism  $h_{\alpha}$  &  $h_{\alpha}'$  are continuous.

$U_{\alpha}$ : 生标 chart  $h_{\alpha}$ : local coordinate system.

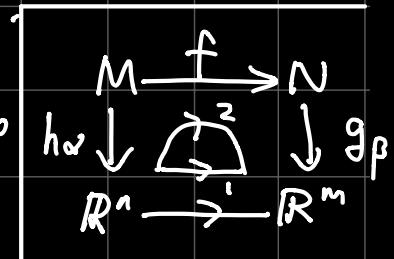
$U_{\alpha} \cap U_{\beta}$  有2组坐标 要求  $h_{\alpha}^{-1} \circ h_{\beta}$ ,  $h_{\beta}^{-1} \circ h_{\alpha}$  are  $C^{\infty}$  (smooth)



微觀視角:  $M \xrightarrow{f} N$  map  $f$  between  $M$  &  $N$

$$\{M, (U_\alpha, h_\alpha)\} \quad \{N, (V_\beta, g_\beta)\}$$

$f$  is  $C^\infty \Leftrightarrow \forall \alpha, \beta, g_\beta \circ f \circ h_\alpha^{-1}$  is  $C^\infty$



Two ways to describe manifolds:

1° intrinsic: directly describe  $M$  using objects on  $M$

2° external: realize  $M$  as a subset of a higher space

e.g. 2-D Sphere.

$$S^2 \begin{cases} U_1, U_2 \text{ charts: } U_1 = S^2 \setminus \text{north pole} \\ \text{隱適鄰域} \\ U_2 = S^2 \setminus \text{south pole} \\ S^2 = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = 1\}, S^2 \subset \mathbb{R}^3 \end{cases}$$

Manifolds:

① parametrize things by sequences of real numbers  
— manifold as model

② Coordinates are local, non-unique

③ We can do calculus on manifold. (coordinates transformation are  $C^\infty$ )

④ Intrinsic v.s. Extrinsic.

2° 有结构

3° 操作保持结构

4° 有很多这样的操作

先研究抽象的对称性，操作构成的集合会

有什么结构，然后研究操作如何作用于实体的集合

↓

① 逆也在这个集合

② 什么都不做也保持结构

③ 复合。

Group (abstract symmetry)

$G$ : set      map:  $G \times G \rightarrow G$ ,  $(g_1, g_2) \mapsto \underline{g_1 g_2}$   
Multiplication

properties: 1°  $(g_1 g_2) g_3 = g_1 (g_2 g_3)$

2° There's an "identity"  $1 \in G$ ,  $1g = g1 = g$

3° For any  $g \in G$ , there exists  $g^{-1} \in G$

s.t.  $g^{-1}g = gg^{-1} = 1$

Interpretation:  $g$ : operation that preserves some fixed  
structure on fixed set. (symmetry)

$G$ : set of all such operations

multiplication: composition of operations

identity: do nothing

$g^{-1}$ : 逆操作

Examples: 1°  $\mathbb{R}$ : multiplication: addition

1: 0

$g \in \mathbb{R}$        $g^{-1}: -g$

在实数轴上的平移

2°  $GL(n, \mathbb{R})$ :  $n \times n$  real invertible matrices.

multiplication: matrix multiplication

保持线性空间线性结构 1: I

换基底  $G \in GL(n, \mathbb{R})$   $g^{-1} = G^{-1}$  (inverse matrix)

$3^{\circ} O(n, \mathbb{R})$ :  $n \times n$  real orthogonal matrices

改变矩阵行列式  $I$   $SU(n, \mathbb{R})$ :  $n \times n$  real orthogonal matrices with  $\det = 1$

保持标准内积  
} (half of  $O(n, \mathbb{R})$ )

保持标准内积, 保定向.  $\rightarrow$  旋转.

## Groups (abstract symmetry)

Symmetries: operations on a set preserving some (concrete) structures on the set

An element of a group has potential to become a concrete symmetry operation.

## Group action (concrete symmetry)

群作用

a group  $G$ , a set  $S$ . map:  $G \times S \rightarrow S$ .  $g \in G, s \in S \mapsto g(s) \in S$

properties: ①  $1(s) = s$

②  $g_1(g_2(s)) = (g_1 g_2)(s)$

操作的复合

Examples: ①  $G_i: GL(n, \mathbb{R})$   $S: \mathbb{R}^n$

$g \in GL(n, \mathbb{R})$   $s \in \mathbb{R}^n$   $S: n \times 1$  matrix, 3<sup>rd</sup> 方便  
实数矩阵

$g(s) := gs$ , matrix multiplication.

刻画的是  $S$  的线性结构.

The linear structure is preserved.

②  $G: O(n, \mathbb{R}) \quad S: \mathbb{R}^n$

$n$  阶方阵矩阵  
The linear structure and standard inner product are preserved

③  $G: SO(n, \mathbb{R}) \quad S: \mathbb{R}^n$

The linear structure & standard inner product & orientation are preserved  
预先选定的空间反向.

Lie Group:  $G$ : manifold, group.

要求① multiplication is  $C^\infty$  map.  $G \times G \rightarrow G$

②  $G \rightarrow G, g \mapsto g^{-1}$  is  $C^\infty$

e.g.  $GL(n, \mathbb{R}) \supset O(n, \mathbb{R}) \supset SO(n, \mathbb{R})$

$GL(n, \mathbb{R}) \subset \mathbb{R}^{n^2}$  是一个开集

$\dim \quad n^2 \quad \frac{n(n+1)}{2} \quad \frac{n(n+1)}{2}$

$SO(n, \mathbb{R})$  is connected.

$O(n, \mathbb{R})$  &  $GL(n, \mathbb{R})$  are not connected

$\begin{cases} \det > 0 \\ \det < 0 \end{cases}$

④ Standard metric on  $\mathbb{R}^n$  1° positive-definite quadratic form.

$g_{ij}$ :  $n \times n$  matrix, 正定, 对称...

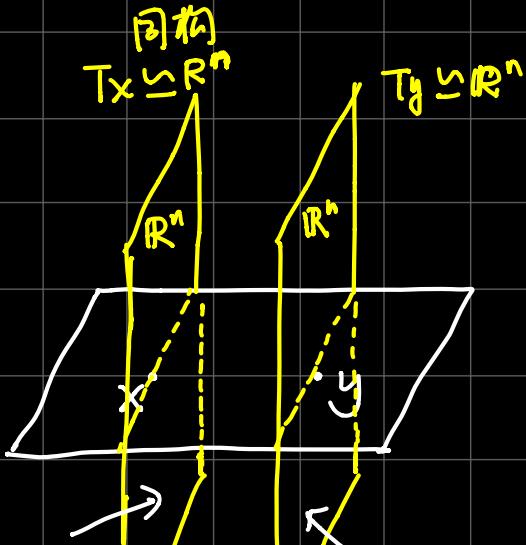
Arc length of  $r$   $\int_r ds = \sqrt{\int g_{ij} dx^i dx^j}$

if  $g_{ij} = \text{diag}(1, 1, \dots, 1)$

$\int r ds = \sqrt{\int dx^1 + dx^2 + \dots + dx^n}$

2° For any  $x \in \mathbb{R}^n$ , there is quadratic

form  $g_{ij}(x)$  on tangent space of  $\mathbb{R}^n$  of  $x$ .



$$g_{ij}(x) \quad g_{ij}(y)$$

when  $g_{ij}(x) = g_{ij}$ , we get  $l^o$ .

Arc length of  $\Gamma \int r ds$ .

$$ds = \sqrt{g_{ij}(x) dx^i dx^j}$$

Metric  $g$  on manifold  $M$ .

$$\text{In local coordinates } g = g_{ij} dx^i dx^j$$

When we do coordinate transformations,  $dx^i dx^j$ -part tells us how to transform  $g_{ij}$ . (We believe  $ds$  is not related to coordinates)  $x \rightarrow \tilde{x} = \tilde{X}(x)$

$$\text{Require } ds^2 = d\tilde{s}^2 \quad g_{ij}(x) dx^i dx^j = \tilde{g}_{ij}(\tilde{x}) d\tilde{x}^i d\tilde{x}^j$$

Intrinsic v.s. Extrinsic

$$\text{eg. } S^2$$

$$\text{Extrinsic: } \{x^2 + y^2 + z^2 = 1\} \subset \mathbb{R}^3$$

$$\text{Standard metric in } \mathbb{R}^3 \quad ds^2 = dx^2 + dy^2 + dz^2$$

$$\text{Restrict to } S^2: z = \sqrt{1 - (x^2 + y^2)}$$

$$\Rightarrow ds^2 = dx^2 + dy^2 + d(\sqrt{1 - (x^2 + y^2)})^2$$

Intrinsic: spherical coordinate  $(\theta, \varphi)$

$$\text{radius} = 1 \quad ds^2 = \sin^2 \theta d\varphi^2 + d\theta^2$$

↑  
纬度

${}^2$  Hyperbolic plane

Intrinsic model: ① upper-half plane

$$H^2 = \{(x, y) \in \mathbb{R}^2 | y > 0\}$$

$$g_{H^2} = ds^2 = \frac{dx^2 + dy^2}{y^2}$$

Intrinsic Model: ② Poincaré disk

what's the extrinsic model?

$$D^2 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 < 1\}$$

$$g_{D^2} = ds^2 = \frac{4dr^2}{(1-r^2)^2}$$

$$r^2 = x^2 + y^2$$

From ①  $\rightarrow$  ② Cayley transform:

complex number:  $z = x + iy$

$$H^2 \rightarrow D^2$$

$$z \rightarrow \frac{z-i}{z+i}$$

$$g_{H^2} \rightarrow g_{D^2}$$

How to feel a metric?

1° Symmetry: symmetry group of a metric is called its isometry group (the group of diffeomorphisms of manifold preserving the metric)

e.g. Isometry group of  $S^2$  is  $O(3, \mathbb{R})$

Extrinsic model:  $S^2 = \{x^2 + y^2 + z^2 = 1\} \subset \mathbb{R}^3$

} standard metric on  $\mathbb{R}^3$   
restricted to  $S^2$

$O(3, \mathbb{R})$  acts on  $\mathbb{R}^3$   
preserving  $S^2$  & standard metric

dim of Isometry group of metric on  $n$ -D  $\leq \frac{n(n+1)}{2}$

2° Geodesics (generalization of lines)

(critical point of length functional)

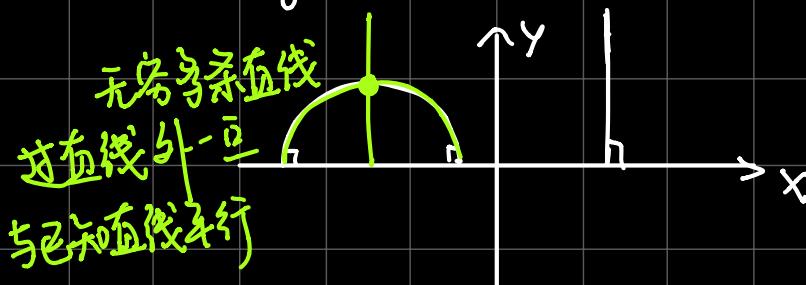
e.g. 不一定是直的



非欧几里得几何  
Hyperbolic plane:

不存在平行线  
无绝对直线  
radius = radius  $S^2$

upper half plane model: geodesics are half circle orthogonal to x-axis or line orthogonal to x-axis.



Conformal geometry: Manifold:  $M$   
(studies properties metrics:  $g_1, g_2$ )

that only depend on  
conformal equivalent  
class (共形等价类)

We say they are conformally

equivalent iff there is a  $C^\infty$   
nonvanishing function  $\Omega(x)$  on  $M$   
处处不为0 ↪

such  $g_1 = \Omega^2 g_2$  不仅有缩放.

↑  
正定性

$g_2 \rightarrow g_1$  共形变换

conformal transformation.

Length / distances may change,

Angles between tangent vectors do  
not change when we do conformal  
transformation.

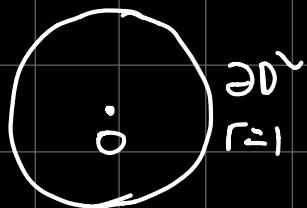
boundary of Euclidean  $D^2$   
 (conformal equivalent to hyperbolic)  
 Conformal infinity  
 of hyperbolic plane :  
 infinite for hyperbolic  
 divergence for conformal vector

Hyperbolic plane, Poincaré disk model

$$ds^2 = \frac{4dr^2}{(1-r^2)^2} \quad r^2 = x^2 + y^2$$

$$r^2 = \frac{4}{(1-r^2)^2} ds^2 = r^2 ds^2 \leftarrow \mathbb{R}^3 \quad D^2 = \{x^2 + y^2 < 1\}$$

Hyperbolic distance between  $O$  and  $\partial D^2$



$$\begin{aligned} \int ds &= \int_0^1 \frac{2}{1-r^2} dr \\ &= \int_0^1 \frac{2}{(1-r)(1+r)} dr \end{aligned}$$

→ 义積分对数发散

$\rightarrow \infty$   
 logarithmically  
 as  $r \rightarrow 1$

$\partial D^2$  is at infinity

Riemannian metric

$g_{ij}(x) dx^i dx^j$  For any  $x$ .  $g_{ij}(x)$  is positive-definite

Lorentzian metric

$g_{ij}(x) dx^i dx^j$  For any  $x$ , canonical form of  $g_{ij}(x)$  is

规范型

$$\begin{pmatrix} -1 & & & \\ & 1 & & \\ & & \ddots & \end{pmatrix}$$