

for microcanonical only

bridge (Based on Boltzmann's definition)

Microcanonical:  $P_{i''} \rightarrow S = k_B \ln W \rightarrow$  All (Thermodynamics)

Canonical:  $P_{i''} \rightarrow ??? \text{ Bridge} \rightarrow$  All (Thermodynamics)

## Thermodynamics (Review or Preview)

### 1. Entropy redefined

General Def:  $S = -k_B \sum P_{i''} \ln P_{i''}$  (general definition)

Homework: if we use  $P_{i''}$  (microcanonical) =  $\begin{cases} 1/W, & \text{if } i'' \text{ is in the state} \\ 0, & \text{otherwise} \end{cases} (*)$

then  $S = k_B \ln W$  is recovered.

Now in canonical ensemble,  $S = k_B \ln Z + \langle E \rangle / T$

(Pf:)  $P_{i''} = \frac{1}{Z} e^{-\beta E_{i''}} \Rightarrow \ln P_{i''} = -\ln Z - \beta E_{i''}$

According to (\*),  $S = -k_B [\sum P_{i''} \ln Z + \sum P_{i''} (-\beta E_{i''})]$   
which one?  $= k_B \ln Z + \frac{1}{T} \sum P_{i''} E_{i''} = k_B \ln Z + \frac{\langle E \rangle}{T}$

### 2. Thermodynamics

1<sup>st</sup> + 2<sup>nd</sup> laws:  $dU = Tds - pdv + \mu dn$   
↑ internal energy      ↓ chemical potential variation  
↑ heat transfer      ↓ work done

$$ds = T^{-1} dU + pT^{-1} dv - \mu T^{-1} dN \quad (\text{just rewrite})$$

internal energy (= total energy  $E$ )

Microcanonical  $dU = Tds - pdv + \mu dn$

$$ds = T^{-1} dE + pT^{-1} dv - \mu T^{-1} dN \quad S = S(E, V, N)$$

Canonical Introduce Helmholtz free energy

$$F(T, V, N) = E - TS \quad F = F(T, V, N)$$

$$dF = dE - Tds - sdT = -sdT - pdv + \mu dn$$

↑ math identity      ↑ 1<sup>st</sup> & 2<sup>nd</sup> laws

Going from one set of variables  $\rightarrow$  another Legendre Transformation

In Stat. Mech.

$$F = E - T [k_B \ln Z + \bar{E}/T] = -k_B T \ln Z$$

← partition function  
← entropy

Bridge

### 3. Ideal Gas

$$H(\vec{r}^N, \vec{p}^N) = \frac{1}{2m} \sum_j \vec{p}_j^2 + \text{potential energy 0 for ideal gas}$$

$$Z = \int d\vec{r}^N d\vec{p}^N \exp\left(-\frac{\beta}{2m} \sum_j p_j^2\right)$$

Gaussian Integral  $\int_{-\infty}^{+\infty} e^{-ax^2} dx = \sqrt{\frac{\pi}{a}}$

$$= V^N \left(\sqrt{\frac{2\pi m}{\beta}}\right)^{3N} = Z(V, T, N)$$

partition function for ideal gas

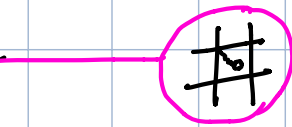
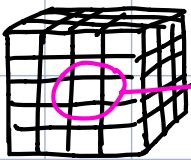
$$F = -k_B T \ln Z \quad -P = \frac{\partial F}{\partial V} = -k_B T \left(\frac{\partial (\ln Z)}{\partial V}\right)_{T, N} = -\frac{k_B T}{Z} \frac{\partial Z}{\partial V} = -\frac{k_B T}{V/N} \cdot N V^{N-1}$$

$$= -\frac{N k_B T}{V} \Rightarrow PV = N k_B T \quad \text{Equation of State for Ideal gas}$$

$$\langle E \rangle = \bar{E} = -\left(\frac{\partial (\ln Z)}{\partial \beta}\right)_{N, V} = -\frac{1}{Z} \left(\frac{\partial Z}{\partial \beta}\right)_{N, V} = +\frac{3N}{2\beta} = +\frac{3N k_B T}{2}$$

$$\mu = \left(\frac{\partial F}{\partial N}\right)_{T, V} = -k_B T \frac{1}{Z} \left(\frac{\partial Z}{\partial N}\right)_{\beta, V} = -k_B T \ln \left(V \cdot \left(\frac{2\pi m}{\beta}\right)^{3/2}\right)$$

### § 8 Ideal Solid (ideal crystal)



1. Model:  $H(\vec{r}^N, \vec{p}^N) = \frac{1}{2m} \sum_j \vec{p}_j^2 + \sum_j V(\vec{r}_j)$

$$V(\vec{r}_j) = V(0) + \left.\nabla_{\vec{r}_j} V(\vec{r}_j)\right|_{\vec{r}=0} \cdot \vec{r}_j + \frac{1}{2} \sum \left.\frac{\partial^2 V(\vec{r}_j)}{\partial y_m \partial x_n}\right|_{\vec{r}_j=0} \cdot y_m x_n$$

can be diagonalized  
↓

Force at equilibrium

$$= V(0) + \frac{1}{2} \frac{\partial^2 V}{\partial x^2} x^2 + \frac{1}{2} \frac{\partial^2 V}{\partial y^2} y^2 + \frac{1}{2} \frac{\partial^2 V}{\partial z^2} z^2$$

$$= \frac{1}{2m} \sum_j \vec{p}_j^2 + \frac{k}{2} \sum_j \vec{r}_j^2$$

k (for simplicity)

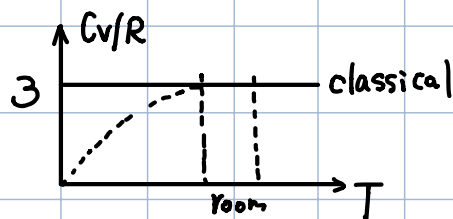
## 2. Classical Theory

$$Z = \int d\vec{r}^N d\vec{p}^N \exp(-\beta \sum_j \frac{1}{2m} \vec{p}_j^2 - \beta \cdot \frac{k}{2} \sum_j \vec{r}_j^2)$$

$$= \left(\frac{2\pi m}{\beta}\right)^{3N/2} \left(\frac{2\pi}{\beta k}\right)^{3N/2} = (2\pi)^{3N} \beta^{-3N} \left(\frac{m}{k}\right)^{3N/2}$$

$$\Rightarrow \langle E \rangle = - \frac{\partial \ln Z}{\partial \beta} = \frac{3N}{\beta} = 3Nk_B T$$

$$C_v = \frac{\partial \langle E \rangle}{\partial T} = \underline{3Nk_B} \text{ for ideal solid (no } m, \text{ no } k) \text{ } \textcolor{red}{\text{Universal!}}$$



How good is this theoretical result?

Very good! (some exceptions)

## 3. Einstein's Theory

$H(\text{classical})$  SHO

$$H = \frac{1}{2m} \sum \vec{p}_j^2 + \frac{1}{2} \sum k \vec{r}_j^2 = \left(\frac{1}{2m} p_1^2 + \frac{k}{2} x_1^2\right) + \dots$$

$$\text{QM: } E^{(i)} = E(n_1, n_2, \dots, n_{3N}) = \hbar\omega(n_1 + \frac{1}{2}) + \hbar\omega(n_2 + \frac{1}{2}) + \dots$$

$\uparrow$   
( $n_1, n_2, \dots, n_{3N}$ )

represent a Quantum state

$$= \sum_{k=1}^{3N} \hbar\omega \cdot (n_k + \frac{1}{2})$$

$\uparrow$   
 $\omega_E$  (Einstein)

$$Z = \sum e^{-\beta E^{(i)}} = \sum_{n_1} \sum_{n_2} \sum_{n_3} \dots \sum_{n_{3N}} e^{-\beta \hbar\omega (\frac{3N}{2} + n_1 + n_2 + \dots + n_{3N})}$$

$$= \sum_{n_1} e^{-\beta \hbar\omega (\frac{1}{2} + n_1)} \cdot \dots \cdot \sum_{n_{3N}} e^{-\beta \hbar\omega (\frac{1}{2} + n_{3N})}$$

$$= \left( \frac{e^{-\frac{1}{2}\beta \hbar\omega}}{1 - e^{-\beta \hbar\omega}} \right)^{3N} \equiv Z_1^{3N}$$

$$Z_1 = \frac{1}{2 \sinh(\beta \hbar\omega/2)}$$

$$Z = \left[ \frac{1}{2 \sinh(\beta \hbar\omega/2)} \right]^{3N}$$

$$\bar{E} = - \frac{\partial \ln Z}{\partial \beta} = 3N \hbar\omega \left( \frac{1}{2} + \frac{1}{e^{\beta \hbar\omega} - 1} \right)$$

$$C_v = \frac{\partial \bar{E}}{\partial T} = \underline{3Nk_B} \cdot \boxed{\frac{x^2 e^x}{(e^x - 1)^2}} \quad x = \beta \hbar\omega$$

classical

T dependent

material dependent

Limiting cases: 1° small  $x \Leftrightarrow$  high T & small  $\hbar\omega \rightarrow 3Nk_B$

2° large  $x \Leftrightarrow$  low T & large  $\hbar\omega \rightarrow 0$

