

# **Notes of Quantum Field Theory**

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May 17, 2019

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# Contents

<b>I</b>	<b>Foundations</b>	<b>1</b>
<b>1</b>	<b>Relativistic Quantum Mechanics</b>	<b>3</b>
1.1	Quantum Lorentz Transformation . . . . .	3
1.2	Connected Lie Group . . . . .	4
1.3	Poincaré Algebra . . . . .	5
1.4	One-Particle State . . . . .	7
1.5	Space Inversion and Time Reversal . . . . .	10
<b>2</b>	<b>Scattering Theory</b>	<b>13</b>
2.1	‘In’ and ‘Out’ States . . . . .	13
2.2	Lippmann-Schwinger Equation . . . . .	14
2.3	The $S$ -Matrix . . . . .	15



**Part I**

**Foundations**



# Chapter 1

## Relativistic Quantum Mechanics

### 1.1 Quantum Lorentz Transformation

According to Einstein's principle of relativity, if the coordinates in one inertial frame are  $x^\mu$ , and the coordinates in another inertial frame are  $\tilde{x}^\mu$ , then they must satisfy (in flat space-time)

$$\eta_{\mu\nu}x^\mu x^\nu = \eta_{\mu\nu}\tilde{x}^\mu \tilde{x}^\nu \quad (1.1)$$

or equivalently

$$\eta_{\mu\nu} \frac{\partial \tilde{x}^\mu}{\partial x^\rho} \frac{\partial \tilde{x}^\nu}{\partial x^\sigma} = \eta_{\rho\sigma} \quad (1.2)$$

where  $\eta_{\mu\nu}$  is called the Minkowski metric with only diagonal components

$$\eta_{00} = -1, \quad \eta_{11} = \eta_{22} = \eta_{33} = 1 \quad (1.3)$$

and the transformation in eq.(1.2) should be linear

$$\tilde{x}^\mu = \Lambda^\mu{}_\nu x^\nu + a^\mu \quad (1.4)$$

where  $a^\mu$  is a constant and  $\Lambda^\mu{}_\nu$  must satisfy

$$\eta_{\mu\nu} \Lambda^\mu{}_\rho \Lambda^\nu{}_\sigma = \eta_{\rho\sigma} \quad (1.5)$$

If we have two coordinate transformations  $T(\Lambda, a) : x \rightarrow x'$  and  $T(\bar{\Lambda}, \bar{a}) : x' \rightarrow x''$ , then the transformation from  $x$  to  $x''$  writes

$$x''^\mu = \bar{\Lambda}^\mu{}_\nu x'^\nu + \bar{a}^\mu = \bar{\Lambda}^\mu{}_\nu (\Lambda^\nu{}_\sigma x^\sigma + a^\nu) + \bar{a}^\mu = \bar{\Lambda}^\mu{}_\nu \Lambda^\nu{}_\sigma x^\sigma + \bar{\Lambda}^\mu{}_\nu a^\nu + \bar{a}^\mu \quad (1.6)$$

so

$$T(\bar{\Lambda}, \bar{a})T(\Lambda, a) = T(\bar{\Lambda}\Lambda, \bar{\Lambda}a + \bar{a}) \quad (1.7)$$

Thus, let the right hand side of eq.(1.7) be the identity transformation  $\Lambda(\mathbb{1}, 0)$ , we obtain the inverse of transformation  $T(\Lambda, a)$

$$T^{-1}(\Lambda, a) = T(\Lambda^{-1}, -\Lambda^{-1}a)^1 \quad (1.8)$$

Hence all the Lorentz transformations with binary operation shown in eq.(1.7) and identity element  $T(\mathbb{1}, 0)$  form a group, which is known as the **inhomogeneous Lorentz group**, or **Poincaré group**. For those transformation with  $a = 0$ , they form a subgroup called **homogeneous Lorentz group**. Any homogeneous Lorentz group satisfies

$$\det(\Lambda) = \pm 1, \quad \Lambda^0_0 \leq 1 \text{ or } \Lambda^0_0 \geq -1 \quad (1.9)$$

The subgroup with  $\det(\Lambda) > 1$  and  $\Lambda^0_0 \leq 1$  is called **proper orthochronous Lorentz group**.

## 1.2 Connected Lie Group

The group of transformations  $T(\theta)$  is called a **connected Lie group** if it is described by a set of finite parameters  $\theta^a$  and each element of the group is connected to the identity by a path. The multiplication for a connected Lie group has the following form

$$T(\theta)T(\bar{\theta}) = T(f(\theta, \bar{\theta})) \quad (1.10)$$

These transformations must be represented on the Hilbert space by unitary operators  $U(T(\theta))$ , which can be expressed by a power series in the neighbourhood of the identity

$$U(T(\theta)) = \mathbb{1} + i\theta^a t_a + \frac{1}{2}\theta^b \theta^c t_{bc} + \dots \quad (1.11)$$

where  $t_a, t_{bc}$  are operators independent of  $\theta$ . Suppose that the  $U(T(\theta))$  form an ordinary representation of this group

$$U(T(\theta))U(T(\bar{\theta})) = U(T(f(\theta, \bar{\theta}))) \quad (1.12)$$

and the expansion of  $f(\theta, \bar{\theta})$  takes the form of

$$f^a(\theta, \bar{\theta}) = \theta^a + \theta^a + f^a_{bc}\theta^b\bar{\theta}^c + \dots \quad (1.13)$$

So due to eq.(1.11) and (1.12) we have

$$\begin{aligned} & (\mathbb{1} + i\theta^a t_a + \frac{1}{2}\theta^b \theta^c t_{bc} + \dots) \times (\mathbb{1} + i\bar{\theta}^a t_a + \frac{1}{2}\bar{\theta}^b \bar{\theta}^c t_{bc} + \dots) \\ &= \mathbb{1} + i(\theta^a + \theta^a + f^a_{bc}\theta^b\bar{\theta}^c + \dots)t_a \\ &+ \frac{1}{2}(\theta^b + \theta^b + f^b_{de}\theta^d\bar{\theta}^e + \dots)(\theta^c + \theta^c + f^c_{de}\theta^d\bar{\theta}^e + \dots)t_{bc} + \dots \end{aligned} \quad (1.14)$$

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<sup>1</sup>Notice that  $(\det\{\Lambda\})^2 = 1$  so there must exist an inverse of  $\Lambda$ .



From the  $\theta\bar{\theta}$  terms we obtain

$$t_{bc} = -t_b t_c - i f^a_{bc} t_a \quad (1.15)$$

Hence if the structure of the group is given, then the terms of  $U(T(\theta))$  can be calculated. Since  $t_{bc}$  is the second-order derivative of  $f(\theta, \bar{\theta})$ , it must be symmetric in  $b$  and  $c$ . Therefore we have

$$[t_b, t_c] = i C^a_{bc} t_a = i(-f^a_{bc} + f^a_{cb}) t_a \quad (1.16)$$

Such commutation relationship is called a **Lie algebra**.

Specially, if the function  $f(\theta, \bar{\theta})$  simply takes the form

$$f^a(\theta, \bar{\theta}) = \theta^a + \bar{\theta}^a \quad (1.17)$$

or equivalently

$$[t_b, t_c] = 0 \quad (1.18)$$

Such group is called **Abelian group**. Under such condition, the operator can be calculated by

$$U(T(\theta)) = \lim_{N \rightarrow \infty} [U(T(\theta/N))]^N = \lim_{N \rightarrow \infty} (\mathbb{1} + i \frac{\theta^a t_a}{N})^N = \exp\{i \theta^a t_a\} \quad (1.19)$$

If  $\theta$  is taken to be infinitesimal, then such operator can be approximated by

$$U(T(\theta)) = \mathbb{1} + i \theta^a t_a \quad (1.20)$$

This result shows the case for formalism in infinitesimal translation or rotation. The groups for either translations or rotations are Abelian groups since two different transformations are commutable.

### 1.3 Poincaré Algebra

From the above section we know that for Poincaré group, the identity element is  $\mathbb{1} = \delta^\mu_\nu, a = 0$ . We now consider the transformations with infinitesimal change from the identity transformation

$$T : \Lambda^\mu_\nu = \delta^\mu_\nu + \omega^\mu_\nu, \quad a^\mu = \epsilon^\mu \quad (1.21)$$

where  $\omega$  and  $\epsilon$  are both infinitesimal. So the Lorentz condition eq.(1.5) reads

$$\eta_{\mu\nu} = \eta_{\rho\sigma} (\delta^\rho_\mu + \omega^\rho_\mu) (\delta^\sigma_\nu + \omega^\sigma_\nu) = \eta_{\mu\nu} + \omega_{\mu\nu} + \omega_{\nu\mu} + O(\omega^2)^2 \quad (1.22)$$

So  $\omega$  must be anti-symmetric matrices with 6 independent components. Since  $\epsilon$  has 4 independent components, the total independent components for a inhomogeneous Lorentz transformation is 10-corresponding to 10 independent symmetries: time

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<sup>2</sup> $\omega_{\mu\nu} = \eta_{\rho\nu} \omega^\rho_\mu.$

translational symmetry ( $t$ ), 3 spatial translation symmetry ( $x, y, z$ ), 3 rotational symmetry (along  $x, y, z$ ) and 3 Lorentz transformation symmetry ( $x, y, z$ ).

Due to eq.(1.11), for an infinitesimal Lorentz transformation,  $U$  can be expanded by

$$U(\mathbb{1} + \omega, \epsilon) = \mathbb{1} + \frac{1}{2}i\omega_{\rho\sigma}J^{\rho\sigma} - i\epsilon_\rho P^\rho + \dots \quad (1.23)$$

where  $J^{\rho\sigma}$  and  $P^\rho$  are Hermitian operators independent of  $\omega$  and  $\epsilon$ .

In order to introduce the Lie algebra of Poincaré group, we examine the product

$$U(\Lambda, a)U(\mathbb{1} + \omega, \epsilon)U^{-1}(\Lambda, a) \quad (1.24)$$

From eqs.(1.8) and (1.23) and equating the coefficients of  $\omega$  and  $\epsilon$  we obtain

$$U(\Lambda, a)J^{\rho\sigma}U^{-1}(\Lambda, a) = \Lambda_\mu{}^\rho \Lambda_\nu{}^\sigma (J^{\mu\nu} - a^\mu P^\nu + a^\nu P^\mu) \quad (1.25)$$

$$U(\Lambda, a)P^\rho U^{-1}(\Lambda, a) = \Lambda_\mu{}^\rho P^\mu \quad (1.26)$$

For homogeneous Lorentz transformation,  $a^\mu = 0$ , so eq. (1.27) becomes

$$U(\Lambda, a)J^{\rho\sigma}U^{-1}(\Lambda, a) = \Lambda_\mu{}^\rho \Lambda_\nu{}^\sigma J^{\mu\nu} \quad (1.27)$$

For infinitesimal transformation  $\Lambda^\mu{}_\nu = \delta^\mu{}_\nu + \omega^\mu{}_\nu$ , by expanding  $U(\Lambda, a)$  and  $U^{-1}(\Lambda, a)$  and using eqs(1.27) and (1.26) we have

$$i[\frac{1}{2}\omega_{\mu\nu}J^{\mu\nu} - \epsilon_\mu P^\mu, J^{\rho\sigma}] = \omega_\mu{}^\rho J^{\mu\sigma} + \omega_\nu{}^\sigma J^{\rho\nu} - \epsilon^\rho P^\sigma + \epsilon^\sigma P^\rho \quad (1.28)$$

$$i[\frac{1}{2}\omega_{\mu\nu}J^{\mu\nu} - \epsilon_\mu P^\mu, P^\rho] = \omega_\mu{}^\rho P^\mu \quad (1.29)$$

Equating coefficients of  $\omega$  and  $\epsilon$  we have

$$i[J^{\mu\nu}, J^{\rho\sigma}] = \eta^{\nu\rho} J^{\mu\sigma} - \eta^{\mu\rho} J^{\sigma\nu} - \eta^{\sigma\mu} J^{\rho\nu} + \eta^{\sigma\nu} J^{\rho\mu} \quad (1.30)$$

$$i[P^\mu, J^{\rho\sigma}] = \eta^{\mu\rho} P^\sigma - \eta^{\mu\sigma} P^\rho \quad (1.31)$$

$$[P^\mu, P^\rho] = 0 \quad (1.32)$$

This is the Lie algebra of Poincaré group. In another formation, we define the **momentum vector**

$$\mathbf{P} = \{P^1, P^2, P^3\} \quad (1.33)$$

and the **angular momentum vector**

$$\mathbf{J} = \{J^{23}, J^{31}, J^{12}\} \quad (1.34)$$

and the **boost vector**

$$\mathbf{K} = \{J^{01}, J^{02}, J^{03}\} \quad (1.35)$$

and finally the energy operator  $P^0 = H$ . The commutation relationship between these vectors can also be given

$$[J_i, J_j] = i\epsilon_{ijk}J_k \quad (1.36)$$

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<sup>3</sup>The factor 1/2 is cancelled by using  $[J^{\mu\nu}, J^{\rho\sigma}] = -[J^{\rho\sigma}, J^{\mu\nu}]$ .

$$[J_i, K_j] = i\epsilon_{ijk} K_k \quad (1.37)$$

$$[K_i, K_j] = -i\epsilon_{ijk} J_k \quad (1.38)$$

$$[J_i, P_j] = i\epsilon_{ijk} P_k \quad (1.39)$$

$$[K_i, P_j] = -iH\delta_{ij} \quad (1.40)$$

$$[J_i, H] = [P_i, H] = [H, H] = 0 \quad (1.41)$$

$$[K_i, H] = -iP_i \quad (1.42)$$

As mentioned above, pure translations (both spatial- and time-) or pure rotations form an Abelian group. Thus the unitary operator can be calculated by eq.(1.19). For pure finite translations  $T(\mathbb{1}, a)$ , we have

$$U(\mathbb{1}, a) = \exp\{-iP^\mu a_\mu\} \quad (1.43)$$

Likewise for pure finite rotation  $T(\mathbf{R}_\theta, 0)$ , we have

$$U(\mathbf{R}_\theta, 0) = \exp\{i\mathbf{J} \cdot \boldsymbol{\theta}\} \quad (1.44)$$

## 1.4 One-Particle State

We now consider the classification of on-particle states according to the transformation under the inhomogeneous Lorentz group. First of all, the eigenstates of four-momentum satisfy

$$\hat{P}^\mu |p, \sigma\rangle = p^\mu |p, \sigma\rangle \quad (1.45)$$

Here  $\sigma$  labels a series of discrete quantum numbers. From eq.(1.43) we have

$$U(\mathbb{1}, a) |p, a\rangle = e^{-ipa} |p, a\rangle \quad (1.46)$$

We now consider how these states transform under the homogeneous transformations. For an arbitrary homogeneous Lorentz transformation  $U(\Lambda, 0)$ , we have

$$P_\mu U(\Lambda) |p, a\rangle = U(\Lambda) (U^{-1}(\Lambda) P^\mu U(\Lambda)) |p, \sigma\rangle = U(\Lambda) (\Lambda_\rho^{-1\mu} p^\rho) |p, \sigma\rangle = \Lambda^\mu_\rho p^\rho U(\Lambda) |p, \sigma\rangle \quad (1.47)$$

Hence the effect of operating  $P^\mu$  on  $U(\Lambda) |p, \sigma\rangle$  is equivalently to produce an eigenvector with eigenvalue  $\Lambda p$ . Therefore the state  $U(\Lambda) |p, \sigma\rangle$  can be written as a linear combination of  $|\Lambda p, \sigma\rangle$

$$U(\Lambda) |p, a\rangle = \sum_{\sigma'} C_{\sigma, \sigma'}(\Lambda, p) |\Lambda p, \sigma\rangle \quad (1.48)$$

We can use suitable linear combination to ensure that  $C_{\sigma, \sigma'}$  is a block-diagonal matrix, which means that  $C_{\sigma, \sigma'}$  furnishes a representation of the inhomogeneous Lorentz group. Furthermore, it is natural to connect a state with the component of an irreducible representation. Hence, we have to clarify the structure of  $C_{\sigma, \sigma'}$  in irreducible representation of the inhomogeneous Lorentz group.

For each  $p^\mu$  with different  $p^2$  and  $p^0$ , we define a standard four-momentum  $k^\mu$  and some standard Lorentz transformations  $L^\mu{}_\nu(p)$  corresponding to each  $p^\mu$ , so that

$$p^\mu = L^\mu{}_\nu(p) k^\nu \quad (1.49)$$

and define

$$|p, \sigma\rangle = N(p) U(L(p)) |k, \sigma\rangle \quad (1.50)$$

where  $N(p)$  is a normalization factor. Operate an inhomogeneous Lorentz transformation on  $|p, \sigma\rangle$  we have

$$U(\Lambda) |p, \sigma\rangle = N(p) U(L(\Lambda p)) U(L^{-1}(\Lambda p) \Lambda L(p)) |k, \sigma\rangle \quad (1.51)$$

Here we notice that the transformation

$$W(\Lambda, p) = L^{-1}(\Lambda p) \Lambda L(p) \quad (1.52)$$

takes makes  $k^\mu$  invariant. Thus all such  $W$  form a subgroup, which is called **little group**. For any such  $W$ , eq.(1.48) goes to

$$U(W) |k, \sigma\rangle = \sum_{\sigma'} D_{\sigma, \sigma'}(W) |k, \sigma'\rangle \quad (1.53)$$

Obviously  $D_{\sigma, \sigma'}$  furnish a representation of little group. Thus eq.(1.51) takes the form

$$\begin{aligned} U(\Lambda) |p, \sigma\rangle &= N(p) \sum_{\sigma'} D_{\sigma, \sigma'}(W) U(L(\Lambda p)) |k, \sigma'\rangle \\ &= \frac{N(p)}{N(\Lambda p)} \sum_{\sigma'} D_{\sigma, \sigma'}(W) |\Lambda p, \sigma'\rangle \end{aligned} \quad (1.54)$$

Thus the problem of determining  $C_{\sigma, \sigma'}$  becomes the problem of finding the representation of little group and finding the normalization factors. Conventionally we adopt the normalization factors by

$$N(p) = \sqrt{\frac{k^0}{p^0}} \quad (1.55)$$

Table 1.4 shows the choice for  $k^\mu$  and corresponding little group with respect to different kinds of  $p$ .

## Mass Positive

According to table 1.4, for mass  $M > 0$ , the corresponding little group is three-dimension rotation group  $SO(3)$  whose unitary representation can be broken up to the direct sum of irreducible representation  $D_{\sigma, \sigma'}^j(R)$  with dimensionality  $2j + 1$ . Here  $R \in SO(3)$ . We can prove that if  $\Lambda$  is a simple three-dimensional rotation, denoted by  $R$ , then

$$W(R, p) = R \quad (1.56)$$

Thus the little group for  $W$  has the same representation as  $SO(3)$ . Hence the states for a massive particle have the same transformation under the rotations as in non-relativistic quantum mechanics.

$p$ types	$k^\mu$	little group
a. $p^2 = -M^2 < 0, p^0 > 0$	$(M, 0, 0, 0)$	SO(3)
b. $p^2 = -M^2 < 0, p^0 < 0$	$(-M, 0, 0, 0)$	SO(3)
c. $p^2 = 0, p^0 > 0$	$(\kappa, \kappa, 0, 0)$	ISO(2)
d. $p^2 = 0, p^0 < 0$	$(-\kappa, \kappa, 0, 0)$	ISO(2)
e. $p^2 = N^2 > 0$	$(0, N, 0, 0)$	SO(2,1)
f. $p^\mu = 0$	$(0, 0, 0, 0)$	SO(3,1)

Table 1.1: Standard  $k^\mu$  and corresponding little group with respect to different kinds of  $p$ . Case (f) here shows the vacuum and cases (a), (c) respectively describe the particles with positive mass and zero mass. SO(2,1) and SO(3,1) are respectively Lorentz group in (2+1)- and (3+1)- dimensions. ISO(2) is Euclidean group in 2 dimensions.

### Mass Zero

Select a time-like four-momenta  $t^\mu = (1, 0, 0, 0)$ . Then we must have

$$(Wt)^\mu (Wt)_\mu = -1 \quad (1.57)$$

$$(Wt)^\mu k_\mu = -1 \quad (1.58)$$

Thus  $Wt$  takes the form of

$$(Wt)^\mu = (1 + \zeta, \alpha, \beta, \zeta), \quad \zeta = \frac{\alpha^2 + \beta^2}{2} \quad (1.59)$$

We find that the effect of  $W$  on both  $t$  and  $k$  is the same of that of the Lorentz transformation following

$$S^\mu{}_\nu(\alpha, \beta) = \begin{pmatrix} 1 + \zeta & \alpha & \beta & -\zeta \\ \alpha & 1 & 0 & -\alpha \\ \beta & 0 & 1 & -\beta \\ \zeta & \alpha & \beta & 1 - \zeta \end{pmatrix} \quad (1.60)$$

So the effect of  $S^{-1}W$  must be equivalent to a three-dimensional rotation along  $z$ -axis. Thus

$$S^{-1}(\alpha, \beta)W = R(\hat{z}, \theta) \quad (1.61)$$

Therefore the element of the little group can be written as

$$W(\theta, \alpha, \beta) = S(\alpha, \beta)R(\hat{z}, \theta) \quad (1.62)$$

We now work out the Lie algebra of the little group ISO(2). Let  $\theta, \alpha, \beta$  be infinitesimal, then according to eq.(1.11) we have

$$U(W) = \mathbb{1} + i\alpha A + i\beta B + i\theta J_3 \quad (1.63)$$

where the operators  $A$  and  $B$

$$A = J_2 + K_1 \quad (1.64)$$

$$B = -J_1 + K_2 \quad (1.65)$$

and their commutation relations

$$[J_3, A] = iB \quad (1.66)$$

$$[J_3, B] = -iA \quad (1.67)$$

$$[A, B] = 0 \quad (1.68)$$

Since  $A$  and  $B$  are commutative, they can correspond to a simultaneous eigenstate

$$\begin{aligned} A |k, a, b\rangle &= a |k, a, b\rangle \\ B |k, a, b\rangle &= b |k, a, b\rangle \end{aligned} \quad (1.69)$$

In fact, for massless particles we require  $a = b = 0$ . Such that

$$A |k, a, b\rangle = B |k, a, b\rangle = 0 \quad (1.70)$$

So only left the eigenstate of  $J_3$

$$J_3 |k, \sigma\rangle = \sigma |k, \sigma\rangle \quad (1.71)$$

where  $\sigma$  is the **helicity**. The helicity gives the component of angular momentum in the direction of motion.

From eq.(1.63) we have

$$U(W) |k, \sigma\rangle = \exp(i\theta J_3) |k, \sigma\rangle = \exp(i\theta\sigma) |k, \sigma\rangle \quad (1.72)$$

and therefore the representation matrix element

$$D_{\sigma, \sigma'}(W) = \exp(i\theta\sigma) \delta_{\sigma, \sigma'} \quad (1.73)$$

We can prove from topological consideration that the helicity must take integers or half integers. Commonly, the massless particles of helicity  $\pm 1$  are called **photons** and the massless particles with helicity  $\pm 1/2$  are called **neutrinos** respectively: **neutrinos** for helicity  $-1/2$  and **antineutrinos** for helicity  $1/2$ .

## 1.5 Space Inversion and Time Reversal

Any member in a homogeneous Lorentz group can be generated from a member from its proper orthochronous subgroup by acting the following transformations

$$\mathcal{P} = \text{diag}(-1, -1, -1, 1), \quad \mathcal{T} = \text{diag}(1, 1, 1, -1) \quad (1.74)$$

The operators induced by those transformations are

$$\mathbf{P} = U(\mathcal{P}, 0), \quad \mathbf{T} = U(\mathcal{T}, 0) \quad (1.75)$$

such that

$$\mathbf{P}U(\Lambda, a)\mathbf{P}^{-1} = U(\mathcal{P}\Lambda\mathcal{P}^{-1}, \mathcal{P}a) \quad (1.76)$$

$$\mathbf{T}U(\Lambda, a)\mathbf{T}^{-1} = U(\mathcal{T}\Lambda\mathcal{T}^{-1}, \mathcal{T}a) \quad (1.77)$$

for an operator induced by an arbitrary transformation  $U(\Lambda, a)$ . If we consider about infinitesimal case that

$$\Lambda = \delta + \omega, a = \epsilon \quad (1.78)$$

then we can obtain the properties of them by acting on Poincaré generators

$$\mathbf{P}iJ^{\rho\sigma}\mathbf{P}^{-1} = i\mathcal{P}_\mu{}^\rho\mathcal{P}_\nu{}^\sigma J^{\mu\nu} \quad (1.79)$$

$$\mathbf{P}iP^\rho\mathbf{P}^{-1} = i\mathcal{P}_\mu{}^\rho P^\mu \quad (1.80)$$

$$\mathbf{T}iJ^{\rho\sigma}\mathbf{T}^{-1} = i\mathcal{T}_\mu{}^\rho\mathcal{T}_\nu{}^\sigma J^{\mu\nu} \quad (1.81)$$

$$\mathbf{T}iP^\rho\mathbf{T}^{-1} = i\mathcal{T}_\mu{}^\rho P^\mu \quad (1.82)$$

Thus we have

$$\mathbf{P}H\mathbf{P}^{-1} = H, \quad \mathbf{T}H\mathbf{T}^{-1} = H \quad (1.83)$$

That the energy operator is commutative with  $\mathbf{P}$  and  $\mathbf{T}$ . <sup>4</sup>

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<sup>4</sup>Here we enforce both  $\mathbf{P}$  and  $\mathbf{T}$  to be unitary and linear. This is because  $\mathcal{P}^2 = \mathcal{T}^2 = \mathbf{1}$ .





## Chapter 2

# Scattering Theory

### 2.1 ‘In’ and ‘Out’ States

We now consider the state for a system consisting of many non-interacting particles. The state is described by four momentum  $p^\mu$ , spin z-component  $\sigma$  and another quantum number  $n$ . We define an index set  $I = \{1, 2, 3 \dots\}$  to indicate the labels for all particles, thus we have

$$\begin{aligned} & U(\Lambda, a) |\Psi(p_i, \sigma_i, n_i; i \in I)\rangle \\ &= \prod_{i \in I} \exp(-ia_\mu (\Lambda p_i)^\mu) \sqrt{\frac{(\Lambda p_i)^0}{p_i^0}} \sum_{\sigma'_i; i \in I} \left( \prod_{i \in I} D_{\sigma'_i \sigma_i}^{j_i}(W(\Lambda, p_i)) \right) |\Psi(p_i, \sigma_i, n_i; i \in I)\rangle \end{aligned} \quad (2.1)$$

and their normalization

$$\langle \Psi(p_i, \sigma_i, n_i; i \in I) | \Psi(p'_i, \sigma'_i, n'_i; i \in I) \rangle = \prod_{i \in I} \delta^3(\mathbf{p}_i - \mathbf{p}'_i) \delta_{\sigma'_i \sigma_i} \delta_{n'_i n_i} \quad (2.2)$$

For simplicity, we let  $\alpha$  to denote the whole collection of quantum numbers. Thus the normalization rule becomes

$$\langle \Psi_\alpha | \Psi_{\alpha'} \rangle = \delta(\alpha - \alpha') \quad (2.3)$$

We now consider the ‘in’ and ‘out’ states  $|\Psi_\alpha^\pm\rangle$  which respectively describe the states of particles at  $t \rightarrow \pm\infty$ -long before and long after the interaction (conventionally we use superscript ‘+’ to denote ‘in’ state and ‘-’ for ‘out’ state, even if they seem backward.). We assume that the Hamiltonian can be splitted into two parts: the free particle Hamiltonian  $H_0$  and interaction  $V$

$$H = H_0 + V \quad (2.4)$$

Here we assume that the free particle Hamiltonian has eigenstates  $|\Phi_\alpha\rangle$  with same energy spectrum as the total Hamiltonian

$$H_0 |\Phi_\alpha\rangle = E_\alpha |\Phi_\alpha\rangle \quad (2.5)$$

and we define the ‘in’ and ‘out’ states by

$$H |\Psi_\alpha^\pm\rangle = E_\alpha |\Psi_\alpha^\pm\rangle \quad (2.6)$$

which satisfy

$$\int d\alpha e^{-iE_\alpha\tau} g(\alpha) |\Psi_\alpha^\pm\rangle \rightarrow \int d\alpha e^{-iE_\alpha\tau} a(\alpha) |\Phi_\alpha^\pm\rangle \quad (2.7)$$

for  $\tau \rightarrow \pm\infty$  respectively. That is to say, the states yield to the eigenstates of free particles long before or long after the interaction. Notice that from eq.(2.7) we have

$$|\Psi_\alpha^\pm\rangle = \lim_{\tau \rightarrow \mp\infty} \exp(iH\tau) \exp(-iH\tau) |\Phi_\alpha\rangle = \Omega(\infty)\Omega(-\infty) |\Phi_\alpha\rangle \quad (2.8)$$

Therefore the ‘in’ and ‘out’ states are free particle states acting with an unitary operator. Thus they are obviously normalized.

## 2.2 Lippmann-Schwinger Equation

Now we decide to find specific solution to the scattering states  $|\Psi_\alpha^\pm\rangle$ . Since we have mentioned the split of Hamiltonian in eq.(2.4), then we must have

$$(E_\alpha - H_0) |\Psi_\alpha^\pm\rangle = V |\Psi_\alpha^\pm\rangle \quad (2.9)$$

The operator  $E_\alpha - H_0$  is not inversible if it equals zero. Thus the equation cannot be simply solved. However, we can plus it by a infinitesimal operator  $i\epsilon$  and we can take a limit  $\epsilon \rightarrow 0$  at the end of the calculation. Notice that the free particle state  $|\Phi_\alpha\rangle$  is the eigenstate of  $H_0$ , i.e.  $(E_\alpha - H_0) |\Phi_\alpha\rangle = 0$ . Therefore, the solution to eq.(2.9) must satisfy

$$|\Psi_\alpha^\pm\rangle = |\Phi_\alpha\rangle + (E_\alpha - H_0 \pm i\epsilon)^{-1} V |\Psi_\alpha^\pm\rangle \quad (2.10)$$

or by inserting a complete set of  $|\Phi_\beta\rangle$  in the second term

$$\begin{aligned} |\Psi_\alpha^\pm\rangle &= |\Phi_\alpha\rangle + \int d\beta (E_\alpha - H_0 \pm i\epsilon)^{-1} |\Phi_\beta\rangle \langle \Psi_\beta | V | \Psi_\alpha^\pm \rangle \\ &= |\Phi_\alpha\rangle + \int d\beta (E_\alpha - E_\beta \pm i\epsilon)^{-1} T_{\beta\alpha}^\pm |\Phi_\beta\rangle \end{aligned} \quad (2.11)$$

where

$$T_{\beta\alpha}^\pm = \langle \Psi_\beta | V | \Psi_\alpha^\pm \rangle \quad (2.12)$$

These equations are known as the **Lippmann-Schwinger equations**. In the future sections we will widely discuss the Lippmann-Schwinger equation.

## 2.3 The $S$ -Matrix

In classical case, the scattering matrix, or  $S$ -matrix, of a system is defined by the ratio between the scattering state and the incident state. Likewise, in quantum mechanics, we define the  $S$ -matrix by the inner product of the ‘in’ and ‘out’ states

$$S_{\beta\alpha} = \langle \Psi_{\beta}^{-} | \Psi_{\alpha}^{+} \rangle \quad (2.13)$$

That is to say, the  $S$ -matrix gives the universal effect for the interaction of transiting quantum state  $\alpha$  to  $\beta$ . Thus if the ‘in’ and ‘out’ states are same, which means there is no interaction, then  $S = \delta(\beta - \alpha)$ . Obviously  $S$ -matrix is unitary

$$S^{\dagger}S = SS^{\dagger} = 1 \quad (2.14)$$

Besides, from eq.(2.8) we can rewrite eq.(2.13)

$$\langle \Psi_{\beta}^{-} | \Psi_{\alpha}^{+} \rangle = \langle \Phi_{\beta} | \Omega(\infty)^{\dagger} \Omega(-\infty) | \Phi_{\alpha} \rangle = \langle \Phi_{\beta} | S | \Phi_{\alpha} \rangle \quad (2.15)$$

where

$$S = \Omega(\infty)^{\dagger} \Omega(-\infty) \quad (2.16)$$

If we consider the wave packet, i.e. the scattering states and the free particle states are superpositions

$$|\Psi_g^{\pm}(t)\rangle = \int d\alpha e^{-iE_{\alpha}t} g(\alpha) |\Psi_{\alpha}^{\pm}\rangle \quad (2.17)$$

$$|\Phi_g(t)\rangle = \int d\alpha e^{-iE_{\alpha}t} g(\alpha) |\Phi_{\alpha}\rangle \quad (2.18)$$

such that eq.(2.11) goes to

$$|\Psi_g^{\pm}(t)\rangle = |\Phi_g(t)\rangle + \int d\alpha \int d\beta (E_{\alpha} - E_{\beta} \pm i\epsilon)^{-1} e^{-iE_{\alpha}t} T_{\beta\alpha}^{\pm} |\Phi_{\beta}\rangle \quad (2.19)$$

Let  $\epsilon \rightarrow 0$ , then the ‘out’ state writes

$$|\Psi_g^{+}(t)\rangle = \int d\beta e^{-iE_{\beta}t} |\Phi_{\beta}\rangle [g(\beta) - 2\pi i \int d\alpha \delta(\beta - \alpha) g(\alpha) T_{\beta\alpha}^{+}] \quad (2.20)$$

From eq.(2.13) and (2.17) we have

$$|\Psi_g^{\pm}(t)\rangle = \int d\beta e^{-iE_{\beta}t} |\Phi_{\beta}\rangle \int d\alpha g(\alpha) S_{\beta\alpha} \quad (2.21)$$

Compare eq.(2.20) and (2.21) we have

$$\int d\alpha g(\alpha) S_{\beta\alpha} = g(\beta) - 2\pi i \int d\alpha \delta(\beta - \alpha) g(\alpha) T_{\beta\alpha}^{+} \quad (2.22)$$

Hence

$$S_{\beta\alpha} = \delta(\beta - \alpha) - 2\pi i \delta(E_{\beta} - E_{\alpha}) T_{\beta\alpha}^{+} \quad (2.23)$$

Eq.(2.23) gives another formalism for  $S$ -matrix. If, the interaction  $V$  is weak enough to ignore, then the interaction between the ‘in’ states and the free particle states can be also neglected. Thus eq.(2.23) goes to

$$S_{\beta\alpha} = \delta(\beta - \alpha) - 2\pi i \delta(E_{\beta} - E_{\alpha}) \langle \Phi_{\beta} | V | \Phi_{\alpha} \rangle \quad (2.24)$$

## **2.4 Symmetries of the $S$ -Matrix**