

Interpretação e Compilação de Linguagens (de Programação)

21/22

Luís Caires (<http://ctp.di.fct.unl.pt/~lcaires/>)

Mestrado Integrado em Engenharia Informática

Departamento de Informática

Faculdade de Ciências e Tecnologia

Universidade Nova de Lisboa

Naming

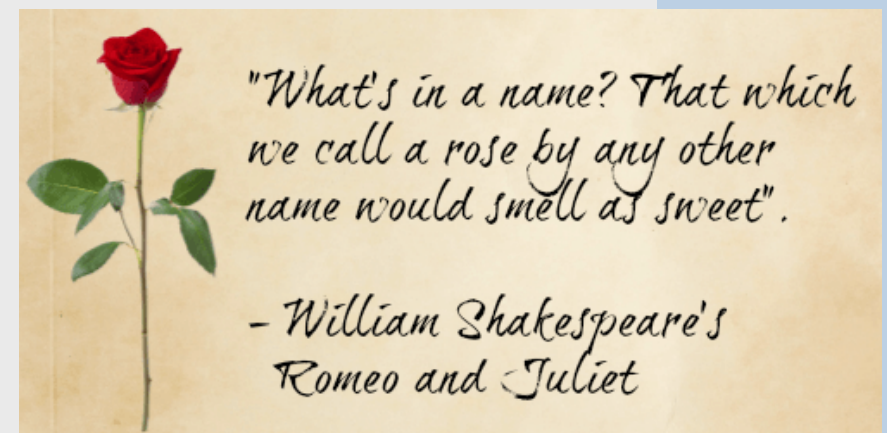
Names are the first tool one uses to introduce abstraction in a programming language (and any language in fact!).

Names allows us to refer to complex things in a concise way!

A name / identifier used in some expression or program always denotes a value previously defined.

Fundamentally, the meaning of a program fragment with names is obtained by replacing each name with the value assigned to it in its definition.

- Literals versus names
- Binding (declaration) of names
- Scope of a definition
- Occurrences of names (free, bound, binding)
- Open and closed code fragments
- Fundamental construct **def id=E in E end.**
- Language with definitions: CALCI.
- Interpreter using substitution
- Interpreter using environments



Naming Syntax

- Literals

- Denote fixed values in every context of occurrence

- Java: `true, false, "foo", float`

- OCAML: `true, false, []`

- C: `1, 1.0, 0xFF, "hello", int`

- Identifiers

- Denote values that depend of the context of occurrence

- In programming languages, identifiers are names for defined constants, variables, functions, methods, classes, modules, types, etc...

- Java: `x2, y, Count, System.out`

- C: `printf`

Binding and Scope

- The association between an identifier and the value it denotes is called a *binding*.
- A *binding* between an identifier to the value associated is always established in a well-defined syntactical context (some zone of the program text) and is created by a program construct called a *declaration*
- The syntactical context (zone of the program text) in which the binding is established is called the *scope* of the binding / declaration.

Binding and Scope

- The identifier **x** denotes (the address of) a memory cell

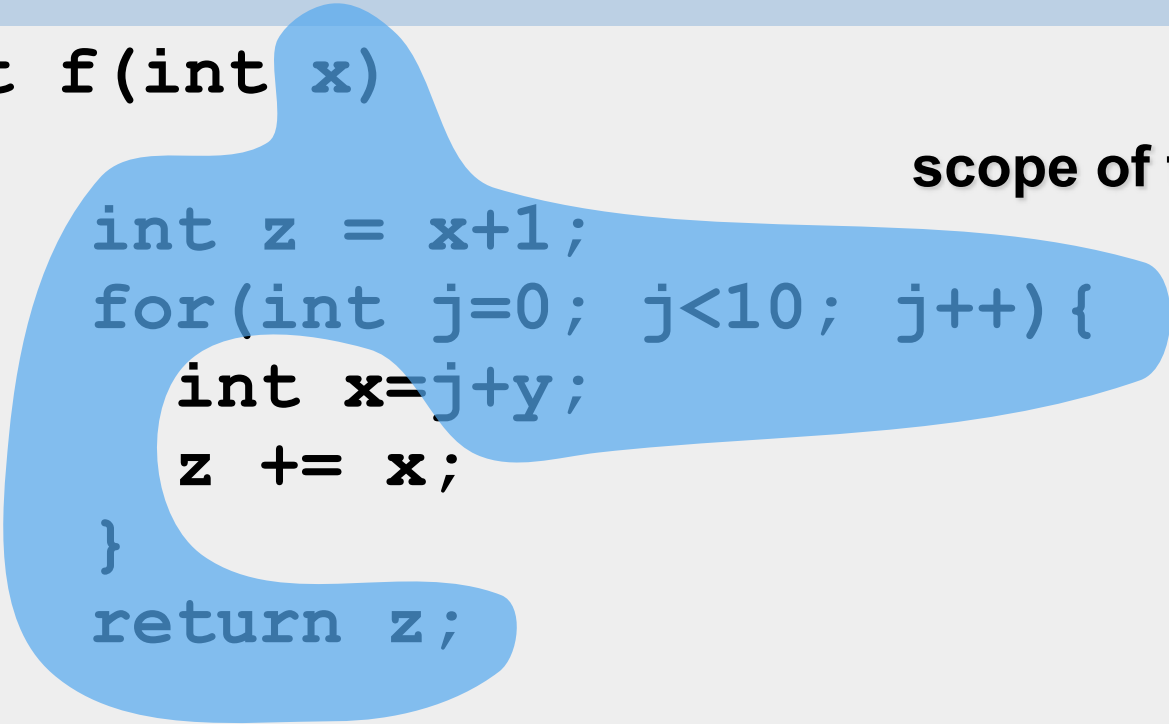
```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

Binding and Scope

- The identifier **x** denotes (the address of) a memory cell

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

scope of the binding



Binding and Scope

- The identifier `j` denotes (the address of) a memory cell

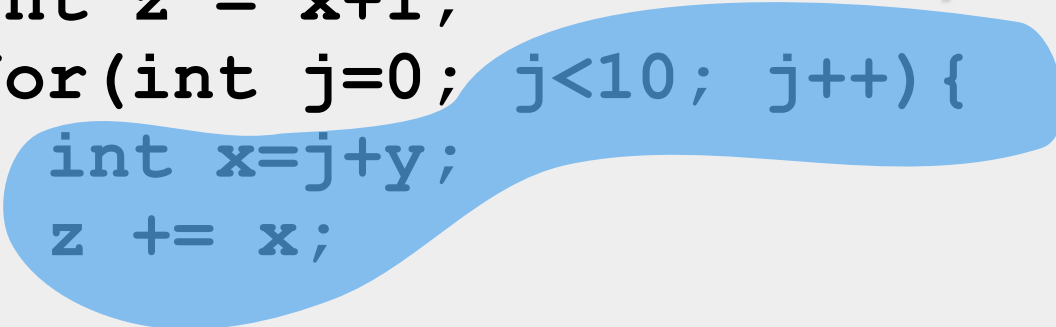
```
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    }
    return z;
}
```

Binding and Scope

- The identifier `j` denotes (the address of) a memory cell

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

scope of the binding



Parts of a Scope

- The **binding** of an identifier X to its denotation (value, memory address, etc) always involve the following ingredients:
 - A (single!) **binding occurrence** of the identifier X
in general, it corresponds to the part of the program text that initialises the binding, where the binding becomes active
 - The **scope** of the binding
This is the part (zone of the program text) in which the binding introduced by the binding occurrence is active
 - Several **bound occurrences**
All occurrences of X , distinct from the binding occurrence, that lie inside the scope

Binding and Bound Occurrences

- Occurrences of name **x**

```
int f(int x)  
{  
    int z = x+1;  
    for(int j=0; j<10; j++) {  
        int x=j+y;  
        z += x;  
    }  
    return z;  
}
```

Binding occurrences

Binding and Bound Occurrences

- Occurrences of name **x**

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

bound occurrences

Bound Occurrences

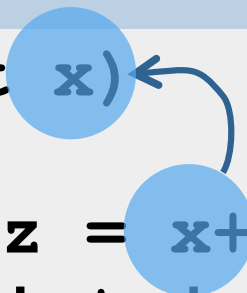
- For each bound occurrence there is one and only one binding occurrence (de one occurring in the declaration)

```
int f(int x)
{
    int z = x+K;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

Bound Occurrences

- For each bound occurrence there is one and only one binding occurrence (de one occurring in the declaration)

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

A blue circle highlights the parameter 'x' in the function signature 'int f(int x)'. Another blue circle highlights the 'x' in the expression 'x+1' within the function body. A blue curved arrow points from the 'x' in the body back to the 'x' in the signature, illustrating the binding relationship.

Bound Occurrences

- For each bound occurrence there is one and only one binding occurrence (de one occurring in the declaration)

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

Bound Occurrences

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}
```

Bound Occurrences

- For each bound occurrence there is one and only one binding occurrence (de one occurring in the declaration)

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```


Free occurrences

- Any occurrence of an identifier that is not binding nor bound is said **free**

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j+y;
        z += x;
    }
    return z;
}
```

Open and Closed fragments

- A program fragment is said to be **open** if it contains free occurrences of identifiers
- Otherwise, a program fragment is said to be **closed** that is, if it does not contain free occurrences of identifiers
- Open fragments (examples):

```
void f(int x)                                     C
{
    int i;
    for(int i=0;i<TEN;i++) x+=i;
    printf("%d\n",x);
}
```

```
let x=1 in (f x)                                  OCaml
```

Open and Closed fragments

- A program fragment is said to be **open** if it contains free occurrences of identifiers
- Otherwise, a program fragment is said to be **closed** that is, if it does not contain free occurrences of identifiers
- Open fragments (examples):

```
void f(int x)                                free occurrence    C
{
    int i;
    for(int i=0;i<TEN;i++) x+=i;
    printf("%d\n",x);
}
```

```
let x=1 in (f x)                             free occurrence    OCaml
```

Semantics of open fragments

- The meaning of a program fragment can only be computed if the value of every free identifier is known.
- The definition of a compositional semantics for languages with declared identifiers has to consider open fragments.
For instance, the C block

```
{ int x = 2 ; x = x+2 }
```

is closed but contains open fragments (e.g., $x+2$).

- In general a complete program (closed fragment) contains open fragments (inside declarations).

Environment

- A closed program necessarily provides bindings all free occurrences that inside it, (they must appear in the scope of declarations!).

Given any fragment E inside a program P , we call **environment of E in P** to the set of all bindings in which scope E occurs.

Environment (Quiz)

- What is the environment of subexpression “x+1”?

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j;
        z+=x;
    }
    return z;
}
```

Environment (Quiz)

- What is the environment of subexpression “x+1”?

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j;
        z+=x;
    }
    return z;
}
```

x -> par(0)

Environment (Quiz)

- What is the environment of subexpression “z+=x”?

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j;
        z+=x;
    }
    return z;
}
```


Environment (Quiz)

- What is the environment of subexpression “z+=x”?

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j;
        z+=x;
    }
    return z;
}
```

x -> par(0)
z -> loc(0)

Environment (Quiz)

- What is the environment of subexpression “return z”?

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j;
        z+=x;
    }
    return z;
}
```

Environment (Quiz)

- What is the environment of subexpression “return z”?

```
int f(int x)
{
    int z = x+1;
    for(int j=0; j<10; j++) {
        int x=j;
        z+=x;
    }
    return z;
}
```

z -> loc(0)

The language CALCI

- CALCI extends our basic expression language CALC with general declarations **def**:

```
def Id = Exp1 in Exp2 end
```

In a **def** expression the first occurrence of *Id* **is binding**, with scope *Exp2*

- A CALCI program is a **closed expression** of CALCI.

Example:

```
def x=2 in def y=x+2 in (x+y) end end
```

The language CALCI (abstract syntax)

- CALCI AST constructors: **num**, **add**, **mul**, **div**, **sub**, **id**, **def**

num: Integer \rightarrow CALCI

id: String \rightarrow CALCI

add: CALCI \times CALCI \rightarrow CALCI

mul: CALCI \times CALCI \rightarrow CALCI

div: CALCI \times CALCI \rightarrow CALCI

sub: CALCI \times CALCI \rightarrow CALCI

def: String \times CALCI \times CALCI \rightarrow CALCI

The language CALCI (concrete syntax)

- CALCI AST constructors: num, add, mul, div, sub, id, def

```
def x = 2 in
  (def x = x+2
    in
      x + x
    end) + x
end
```

The language CALCI (concrete syntax)

- AST CALCI com os construtores: num, add, mul, div, sub, id, def

```
def x = 2 in
  def y = def z = x+2 in z+z end
in
  y + def y = 2+x in y end
end
end
```

Semantics of CALCI (first definition)

The semantics of CALCI may be defined by giving a **computable** function I which assigns a definite meaning to each program (fragment)

$$I : \text{CALC} \rightarrow \text{Integer}$$

CALC = set of all programs (closed)

DENOT = set of all meanings (denotations)

CALC Interpreter (evaluation map)

- Algorithm $\text{eval}(E)$ that computes the denotation (integer value) of any CALC expression:

$\text{eval} : \text{CALC} \rightarrow \text{Integer}$

$\text{eval}(\text{num}(n))$	$\triangleq n$
$\text{eval}(\text{add}(E1, E2))$	$\triangleq \text{eval}(E1) + \text{eval}(E2)$
$\text{eval}(\text{mul}(E1, E2))$	$\triangleq \text{eval}(E1) * \text{eval}(E2)$
...	
$\text{eval}(\text{def}(s, E1, E2))$	$\triangleq \{ V = \text{eval}(E1);$ $G = \text{substv}(s, E2, V); \text{eval}(G); \}$

Fundamentally, the meaning of a program with names is always obtained by replacing each name with the value assigned to it in its definition.

The substitution function

$\text{substv}(s, E, V)$

Computes the expression (AST) that results from replacing in program (AST) E all free occurrences of identifier s by value V .

Examples (what does substv do?)

$\text{subst}(s, s+s+2, 4) = 4+4+2$

$\text{subst}(y, \text{def } x=y \text{ in def } y=2 \text{ in } x+y, 2) =$
 $\text{def } x=2 \text{ in def } y=2 \text{ in } x+y$

Definition of Substv function (on ASTs)

substv(s, num(*n*), V) \triangleq num(*n*);

substv(s, id(*s*), V) \triangleq V;

substv(s, add(*E1*, *E2*), F) \triangleq add(**substv**(s, *E1*, V), **substv**(s, *E2*, V));

...

substv(s, def(*s'*, *E1*, *E2*), V) \triangleq if *s* = *s'*
 { *G* = **substv**(s, *E1*, V);
 def(*s*, *G*, *E2*); }
else
 { *G* = **substv**(s, *E1*, V);
 def(*s'*, *G*, **substv**(s, *E2*, V)); }

CALC Interpreter (evaluation map)

- Algorithm $\text{eval}(E)$ that computes the denotation (integer value) of any CALC expression:

$\text{eval} : \text{CALC} \rightarrow \text{Integer}$

$\text{eval}(\text{num}(n))$	$\triangleq n$
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$\text{eval}(\text{mul}(E1, E2))$	$\triangleq \text{eval}(E1) * \text{eval}(E2)$
...	
$\text{eval}(\text{def}(s, E1, E2))$	$\triangleq \{ V = \text{eval}(E1);$ $G = \text{substv}(s, E2, V); \text{eval}(G); \}$

- Note: we don't need to define the case $\text{eval}(\text{id}(s))$. Why?

Semantics of CALCI (better definition)

- The substitution-based semantics of CALCI is very simple and intuitive from the perspective of specification because it is very simple, and conforms to the essential meaning of names.

$\text{eval} : \text{CALCI} \rightarrow \text{Integer}$

- However, it is not efficient, requires runtime manipulation of ASTs and does not scale well for compilation.
- Using a notion of **runtime environment** (or spaghetti stack) the effect of explicit syntactical substitution can be performed in a lazy way.

Semantics of CALCI (better definition)

- Algorithm $\text{eval}()$ that computes the denotation (integer value) of any **open** CALCI expression:

$$\text{eval} : \text{CALCI} \times \text{ENV} \rightarrow \text{Integer}$$

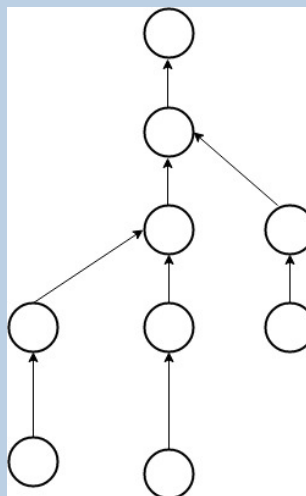
CALCI = open programs

ENV = environments

Integer = meanings (denotations)

The Environment as an ADT

- In practice, it is convenient to implement environments using a mutable stack-like data structure called a “**spaghetti stack**”.
- **NOTE:** In block structures languages (eg., in all “decent” modern languages) the addition and remotion of biddings between identifiers and values follows a strict stack LIFO discipline.
- An environment stores all bindings relative to the current scope and all involving scopes in **frames**.
- From any environment state one may create a new “child” frame, corresponding to a new nested scope.
- Each frame links to the ancestor frame using a reference.



The Environment as an ADT

- Environment operations:

Environ BeginScope()

- Pushes into the environment a new frame, where new bindings will be stored.
- A given identifier can only be bound once in a given frame, but may be bound in different frames (to possibly different values).

Environ EndScope()

- returns the father environment (pops off top frame).

void assoc(String id, Value val)

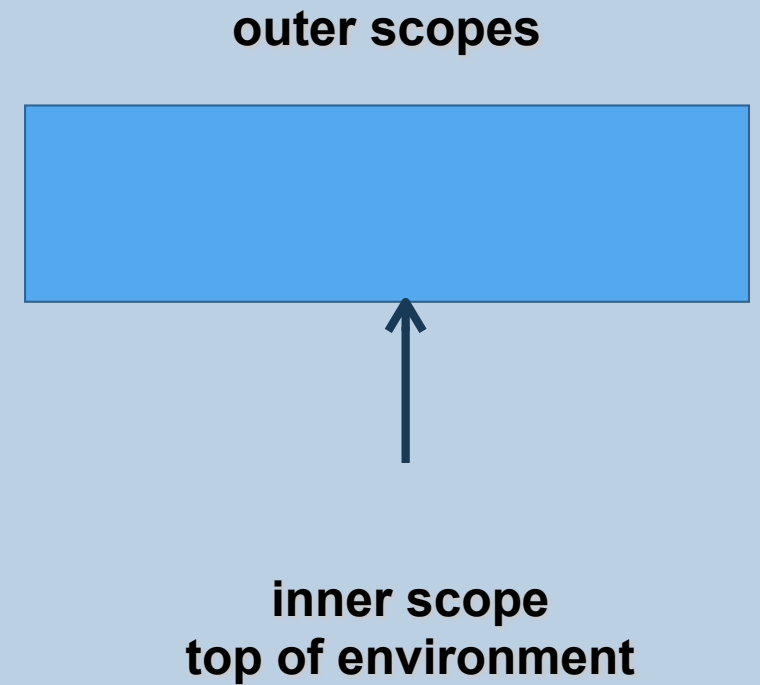
- Adds a new binding for identifier **id** to the value **val** in the top frame of the environment (if **id** is not bound there yet).

Value Find(String id)

- Returns the value associated to **id** in the environment, as defined by the innermost binding (the binding in the topmost frame that binds **id**).
- In practice, **Find** searches for **id** from top to bottom following the stack frame chain, from “most recent” up, so that the appropriate scoping is respected.

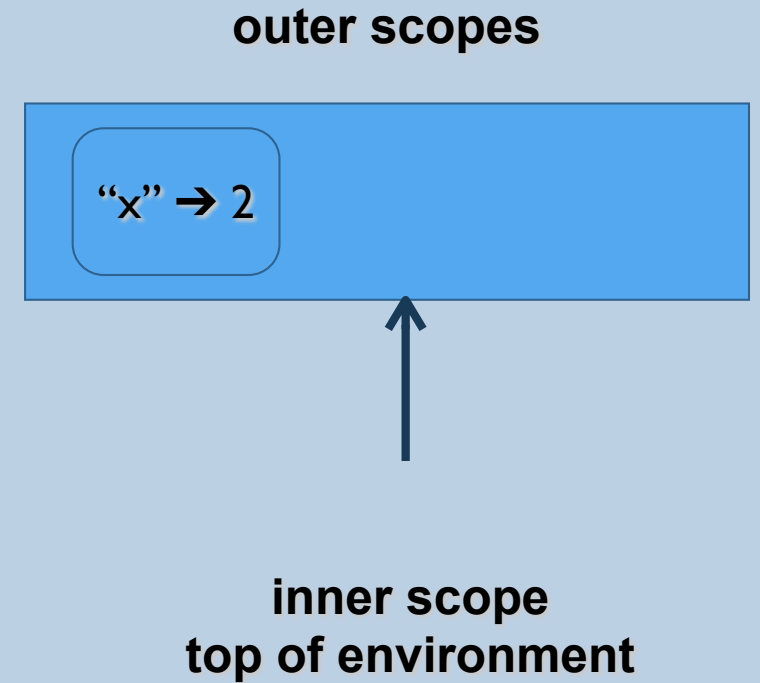
Environment in action

```
env = new Environment();
```



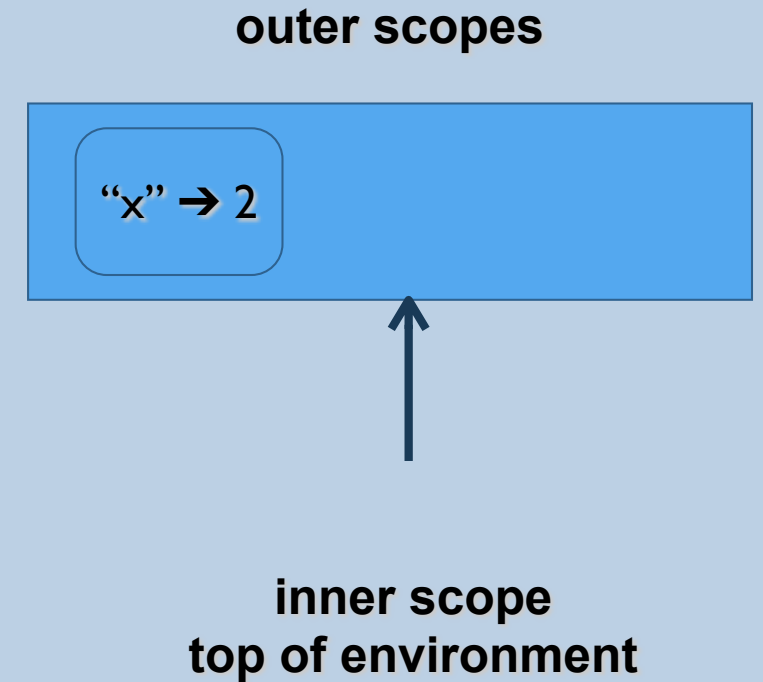
Environment in action

```
env = new Environment();  
env.Assoc("x", 2);
```



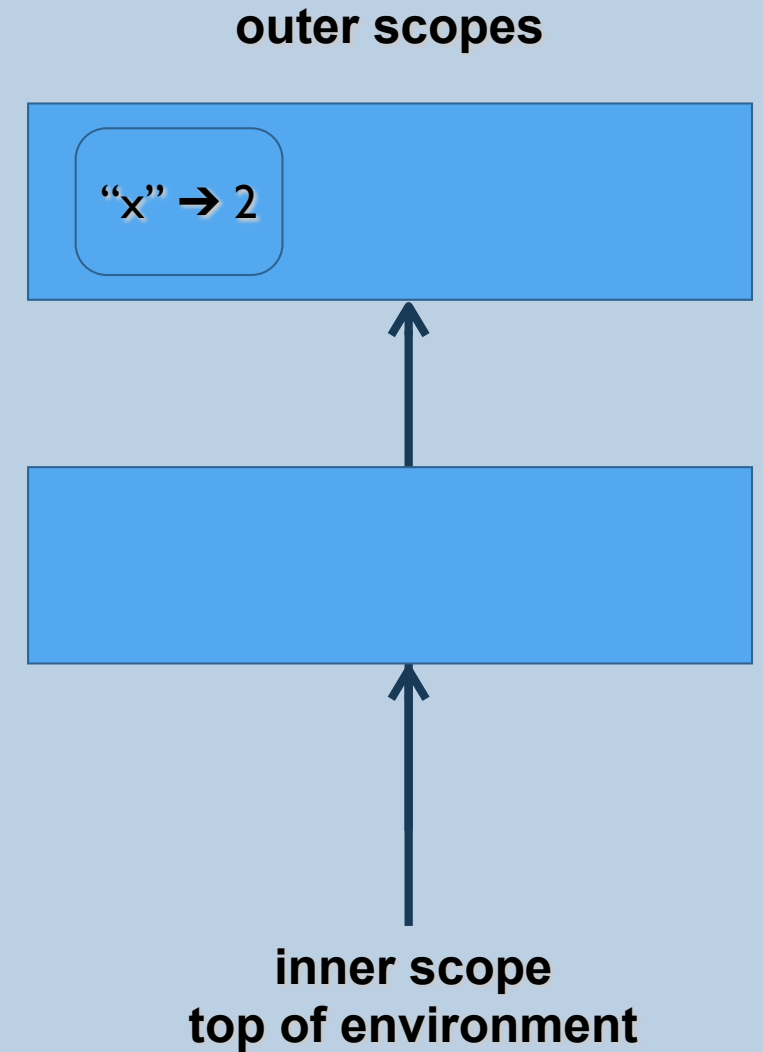
Environment in action

```
env = new Environment();  
env.Assoc("x", 2);  
val = env.Find("x");      // returns 2  
val = env.Find("y");      // raises "Not declared"
```



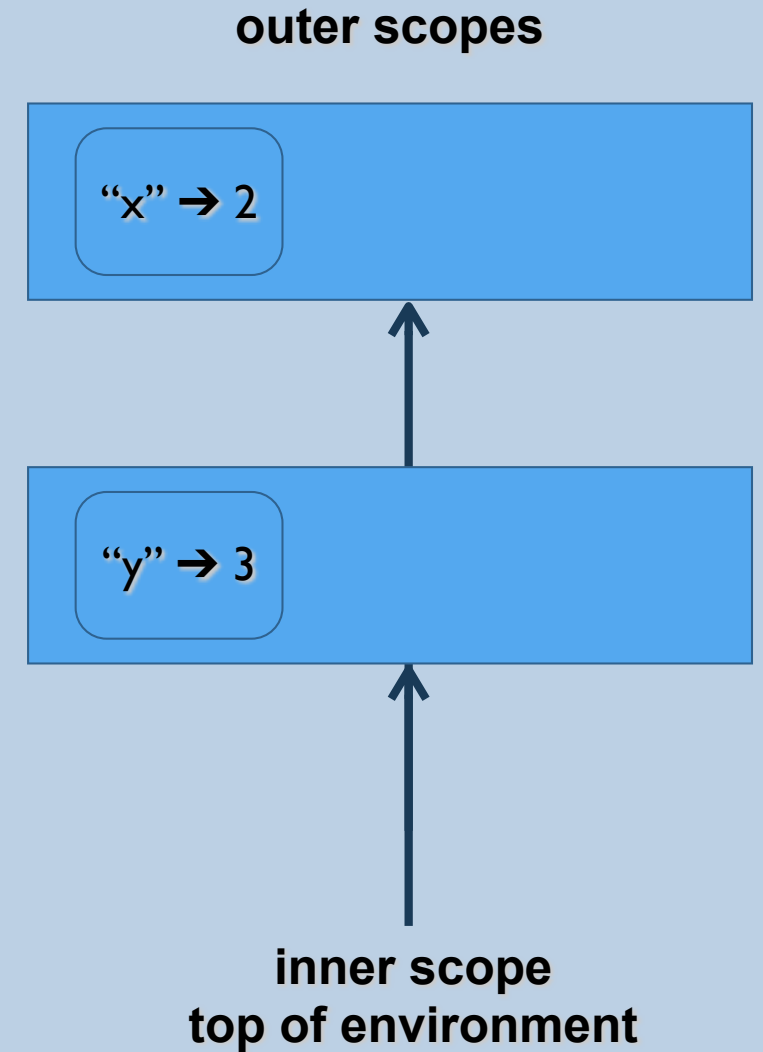
Environment in action

```
env = new Environment();  
env.Assoc("x", 2);  
val = env.Find("x");      // returns 2  
val = env.Find("y");      // raises "Not declared"  
env = env.BeginScope();
```



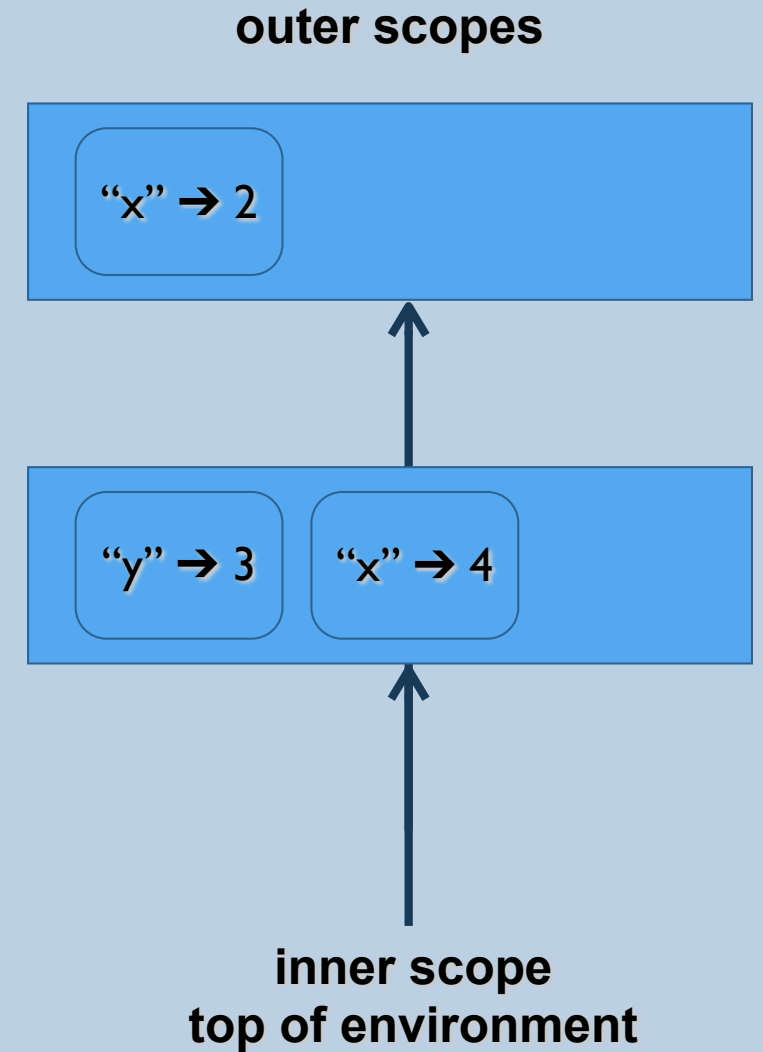
Environment in action

```
env = new Environment();  
env.Assoc("x", 2);  
val = env.Find("x");      // returns 2  
val = env.Find("y");      // raises "Not declared"  
env = env.BeginScope();  
env.Assoc("y", 3);
```



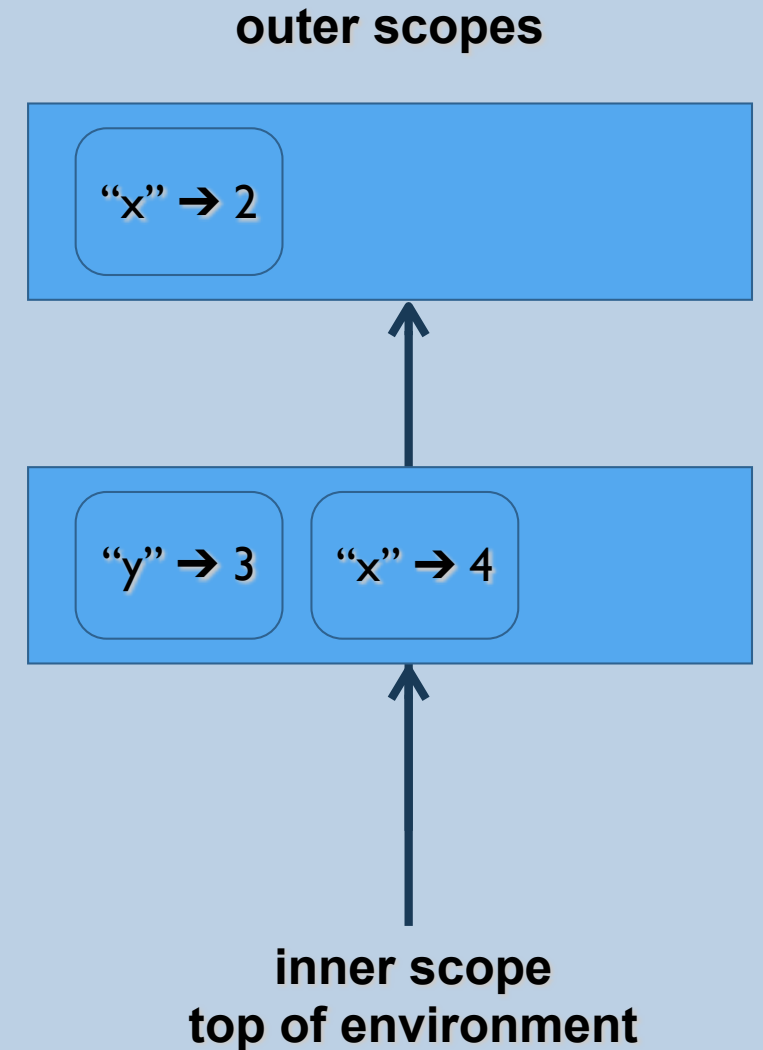
Environment in action

```
env = new Environment();  
env.Assoc("x", 2);  
val = env.Find("x");      // returns 2  
val = env.Find("y");      // raises "Not declared"  
env = env.BeginScope();  
env.Assoc("y", 3);  
env.Assoc("x", 4);
```



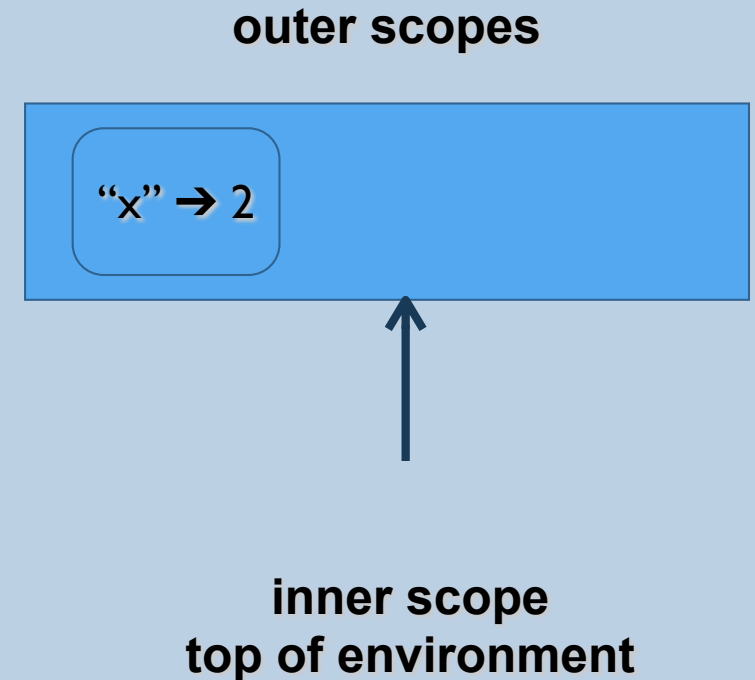
Environment in action

```
env = new Environment();  
env.Assoc("x", 2);  
val = env.Find("x");           // returns 2  
val = env.Find("y");           // raises "Not declared"  
env = env.BeginScope();  
env.Assoc("y", 3);  
env.Assoc("x", 4);  
val = env.Find("y");           // returns 3  
val = env.Find("x");           // returns 4
```



Environment in action

```
env = new Environment();
env.Assoc("x", 2);
val = env.Find("x");           // returns 2
val = env.Find("y");           // raises "Not declared"
env = env.BeginScope();
env.Assoc("y", 3);
env.Assoc("x", 4);
env.Assoc("y", 0);             // raises "Declared twice"
val = env.Find("y");           // returns 3
val = env.Find("x");           // returns 4
env = env.EndScope();
val = env.Find("x")            // returns 2
```



CALC Interpreter (environment based)

- Algorithm `eval()` that computes the denotation (integer value) of any **open** CALCI expression:

`eval` : $CALCI \times ENV \rightarrow Integer$

```
eval( num(n) , env)       $\triangleq$  n
eval( id(s) , env)         $\triangleq$  env.Find(s)
eval( add(E1,E2) , env)   $\triangleq$  eval(E1, env) + eval(E2, env)
...
eval( def(s, E1, E2), env)  $\triangleq$  [ v1 = eval(E1, env);
                                     env = env.BeginScope();
                                     env = env.Assoc(s, v1);
                                     val = eval(E2, env);
                                     env = env.EndScope();
                                     return val ]
```

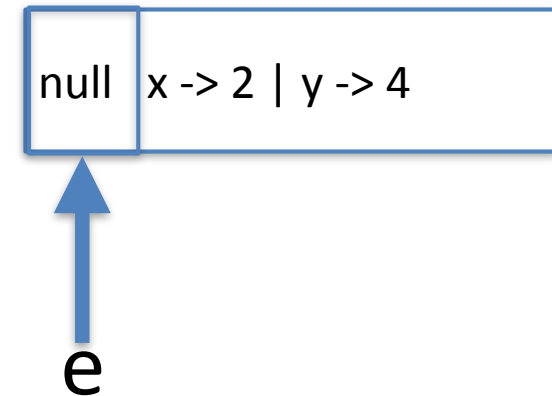
- Note: Case of `id(s)` implemented by lookup of the value of *s* in the current environment

Sample execution (environment actions)

```
def x = 2
  y = x+2 in
def z = 3 in
  def y = x+1 in
    x + y + z end end end;;
```

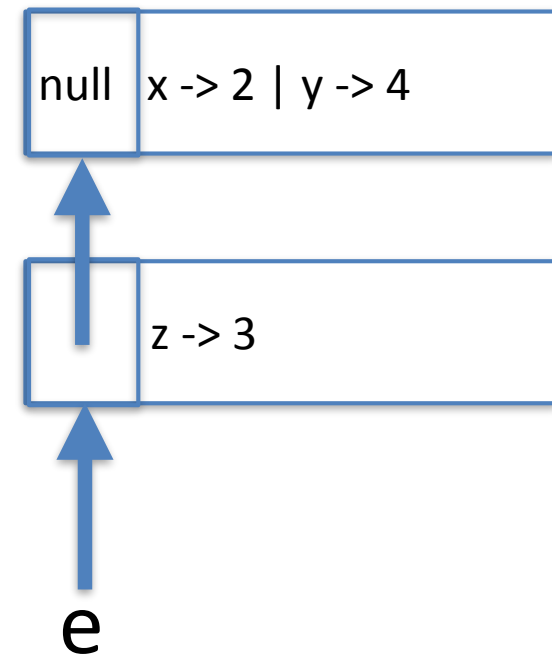
Sample execution (environment actions)

```
def x = 2  
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def z = 3 in  
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    x + y + z end end end;;
```



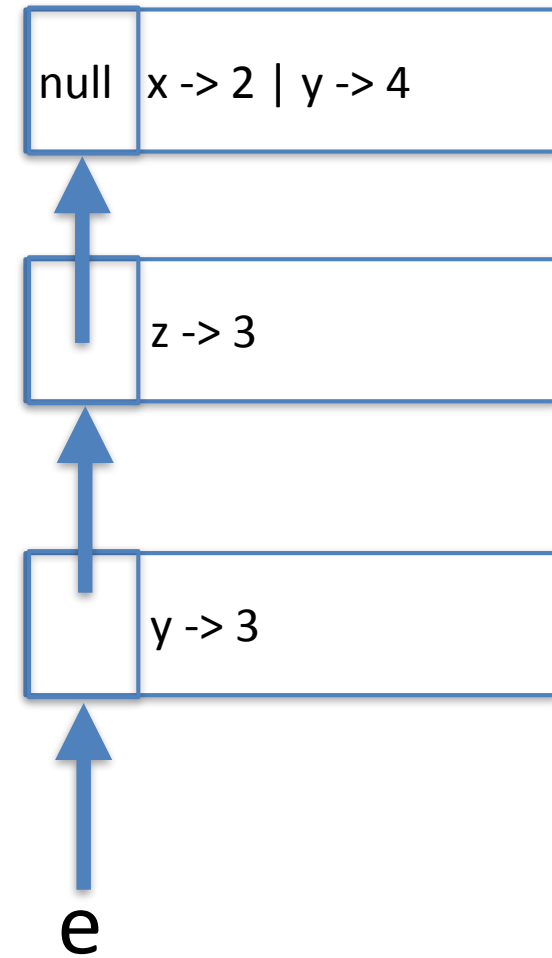
Sample execution (environment actions)

```
def x = 2
  y = x+2 in
  def z = 3 in
    def y = x+1 in
      x + y + z end end end;;
```



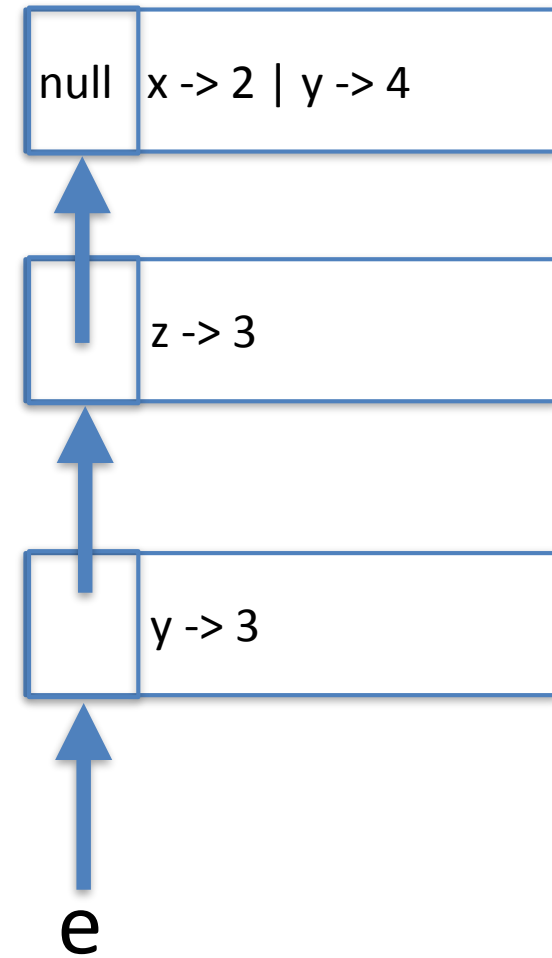
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  def y = x+1 in
    x + y + z end end end;;
```



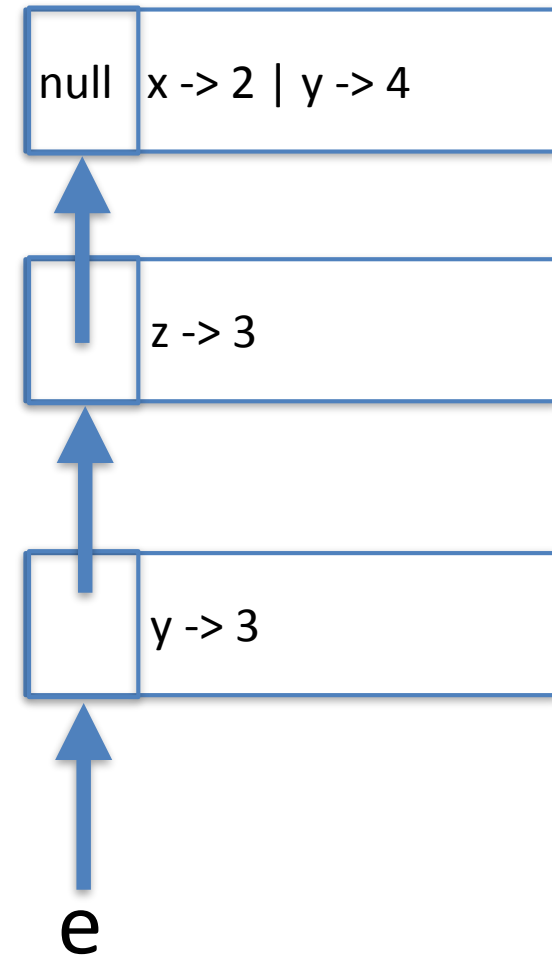
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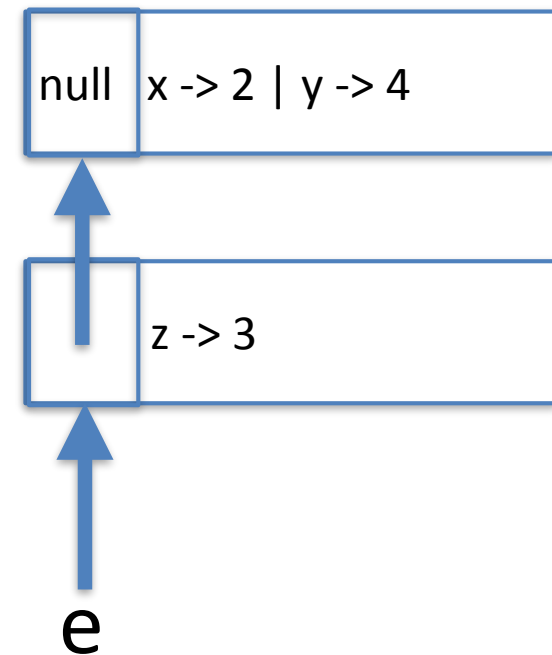
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  def y = x+1 in
    x + y + z end end end;;
```



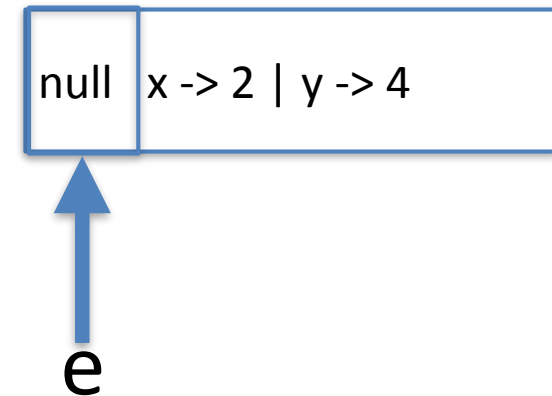
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def x = 2
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  def z = 3 in
    def y = x+1 in
      x + y + z end end end;;
```



Sample execution (environment actions)

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def x = 2  
  y = x+2 in  
def z = 3 in  
  def y = x+1 in  
    x + y + z end end end;;
```



Sample execution (environment actions)

```
def x = 2
  y = x+2 in
def z = 3 in
  def y = x+1 in
    x + y + z end end end;;
```

Interpretação e Compilação de Linguagens (de Programação)

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Mestrado Integrado em Engenharia Informática

Departamento de Informática

Faculdade de Ciências e Tecnologia

Universidade Nova de Lisboa