Statistical NLP

Smoothing

Smoothing: None

$$P(z \mid xy) \approx \frac{C(xyz)}{\sum_{w} C(xyw)} = \frac{C(xyz)}{C(xy)}$$

Called Maximum Likelihood estimate.

 Terrible on test data: If no occurrences of C(xyz), probability is 0.

Bigram Counts

- In Brown Corpus out of 9222 sentences
 - Eg. "I want" occurred 827 times

| | i | want | to | eat | chinese | food | lunch | spend |
|---------|----|------|-----|-----|---------|------|-------|-------|
| i | 5 | 827 | 0 | 9 | 0 | 0 | 0 | 2 |
| want | 2 | 0 | 608 | 1 | 6 | 6 | 5 | 1 |
| to | 2 | 0 | 4 | 686 | 2 | 0 | 6 | 211 |
| eat | 0 | 0 | 2 | 0 | 16 | 2 | 42 | 0 |
| chinese | 1 | 0 | 0 | 0 | 0 | 82 | 1 | 0 |
| food | 15 | 0 | 15 | 0 | 1 | 4 | 0 | 0 |
| lunch | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| spend | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |

Bigram Probabilities

Divide bigram counts by prefix unigram counts to get probabilities.

| i | want | to | eat | chinese | food | lunch | spend |
|------|------|------|-----|---------|------|-------|-------|
| 2533 | 927 | 2417 | 746 | 158 | 1093 | 341 | 278 |

| | i | want | to | eat | chinese | food | lunch | spend |
|---------|---------|------|--------|--------|---------|--------|--------|---------|
| i | 0.002 | 0.33 | 0 | 0.0036 | 0 | 0 | 0 | 0.00079 |
| want | 0.0022 | 0 | 0.66 | 0.0011 | 0.0065 | 0.0065 | 0.0054 | 0.0011 |
| to | 0.00083 | 0 | 0.0017 | 0.28 | 0.00083 | 0 | 0.0025 | 0.087 |
| eat | 0 | 0 | 0.0027 | 0 | 0.021 | 0.0027 | 0.056 | 0 |
| chinese | 0.0063 | 0 | 0 | 0 | 0 | 0.52 | 0.0063 | 0 |
| food | 0.014 | 0 | 0.014 | 0 | 0.00092 | 0.0037 | 0 | 0 |
| lunch | 0.0059 | 0 | 0 | 0 | 0 | 0.0029 | 0 | 0 |
| spend | 0.0036 | 0 | 0.0036 | 0 | 0 | 0 | 0 | 0 |

Add-one Smoothing

- Also Known as Laplance Smoothing
- For unigrams:
 - Add 1 to every word (type) count
 - Normalize by N (tokens) /(N (tokens) +V (types))
 - Smoothed count (adjusted for additions to N) is

$$(c_i+1)\frac{N}{N+V}$$

Normalize by N to get the new unigram probability:

$$p_i^* = \frac{c_i+1}{N+V}$$

- For bigrams:
 - Add 1 to every bigram $c(w_{n-1} w_n) + 1$
 - Incr unigram count by vocabulary size $c(w_{n-1}) + V$

Laplace-Smoothed Bigram Counts

| | i | want | to | eat | chinese | food | lunch | spend |
|---------|----|------|-----|-----|---------|------|-------|-------|
| i | 6 | 828 | 1 | 10 | 1 | 1 | 1 | 3 |
| want | 3 | 1 | 609 | 2 | 7 | 7 | 6 | 2 |
| to | 3 | 1 | 5 | 687 | 3 | 1 | 7 | 212 |
| eat | 1 | 1 | 3 | 1 | 17 | 3 | 43 | 1 |
| chinese | 2 | 1 | 1 | 1 | 1 | 83 | 2 | 1 |
| food | 16 | 1 | 16 | 1 | 2 | 5 | 1 | 1 |
| lunch | 3 | 1 | 1 | 1 | 1 | 2 | 1 | 1 |
| spend | 2 | 1 | 2 | 1 | 1 | 1 | 1 | 1 |

Laplace-Smoothed Bigram Probabilities

$$P^*(w_n|w_{n-1}) = \frac{C(w_{n-1}w_n) + 1}{C(w_{n-1}) + V}$$

| | i | want | to | eat | chinese | food | lunch | spend |
|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| i | 0.0015 | 0.21 | 0.00025 | 0.0025 | 0.00025 | 0.00025 | 0.00025 | 0.00075 |
| want | 0.0013 | 0.00042 | 0.26 | 0.00084 | 0.0029 | 0.0029 | 0.0025 | 0.00084 |
| to | 0.00078 | 0.00026 | 0.0013 | 0.18 | 0.00078 | 0.00026 | 0.0018 | 0.055 |
| eat | 0.00046 | 0.00046 | 0.0014 | 0.00046 | 0.0078 | 0.0014 | 0.02 | 0.00046 |
| chinese | 0.0012 | 0.00062 | 0.00062 | 0.00062 | 0.00062 | 0.052 | 0.0012 | 0.00062 |
| food | 0.0063 | 0.00039 | 0.0063 | 0.00039 | 0.00079 | 0.002 | 0.00039 | 0.00039 |
| lunch | 0.0017 | 0.00056 | 0.00056 | 0.00056 | 0.00056 | 0.0011 | 0.00056 | 0.00056 |
| spend | 0.0012 | 0.00058 | 0.0012 | 0.00058 | 0.00058 | 0.00058 | 0.00058 | 0.00058 |

Reconstituted Counts

$$c^*(w_{n-1}w_n) = \frac{[C(w_{n-1}w_n) + 1] \times C(w_{n-1})}{C(w_{n-1}) + V}$$

| | i | want | to | eat | chinese | food | lunch | spend |
|---------|------|-------|-------|-------|---------|------|-------|-------|
| i | 3.8 | 527 | 0.64 | 6.4 | 0.64 | 0.64 | 0.64 | 1.9 |
| want | 1.2 | 0.39 | 238 | 0.78 | 2.7 | 2.7 | 2.3 | 0.78 |
| to | 1.9 | 0.63 | 3.1 | 430 | 1.9 | 0.63 | 4.4 | 133 |
| eat | 0.34 | 0.34 | 1 | 0.34 | 5.8 | 1 | 15 | 0.34 |
| chinese | 0.2 | 0.098 | 0.098 | 0.098 | 0.098 | 8.2 | 0.2 | 0.098 |
| food | 6.9 | 0.43 | 6.9 | 0.43 | 0.86 | 2.2 | 0.43 | 0.43 |
| lunch | 0.57 | 0.19 | 0.19 | 0.19 | 0.19 | 0.38 | 0.19 | 0.19 |
| spend | 0.32 | 0.16 | 0.32 | 0.16 | 0.16 | 0.16 | 0.16 | 0.16 |

Big Change to the Counts!

- C(want to) went from 608 to 238!
- P(to|want) from .66 to .26!
- Discount d= c*/c
 - d for "chinese food" = .10!!! A 10x reduction
 - So in general, Laplace is a blunt instrument
 - Could use more fine-grained method (add-k)
- But Laplace smoothing not used for N-grams, as we have much better methods
- Despite its flaws Laplace (add-k) is however still used to smooth other probabilistic models in NLP, especially
 - For pilot studies
 - In domains where the number of zeros isn't so huge.

Backoff and Interpolation

- Use what you do know...
- If we are estimating:
 - trigram p(z|x,y)
 - but count(xyz) is zero
- Use info from:
 - Bigram p(z|y)
- Or even:
 - Unigram p(z)
- How to combine this trigram, bigram, unigram info in a valid fashion?

Backoff Vs. Interpolation

- Backoff: use trigram if you have it, otherwise bigram, otherwise unigram
- Interpolation: mix all three

Interpolation

Simple interpolation

$$\hat{P}(w_n|w_{n-1}w_{n-2}) = \lambda_1 P(w_n|w_{n-1}w_{n-2})
+ \lambda_2 P(w_n|w_{n-1})
+ \lambda_3 P(w_n)$$

$$\sum_i \lambda_i = 1$$