

**S.R.L “CloudSentinel”**

**PROCEDURA DE ACȚIUNE CORECTIVĂ**

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# Scop, domeniu de aplicare și utilizatori

Scopul acestei proceduri este de a descrie toate activitățile legate de inițierea, implementarea și păstrarea înregistrărilor corecțiilor, precum și a acțiunilor corective.

Această procedură se aplică tuturor activităților implementate în Sistemul de Management al Securității Informației (ISMS) Sistemul de Management al Continuității Serviciilor Cloud (CMCC).

Utilizatorii acestui document sunt toți angajații CloudSentinel.

# Documente de referință

* ISO/IEC 27001 standard, clauza 10.1
* ISO 22301 standard, clauza 10.1
* BS 25999-2 standard, clauza 6.1
* Politica de Securitate a Informațiilor
* Politica de Continuitate a Afacerilor
* Procedura de audit intern
* Procedura de management al incidentelor
* Politica de management al schimbărilor
* Politica de gestionare a riscurilor de securitate a informațiilor
* Procedura de gestionare a vulnerabilităților
* **ISO/IEC 27002:** Codul de practică pentru managementul securității informațiilor, care oferă orientări și recomandări pentru implementarea și menținerea unui sistem eficient de management al securității informațiilor.
* **ISO/IEC 27005:** Standardul pentru managementul riscurilor de securitate a informațiilor, care furnizează un cadru și o metodologie pentru identificarea, evaluarea și gestionarea riscurilor de securitate a informațiilor într-un mediu de cloud computing.
* **ISO/IEC 27034:** Standardul pentru securitatea aplicațiilor, care oferă orientări și bune practici pentru dezvoltarea și implementarea aplicațiilor securizate într-un mediu de cloud computing.
* **NIST SP 800-171:** Standardele de securitate cibernetică pentru informațiile nereprezentând informații controlate, care sunt esențiale pentru asigurarea securității datelor în cloud, în special în cazul organizațiilor care lucrează cu informații guvernamentale sau militare.

# Corecții și acțiuni corective

## Nonconformities and corrections

A nonconformity is any failure to meet the requirements of the standards, internal documentation, regulations, contractual and other obligations within the ISMS. Nonconformities can be identified during an internal or external audit, based on results of the management review, after incidents, during normal business operations or on any other occasion.

An employee who notices a nonconformity must take immediate action to control it, contain it and correct it, and to deal with its consequences; if an employee is not responsible for such nonconformity he/she must forward information about that nonconformity to a responsible person, who must make a correction.

## Corrective actions

Said responsible person must evaluate the need to eliminate the cause of nonconformity and prevent its recurrence by taking corrective actions. The main difference is that corrective actions eliminate the cause of a nonconformity, whereas the correction focuses only on controlling the nonconformity and dealing with direct consequences.

Corrective action may be initiated by any employee or (where appropriate) client, supplier or outsourcing partner of the organization. Corrective action may require that changes be made to any document, process or arrangement within the ISMS.

## Implementation of corrective actions

Corrective action is implemented in the following way:

|  |  |
| --- | --- |
| ***Step*** | ***Person responsible for implementation*** |
| 1. Reviewing the nonconformity | Anyone with a role in the ISMS |
| 1. Determining the cause of nonconformity | Person responsible for the area where the nonconformity has been identified |
| 1. Identify if similar nonconformity already exists | Person responsible for the area where the nonconformity has been identified |
| 1. Evaluating the need for action to eliminate the nonconformity | Person responsible for the area where the nonconformity has been identified |
| 1. Determining the actions needed to eliminate the causes of nonconformity and to ensure that nonconformities do not recur | Person responsible for the area where the nonconformity has been identified |
| 1. Implementation of planned actions | Person in charge of implementation, appointed by the person responsible |
| 1. Reviewing whether the action taken resulted in the elimination of causes of nonconformity | [job title] |
| 1. Informing all persons concerned that corrective action has been implemented | Person in charge of implementation, appointed by the person responsible |
| 1. Making changes to the ISMS, if necessary | Person who is in charge of coordinating the ISMS |

Each of the above steps must be recorded in the corrective action form.

# Managing records kept on the basis of this document

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Record name | Storage location | Person responsible for storage | Control for record protection | Retention time |
| Corrective action form | [name of filing folder, in which cabinet] [intranet folder name] | [job title] | After all data has been recorded, any new additions or editing must be disabled | 3 years |

# Validity and document management

This document is valid as of [date].

The owner of this document is [job title], who must check and, if necessary, update the document at least once a year.

When evaluating the effectiveness and adequacy of this document, the following criteria need to be considered:

* number of initiated corrective actions
* number of incomplete corrective actions
* number of corrective actions taken without having been recorded in a designated form

# Appendices

* Appendix – Corrective Action Form

[job title]

[name]

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[signature]