



# ELEC 474 Machine Vision

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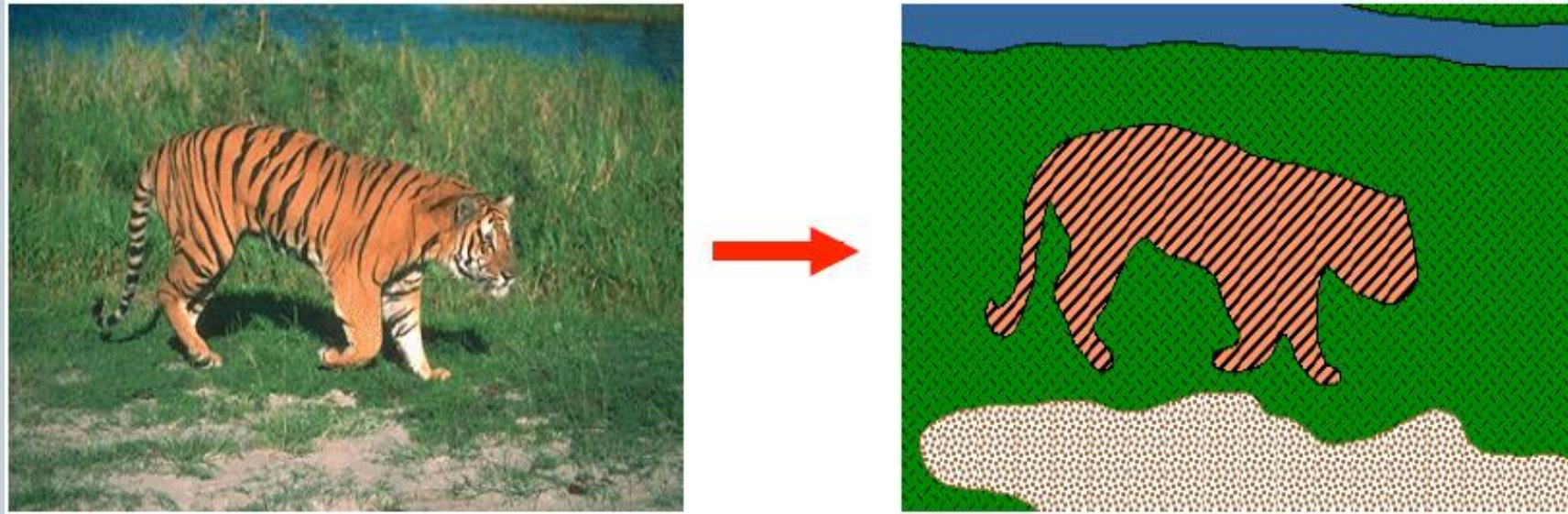
## K-MEANS CLUSTERING



# Review: Segmentation

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- Identifying groups of pixels that go together



# Clustering

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- Clustering: grouping together similar connected points and representing them with a single token
- Criteria for forming a cluster depends on the application
- Some examples:
  - Intensity
  - Colour
  - Distance
  - Texture

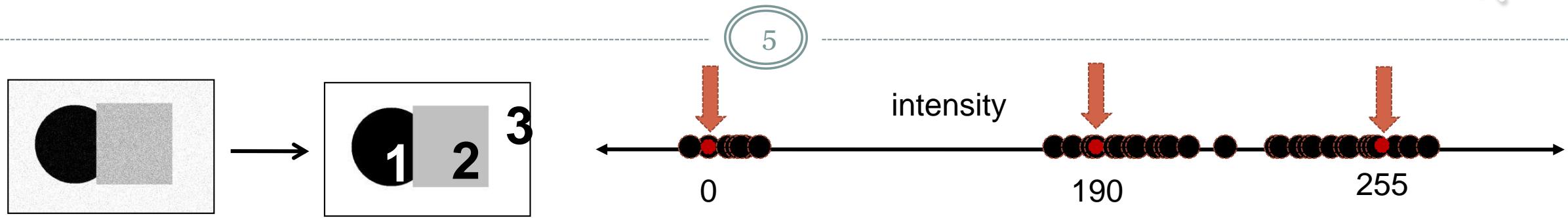
# K-Means Clustering



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- K-means clustering is one of the simplest **unsupervised learning** algorithms.
- Supervised Learning
  - Knowledge of output
    - Learning in the presence of an expert
    - Training data is labelled
  - Goal: Predict labels of unseen data
  - Examples: Support Vector Machines (SVM), Decision Trees, Neural Networks,
- Unsupervised Learning
  - No knowledge of output
  - Goal: Discover patterns/groupings in data
  - Examples: Clustering, Genetic Algorithms, Autoencoders

# K-Means Clustering



- Approach: choose three “**centers**” as the representative intensities
  - Label every pixel according to which of these centers it is **nearest** to
- Best cluster centers are those that minimize **Sum of Square Distance (SSD)** between all points  $x$  and their nearest cluster center  $c_i$

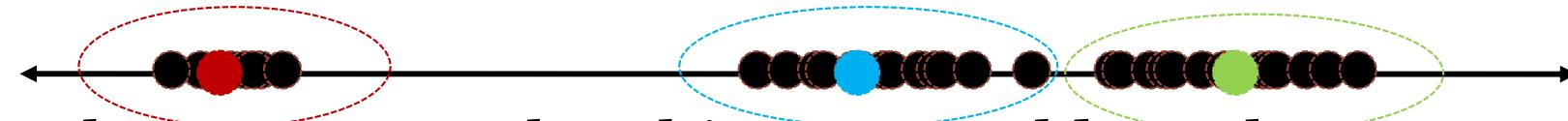
$$SSD = \sum_{clusters i} \sum_{x \in cluster i} (x - c_i)^2$$



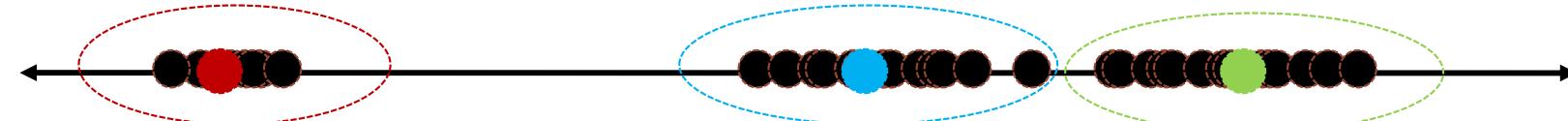
# K-Means Clustering

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- “chicken and egg problem”
- If we knew the cluster centers, we could allocate points to groups by assigning each to its closest center



- If we knew the group memberships, we could get the centers by computing the mean per group





# K-Means Clustering

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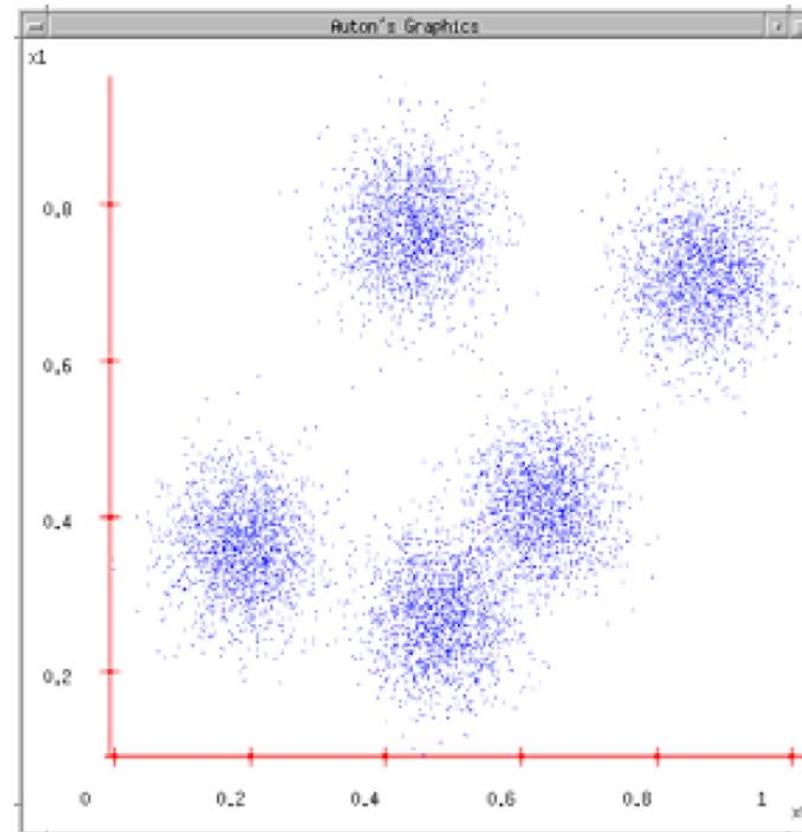
## Algorithm:

1. Randomly initialize  $k$  points to act as cluster centers ( $c_1, \dots, c_k$ )
2. Given cluster centers, determine points in each cluster
  - point  $x$  is in cluster  $i$  iff  $|x-c_i| \leq |x-c_j|$  for all  $i, j$  in  $\{1..k\}$
3. Given points in each cluster, solve for  $c_i$
4. If  $c_i$  have changed, repeat from Step 2

# Example: K-Means Clustering



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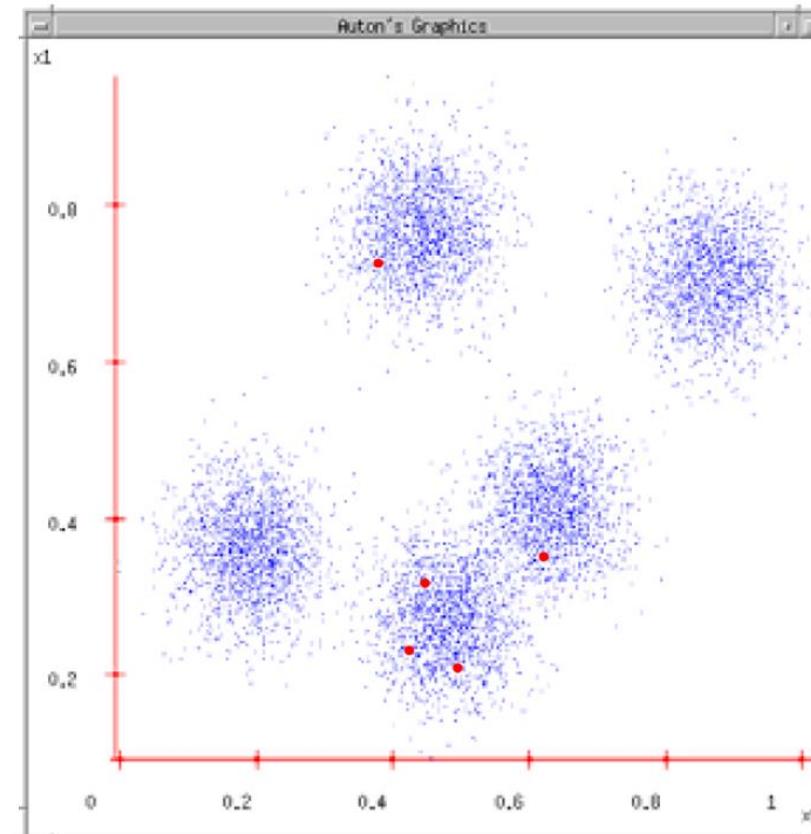


# Example: K-Means Clustering



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- Step 1: Randomly initialize  $k$  points to act as cluster centers

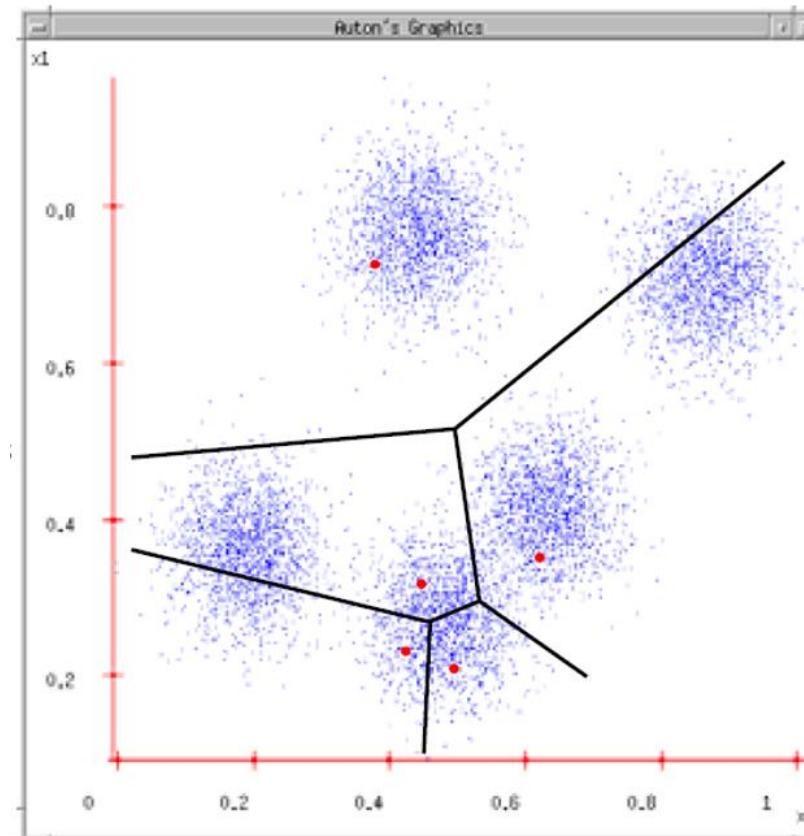


# Example: K-Means Clustering



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- Step 2: Given cluster centers, determine points in each cluster

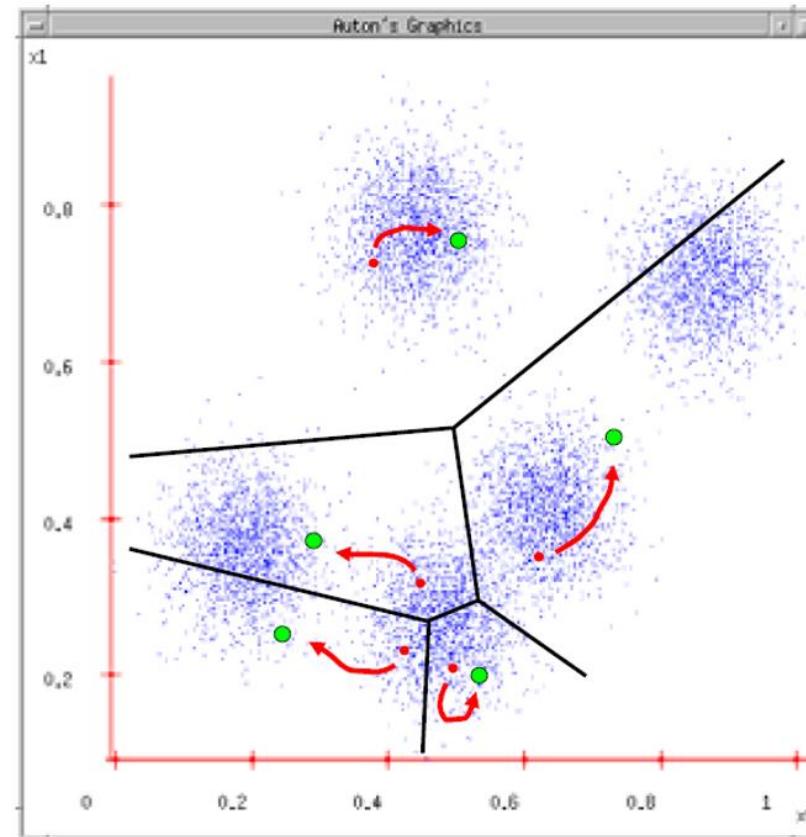


# Example: K-Means Clustering



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- Step 3: Given points in each cluster, solve for  $c_i$



Step 4: If  $c_i$  have changed, repeat from Step 2



# K-Means Clustering

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- Online demo:
  - <https://www.naftaliharris.com/blog/visualizing-k-means-clustering/>



# Segmentation as Clustering

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- Depending on what we choose as the **feature space**, the pixels can be grouped in different ways
- Grouping pixels based on **intensity** similarity:



Feature space: intensity value (1-D)





# Segmentation as Clustering

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$K=2$



$K=3$

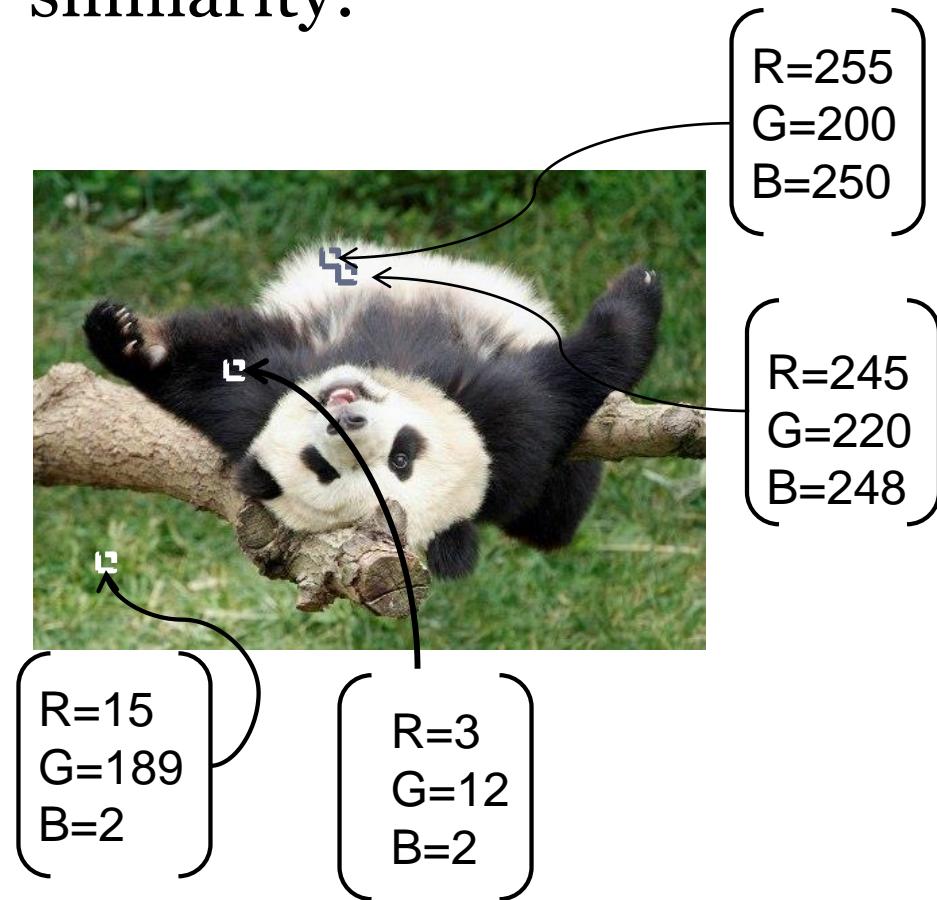
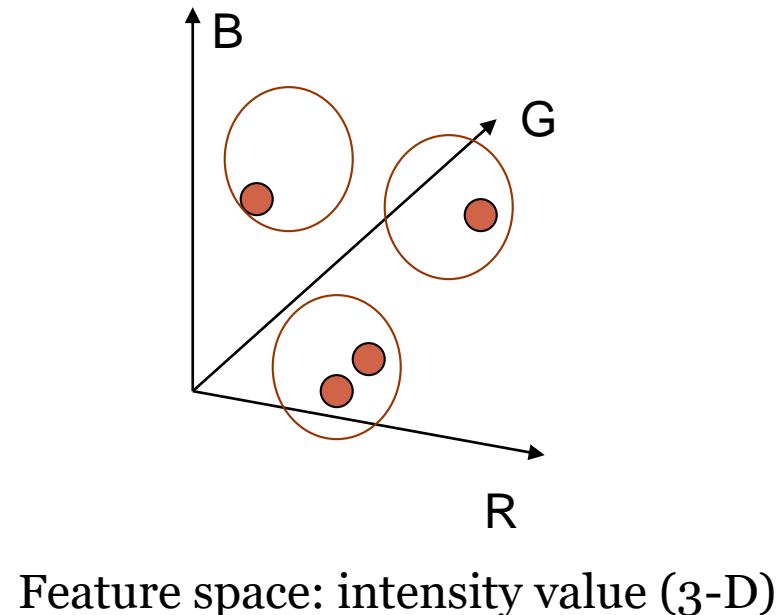




# Segmentation as Clustering

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- Grouping pixels based on **colour** similarity:

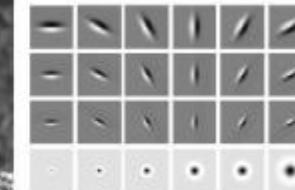
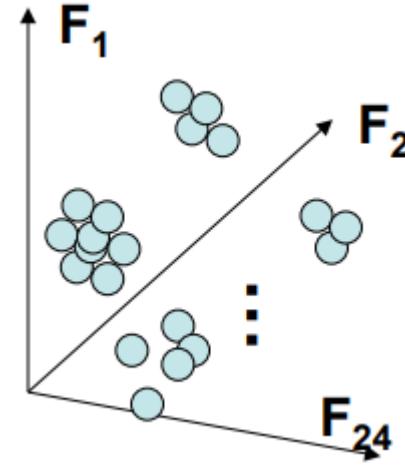




# Segmentation as Clustering

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- Grouping pixels based on **texture** similarity



Filter bank of  
24 filters

Feature space: filter bank responses (e.g., 24-D)



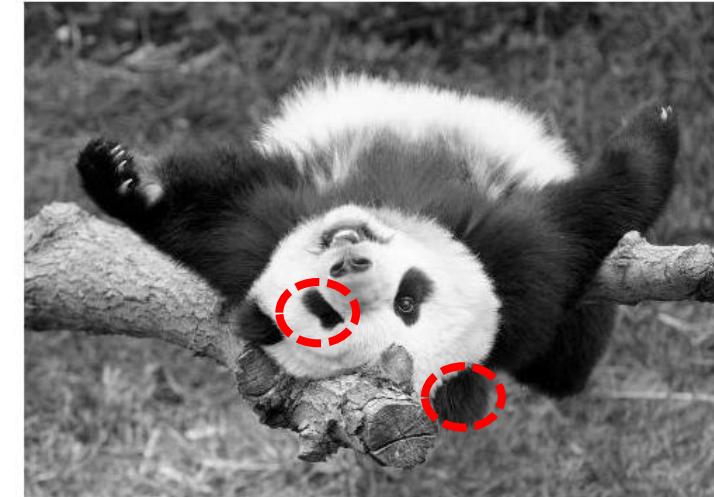
# Segmentation as Clustering

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- Returning to grouping pixels based on **intensity** similarity
- In what case is intensity not enough for segmentation?



Feature space: intensity value  
(1-D)



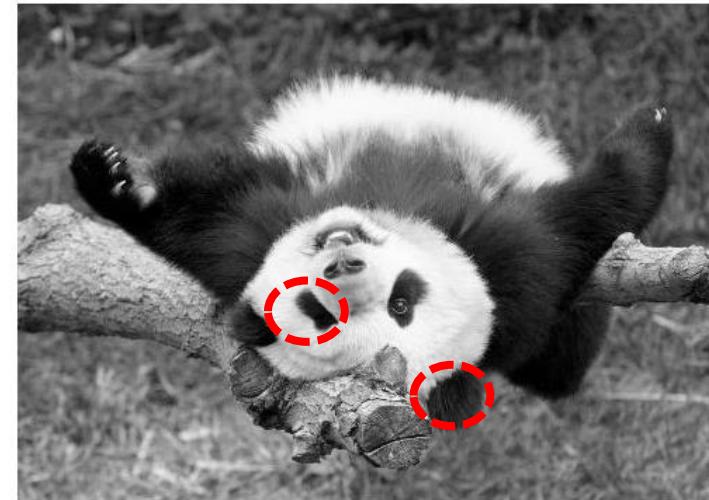
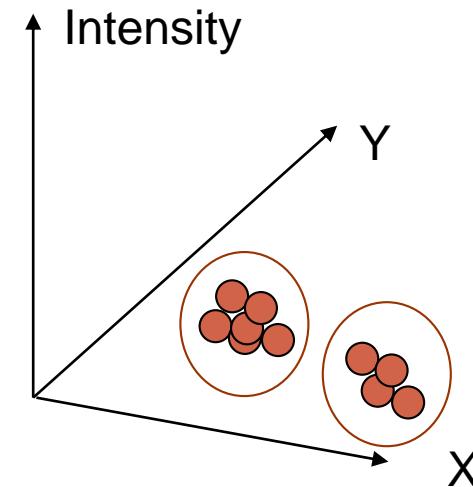
- Clusters based on intensity similarity don't have to be spatially coherent



# Segmentation as Clustering

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- Grouping pixels based on **intensity & position** similarity:



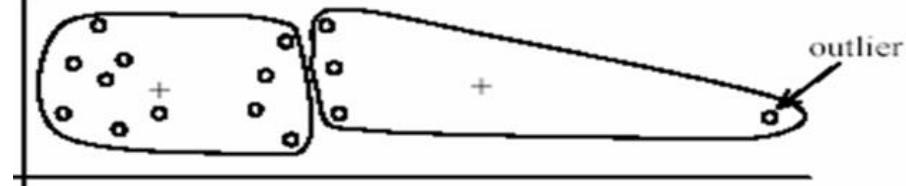
- Both regions are black
- Including **position ( $x,y$ )** information allows us to group the regions into two distinct segments



# K-Means Clustering

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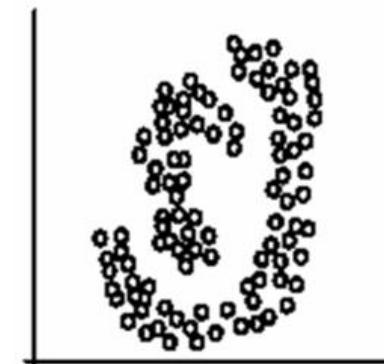
- Pros:
  - Simple and fast
  - Easy to implement
  - Converges to local minimum of within-cluster squared error
- Cons:
  - Specifying  $k$
  - Sensitive to initial centers
  - Sensitive to outliers
  - Detects spherical clusters only



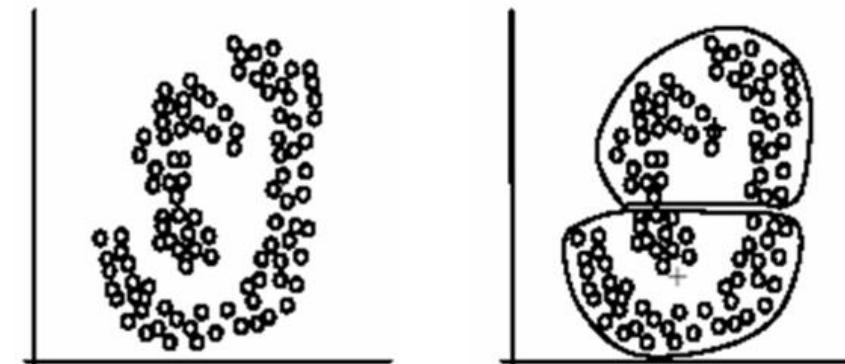
(A): Undesirable clusters



(B): Ideal clusters



(A): Two natural clusters



(B):  $k$ -means clusters



# K-Means: Choosing K

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- The most common approach is to use **elbow method**
  - Try different values of K
  - Plot the K-means objective versus K
  - Look at the “elbow-point” in the plot
    - K value below which SSD explodes
- For the above plot, K = 6 is the elbow point

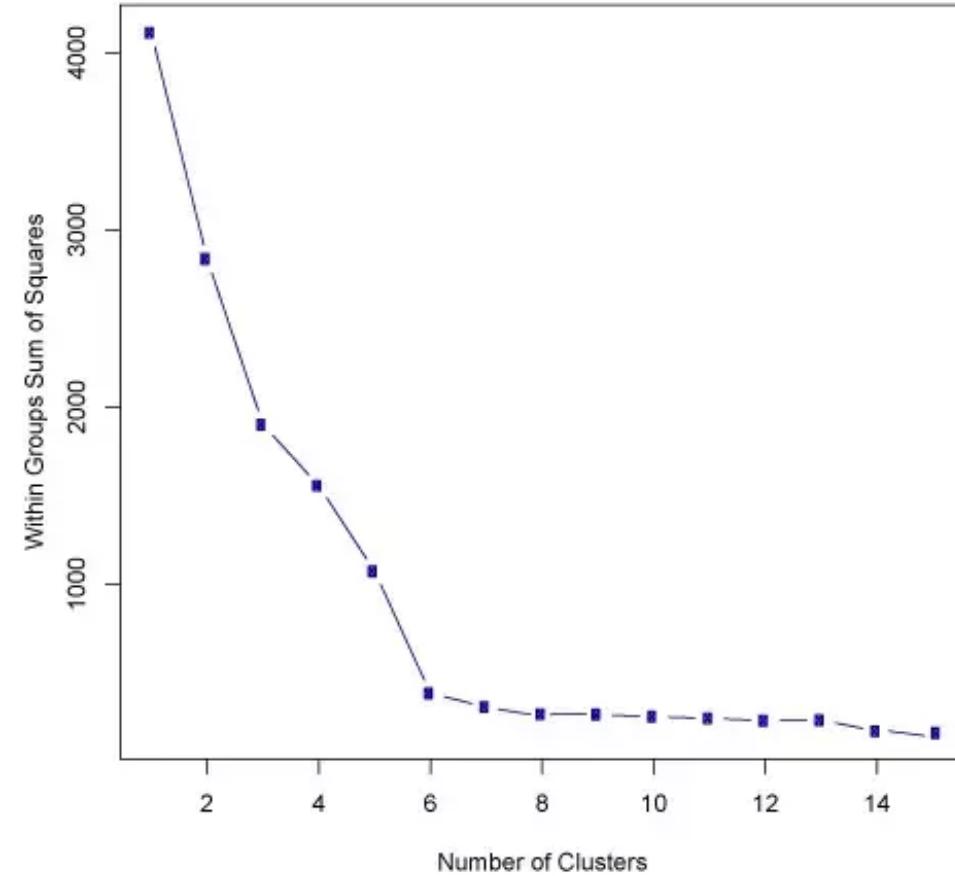


Image source: <https://www.quora.com/How-can-we-choose-a-good-K-for-K-means-clustering>



# K-Means: Initialization

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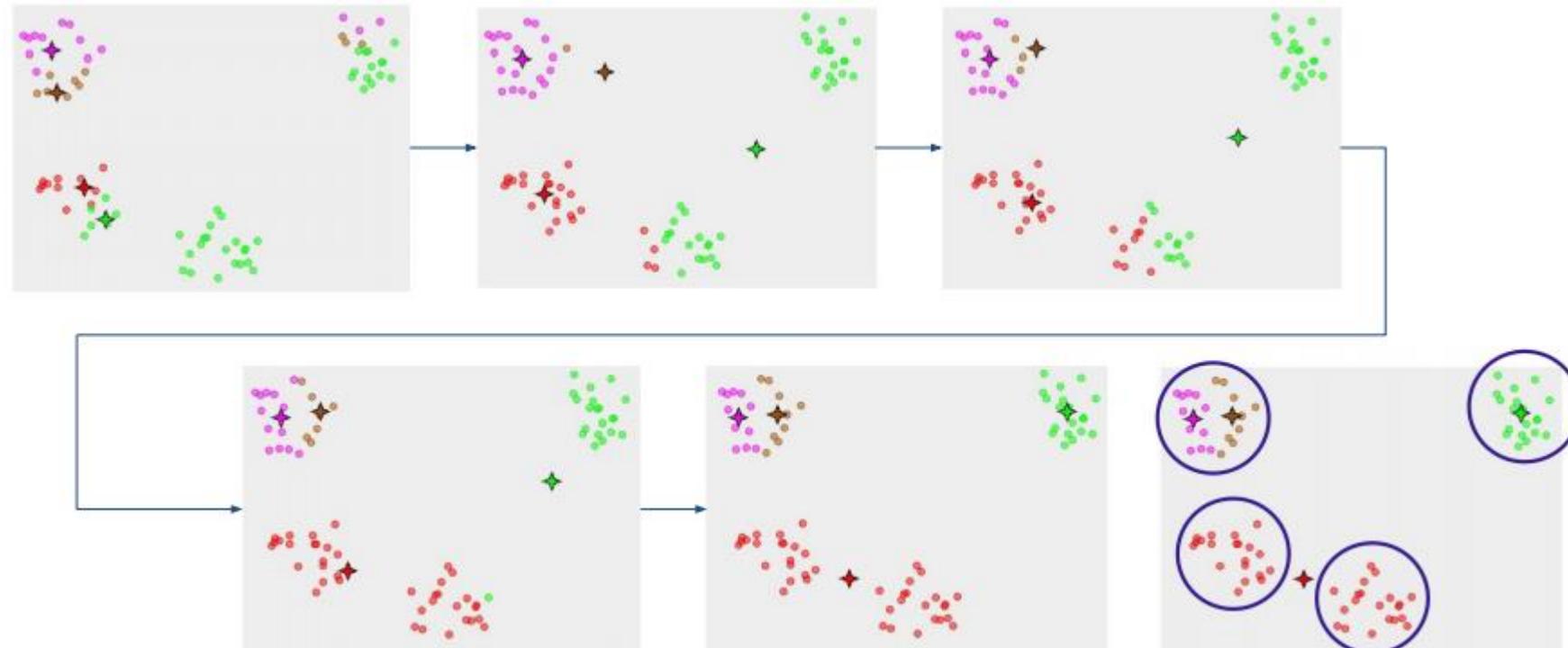
- Initialization = where to distribute the initial  $c_i$
- K-means is extremely sensitive to initialization
- Bad initialization can lead to:
  - Poor convergence speed
  - Bad overall clustering
- How to initialize?
  - Randomly from data
  - Try to find K “spread-out” points
    - Furthest point algorithm
    - K-means ++
- Safeguarding measure:
  - Try multiple initializations and choose the best



# K-Means: Initialization

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- Bad initialization can lead to:
  - Poor convergence speed
  - Bad overall clustering





# Furthest Point Algorithm

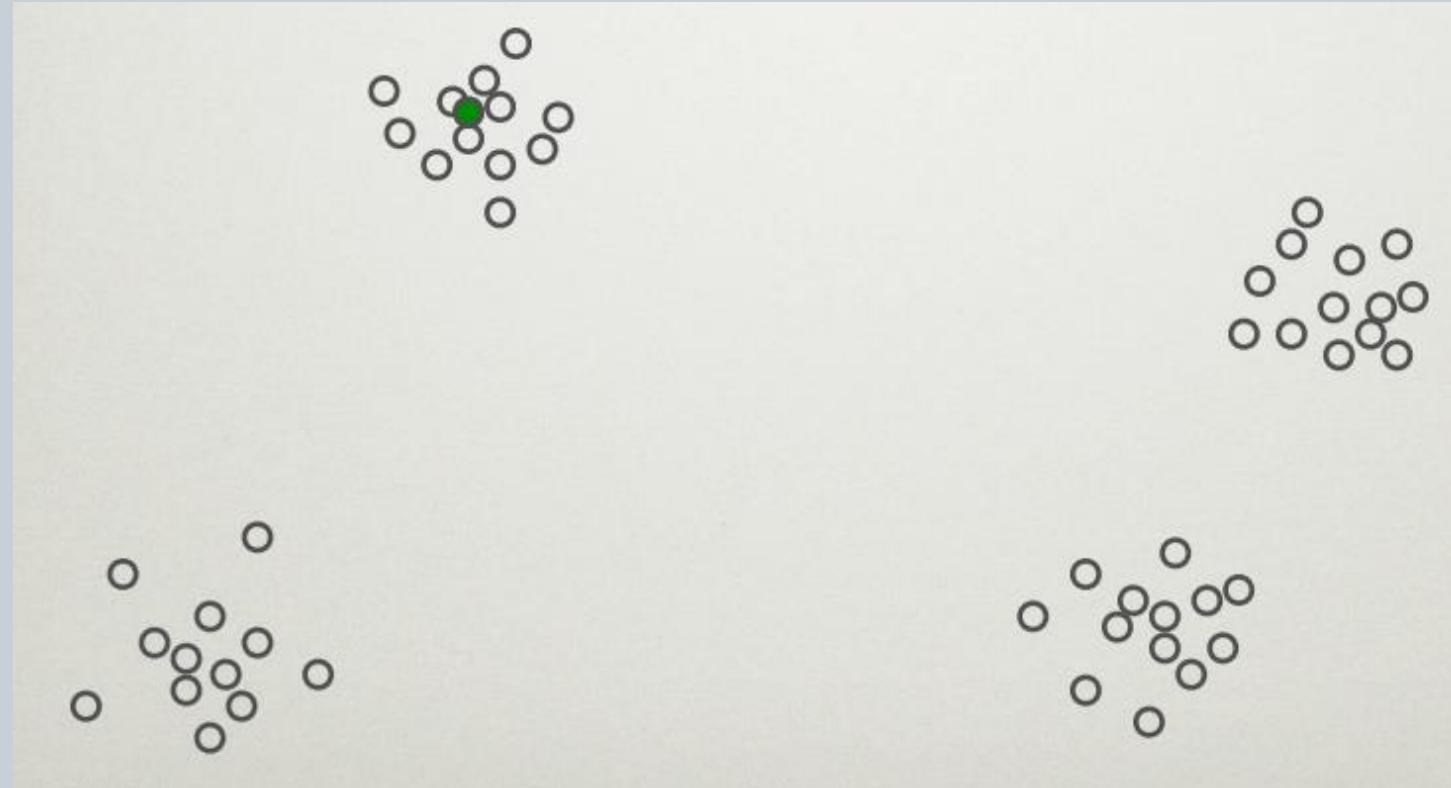
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- For **better initialization** of cluster centers
- Algorithm:
  - Pick first center randomly
  - Next center is the point furthest from the first center
  - Next center is the point furthest from both previous centers
  - In general: next center is  $\arg \max_x \min_c d(x,c)$



# Furthest Point Algorithm

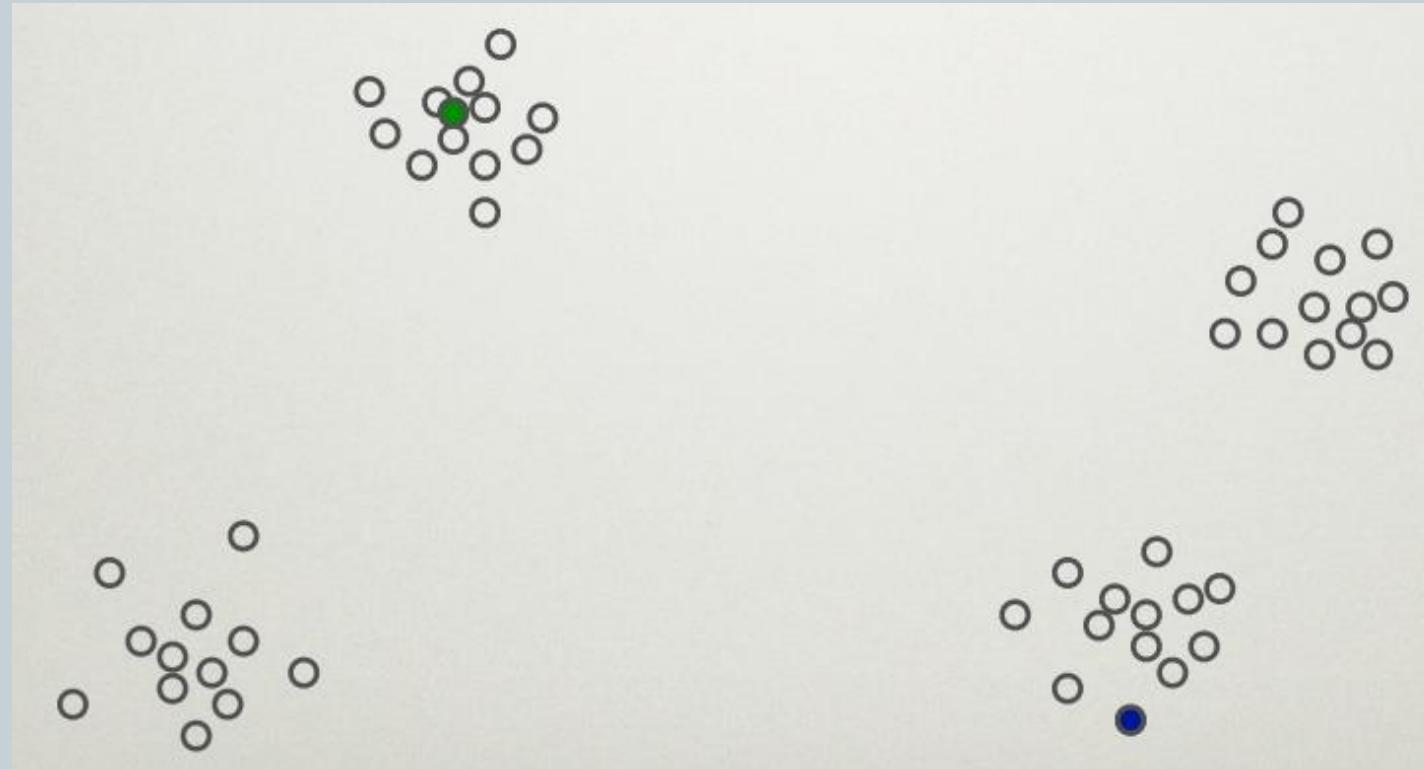
24





# Furthest Point Algorithm

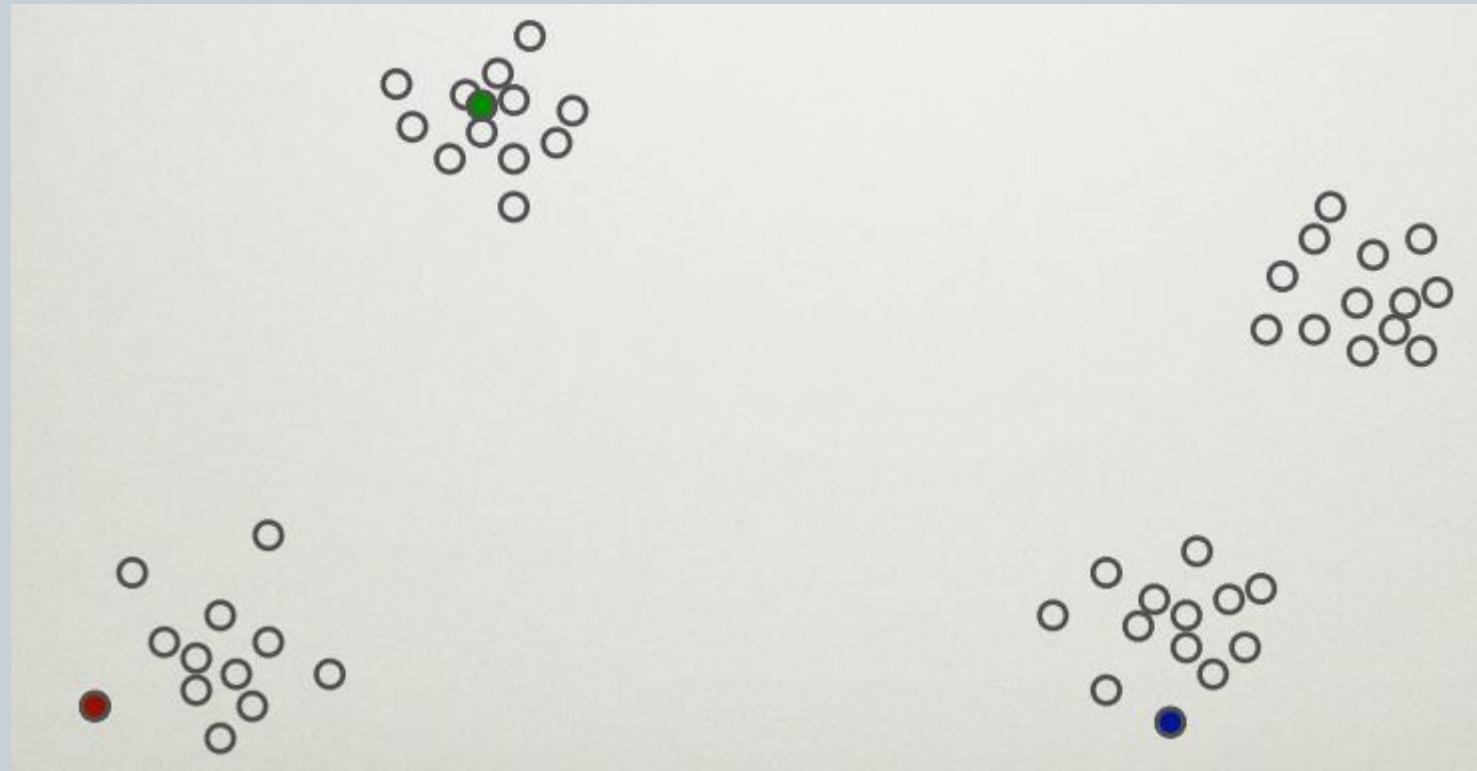
25





# Furthest Point Algorithm

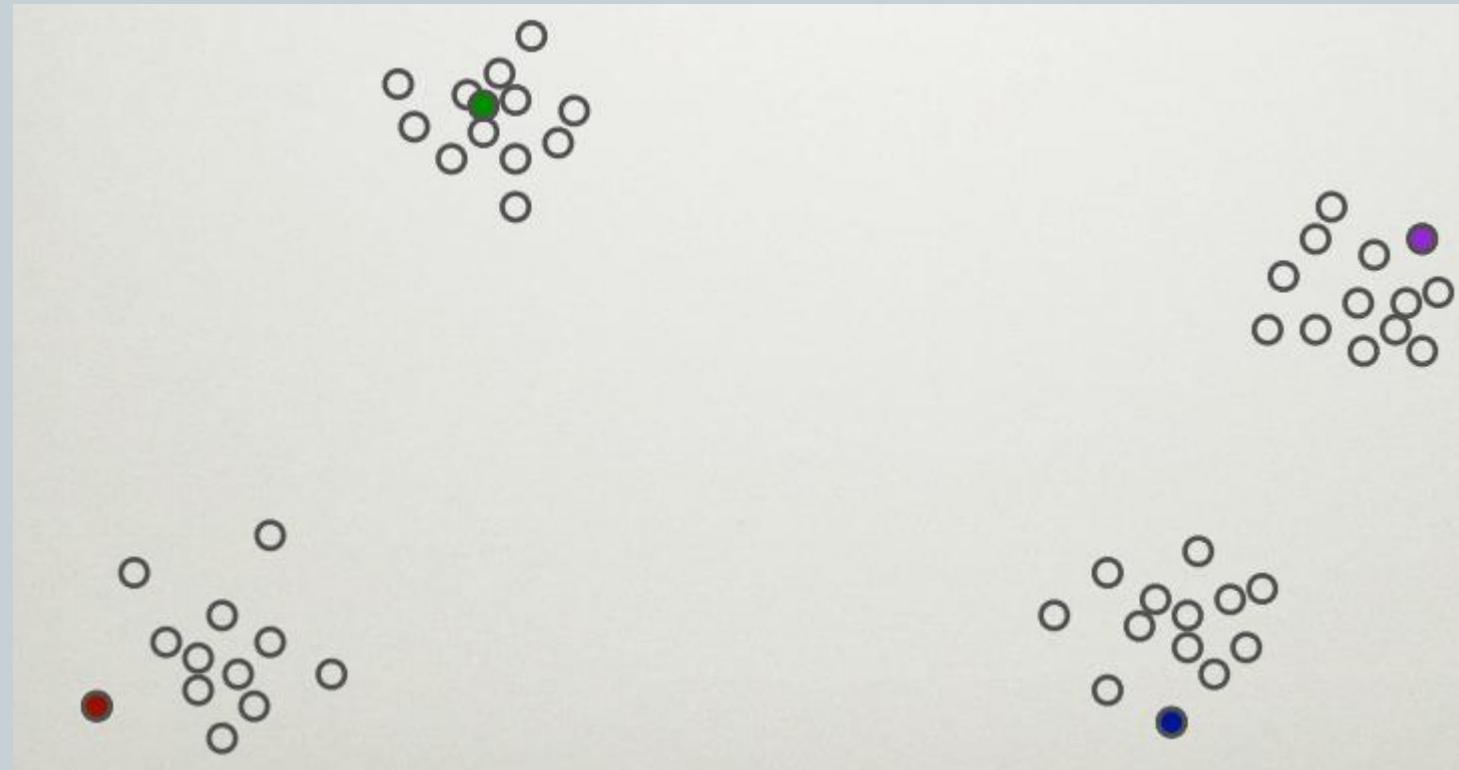
26





# Furthest Point Algorithm

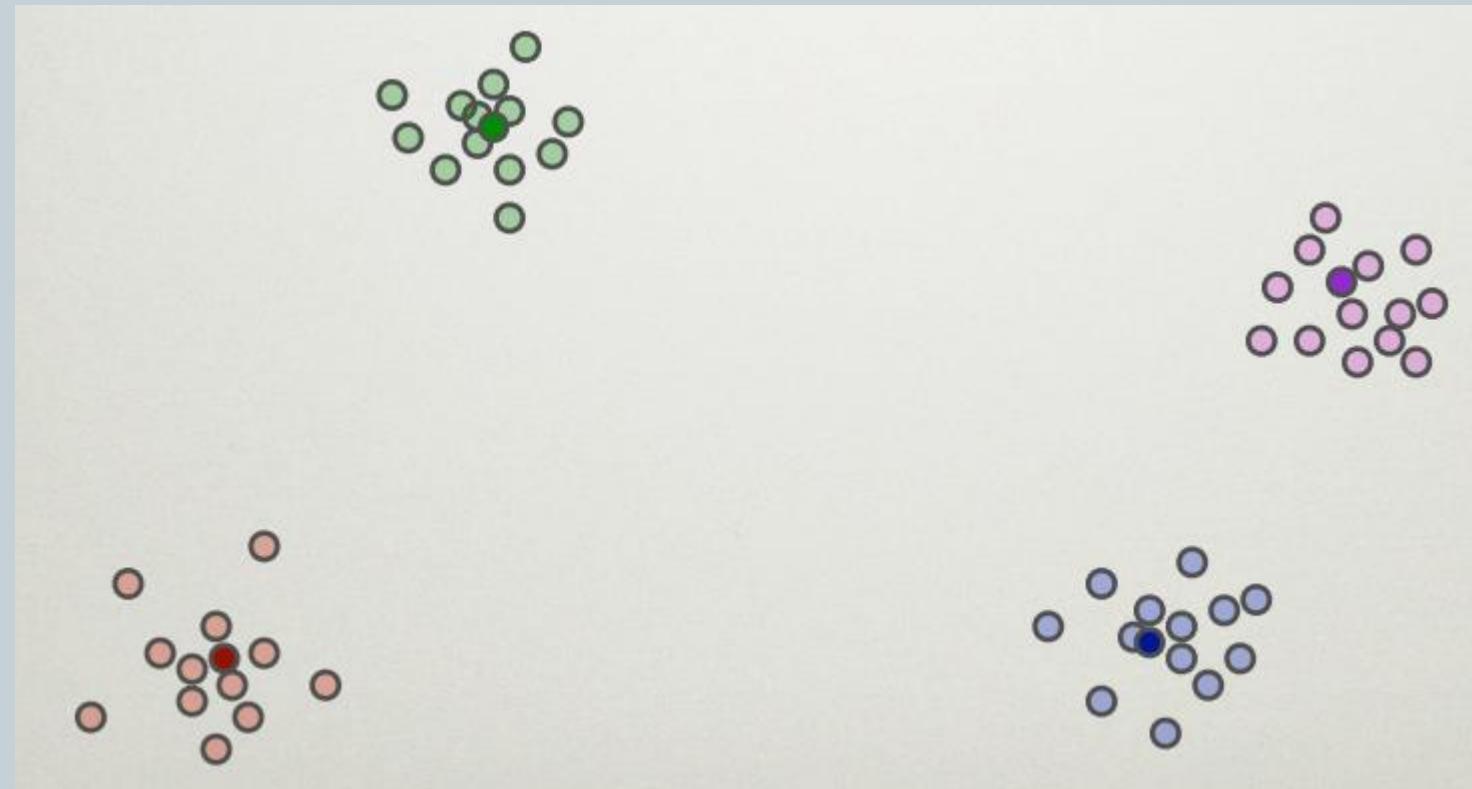
27





# Furthest Point Algorithm

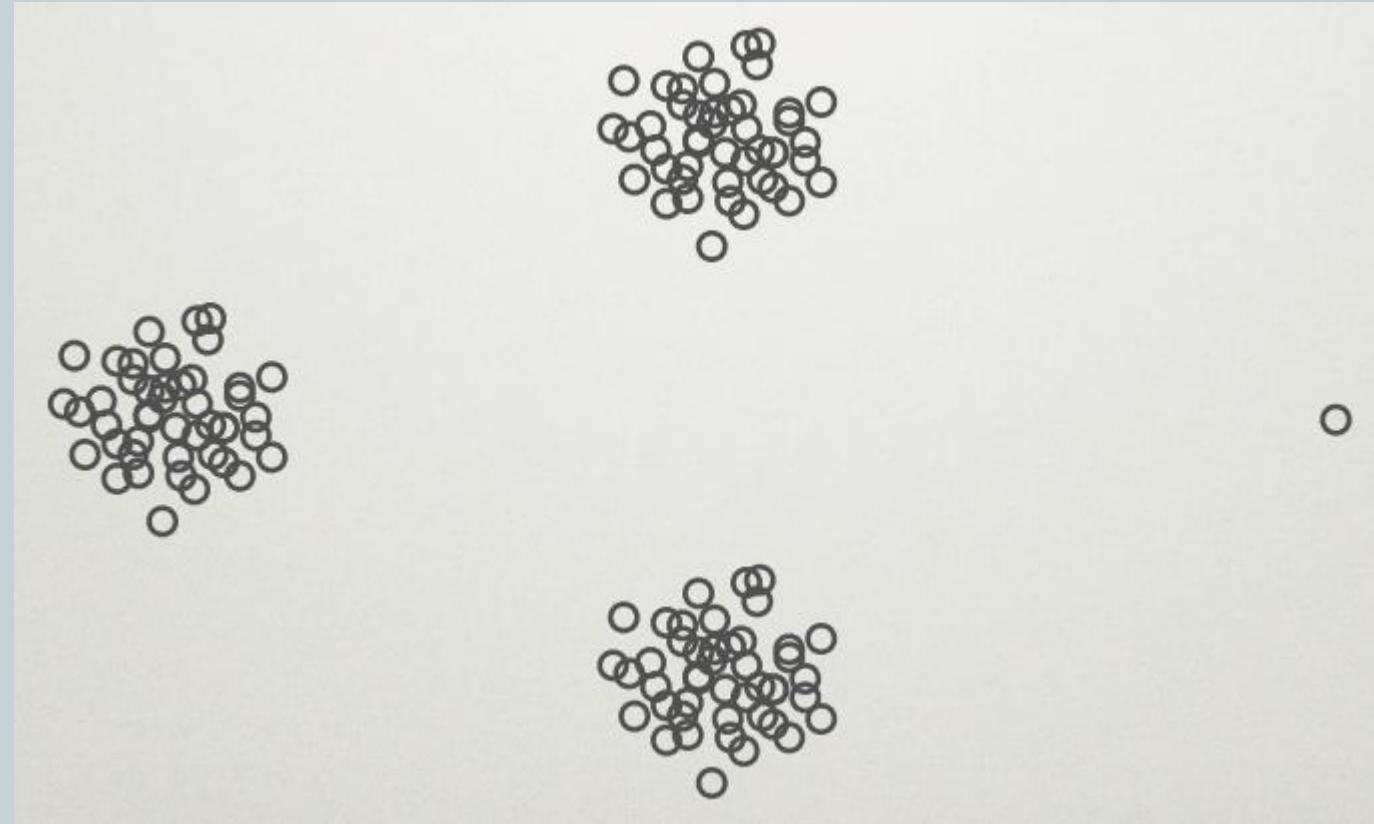
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# Sensitivity to Outliers



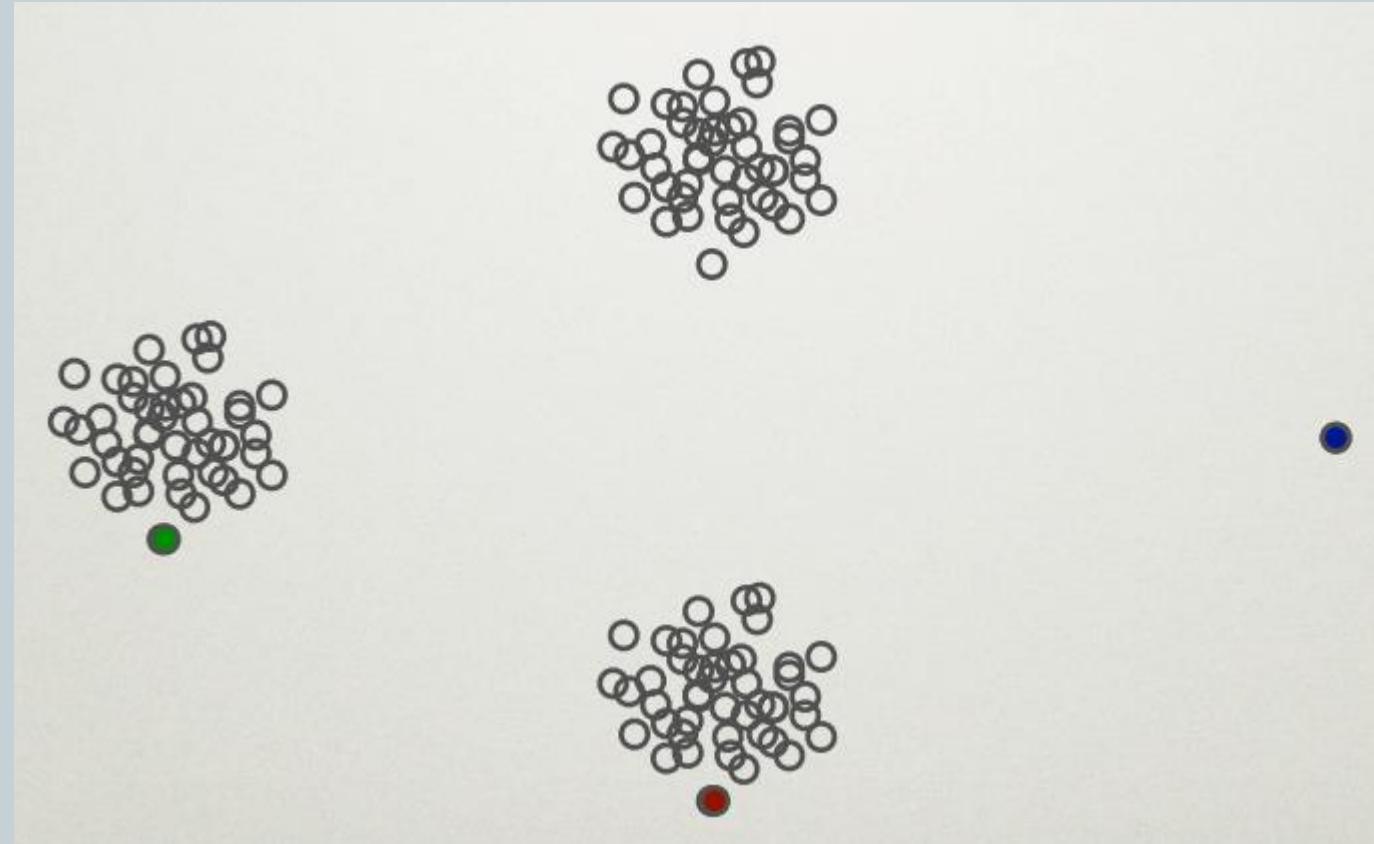
29





# Sensitivity to Outliers

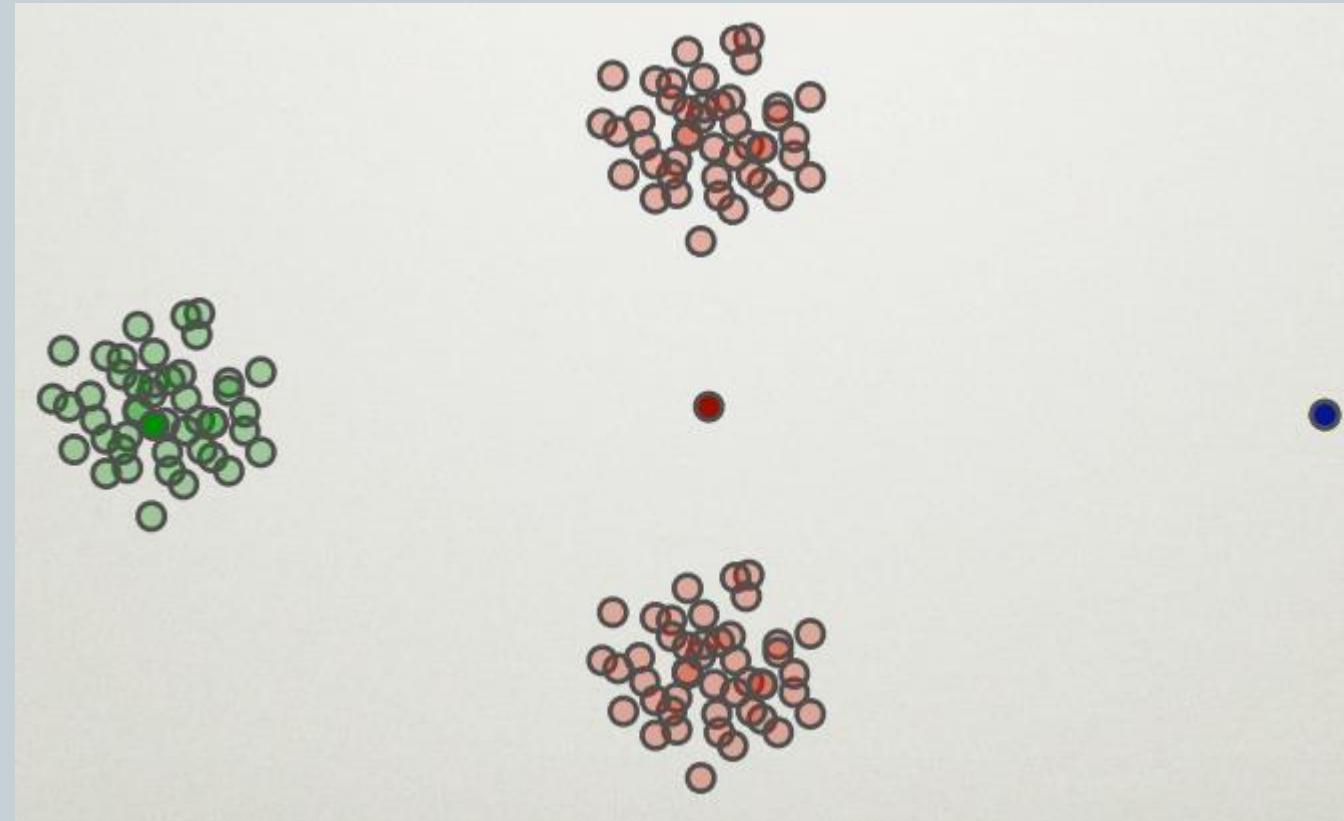
30





# Sensitivity to Outliers

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# K-Means ++



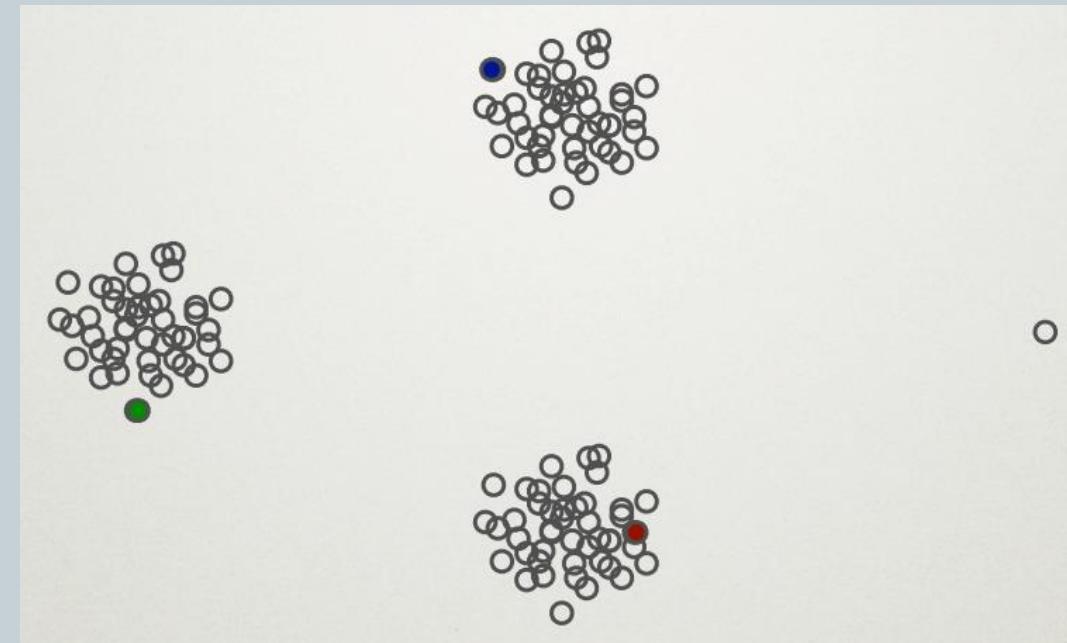
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- Provides better initialization as well as better sensitivity to outliers
- Algorithm:
  - Randomly choose first center
  - Pick new center with probability proportional to  $(x - c_i)^2$
  - Repeat for K centers



# K-Means ++

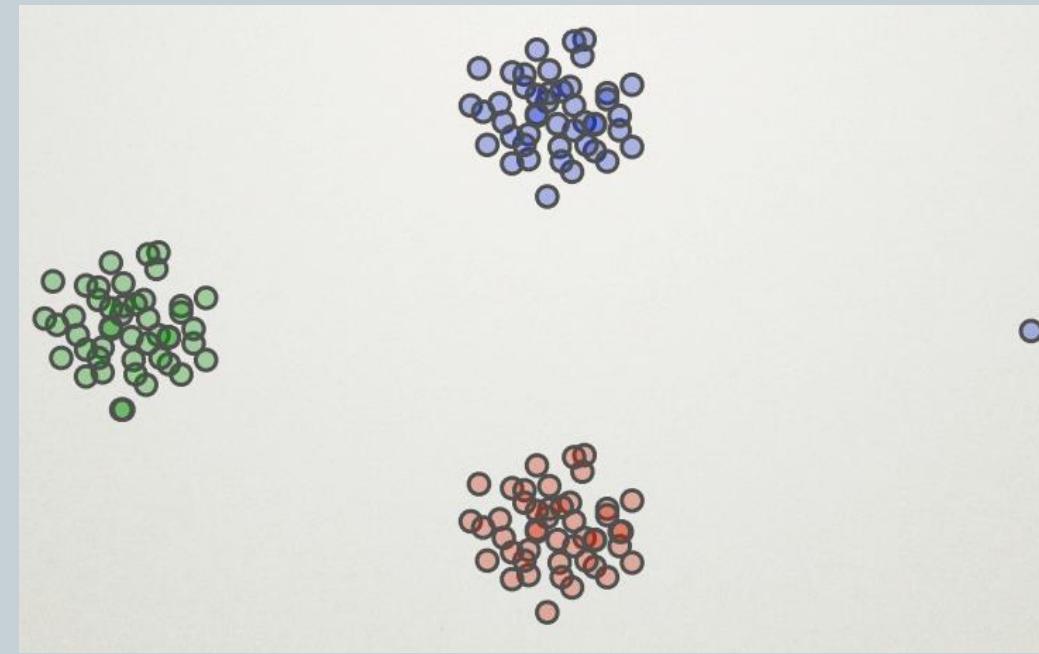
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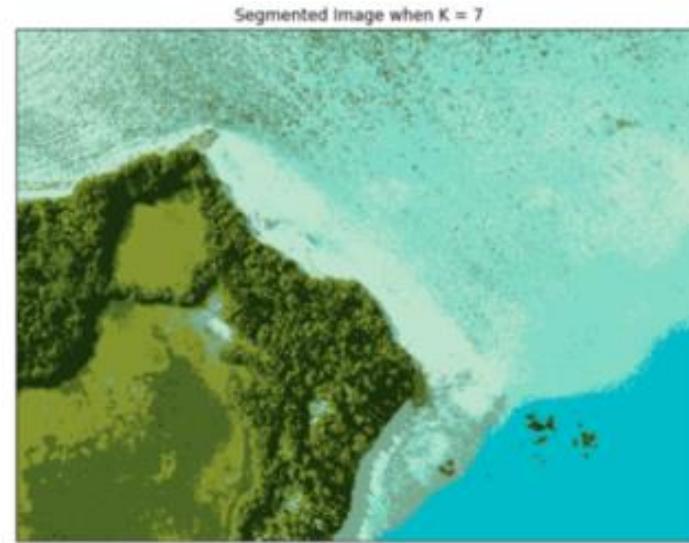
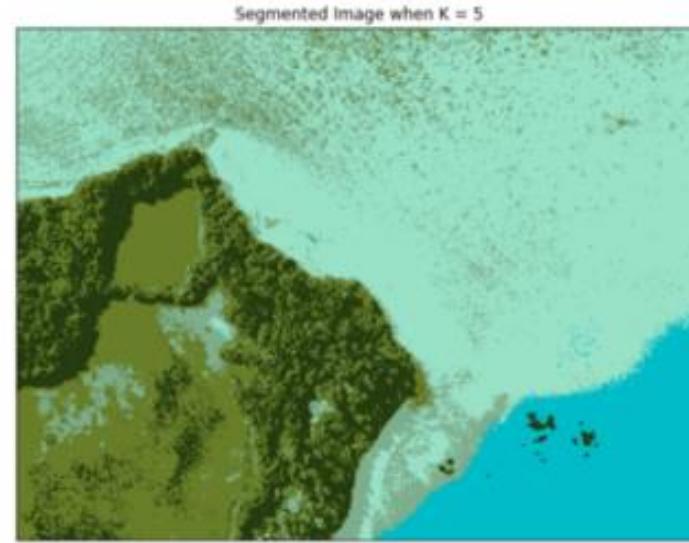




# K-Means ++

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<https://towardsdatascience.com/introduction-to-image-segmentation-with-k-means-clustering-83fdoa9e2fc3>



Original Image



Segmented Image when K = 6



Original Image



Segmented Image when K = 6



<https://towardsdatascience.com/introduction-to-image-segmentation-with-k-means-clustering-83fdoa9e2fc3>

# References and Resources

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([http://vision.stanford.edu/teaching/cs131\\_fall1617/lectures/lecture13\\_kmeans\\_mean\\_shift\\_cs131\\_2016](http://vision.stanford.edu/teaching/cs131_fall1617/lectures/lecture13_kmeans_mean_shift_cs131_2016))
  - Sergei Vassilvitskii and David Arthur  
(<http://theory.stanford.edu/~sergei/slides/BATS-Means.pdf>)
  - <http://shabal.in/visuals/kmeans/KMeansPlusPlus.pdf>