

XETH WAXMAN

Charlotte Metro Area | xwaxman@gmail.com | 978-393-6603 | [LinkedIn](#)

EXECUTIVE SUMMARY

Strategic and results-driven technology executive with 15+ years of experience leading cloud transformations, platform modernization, and rigorous regulatory compliance initiatives across financial services and EdTech. Proven ability to reduce costs, accelerate delivery, and drive innovation through disciplined governance, DevOps, and IaC. Adept at leading globally distributed teams (250+), managing strategic vendors, and fostering a high-performance, inclusive culture. Skilled at aligning multi-cloud strategies with business imperatives, supported by specialized strategic education from Harvard Business School Online and a Six Sigma Green Belt. US Army Veteran.

CORE COMPETENCIES:

Cloud Transformation | Multi-Cloud Strategy | FinOps & Cost Optimization | Regulatory Compliance (SEC, FINRA, PCI DSS) | DevOps & Agile | Infrastructure as Code (IaC) | Vendor Management | Cybersecurity Governance | Global Team Leadership | Digital Platform Modernization | Inclusive Leadership & Mentorship

PROFESSIONAL EXPERIENCE

LPL Financial

- **VP II, Platform Architecture (Apr 2023 – Present)**

Leading a global team across the US, India, and near-shore locations. Team specializes in Cloud, Integration, and Infrastructure architecture, focusing on niche technologies such as API architecture (REST and GraphQL), Kafka, Kubernetes, and Terraform.

Key Achievements:

Cloud Transformation Leadership: Spearheaded LPL Financial's cloud transformation journey, migrating mission-critical platforms such as ClientWorks to the cloud, providing customers with uninterrupted access during trading hours.

Terraform Implementation: Deployed a Terraform-based Landing Zone with built-in guardrails and a micro-account structure. This shift resulted in a 70% increase in developer velocity and enabled a FinOps model that reclassified over \$7 million from Core G&A to CapEx.

Enterprise Architecture and Standards: Lead a team of Principal Architects to define patterns and standards used by solution architects and delivery teams across LPL Financial, ensuring consistency, scalability, and best practices in technology implementations.

API and Event Streaming Strategy: Directed the development and

implementation of the firm's API and event streaming strategy, consolidating APIs into a centrally accessible portal for reuse and retiring legacy APIs. Established a standardized, scalable, and secure approach for development.

Data Center Migration: Led the architecture design and application migration to a next-generation, active-active Cloud-CoLo data center, enhancing availability and flexibility while improving disaster recovery capabilities.

Acquisition Integration: Played a leading role in integrating Prudential's Wealth Management portfolio into LPL's systems after its acquisition, nearly doubling the scale of the platform—built almost entirely in the cloud.

Technologies and Platforms:

Cloud Platforms: AWS & Azure

Containerization and Orchestration: OpenShift, EKS

Infrastructure as Code: Terraform, Ansible

Event Streaming: Confluent Kafka I lead a global team across the US, India, and near-shore locations. My team specializes in Cloud, Integration, and Infrastructure architecture, focusing on niche technologies such as API architecture (REST and GraphQL), Kafka, Kubernetes, and Terraform.

- **VP, Cloud & Integration Architecture (Dec 2021 – Apr 2023)**

Spearheaded transformation of LPL Financial technology landscape by building and leading a team of senior-level architects from the ground. Team was responsible for overseeing Cloud, API, Event, and Business Architectures, defining patterns and standards that were implemented across the organization to enhance productivity and efficiency.

Key Achievements:

Built and Led High-Performing Teams: Established and mentored a team of senior architects and technology professionals, fostering a culture of excellence and continuous learning. Guided novices to become distinguished professionals, ensuring the use of best practices to achieve top performance.

Strategic Deployment of Modern Platforms: Oversaw the deployment and implementation of mission-critical platforms, including Confluent Kafka and Kubernetes, establishing a robust foundation for optimal performance and scalability. The successful deployment of Kafka elevated it to a Tier 0, mission-critical platform for the organization.

Enterprise API Strategy and Roadmap: Directed the creation of the enterprise API strategy and roadmap, establishing a standardized, scalable, and secure approach for development. Consolidated APIs into a centrally accessible portal for reuse and retired legacy APIs, streamlining processes and enhancing developer efficiency.

Cloud Enablement and Governance: Established a focus on AWS cloud enablement and governance in IT policies and standards. Monitored compliance, provided training as needed, and ensured adherence to policies, enhancing security and operational efficiency.

Innovation in Automation: Built and implemented BRAVE, a pattern-automation tool that reduced cloud onboarding time from one month to 15 minutes, dramatically accelerating cloud adoption and increasing productivity across the organization.

- **VP of Software Engineering (Apr 2019 – Dec 2021)**

Oversaw a technology team with ~100 employees focused on scaling the organizational onboarding process, achieving capabilities for 10X capacity while delivering a premium onboarding experience in both compliance and book of business phases

Key Achievements:

Advisor Experience Impact: Utilized AWS serverless components, Robot Process Automation, and organically developed AI to create an automated onboarding system, reducing advisor onboarding time to 1 week or less

Fiduciary Impact: Developed and managed relationships with multiple vendors, overseeing contracts to secure high-quality services at the best cost

Leadership in Innovation: Functioned as the technical lead concerning multiple proofs of value projects that launched as full-fledged LPL offerings, including LPL's award-winning Paraplanner offering and the ClientWorks sandbox

Performance Through Diverse Perspectives: Performed as a part of the college recruitment team, building out a strategy that included Diversity, Equity & Inclusion, increasing LPL Financial's talent pipeline from HBCU institutions by 30%.

Apiture – Executive Vice President, Technology (Dec 2017 – Mar 2019)

- Built a white-label banking platform fully on AWS, accelerating secure, scalable product delivery.
- Negotiated vendor relationships for cost-effective, high-performance solutions.
- Fostered a DevOps culture of continuous improvement and operational excellence.

American Express – Vice President, Enterprise Architecture (Apr 2015 – Dec 2017)

- Designed and deployed private cloud solutions enhancing scalability, resilience, and efficiency under stringent regulatory requirements.
- Integrated advanced security protocols to support compliance while driving business growth.
- Leveraged earlier cloud-native successes to inform robust financial-sector solutions.

BMC Software – Director of Product Development (2014 – 2015)

- Led a global team to create the Cloud Lifecycle Manager, enabling 50%+ cloud cost savings and compliance for financial clients, adopted by 30 major US financial institutions in the first year.
- Developed automation and release lifecycle tools improving stakeholder engagement and boosting promoter score from 5 to 20.
- Informed subsequent large-scale, compliance-focused cloud transformations at American Express and LPL.

eInstruction – Vice President, Software & Hardware Engineering (2009 – 2013)

- Managed a \$13M budget and led a global team (~50) expanding from a small AZ-based presence to serving 2M customers in 40 countries.
- Developed Insight 360, a multi-award-winning classroom instruction system with AI-driven curriculum customization, improving test scores by 8 points.
- Achieved a 100% cloud-native environment by 2013, laying the groundwork for future large-scale cloud initiatives.
- Key member of executive leadership securing a \$300M+ acquisition by Turning Technologies.

EDUCATION & CERTIFICATIONS

- Certificate in Specialization in Strategy (Jan 2023 – Dec 2023), Harvard Business School Online
- Six Sigma Green Belt (Oct 2023)
- Bachelor's Degree in Computer Science, University of Phoenix, 2005