

算法的渐进复杂性

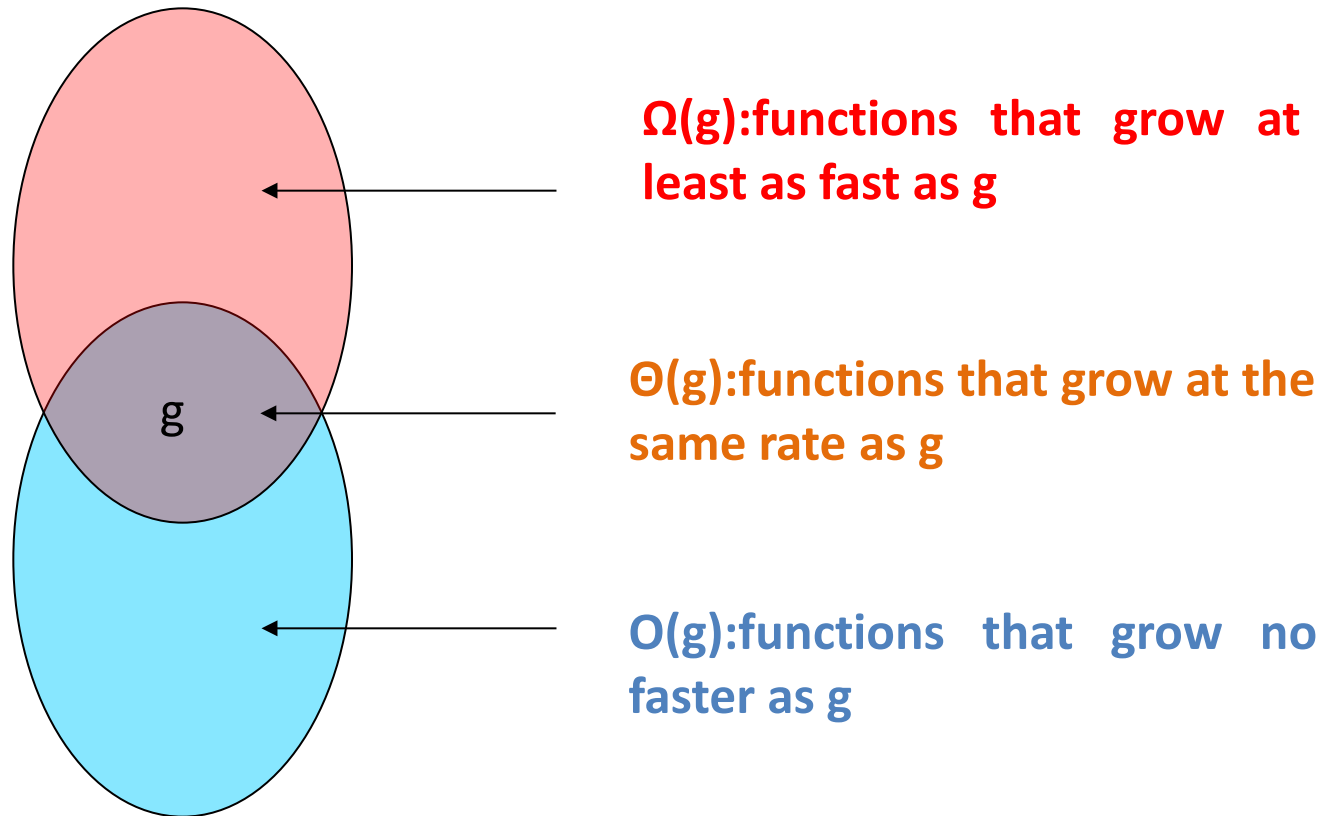
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算法效率的比较方式

- 算法的效率通过它执行的关键操作的数量来度量，但是
 - 对于不同的具体输入，算法所需要的关键操作数量有所不同
 - 同样的操作在不同的计算机上需要的时间是不同的
- 算法复杂性表示为输入规模 n 的函数 $f(n)$ ：平均情况，最坏情况
- 比较复杂性时主要看 $f(n)$ 的渐进增长率（Asymptotic Growth Rate）
 - 如果算法A和算法B所需要的关键操作数量分别是 $f_1(n)$ 和 $f_2(n)$ ，A优于B是指当 n 足够大时， $f_1(n)$ 必然小于 $f_2(n)$ 。

Relative Growth Rate



“Big Oh”

- Basic idea
 - $f(n) \in O(g(n))$ if for sufficiently large input n ,
 $g(n) \geq f(n)$
- Definition – “ $\epsilon - N$ ”
 - Giving $g: \mathbb{N} \rightarrow \mathbb{R}^+$, then $O(g)$ is the set of $f: \mathbb{N} \rightarrow \mathbb{R}^+$,
such that for some $c \in \mathbb{R}^+$ and some $n_0 \in \mathbb{N}$,
 $f(n) \leq cg(n)$ for all $n \geq n_0$

Example


- Let $f(n)=n^2$, $g(n)=n\log n$, then:

– $f \notin O(g)$, since

$$\lim_{n \rightarrow \infty} \frac{n^2}{n \log n} = \lim_{n \rightarrow \infty} \frac{n}{\log n} = \lim_{n \rightarrow \infty} \frac{1}{\frac{1}{n \ln 2}} = +\infty$$

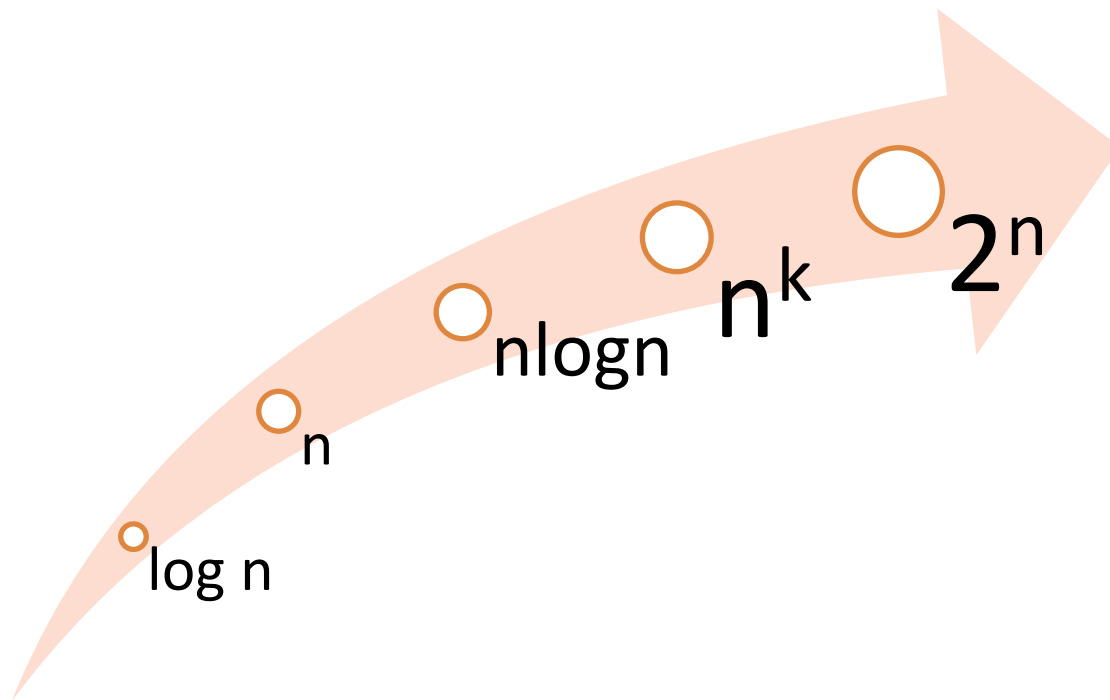
– $g \in O(f)$, since

$$\lim_{n \rightarrow \infty} \frac{n \log n}{n^2} = \lim_{n \rightarrow \infty} \frac{\log n}{n} = \lim_{n \rightarrow \infty} \frac{1}{n \ln 2} = 0$$



L'Hospital's
rule

Asymptotic Growth Rate



Asymptotic Order

- Logarithm $\log n$

$$\log n \in O(n^\alpha) \text{ for any } \alpha > 0$$

- Power n^k

$$n^k \in O(c^n) \text{ for any } c > 1$$

- Factorial $n!$

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \text{ (Stirling's formula)}$$

“Big Ω ”

- Basic idea
 - $f(n) \in \Omega(g(n))$ if for sufficiently large input n ,
 $f(n) \geq g(n)$
 - Dual of “O”
- Definition – “ $\epsilon - N$ ”
 - Giving $g: \mathbb{N} \rightarrow \mathbb{R}^+$, then $\Omega(g)$ is the set of $f: \mathbb{N} \rightarrow \mathbb{R}^+$,
such that for some $c \in \mathbb{R}^+$ and some $n_0 \in \mathbb{N}$,
 $f(n) \geq cg(n)$ for all $n \geq n_0$

The Set Θ

- Basic idea of $f(n) \in \Theta(g(n))$
 - Roughly the same
 - $\Theta(g) = O(g) \cap \Omega(g)$
- Definition – “ $\epsilon - N$ ”
 - Giving $g:\mathbb{N} \rightarrow \mathbb{R}^+$, then $\Theta(g)$ is the set of $f:\mathbb{N} \rightarrow \mathbb{R}^+$, such that for some $c_1, c_2 \in \mathbb{R}^+$ and some $n_0 \in \mathbb{N}$,
$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n), \text{ for all } n \geq n_0$$

Some Empirical Data

algorithm		1	2	3	4
Run time in <i>ns</i>		$1.3n^3$	$10n^2$	$47n\log n$	$48n$
time for size	10^3	1.3s	10ms	0.4ms	0.05ms
	10^4	22m	1s	6ms	0.5ms
	10^5	15d	1.7m	78ms	5ms
	10^6	41yrs	2.8hrs	0.94s	48ms
	10^7	41mill	1.7wks	11s	0.48s
max Size in time	sec	920	10,000	1.0×10^6	2.1×10^7
	min	3,600	77,000	4.9×10^7	1.3×10^9
	hr	14,000	6.0×10^5	2.4×10^9	7.6×10^{10}
	day	41,000	2.9×10^6	5.0×10^{10}	1.8×10^{12}
time for 10 times size		$\times 1000$	$\times 100$	$\times 10+$	$\times 10$

on 400Mhz Pentium II, in C

from: Jon Bentley: *Programming Pearls*

Properties of O , Ω and Θ

- Transitive property:
 - If $f \in O(g)$ and $g \in O(h)$, then $f \in O(h)$
- Symmetric properties
 - $f \in O(g)$ if and only if $g \in \Omega(f)$
 - $f \in \Theta(g)$ if and only if $g \in \Theta(f)$
- Order of sum function
 - $O(f+g) = O(\max(f,g))$

“Little Oh”

- Basic idea of $f(n) \in o(g(n))$
 - **Non-ignorable** gap between f and its upper bound g
- Definition – “ $\epsilon - N$ ”
 - Giving $g: \mathbb{N} \rightarrow \mathbb{R}^+$, then $o(g)$ is the set of $f: \mathbb{N} \rightarrow \mathbb{R}^+$, such that for **any** $c \in \mathbb{R}^+$, there **exists some** $n_0 \in \mathbb{N}$,
$$0 \leq f(n) < cg(n), \text{ for all } n \geq n_0$$

对比Big Oh的定义

Giving $g: \mathbb{N} \rightarrow \mathbb{R}^+$, then $O(g)$ is the set of $f: \mathbb{N} \rightarrow \mathbb{R}^+$, such that for **some** $c \in \mathbb{R}^+$ and **some** $n_0 \in \mathbb{N}$, $f(n) \leq cg(n)$ for all $n \geq n_0$

“Little ω ”

- Basic idea of $f(n) \in \omega(g(n))$
 - Dual of “o”
- Definition – “ $\epsilon - N$ ”
 - Giving $g: \mathbb{N} \rightarrow \mathbb{R}^+$, then $\omega(g)$ is the set of $f: \mathbb{N} \rightarrow \mathbb{R}^+$, such that for **any** $c \in \mathbb{R}^+$, there **exists some** $n_0 \in \mathbb{N}$,
$$0 \leq cg(n) < f(n), \text{ for all } n \geq n_0$$

对比Big Omiga的定义

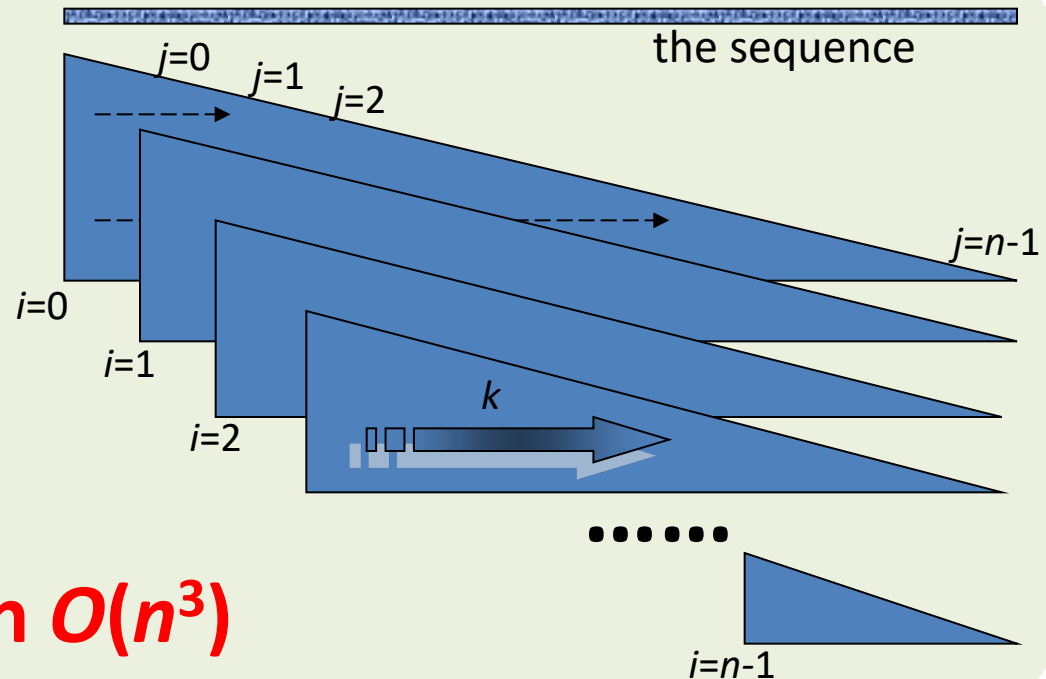
Giving $g: \mathbb{N} \rightarrow \mathbb{R}^+$, then $\Omega(g)$ is the set of $f: \mathbb{N} \rightarrow \mathbb{R}^+$, such that for **some** $c \in \mathbb{R}^+$ and **some** $n_0 \in \mathbb{N}$, $f(n) \geq cg(n)$ for all $n \geq n_0$

Max-sum Subsequence

- The problem: Given a sequence S of integer, find the **largest sum** of a **consecutive subsequence** of S . (0, if all negative items)
 - An example: -2, 11, -4, 13, -5, -2; the result 20: (11, -4, 13)

A brute-force algorithm:

```
MaxSum = 0;
for (i = 0; i < N; i++)
  for (j = i; j < N; j++)
  {
    ThisSum = 0;
    for (k = i; k <= j; k++)
      ThisSum += A[k];
    if (ThisSum > MaxSum)
      MaxSum = ThisSum;
  }
return MaxSum;
```



in $O(n^3)$

More Precise Complexity

The total cost is : $\sum_{i=0}^{n-1} \sum_{j=i}^{n-1} \sum_{k=i}^j 1$

in $O(n^3)$

$$\sum_{k=i}^j 1 = j - i + 1$$

$$\sum_{j=i}^{n-1} (j - i + 1) = 1 + 2 + \dots + (n - i) = \frac{(n - i + 1)(n - i)}{2}$$

$$\sum_{i=0}^{n-1} \frac{(n - i + 1)(n - i)}{2} = \sum_{i=1}^n \frac{(n - i + 2)(n - i + 1)}{2}$$

$$= \frac{1}{2} \sum_{i=1}^n i^2 - \left(n + \frac{3}{2}\right) \sum_{i=1}^n i + \frac{1}{2} (n^2 + 3n + 2) \sum_{i=1}^n 1$$

$$= \frac{n^3 + 3n^2 + 2n}{6}$$

基本算法的改进

A brute-force algorithm:

MaxSum = 0;

for (i = 0; i < N; i++)

for (j = i; j < N; j++)

{

 ThisSum = 0;

 for (k = i; k <= j; k++)

 ThisSum += A[k];

 if (ThisSum > MaxSum)

 MaxSum = ThisSum;

}

return MaxSum;

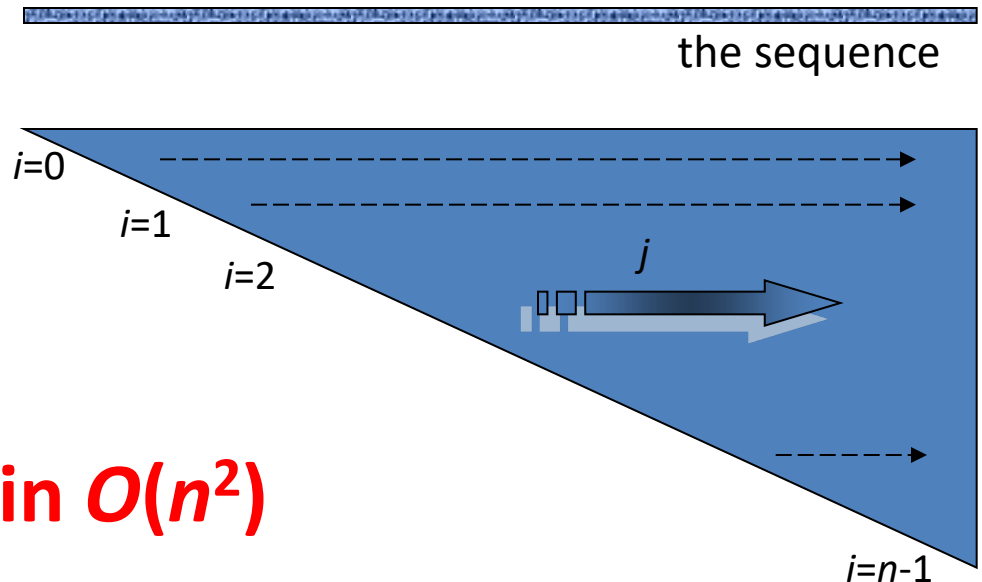
算法的里面两重循环计算了从i开始到各个连续区间的和。但是：

1. 当内层层循环计算完成A[i,j]的和之后，下一次迭代又从头开始计算A[i,j+1]的和。
2. 实际上我们只需要记住A[i,j]的和，再加上A[j+1]即可。
3. 也就是说，在第二层循环的每次迭代之后记住ThisSum，然后在加上A[j+1]即可。

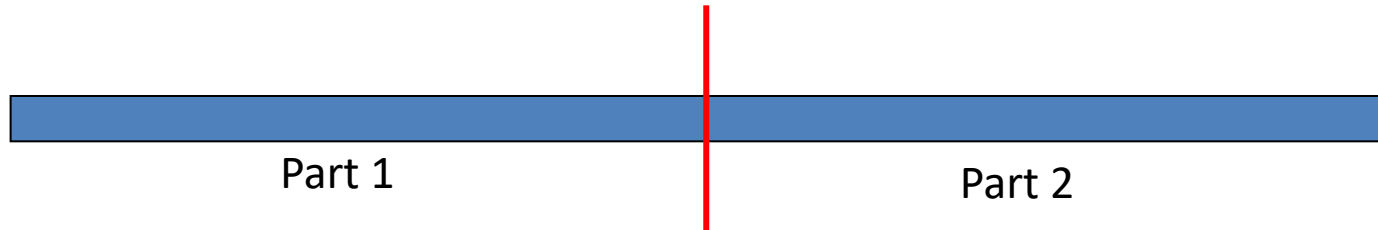
Decreasing the Number of Loops

An improved algorithm:

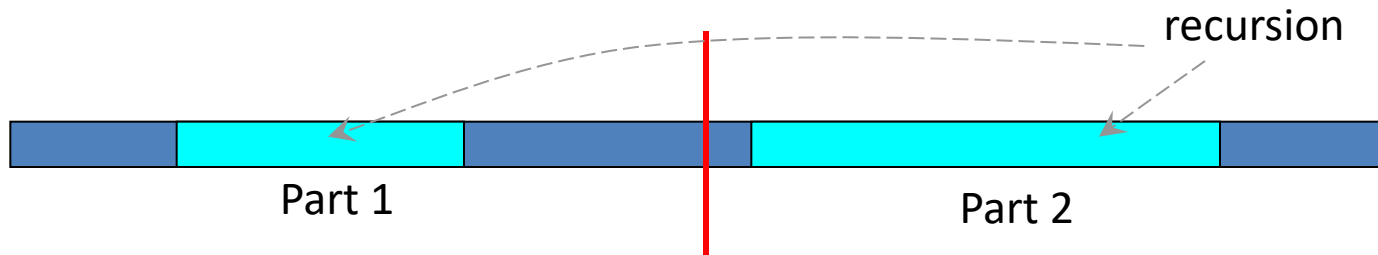
```
MaxSum = 0;
for (i = 0; i < N; i++)
{
    ThisSum = 0;
    for (j = i; j < N; j++)
    {
        ThisSum += A[j];
        if (ThisSum > MaxSum)
            MaxSum = ThisSum;
    }
}
return MaxSum;
```



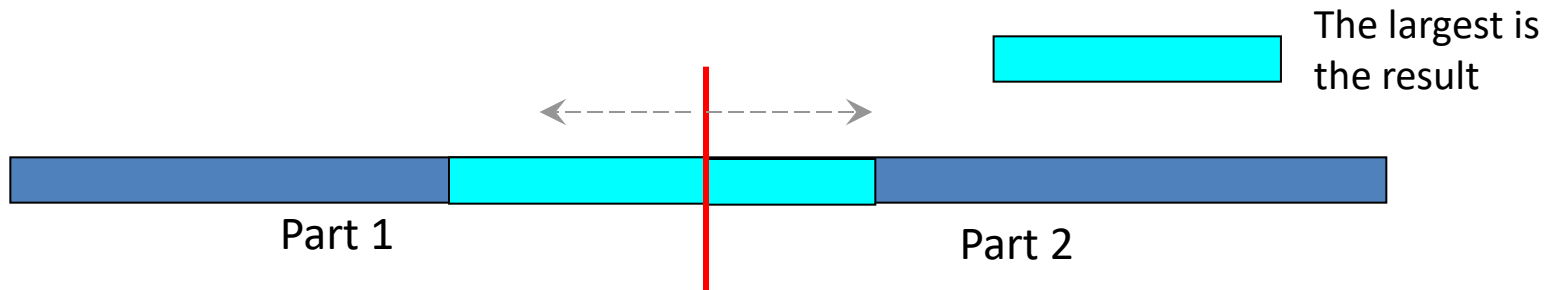
递归的思路：一分为二 (1)



the sub with largest sum may be in:



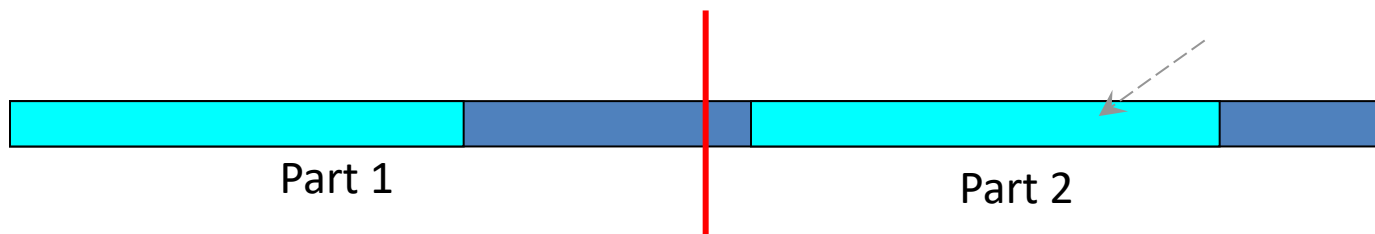
or:



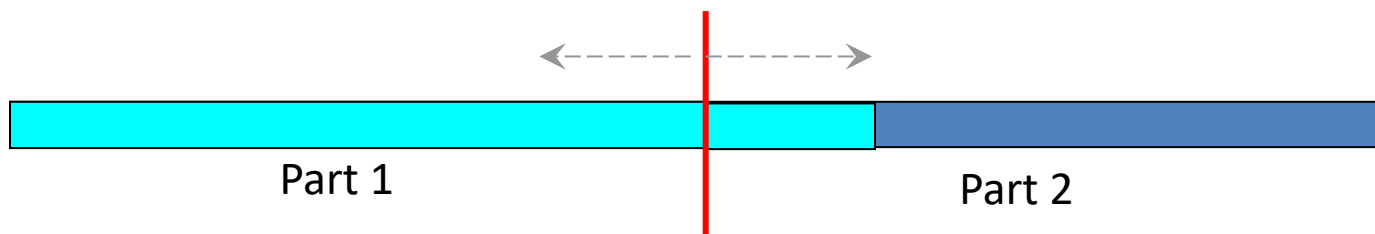
因此，对于每个分段，还需要计算从左边开始的最大值，和从右边开始的最大值

递归的思路：一分为二 (2)

- 从左边开始的最大值可能是



or:



第二种情况：需要考虑左边部分全部元素的和

- 从右边部分开始的最大值类似

递归的思路：一分为二（3）

- $All.sum = Left.sum + Right.sum$
- $All.leftMax = \max(Left.leftMax, Left.sum + Right.leftMax)$
- $All.rightMax = \max(Right.rightMax, Right.sum + Left.rightMax)$
- $All.maxSum = \max(Left.maxSum, Right.maxSum, Left.rightMax + Right.leftMax)$

```
struct Result{  
    int sum;  
    int leftMax;  
    int rightMax;  
    int maxSum;  
}
```

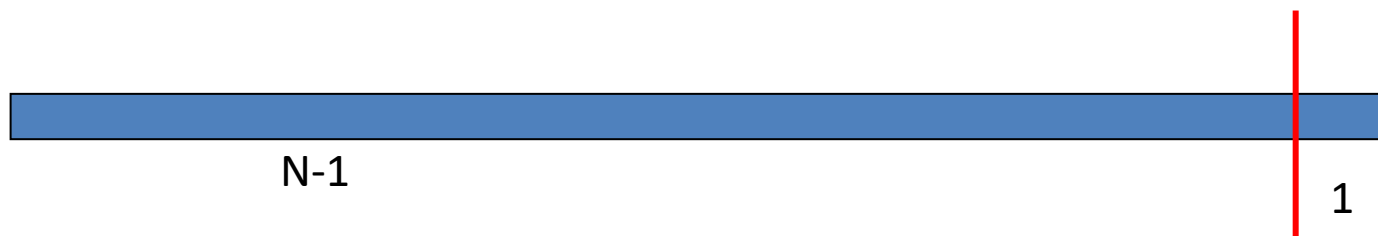
```
GetMaxSub(int start, int end)  
{  
    Result ret;  
    if(end == start + 1)//只有一个元素  
    {  
        ret.sum = A[start];  
        ret.leftMax = A[start] > 0 ? A[start] : 0;  
        ret.rightMax = A[start] > 0 ? A[start] : 0;  
        ret.maxSum = A[start] > 0 ? A[start] : 0;  
        return ret;  
    }  
    ... ..  
}
```

递归的思路：一分为二（4）

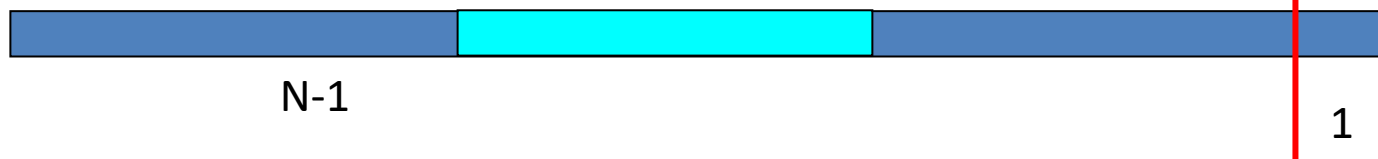
```
GetMaxSub(int start, int end)
{
    Result ret;
    if(end == start + 1)//只有一个元素
    { ... ... ;return ret;}
    int center = (start + end)/2;
    Result lRet = GetMaxSum(start, center);
    Result rRet = GetMaxSum(center, end);
    ret.sum = lRet.sum + rRet.sum
    ret.leftMax = Max(lRet.leftMax, lRet.sum + rRet.leftMax)
    ret.rightMax = Max(rRet.rightMax, rRet.sum + lRet.rightMax)
    ret.maxSum =
        Max(lRet.maxSum, rRet.maxSum, lRet.rightMax + rRet.leftMax)
    return ret;
}
```

这个递归程序的复杂性是多少？

递归思路：1和N-1 (1)



情况1:



情况2:



- 假设分成 $A[N-1]$ 和 $A[0, N-2]$, 会有两种情况:
 - 最大子区间就是 $A[0, N-1)$ 的最大子区间
 - 最大子区间包含了 $A[N-1]$, 也就是 $A[N-1] + A[0, N-1)$ 的靠右的最大子区间

递归思路：1和N-1 (2)

```
struct ReturnValue {  
    int max;  
    int rightMax;  
}
```

```
MaxSubSum(int N)  
{  
    if(N == 0)  
        return ReturnValue(0,0);  
    ReturnValue r = MaxSubSum(N-1);  
    ReturnValue ret;  
    ret.rightMax = Max(0, r.rightMax + A[N-1]);  
    ret.max = Max(r.max, ret.rightMax);  
    return ret;  
}
```

递归思路：1和N-1（迭代化）

```
rightMax = MaxSum = 0;
for (j = 0; j < N; j++)
{
    rightSum += A[j];
    if (rightSum < 0)
        rightSum = 0;

    if (MaxSum < rightSum)
        MaxSum = rightSum;
}
return MaxSum;
```

复杂性 $O(n)$

Recursion in Algorithm Design

- Counting the Number of Bits
 - Input: a positive decimal integer n
 - Output: the number of binary digits in n 's binary representation

Int BitCounting (int n)

```
1. If(n==1) return 1;  
2. Else  
3.   return BitCounting(n div 2) +1;
```

$$T(n) = \begin{cases} 0 & n = 1 \\ T(\lfloor n/2 \rfloor) + 1 & n > 1 \end{cases}$$

Analysis of Recursion

- Solving recurrence equations
- E.g., Bit counting
 - Critical operation: add
 - The recurrence relation

$$T(n) = \begin{cases} 0 & n = 1 \\ T(\lfloor n / 2 \rfloor) + 1 & n > 1 \end{cases}$$

Analysis of Recursion: Backward substitutions

By the recursion equation : $T(n) = T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1$

For simplicity , let $n = 2^k$ (k is a nonnegative integer),
that is, $k = \log n$

$$T(n) = T\left(\frac{n}{2}\right) + 1 = T\left(\frac{n}{4}\right) + 1 + 1 = T\left(\frac{n}{8}\right) + 1 + 1 + 1 = \dots$$

$$T(n) = T\left(\frac{n}{2^k}\right) + \log n = \log n \quad (T(1)=0)$$

Computing the Fibonacci Number

$$T(0)=0$$

$$T(1)=1$$

$$T(n)=T(n-1)+T(n-2)$$



0, 1, 1, 2, 3, 5, 8, 13, 21, 34,

$$a_n = r_1 a_{n-1} + r_2 a_{n-2} + \dots + r_m a_{n-k}$$

is called linear homogeneous relation of degree k .

For the special case of Fibonacci: $a_n = a_{n-1} + a_{n-2}$, $r_1 = r_2 = 1$

Characteristic Equation

- For a linear homogeneous recurrence relation of degree k

$$a_n = r_1 a_{n-1} + r_2 a_{n-2} + \cdots + r_k a_{n-k}$$

the polynomial of degree k

$$x^k = r_1 x^{k-1} + r_2 x^{k-2} + \cdots + r_k$$

is called its characteristic equation.

- The characteristic equation of linear homogeneous recurrence relation of degree 2 is:

$$x^2 - r_1 x - r_2 = 0$$

Solution of Recurrence Relation

- If the characteristic equation $x^2 - r_1x - r_2 = 0$ of the recurrence relation $a_n = r_1a_{n-1} + r_2a_{n-2}$ has two distinct roots s_1 and s_2 , then

$$a_n = us_1^n + vs_2^n$$

where u and v depend on the initial conditions, is the explicit formula for the sequence.

- If the equation has a single root s , then, both s_1 and s_2 in the formula above are replaced by s

Proof of the Solution

$$a_n = us_1^n + vs_2^n \quad S_1, S_2 \text{ 是 } x^2 - r_1x - r_2 = 0 \text{ 的根}$$

To prove that :

$$us_1^n + vs_2^n = r_1 a_{n-1} + r_2 a_{n-2}$$

$$\begin{aligned} \text{证: } us_1^n + vs_2^n &= us_1^{n-2} s_1^2 + vs_2^{n-2} s_2^2 \\ &= us_1^{n-2} (r_1 s_1 + r_2) + vs_2^{n-2} (r_1 s_2 + r_2) \\ &= r_1 us_1^{n-1} + r_2 us_1^{n-2} + r_1 vs_2^{n-1} + r_2 vs_2^{n-2} \\ &= r_1 (us_1^{n-1} + vs_2^{n-1}) + r_2 (us_1^{n-2} + vs_2^{n-2}) \\ &= r_1 a_{n-1} + r_2 a_{n-2} \end{aligned}$$

Back to Fibonacci Sequence

$$f_0=0$$

$$f_1=1$$

$$f_n = f_{n-1} + f_{n-2}$$



0, 1, 1, 2, 3, 5, 8, 13, 21, 34,

Explicit formula for Fibonacci Sequence

The characteristic equation is $x^2-x-1=0$, which has roots:

$$s_1 = \frac{1+\sqrt{5}}{2} \quad \text{and} \quad s_2 = \frac{1-\sqrt{5}}{2}$$

Note: (by initial conditions) $f_1 = us_1 + vs_2 = 1$ and $f_2 = us_1^2 + vs_2^2 = 1$

which
means:

$$f_n = \frac{1}{\sqrt{5}} \left(\frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left(\frac{1-\sqrt{5}}{2} \right)^n$$

Guess and Prove

将规模为 n 的问题分解成两个规模为 $n/2$ 的子问题递归地求解，并且分解/合成时的总开销是 $O(n)$ 的。

- Example: $T(n) = 2T(\lfloor n/2 \rfloor) + n$

- Guess

- $T(n) \in O(n)$?

- $T(n) \leq cn$, to be proved

Try to prove $T(n) \leq cn$:

$$T(n) = 2T(\lfloor n/2 \rfloor) + n \leq 2c(\lfloor n/2 \rfloor) + n$$

$$< 2c(n/2) + n = (c+1)n \quad \text{Fail!}$$

- $T(n) \in O(n^2)$?

- $T(n) \leq cn^2$, to be proved for

- **Or maybe**, $T(n) \in O(n \log n)$

- $T(n) \leq cn \log n$, to be proved

$$T(n) = 2T(\lfloor n/2 \rfloor) + n$$

$$\leq 2(c \lfloor n/2 \rfloor \log (\lfloor n/2 \rfloor)) + n$$

$$\leq cn \log (n/2) + n$$

$$= cn \log n - cn \log 2 + n$$

$$= cn \log n - cn + n$$

$$\leq cn \log n \quad \text{for } c \geq 1$$

- Prove

- by substitution

这里 c 必须是明确给出的值

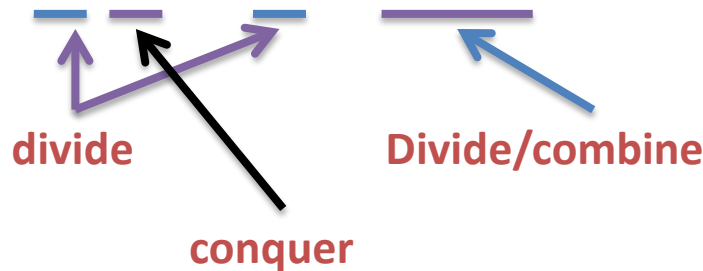
Divide and Conquer Recursions

- Divide and conquer
 - **Divide** the “big” problem to smaller ones
 - **Solve** the “small” problems by recursion
 - **Combine** results of small problems, and solve the original problem

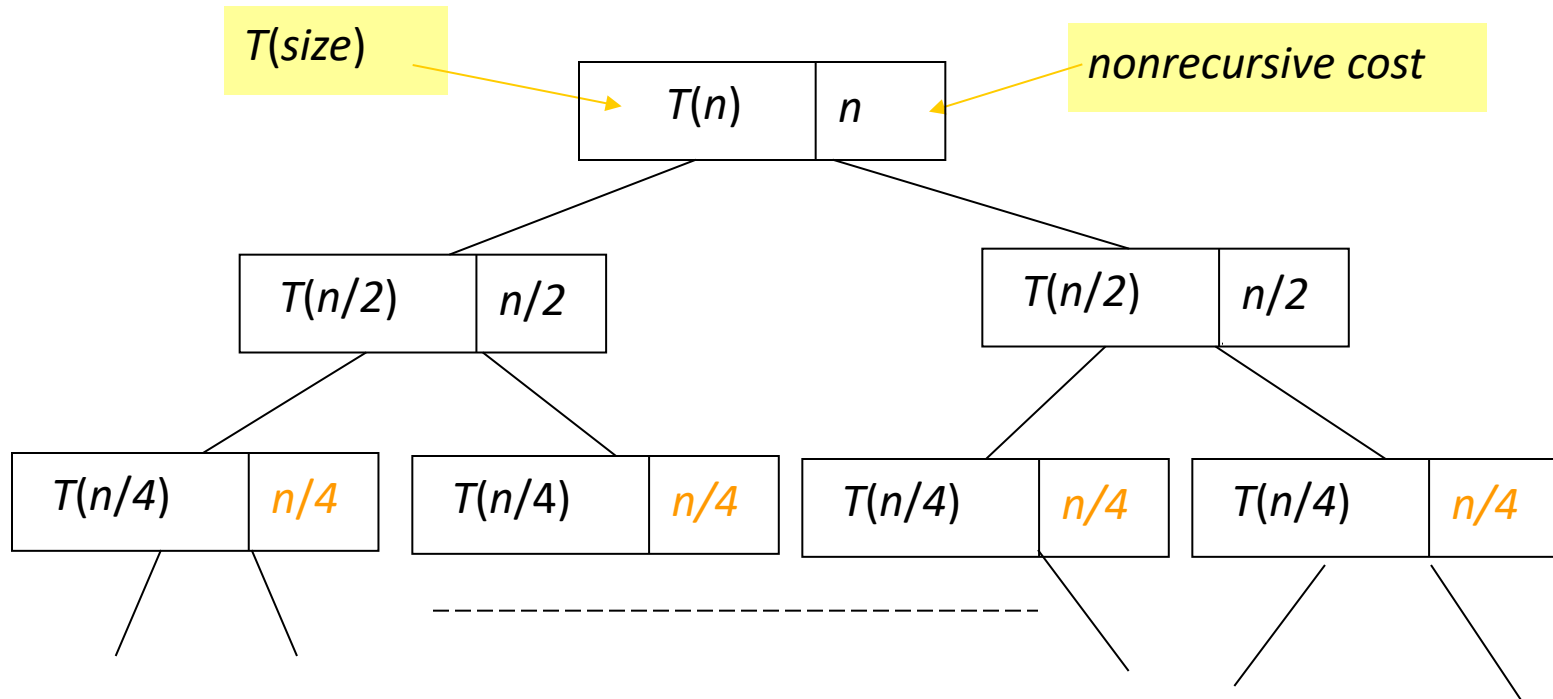
- Divide and conquer recursion

将规模为n的问题分解为b个规模为n/c的子问题并递归地求解，并且分解/合成时的总开销是f(n)的。

$$T(n) = a T(n/b) + f(n)$$



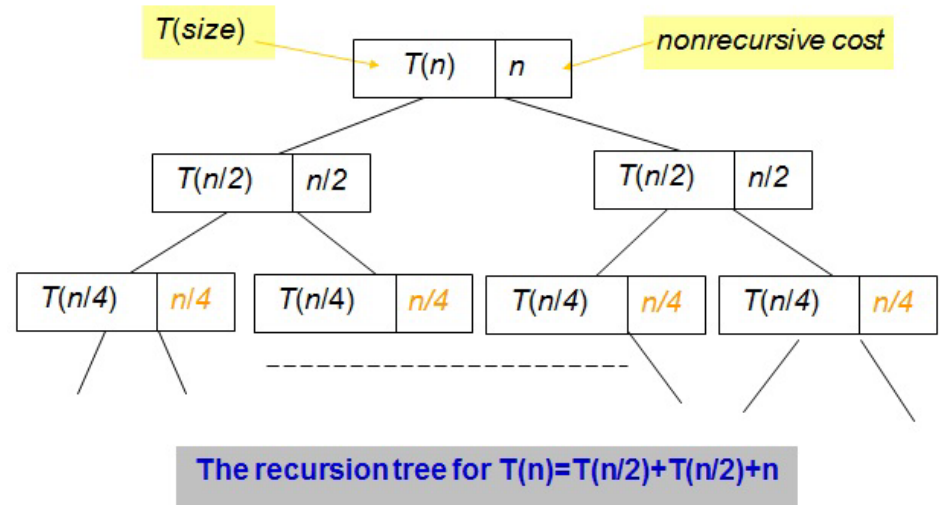
Recursion Tree



The recursion tree for $T(n) = 2T(n/2) + n$

Recursion Tree

- Node
 - Non-leaf
 - Non-recursive cost
 - Recursive cost
 - Leaf
 - Base case
- Edge
 - Recursion



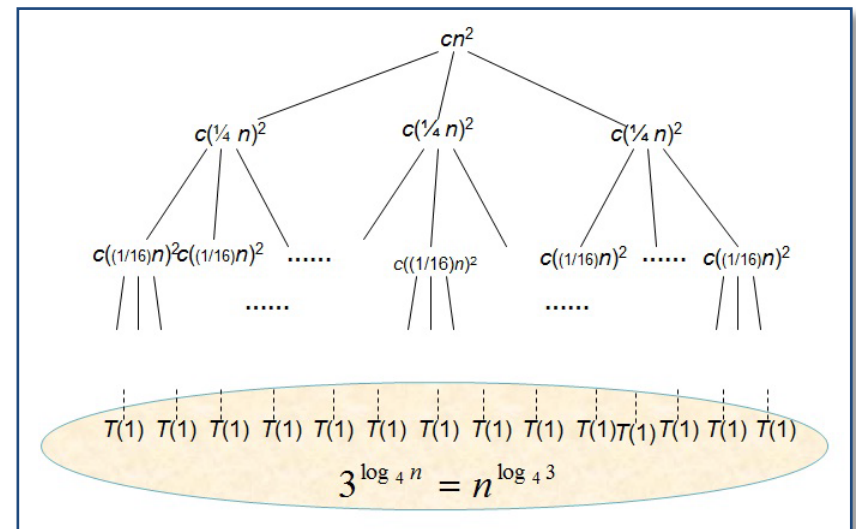
Recursion Tree

- $$T(n) = \underbrace{3T(n/4)}_{\substack{\text{Recursive cost} \\ \text{\# of sub-problems} \times \text{size of sub-problems}}} + \underbrace{\Theta(n^2)}_{\text{Non-recursive cost}}$$

- Total cost

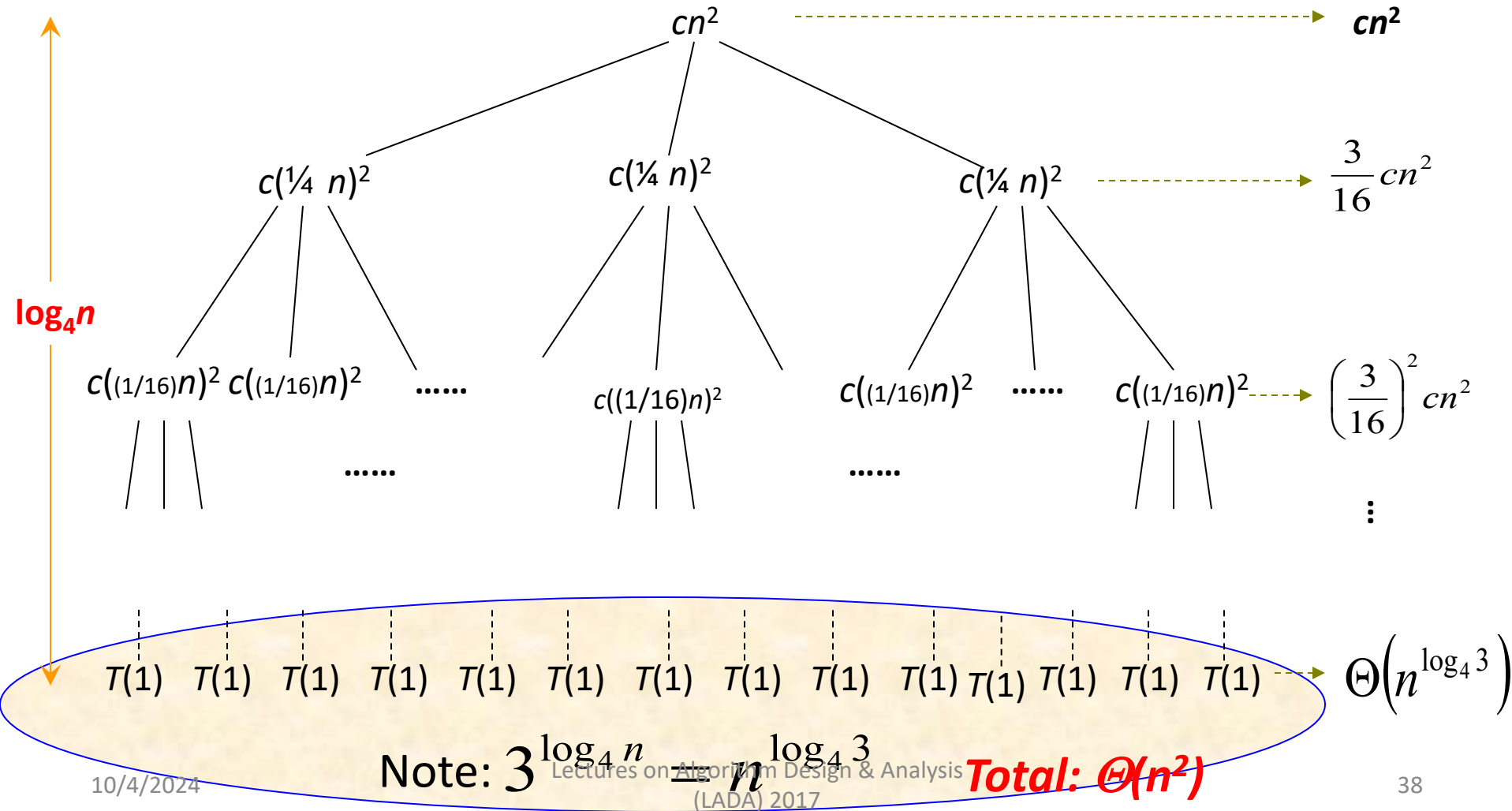
Σ

Sum of row sums



Sum of Row-sums

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

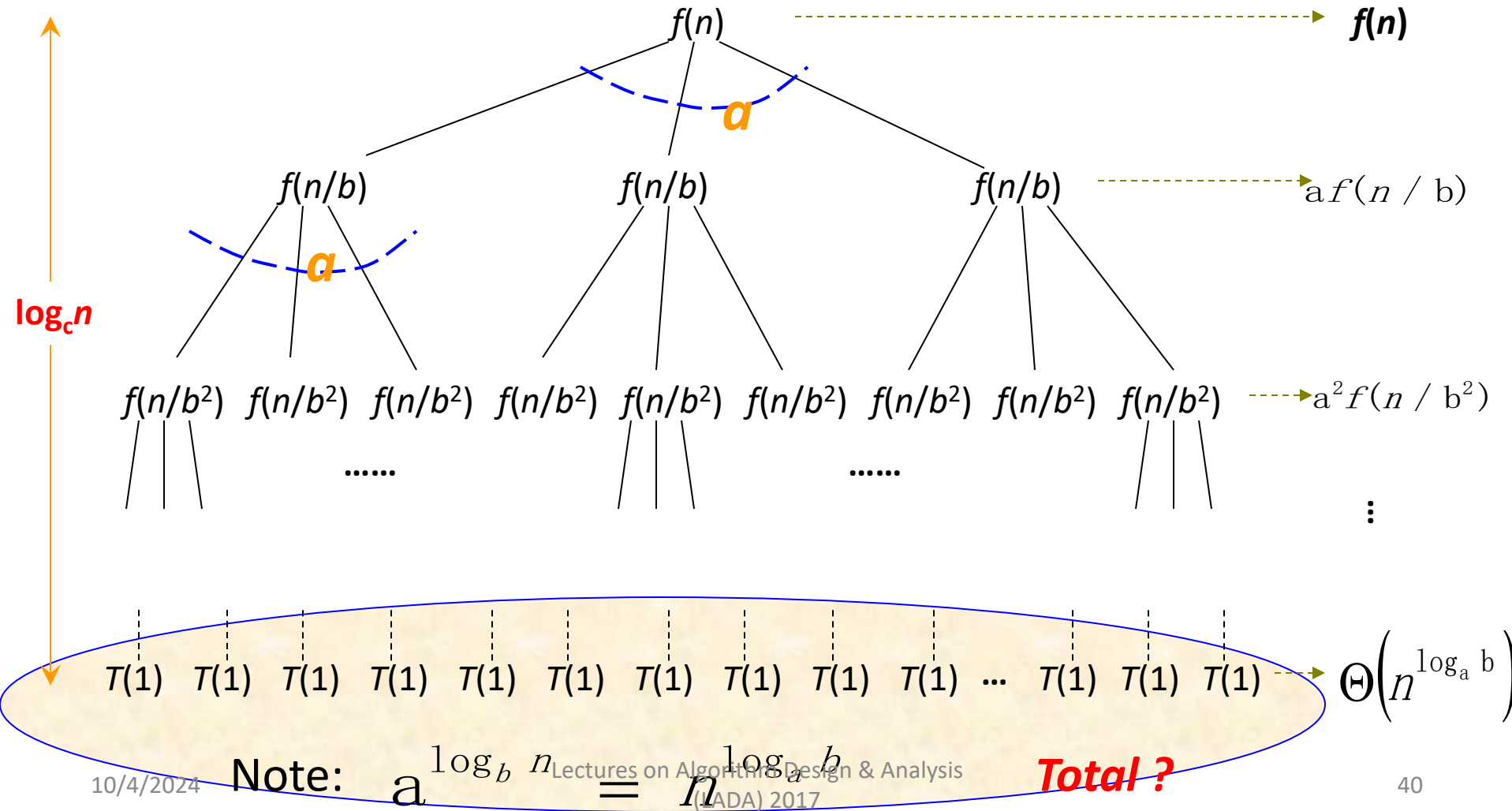


Solving the Divide-and-Conquer Recurrence

- The recursion equation for divide-and-conquer, the general case: $T(n)=aT(n/b)+f(n)$
- Observations:
 - Let base-cases occur at depth $D(\text{leaf})$, then $n/b^D=1$, that is $D=\log(n)/\log(b)$
 - Let the number of leaves of the tree be L , then $L=a^D$, that is $L=a^{(\log(n)/\log(b))}$.
 - By a little algebra: $L=n^E$, where $E=\log(a)/\log(b)$, called *critical exponent*.

Recursion Tree for

$$T(n) = aT(n/b) + f(n)$$



Divide-and-Conquer: the Solution

- The solution of divide-and-conquer equation is the non-recursive costs of all nodes in the tree, which is the sum of the row-sums
 - The recursion tree has depth $D = \log(n) / \log(b)$, so there are about that many row-sums.
- The 0^{th} row-sum
 - is $f(n)$, the nonrecursive cost of the root.
- The D^{th} row-sum
 - is n^E , assuming base cases cost 1, or $\Theta(n^E)$ in any event.

Solution by Row-sums

- [Little Master Theorem] Row-sums decide the solution of the equation for divide-and-conquer:
 - Increasing geometric series: $T(n) \in \Theta(n^E)$
 - Constant: $T(n) \in \Theta(f(n) \log n)$
 - Decreasing geometric series: $T(n) \in \Theta(f(n))$

This can be generalized to get a result not using explicitly row-sums.

Master Theorem

将 $f(n)$ 和 $n^{\log_b a}$ 进行比较

1、 $f(n)$ 比较大, 则选 $f(n)$

2、 $n^{\log_b a}$ 比较大, 则选 $n^{\log_b a}$

3、一致, 则是 $n \log n$

– Case 1: $f(n) \in O(n^{\log_b a - \epsilon})$, ($\epsilon > 0$), then:

$$T(n) \in \Theta(n^{\log_b a})$$

– Case 2: $f(n) \in \Theta(n^{\log_b a})$, as all node depth contribute about equally:

$$T(n) \in \Theta(f(n) \log(n))$$

– case 3: $f(n) \in \Omega(n^{\log_b a + \epsilon})$, ($\epsilon > 0$), and if $a f(n/b) \leq \theta f(n)$ for some constant $\theta < 1$ and all sufficiently large n , then:

$$T(n) \in \Theta(f(n))$$

Using Master Theorem

Case 1: $f(n) \in O(n^{\log_b a - \varepsilon})$, ($\varepsilon > 0$), then:

$$T(n) \in \Theta(n^{\log_b a})$$

例子: $T(n) = 9T(n/3) + n$, 其中: $a = 9, b = 3, \log_b a = 2, f(n) = n \in O(n^{2-1})$

因此: $T(n) = \Theta(n^2)$

Case 2: $f(n) \in \Theta(n^{\log_b a})$, as all node depth contribute about equally:

$$T(n) \in \Theta(f(n) \log(n))$$

例子: $T(n) = T(\frac{2n}{3}) + 1$, 其中: $a = 1, b = 3/2, \log_b a = 0, f(n) = 1 \in \Theta(n^0)$

因此: $T(n) = \Theta(\log n)$

Case 3: $f(n) \in \Omega(n^{\log_b a + \varepsilon})$, ($\varepsilon > 0$), and if $bf(n/c) \leq \theta f(n)$ for some constant $\theta < 1$ and all sufficiently large n , then:

$$T(n) \in \Theta(f(n))$$

例子: $T(n) = 3T(n/4) + n \log n$, 其中: $a = 3, b = 4, \log_b a = \log_4 3$,

$$f(n) = n \log n = \Omega(n^{\log_4 3 + \varepsilon}), 3f(n/4) = 3((n/4) \log(n/4)) = (3/4)n \log n - 3/2 * n$$

因此: $T(n) = \Theta(n \log n)$


摊销分析

Amortized Analysis

Array Doubling

- Cost for search in a hash table is $\Theta(1+\alpha)$
 - If we can keep α constant, the cost will be $\Theta(1)$
- What if the hash table is more and more loaded?
 - Space allocation techniques such as array doubling may be needed
- The problem of “**unusually expensive**” individual operation

Looking at the Memory Allocation

- hashingInsert(HASHTABLE H , ITEM x)
- **integer** $size=0$, $num=0$;
- **if** $size=0$ **then** allocate a block of size 1; $size=1$;
- **if** $num=size$ **then**
 - allocate a block of size $2size$;
 - move all item into new table;
 - $size=2size$;
- insert x into the table;
- $num=num+1$;  Elementary insertion: cost 1
- **return**

Insertion with
expansion: cost $size$

Worst-case Analysis

- **For n execution of insertion operations**
 - A bad analysis: the worst case for one insertion is the case when expansion is required, up to n
 - So, the worst case cost is in $O(n^2)$.
- **Note the expansion is required during the i th operation only if $i=2^k$, and the cost of the i th operation**

$$c_i = \begin{cases} i & \text{if } i - 1 \text{ is exactly the power of } 2 \\ 1 & \text{otherwise} \end{cases}$$

So the total cost is: $\sum_{i=1}^n c_i \leq n + \sum_{j=0}^{\lfloor \log n \rfloor} 2^j < n + 2n = 3n$

Amortized Analysis – Why?

- Unusually expensive operations
 - E.g., Insert-with-array-doubling
- **Relation** between expensive and usual operations
 - Each piece of the doubling cost corresponds to some previous insert

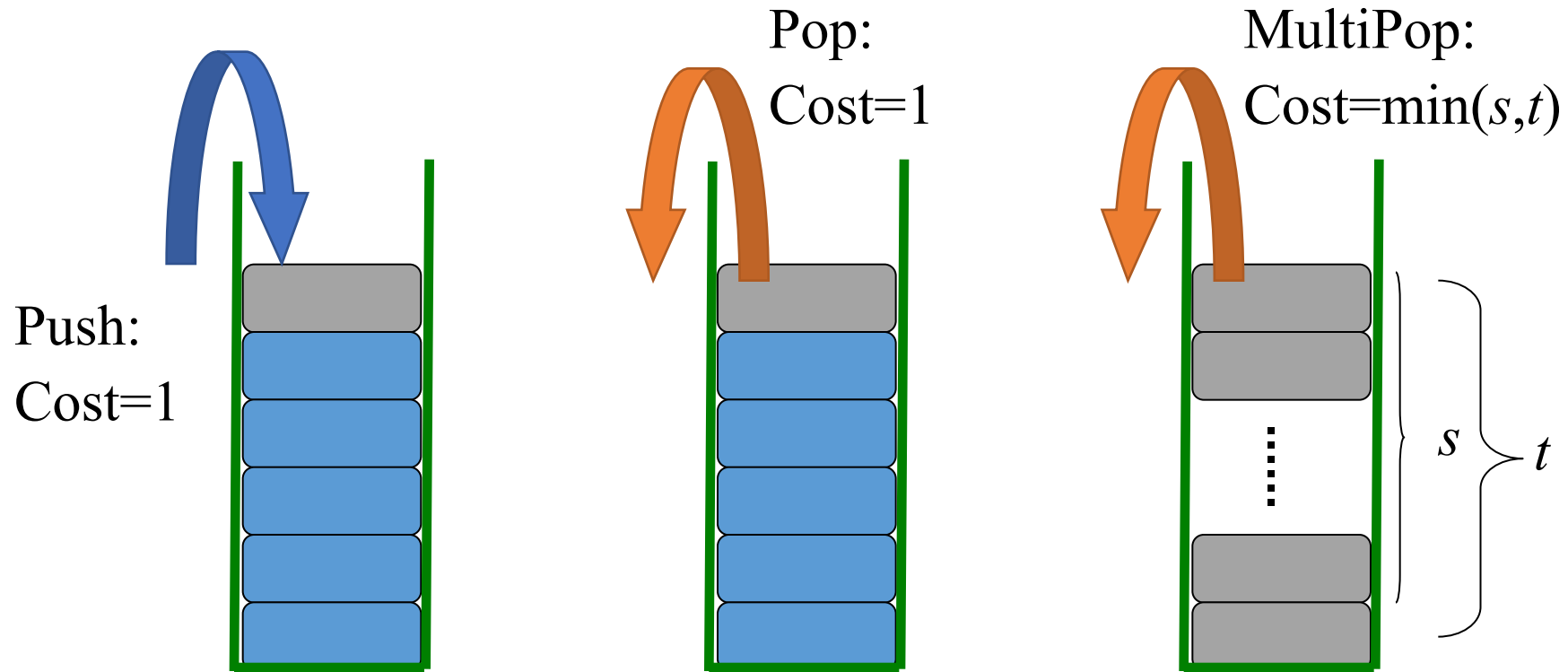
Amortized Analysis

- Amortized equation:

$$\textit{amortized cost} = \textit{actual cost} + \textit{accounting cost}$$

- Design goals for accounting cost
 - In **any** legal sequence of operations, the sum of the accounting costs is nonnegative
 - The amortized cost of each operation is fairly regular, in spite of the wide fluctuate possible for the actual cost of individual operations

Amortized Analysis: MultiPop Stack



Amortized cost: push:2; pop, multipop: 0

Accounting Scheme for Stack Push

- Push operation with array doubling
 - No resize triggered: 1
 - Resize($n \rightarrow 2n$) triggered: $nt+1$ (t is a constant)
- Accounting scheme (specifying accounting cost)
 - No resize triggered: $2t$
 - Resize($n \rightarrow 2n$) triggered: $-nt+2t$
- So, the amortized cost of each individual push operation is $1+2t \in \Theta(1)$

Amortized Analysis: Binary Counter

0	00000000	0
1	00000001	1
2	00000010	3
3	00000011	4
4	00000100	7
5	00000101	8
6	00000110	10
7	00000111	11
8	00001000	15
9	00001001	16
10	00001010	18
11	00001011	19
12	00001100	22
13	00001101	23
14	00001110	25
15	00001111	26
16	00010000	31

Cost measure: bit flip

amortized cost:

set 1: 2

set 0: 0