

# Natural Language Processing with Deep Learning

CS224N/Ling284



Christopher Manning

Lecture 1: Introduction and Word Vectors



# Lecture Plan

## Lecture 1: Introduction and Word Vectors

1. The course (10 mins)
2. Human language and word meaning (15 mins)
3. Word2vec introduction (15 mins)
4. Word2vec objective function gradients (25 mins)
5. Optimization basics (5 mins)
6. Looking at word vectors (10 mins or less)



# Course logistics in brief

- Instructor: Christopher Manning
- Head TA and co-instructor: Abigail See
- TAs: Many wonderful people! See website
- Time: TuTh 4:30–5:50, Nvidia Aud (→ video)
- Other information: see the class webpage:
  - <http://cs224n.stanford.edu/>  
a.k.a., <http://www.stanford.edu/class/cs224n/>
  - Syllabus, **office hours**, “handouts”, TAs, Piazza
    - Office hours start **this Thursday**
  - Slides uploaded before each lecture



# What do we hope to teach?

1. An understanding of the effective modern methods for deep learning
  - Basics first, then key methods used in NLP: Recurrent networks, attention, etc.
2. A big picture understanding of human languages and the difficulties in understanding and producing them
3. An understanding of and ability to build systems (in PyTorch) for some of the major problems in NLP:
  - Word meaning, dependency parsing, machine translation, question answering



# What's different this year?

- Lectures (including guest lectures) covering new material: character models, transformers, safety/fairness, multitask learn.
- 5x one-week assignments instead of 3x two-week assignments
- Assignments covering new material (NMT with attention, ConvNets, subword modeling)
- Using PyTorch rather than TensorFlow
- Assignments due *before class* (4:30pm) not at midnight!
- Gentler but earlier ramp-up
  - First assignment is easy, but due *one week from today!*
- No midterm

# Course work and grading policy

- 5 x 1-week Assignments: 6% + 4 x 12%: 54%
  - HW1 is released today! Due next Tuesday! At 4:30 p.m.
  - Please use @stanford.edu email for your Gradescope account
- Final Default or Custom Course Project (1–3 people): 43%
  - Project proposal: 5%, milestone: 5%, poster: 3%, report: 30%
  - Final poster session attendance expected! (See website.)
  - **Wed Mar 20, 5pm-10pm** (put it in your calendar!)
- Participation: 3%
  - (Guest) lecture attendance, Piazza, eval, karma – see website!
- Late day policy
  - 6 free late days; afterwards, 10% off per day late
  - Assignments not accepted after 3 late days per assignment
- Collaboration policy: Read the website and the Honor Code!  
Understand allowed ‘collaboration’ and how to document it

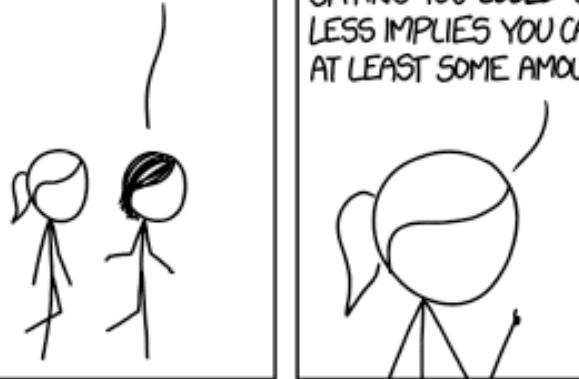
# High-Level Plan for Problem Sets

- HW1 is hopefully an easy on ramp – an IPython Notebook
- HW2 is pure Python (numpy) but expects you to do (multivariate) calculus so you really understand the basics
- HW3 introduces PyTorch
- HW4 and HW5 use PyTorch on a GPU (Microsoft Azure)
  - Libraries like PyTorch, Tensorflow (and Chainer, MXNet, CNTK, Keras, etc.) are becoming the standard tools of DL
- For FP, you either
  - Do the default project, which is SQuAD question answering
    - Open-ended but an easier start; a good choice for most
  - Propose a custom final project, which we approve
    - You will receive feedback from a **mentor** (TA/prof/postdoc/PhD)
  - Can work in teams of 1–3; can use any language

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...ANYWAY, I  
COULD CARE LESS.



I THINK YOU MEAN YOU  
**COULDNT** CARE LESS.  
SAYING YOU **COULD** CARE  
LESS IMPLIES YOU CARE  
AT LEAST SOME AMOUNT.

I DUNNO.



WE'RE THESE UNBELIEVABLY  
COMPLICATED BRAINS DRIFTING  
THROUGH A VOID, TRYING IN  
VAIN TO CONNECT WITH ONE  
ANOTHER BY BLINDLY FLINGING  
WORDS OUT INTO THE DARKNESS.



EVERY CHOICE OF PHRASING AND  
SPELLING AND TONE AND TIMING  
CARRIES COUNTLESS SIGNALS AND  
CONTEXTS AND SUBTEXTS AND MORE,  
AND EVERY LISTENER INTERPRETS  
THOSE SIGNALS IN THEIR OWN WAY.  
LANGUAGE ISN'T A FORMAL SYSTEM.  
LANGUAGE IS GLORIOUS CHAOS.



YOU CAN NEVER KNOW FOR SURE WHAT  
ANY WORDS WILL MEAN TO ANYONE.

ALL YOU CAN DO IS TRY TO GET BETTER AT  
GUESSING HOW YOUR WORDS AFFECT PEOPLE,  
SO YOU CAN HAVE A CHANCE OF FINDING THE  
ONES THAT WILL MAKE THEM FEEL SOMETHING  
LIKE WHAT YOU WANT THEM TO FEEL.

EVERYTHING ELSE IS POINTLESS.



I ASSUME YOU'RE GIVING ME TIPS ON  
HOW YOU INTERPRET WORDS BECAUSE  
YOU WANT ME TO FEEL LESS ALONE.

IF SO, THEN THANK YOU.  
THAT MEANS A LOT.



BUT IF YOU'RE JUST RUNNING MY  
SENTENCES PAST SOME MENTAL  
CHECKLIST SO YOU CAN SHOW  
OFF HOW WELL YOU KNOW IT,



THEN I COULD  
CARE LESS.



<https://xkcd.com/1576/> Randall Munroe CC BY NC 2.5



# 1. How do we represent the meaning of a word?

Definition: **meaning** (Webster dictionary)

- the idea that is represented by a word, phrase, etc.
- the idea that a person wants to express by using words, signs, etc.
- the idea that is expressed in a work of writing, art, etc.

Commonest linguistic way of thinking of meaning:

signifier (symbol)  $\Leftrightarrow$  signified (idea or thing)

= denotational semantics

# How do we have usable meaning in a computer?

Common solution: Use e.g. **WordNet**, a thesaurus containing lists of **synonym sets** and **hypercnyms** (“is a” relationships).

同义词和上位词 (x比如花就是鲜花的上位词, 植物是花的上位词, is a的从属关系)

e.g. *synonym sets containing “good”:*

```
from nltk.corpus import wordnet as wn
poses = { 'n':'noun', 'v':'verb', 's':'adj (s)', 'a':'adj', 'r':'adv'}
for synset in wn.synsets("good"):
    print("{}: {}".format(poses[synset.pos()],
                          ", ".join([l.name() for l in synset.lemmas()])))
```

```
noun: good
noun: good, goodness
noun: good, goodness
noun: commodity, trade_good, good
adj: good
adj (sat): full, good
adj: good
adj (sat): estimable, good, honorable, respectable
adj (sat): beneficial, good
adj (sat): good
adj (sat): good, just, upright
...
adverb: well, good
adverb: thoroughly, soundly, good
```

e.g. *hypercnyms of “panda”:*

```
from nltk.corpus import wordnet as wn
panda = wn.synset("panda.n.01")
hyper = lambda s: s.hypernyms()
list(pandaclosure(hyper))
```

```
[Synset('procyonid.n.01'),
Synset('carnivore.n.01'),
Synset('placental.n.01'),
Synset('mammal.n.01'),
Synset('vertebrate.n.01'),
Synset('chordate.n.01'),
Synset('animal.n.01'),
Synset('organism.n.01'),
Synset('living_thing.n.01'),
Synset('whole.n.02'),
Synset('object.n.01'),
Synset('physical_entity.n.01'),
Synset('entity.n.01')]
```

# Problems with resources like WordNet

- Great as a resource but missing nuance
  - e.g. “proficient” is listed as a synonym for “good”. This is only correct in some contexts.
- Missing new meanings of words
  - e.g., wicked, badass, nifty, wizard, genius, ninja, bombest
  - Impossible to keep up-to-date!
- Subjective
- Requires human labor to create and adapt
- Can’t compute accurate word similarity →

# Representing words as discrete symbols

In traditional NLP, we regard words as discrete symbols:  
**hotel, conference, motel** – a **localist** representation

Means one 1, the rest 0s

Words can be represented by **one-hot** vectors:

离散分类, 类别间相互独立

`motel = [0 0 0 0 0 0 0 0 0 1 0 0 0 0]`

`hotel = [0 0 0 0 0 0 1 0 0 0 0 0 0]`

Vector dimension = number of words in vocabulary (e.g., 500,000)

# Problem with words as discrete symbols

**Example:** in web search, if user searches for “Seattle motel”, we would like to match documents containing “Seattle hotel”.

But:

$$\begin{aligned}\text{motel} &= [0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0] \\ \text{hotel} &= [0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0]\end{aligned}$$

These two vectors are **orthogonal**. 正交

There is no natural notion of **similarity** for one-hot vectors!

**Solution:**

- Could try to rely on WordNet’s list of synonyms to get similarity?
  - But it is well-known to fail badly: incompleteness, etc.
- **Instead: learn to encode similarity in the vectors themselves**

# Representing words by their context



分布式语义

- Distributional semantics: A word's meaning is given by the words that frequently appear close-by
  - “*You shall know a word by the company it keeps*” (J. R. Firth 1957: 11)
  - One of the most successful ideas of modern statistical NLP!
- When a word  $w$  appears in a text, its **context** is the set of words that appear nearby (within a fixed-size window).  
当一个词 $w$ 出现在文本中时，它的上下文是出现在其附近的一组单词（在一个固定的大小的窗口中）
- Use the many contexts of  $w$  to build up a representation of  $w$

...government debt problems turning into banking crises as happened in 2009...

...saying that Europe needs unified banking regulation to replace the hodgepodge...

...India has just given its banking system a shot in the arm...



These **context words** will represent **banking**

# Word vectors

We will build a dense vector for each word, chosen so that it is similar to vectors of words that appear in similar contexts

$$\text{banking} = \begin{pmatrix} 0.286 \\ 0.792 \\ -0.177 \\ -0.107 \\ 0.109 \\ -0.542 \\ 0.349 \\ 0.271 \end{pmatrix}$$

词向量 = 词嵌入 = 词表示

**word vector = word embedding = word representation**

Note: **word vectors** are sometimes called **word embeddings** or **word representations**. They are a **distributed** representation.

# Word meaning as a neural word vector – visualization

*expect* =

0.286
0.792
-0.177
-0.107
0.109
-0.542
0.349
0.271
0.487



### 3. Word2vec: Overview

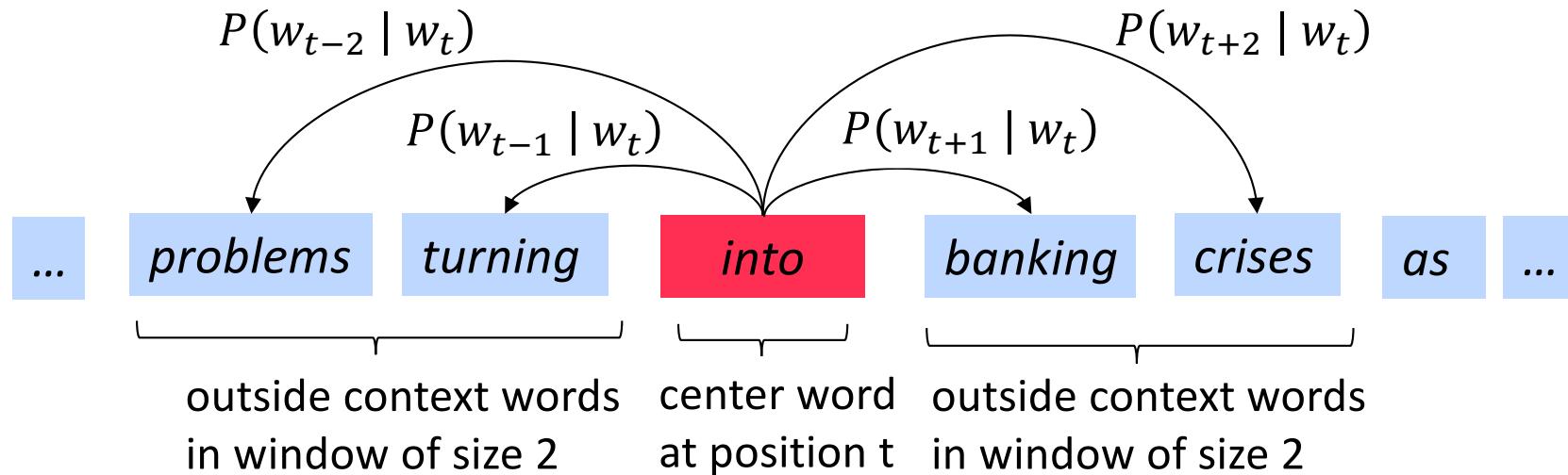
Word2vec (Mikolov et al. 2013) is a framework for learning word vectors

Idea:

- We have a large corpus of text
- Every word in a fixed vocabulary is represented by a vector
- Go through each position  $t$  in the text, which has a center word  $c$  and context (“outside”) words  $o$
- Use the similarity of the word vectors for  $c$  and  $o$  to calculate the probability of  $o$  given  $c$  (or vice versa)
- Keep adjusting the word vectors to maximize this probability

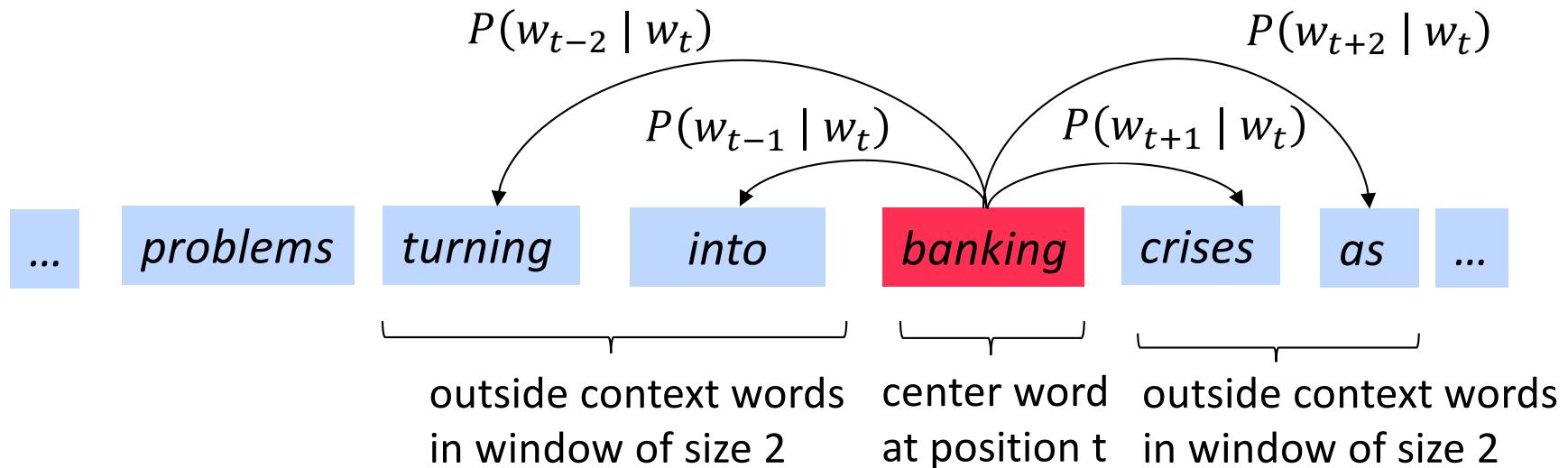
# Word2Vec Overview

- Example windows and process for computing  $P(w_{t+j} | w_t)$



# Word2Vec Overview

- Example windows and process for computing  $P(w_{t+j} | w_t)$



# Word2vec: objective function

For each position  $t = 1, \dots, T$ , predict context words within a window of fixed size  $m$ , given center word  $w_t$ .

$$\text{Likelihood} = L(\theta) = \prod_{t=1}^T \prod_{\substack{-m \leq j \leq m \\ j \neq 0}} P(w_{t+j} | w_t; \theta)$$

$\theta$  is all variables  
to be optimized

sometimes called *cost* or *loss* function

The **objective function**  $J(\theta)$  is the (average) negative log likelihood:

$$J(\theta) = -\frac{1}{T} \log L(\theta) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log P(w_{t+j} | w_t; \theta)$$

Minimizing objective function  $\Leftrightarrow$  Maximizing predictive accuracy

# Word2vec: objective function

- We want to minimize the objective function:

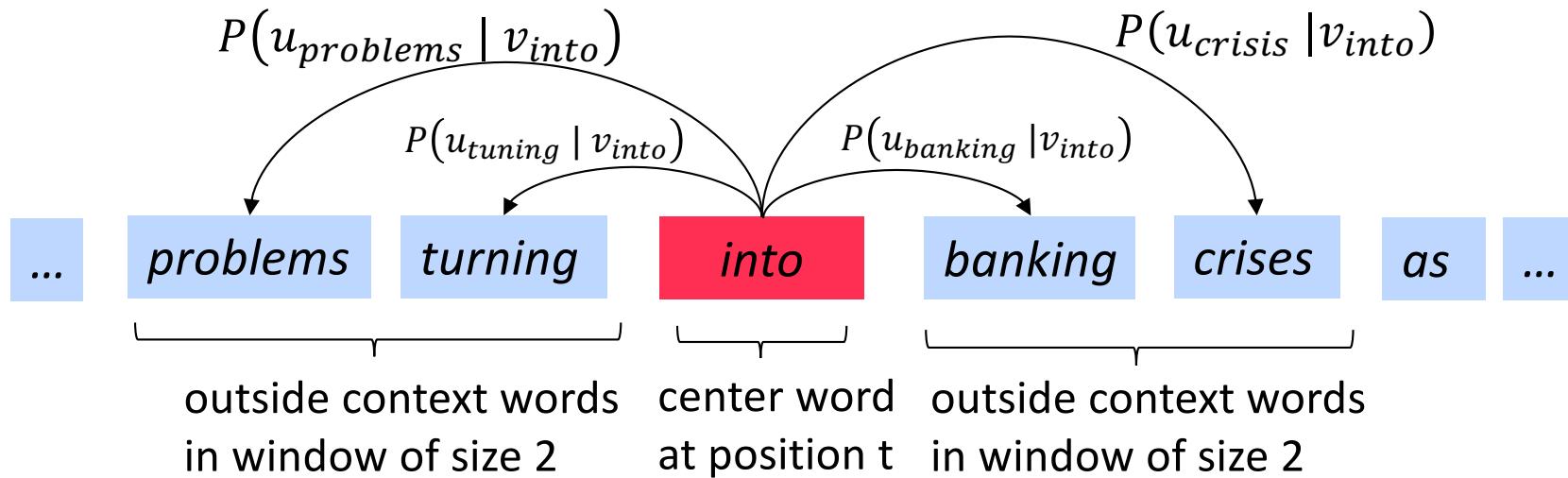
$$J(\theta) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log P(w_{t+j} | w_t; \theta)$$

- Question: How to calculate  $P(w_{t+j} | w_t; \theta)$  ?
- Answer: We will use two vectors per word  $w$ :
  - $v_w$  when  $w$  is a center word
  - $u_w$  when  $w$  is a context word
- Then for a center word  $c$  and a context word  $o$ :  
单词上下文越相似，计算得到的概率越近，词向量越相似

$$P(o|c) = \frac{\exp(u_o^T v_c)}{\sum_{w \in V} \exp(u_w^T v_c)}$$

# Word2Vec Overview with Vectors

- Example windows and process for computing  $P(w_{t+j} | w_t)$
- $P(u_{problems} | v_{into})$  short for  $P(problems | into ; u_{problems}, v_{into}, \theta)$



# Word2vec: prediction function

Exponentiation makes anything positive

$$P(o|c) = \frac{\exp(u_o^T v_c)}{\sum_{w \in V} \exp(u_w^T v_c)}$$

点积比较o和c的相似性，点积越大概率越大

Dot product compares similarity of o and c.

$$u^T v = u \cdot v = \sum_{i=1}^n u_i v_i$$

Larger dot product = larger probability

对整个词汇表标准化，给出概率分布

Normalize over entire vocabulary  
to give probability distribution

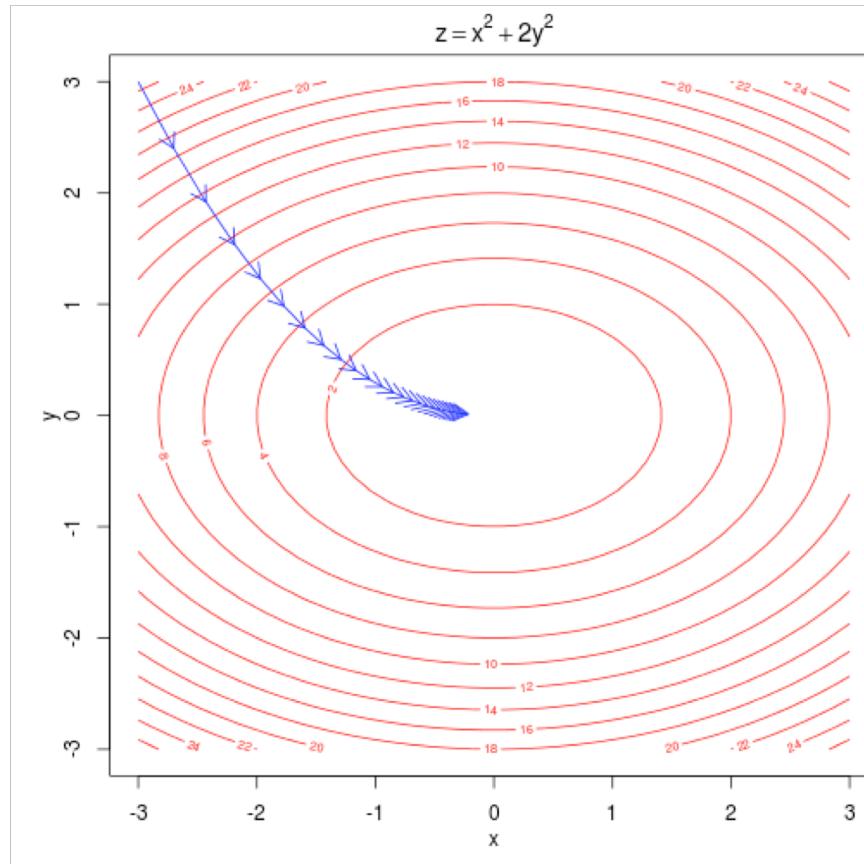
- This is an example of the **softmax function**  $\mathbb{R}^n \rightarrow \mathbb{R}^n$

$$\text{softmax}(x_i) = \frac{\exp(x_i)}{\sum_{j=1}^n \exp(x_j)} = p_i$$

- The softmax function maps arbitrary values  $x_i$  to a probability distribution  $p_i$  softmax将任意值映射到概率分布
  - “max” because amplifies probability of largest  $x_i$
  - “soft” because still assigns some probability to smaller  $x_i$
  - Frequently used in Deep Learning

# Training a model by optimizing parameters

To train a model, we adjust parameters to minimize a loss  
E.g., below, for a simple convex function over two parameters  
Contour lines show levels of objective function



# To train the model: Compute all vector gradients!

- Recall:  $\theta$  represents **all** model parameters, in one long vector
- In our case with  $d$ -dimensional vectors and  $V$ -many words:

$$\theta = \begin{bmatrix} v_{aardvark} \\ v_a \\ \vdots \\ v_{zebra} \\ u_{aardvark} \\ u_a \\ \vdots \\ u_{zebra} \end{bmatrix} \in \mathbb{R}^{2dV}$$

- Remember: every word has two vectors
- We optimize these parameters by walking down the gradient

## 4. Word2vec derivations of gradient

- Whiteboard – see video if you're not in class ;)
- The basic Lego piece
- Useful basics:  $\frac{\partial \mathbf{x}^T \mathbf{a}}{\partial \mathbf{x}} = \frac{\partial \mathbf{a}^T \mathbf{x}}{\partial \mathbf{x}} = \mathbf{a}$
- If in doubt: write out with indices
- Chain rule! If  $y = f(u)$  and  $u = g(x)$ , i.e.  $y = f(g(x))$ , then:

$$\frac{dy}{dx} = \frac{dy}{du} \frac{du}{dx}$$

## Chain Rule

- Chain rule! If  $y = f(u)$  and  $u = g(x)$ , i.e.  $y = f(g(x))$ , then:

$$\frac{dy}{dx} = \frac{dy}{du} \frac{du}{dx} = \frac{df(u)}{du} \frac{dg(x)}{dx}$$

- Simple example:  $\frac{dy}{dx} = \frac{d}{dx} 5(x^3 + 7)^4$

$$y = f(u) = 5u^4$$

$$u = g(x) = x^3 + 7$$

$$\frac{dy}{du} = 20u^3$$

$$\frac{du}{dx} = 3x^2$$

$$\frac{dy}{dx} = 20(x^3 + 7)^3 \cdot 3x^2$$

# Interactive Whiteboard Session!

$$J(\theta) = \frac{1}{T} \sum_{t=1}^T \sum_{-m \leq j \leq m, j \neq 0} \log p(w_{t+j} | w_t)$$

Let's derive gradient for center word together

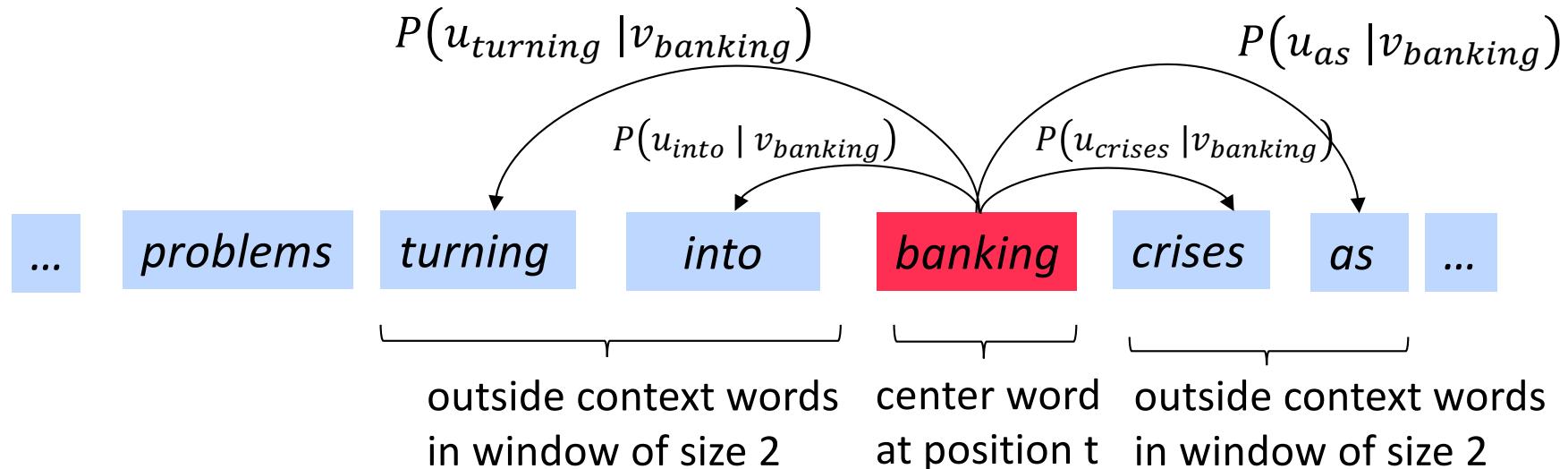
For one example window and one example outside word:

$$\log p(o|c) = \log \frac{\exp(u_o^T v_c)}{\sum_{w=1}^V \exp(u_w^T v_c)}$$

You then also need the gradient for context words (it's similar; left for homework). That's all of the parameters  $\theta$  here.

# Calculating all gradients!

- We went through gradient for each center vector  $v$  in a window
- We also need gradients for outside vectors  $u$ 
  - Derive at home!
- Generally in each window we will compute updates for all parameters that are being used in that window. For example:



# Word2vec: More details

Why two vectors? → Easier optimization. Average both at the end.

Two model variants:

1. Skip-grams (SG)

Predict context ("outside") words (position independent) given center word

2. Continuous Bag of Words (CBOW)

Predict center word from (bag of) context words

This lecture so far: **Skip-gram model**

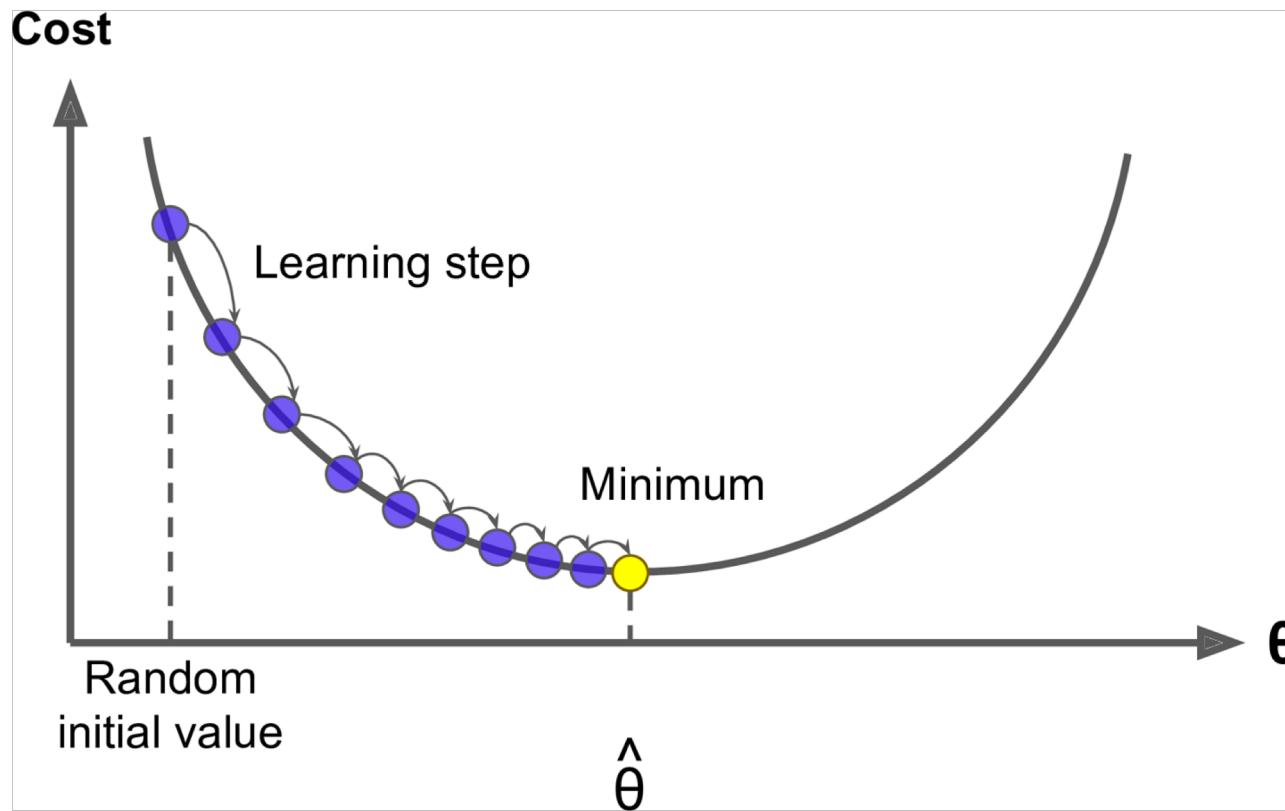
Additional efficiency in training:

1. Negative sampling

So far: Focus on **naïve softmax** (simpler training method)

## 5. Optimization: Gradient Descent

- We have a cost function  $J(\theta)$  we want to minimize
- **Gradient Descent** is an algorithm to minimize  $J(\theta)$
- Idea: for current value of  $\theta$ , calculate gradient of  $J(\theta)$ , then take small step in direction of negative gradient. Repeat.



Note: Our objectives may not be convex like this :(

# Gradient Descent

- Update equation (in matrix notation):

$$\theta^{new} = \theta^{old} - \alpha \nabla_{\theta} J(\theta)$$

$\alpha$  = step size or learning rate

- Update equation (for single parameter):

$$\theta_j^{new} = \theta_j^{old} - \alpha \frac{\partial}{\partial \theta_j^{old}} J(\theta)$$

- Algorithm:

```
while True:  
    theta_grad = evaluate_gradient(J,corpus,theta)  
    theta = theta - alpha * theta_grad
```

# Stochastic Gradient Descent

- Problem:  $J(\theta)$  is a function of **all** windows in the corpus (potentially billions!)
  - So  $\nabla_{\theta} J(\theta)$  is **very expensive** to compute
- You would wait a very long time before making a single update!
- **Very** bad idea for pretty much all neural nets!
- Solution: **Stochastic gradient descent (SGD)**
  - Repeatedly sample windows, and update after each one
- Algorithm:

```
while True:  
    window = sample_window(corpus)  
    theta_grad = evaluate_gradient(J,window,theta)  
    theta = theta - alpha * theta_grad
```

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