CaT: A Solver-Aided Compiler for Packet-Processing Pipelines

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ABSTRACT

Compiling high-level programs to high-speed packet-processing pipelines is a challenging combinatorial optimization problem. The compiler must configure the pipeline's resources to match the semantics of the program's high-level specification, while packing all of the program's computation into the pipeline's limited resources. State of the art approaches tackle individual aspects of this problem. Yet, they miss opportunities to produce globally high-quality outcomes within reasonable compilation times.

We develop a framework to decompose the compilation problem for such pipelines into three phases—making extensive use of solver engines (e.g., ILP, SMT, and program synthesis) to simplify the development of these phases. *Transformation* rewrites programs to use more abundant pipeline resources, avoiding scarce ones. *Synthesis* breaks complex transactional code into configurations of pipelined compute units. *Allocation* maps the program's compute and memory to the pipeline's hardware resources.

We prototype these ideas in a compiler, CaT, ¹ which targets (1) the Tofino programmable switch pipeline and (2) Menshen, a cycle-accurate simulator of a Verilog description of the RMT pipeline. CaT can handle programs that existing compilers cannot currently run on pipelines and generates code faster than existing compilers, where the generated code uses fewer pipeline resources.

CCS CONCEPTS

 • Networks \rightarrow Programmable networks; In-network processing.

KEYWORDS

Programmable switches; program synthesis; code generation; packet processing pipelines; integer linear programming

¹CaT stands for Code Generation and Table Allocation.

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1 INTRODUCTION

Reconfigurable packet-processing pipelines (e.g., RMT [26]) are emerging as important programmable platforms. They are embodied in many programmable high-speed switches and network interface cards (NICs) such as the Tofino [9], Trident [4], and Jericho switches [3]; the Pensando SmartNIC [1]; and Intel IPUs [8].

Programmable pipelines are organized into multiple stages, where each stage processes one packet in parallel, and hands it off to the next stage (§2.1). Each stage contains memory blocks to hold tables containing packet-matching rules and state (e.g., counters) maintained across packets. Header fields are extracted from packets to match the table rules. Once the packet's fields are matched against a rule, the packet or state can also be updated using an action.

P4 [12] is emerging as a popular language to program these pipelines. P4 offers the ability to parse packets according to custom header definitions, and specify the match types and actions on parsed packets. A P4 action may modify packet headers and state.

The compilation problem. The networking community has developed P4 programs targeting programmable pipelines for several research [23, 37, 46, 47] and production [6, 39, 44, 49] use cases. To enable these use cases, a compiler must translate P4 programs to pipeline configurations. This compiler must solve a combinatorial optimization problem with several challenging aspects to it:

(1) Multiple resource types: There are multiple pipeline resources, with some resources being scarce, e.g., pipeline stages, and others being abundant, e.g., arithmetic logic units (ALUs). Some resources must be allocated hand in hand (e.g., match memory and ALUs). (2) Transactional guarantees: P4 actions can be annotated to have transactional guarantees [13, 17]: executing to completion on each packet before processing the next one. If such a transactional P4 action requires multiple pipeline stages, the compiler must be able to split the action into multiple ALUs and stages, ensuring the

implementation respects the action's transactional semantics [52].

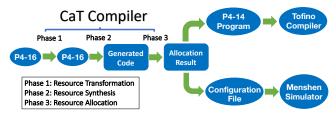


Figure 1: The workflow of the CaT compiler.

(3) All-or-nothing fit: A program for a high-speed pipeline can either run at the pipeline's highest throughput (typically line rate above a minimum packet size), or cannot run at all. Thus, it is important to "pack" all of the P4 program into the pipeline's limited resources.

Prior work has tackled several individual aspects of this compilation problem (§2.2). Such an approach loses opportunities to globally reduce resource usage (e.g., stages), which is necessary to fit complex programs on a pipeline. However, it is challenging to solve a single combinatorial optimization problem. Our goal is to find a good decomposition of the large problem into smaller pieces, enabling global optimization of resource usage, while keeping each piece small enough to solve efficiently.

Our approach. In this paper, we present an end-to-end compiler, CaT, that unifies prior approaches and translates high-level P4 programs into a low-level representation suitable for pipelined execution. We take inspiration from *high-level synthesis* (HLS)—a technology for improving productivity of hardware design for ASICs [16] and FPGAs [7].

Informally, HLS [28] takes as input a high-level algorithmic description of the hardware design with no reference to clocks or pipelining, and with limited parallelism in the description. An HLS compiler then progressively lowers this high-level description down to an optimized hardware implementation, pipelining the implementation if possible, executing multiple computations in parallel, scheduling computations in time, and converting these computations into a register-transfer level (RTL) design.

We believe such an approach to developing compilers targeting packet-processing pipelines will raise the user's level of programming abstraction, while retaining the performance of low-level pipeline programming. For a user developing algorithmic programs in P4 (such as those used for in-network computation, e.g., [37, 50, 61]), such an approach eliminates the labor of manually breaking the high-level algorithmic computation into actions spread over many pipeline stages (§3).

The workflow of our compiler, CaT, is shown in Figure 1. It consists of three phases. The input consists of P4 code containing tables that match on specific headers and action code blocks that modify packet headers and state. The action code blocks may be written without regard to their feasibility within a single pipeline stage. The first phase of CaT employs *resource transformations* that rewrite a high-level P4 program to another semantically-equivalent high-level P4 program; these rewrites are used to transform a computation's use of one scarce resource to its use of a relatively abundant resource, and potentially reduce the number of stages as well. The second phase performs *resource synthesis* to lower transactional blocks of statements in the high-level P4 program to a lower-level program suitable for hardware execution. In this step, individual

ALUs in hardware are configured to realize the programmers' intent in the transactional action blocks, while respecting the ALUs' computational limits. The third phase performs *resource allocation* to allocate the computation units corresponding to the lowered program to physical resources such as ALUs and memory in the pipeline. Notably, our compiler workflow works within the confines of the widely used P4 ecosystem without requiring the development of a new domain-specific language (DSL) for packet processing.

Our contributions. The main technical contribution of CaT's three-phase approach is the modularization of the large combinatorial optimization problem of compilation into smaller problems, whose solutions still enable a high-quality global result (§7). These smaller problems can also be fed to solver engines, simplifying the process of solving them. Additionally, we improve upon the state of the art and introduce new techniques in each phase. In particular, our resource transformations (§4) are driven by a novel guarded dependency analysis that identifies false dependencies between computations, exposing more parallelism opportunities when rewriting programs to use more abundant resources. Our resource synthesis phase (§5) uses a novel synthesis procedure that quickly finds pipelined solutions with good-quality results for complex actions. It separates out stateful updates from stateless updates, to decompose a large program synthesis problem into smaller and more tractable subproblems; each subproblem uses a program synthesis engine (SKETCH) as a subroutine. Stateless code is synthesized into a minimum-depth computation tree, i.e., with the minimum number of stages. In comparison to prior work [33], this new synthesis algorithm allows CaT to handle many large actions, in a much shorter time, and with fewer computational resources needed for compilation. Finally, our resource allocation phase (§6) uses a constraintbased formulation that extends prior work [38] to handle complex multi-stage transactional actions; this formulation can be fed to either an ILP or SMT solver. Our techniques can support general P4 programs (including @atomic constructs [13]) efficiently, including programs translated into P4 from higher-level DSLs developed for pipeline programming [32, 33, 36, 52, 55].

Our prototype of CaT can target: (1) the Tofino pipeline, and (2) an open-source RMT pipeline called Menshen (that was previously implemented on an FPGA) [10, 60]. Existing commercial switches have proprietary instruction sets that preclude the kind of low-level resource allocation and control over ALU configurations needed by CaT. Therefore, our backend for Tofino [9] generates low-level P4 in lieu of machine code. To evaluate CaT in full generality, we extend Menshen's open-source register-transfer level (RTL) Verilog model with additional resources for our experiments. We generate code for the cycle-accurate simulator of Menshen, and also use it for testing the CaT prototype. Our results (§7) show that CaT can automatically compile programs that previously required manual changes to be accepted by the Tofino compiler. On other challenging benchmarks, CaT produces good quality code and does so about 3 times faster (on average) than prior work [33].

2 BACKGROUND AND RELATED WORK

2.1 Packet-Processing Pipelines

The compiler target in this paper is a programmable packetprocessing pipeline following the Reconfigurable Match Tables (RMT) architecture [26]. Such pipelines are present in commercially available programmable switches such as the Barefoot Tofino, Broadcom Trident, and Mellanox Spectrum, and NICs such as the Pensando DPU. An RMT-style pipeline consists of (i) a programmable packet parser and (ii) a number of processing stages structured around match-action computation. We describe these components below.

A programmable parser takes in a programmer-specified header specification, and extracts packet header fields. This set of fields is termed the *packet header vector (PHV)*. PHV fields can be both read and written in each pipeline stage, termed a match-action stage. One match-action stage extracts relevant fields from the PHVs using a crossbar circuit. The fields are then matched against user-inserted rules in stage-local match memory. The memory may also contain *state*, i.e., values maintained on the switch and updated by every packet, such as a packet counter. Once a packet matches a rule, a corresponding set of actions is invoked. The actions are implemented using Very Long Instruction Word (VLIW) ALUs which may modify multiple PHV fields in one shot. Some match-action tables may be skipped entirely (e.g., due to control flow) through hardware components called *gateways*.

Three factors limit the available resources and expressiveness of packet-processing pipelines. First, to support high throughput (e.g., 6.5 Tbit/s in Tofino), pipelines are clocked at high frequencies (e.g., 1 GHz for Tofino). Thus, the pipeline must admit a new packet every clock cycle. Hence, stateful computations (read-modify-write) must finish in one clock cycle. Second, on-chip and stage-local memories are limited in size, to support fast lookup. Third, constraints on chip area and power limit the number of pipeline stages (e.g., 12 match-action stages in Tofino) and control circuitry (e.g., number of gateways and crossbars). Such exacting hardware constraints pose compiler challenges. Furthermore, program behavior is *all-ornothing*: a program that fits into the pipeline resources would run at the pipeline's clock frequency; otherwise it cannot be run. There is no graceful degradation between these extremes.

2.2 Related Work

There has been significant interest in developing compilers and domain-specific languages (DSLs) for packet-processing pipelines. We categorize the existing compiler efforts based on their support for program rewriting, code generation, and resource allocation.

DSLs for programming packet pipelines. P4 and NPL are the most widely used languages to program packet pipelines. They share many syntactic and semantic aspects. Several academic projects have proposed new DSLs or extensions to P4 to remedy many of P4's shortcomings. For instance, microP4 [56] adds modularity to P4. Lyra [32] addresses the issue of portability of programs across multiple devices. Lyra and FlightPlan [57] address the problem of partitioning a program automatically across multiple devices. Lucid [55] introduces an event-driven programming model for control applications in the data plane. P4All [36] extends P4 to support 'elastic' data structures, whose size can grow and shrink dynamically based on the availability of switch resources. Domino [52] is a DSL that supports transactional packet processing: a programmer specifies a block of code that is executed on each packet in isolation from other packets. These languages can all be translated into P4, and in this paper, we directly take P4 programs as our starting point.

Thus, our work is complementary to work on such new DSLs. One limitation of CaT is that it does not currently handle the problem of partitioning a *network-wide* program into per-device programs, like Lyra and Flightplan. Instead, our goal is to build a high quality compiler that inputs a P4 program for a *single device* and outputs a high-quality implementation for that device.

Program rewriting. The open-source reference P4 compiler [11], which is the foundation for most P4 compilers including the widely-used Tofino compiler [9], employs rewrite rules to turn an input P4 program into successively simpler P4 programs. These rewrite rules consist of classical optimizations like common sub-expression elimination and constant folding. Rewrite rules are also employed by Cetus [43] and Lyra [32] to merge tables in different stages (under certain conditions) into a single "cartesian-product" table in a single stage, thereby saving on the number of stages. CaT uses rewrite rules to transform uses of scarce resources (gateways, stages) to more abundant ones (tables, memory, ALUs), in a style similar to Cetus.

Code generation for complex actions. Domino [52] and Chipmunk [33] tackle the problem of code generation: selecting the right instructions (i.e., ALU opcodes) for a program action expressed in a high-level language. These compilers have to respect the limited capabilities of each stage's VLIW ALUs while correctly implementing state updates according to @atomic semantics for transactions (§2.1). Domino largely uses rewrite rules and employs program synthesis to code-generate just the stateful fragments in the action, but minor semantic-preserving modifications to programs can cause compilation to fail. Chipmunk addresses this drawback of Domino by using program synthesis to exhaustively search for ALU configurations that could implement a high-level program, but at the expense of high compile time. Lyra [32] uses predicate blocks, chunks of code predicated by the same path condition, to break up algorithmic code into smaller blocks that have only inter-block (but no intra-block) dependencies. CaT's resource synthesis is faster than Chipmunk's and more reliable than Domino's (Table 5, §7.3). It generalizes Lyra's predicate block approach by considering ALUs expressed via a parameterizable grammar, such that the procedure is independent of the operations in the program's source code or intermediate representation.

Resource allocation. The problem of allocating specific resources required by a P4 program (e.g., match memory blocks, a specific number of ALUs, etc.) can be posed as an integer linear programming problem (ILP) [36, 38] or as a constraint problem [32] for Satisfiability Modulo Theory (SMT) solvers [22]. If the constraints of the hardware are modeled precisely, ILP-based techniques can improve resource allocation relative to greedy heuristics for resource allocation. To this end, CaT's resource allocation (§6) uses a fine-grained constraint-based formulation that models detailed pipeline resources and enables global optimization by considering dependencies across tables as well as within actions.

3 CAT: MOTIVATION AND OVERVIEW

Motivation. Today, P4 developers typically write down actions in P4 programs with the assumption that each action must finish in one stage. However, tracking the hardware-level feasibility of an action leads to thinking at an unnecessarily low level of abstraction,

Table 1: CaT unifies prior work in P4 compilers (first column) to provide and improve various features (listed in other columns) in an
end-to-end flow, and does so within the context of the P4 language without needing a new DSL.

Project	Program Rewriting	Code Generation	Resource Allocation	Retargetability		New Language Constructs	
				Instruction Sets	Resource Constraints		
Domino [52]	Yes	Rewriting, some program synthesis		Atom templates		Packet transactions	
Chipmunk [33]		Program synthesis		ALU DSL		Packet transactions	
Lyra [32]	Yes		SMT		SMT constraints	Network-wide programs	
Flightplan [57]		Resource rules	Resource rules		Resource rules	Network-wide programs	
Cetus [43]	Yes		Table Merging, PHV Sharing		SMT constraints		
P4All [36]			ILP		ILP constraints	Elastic data structures	
Jose et al. [38]			ILP		ILP constraints		
Lucid [55]		Memops		Memops		Event-driven programming	
Tofino compiler [9]	Yes	Yes	Heuristics				
CaT (this work)	Yes	Min-depth tree synthesis	ILP/SMT	ALU grammars	ILP/SMT constraints	P4's atomic construct	

Table 2: Detailed relationship of the 3 phases of CaT with prior work on compilers, HLS, and packet-processing pipelines.

CaT compiler phase	CaT technique	Builds on prior work	Differences in CaT	Other complementary work
1: Resource transformation	Rewrite rules	LLVM [42], HLS [7, 28], p4c [11]	Rewrite rules target RMT, based on novel guarded dependency analysis	p4c [11] uses platform-independent rewrites, Cetus [43] merges tables
2: Resource synthesis	Mapping operations to ALU pipeline	HLS operation binding [7, 28]	Stateful updates restricted to 1 stage	Lucid [55] uses syntactic rules to ensure opera- tions map to Tofino
	Synthesis procedure uses SKETCH queries for program synthesis	Chipmunk [33]	Novel synthesis procedure: faster, more scalable, uses smaller queries	
	Target portability: via parameterizable grammars for ALUs	Sketch [54], Chipmunk [33]	Generate resource graph (used in Phase 3), not low-level ALU configs	
	Preprocessing: branch removal, SSA, SCC in computation graph Simplifications: const prop, expr simplification, deadcode elimination	Domino [52], SSA [29], VLIW [41] LLVM [42]	No backward control flow (similar to Domino) No backward control flow	
3: Resource allocation	Constraints for match memories	Jose et al. [38], Lyra [32]	Associates match memories with corresponding action resources (ALUs)	
	Constraints for multi-stage actions	HLS scheduling [28], Domino [52], Chipmunk [33]	Uses result of Phase 2 for intra-action dependen- cies and ALU output propagation	
	Constraints for multiple transactions	Domino [52], Chipmunk [33] handle a single trans- action only	Enforces inter-table and intra-action dependencies for global optimization	
	Modeling real hardware constraints in backend FPGA target	Menshen provides a FPGA backend target [60]	Extended functionality of resources in comparison to Menshen	Tofino compiler uses heuristics

especially when developing high-speed algorithmic code. Consider the example pseudocode (motivating example ME-1) shown in Figure 2. This function implements the SipHash algorithm, used as a hash function to prevent collision-based flooding attacks [21]. The developer of a P4 version of this algorithm (distinct from the authors of this paper) started with a high-level transactional description of the algorithm (Table 3, [61]). The developer then manually changed it into a pipelined implementation (Table 4, [61]), because the algorithm as expressed cannot be compiled by the Tofino compiler since it cannot be finished in one stage. We believe that a good compiler should automate this process of synthesizing pipelined implementations from transactional specifications. Indeed, CaT can successfully handle this example (discussed in §7.3), without requiring an expert developer to manually pipeline their code. Furthermore, beyond automatically pipelining a single transaction, a compiler should be capable of pipelining *multiple* such transactions, generating pipeline configurations for their resulting implementations, and then allocate physical resources in the pipeline for these implementations. Finally, P4 programs can often be written in different ways, which consume different kinds of resources; if possible, a compiler must be able to transform uses of a scarce resource into uses of an abundant resource, e.g., using larger tables in lieu of more stages [43].

CaT's approach. CaT is an end-to-end compiler for P4-16 programs that takes inspiration from high-level synthesis (HLS) [7, 16] to provide both: (1) a high level of abstraction for specifying packet-processing functionality, and (2) high quality of the compiler-produced implementation. While prior approaches to HLS for ASICs and FPGAs have sometimes resulted in poor quality of the generated implementation, we believe that the narrower domain of packet-processing pipelines is particularly well-suited for applying HLS

```
#define ROTL(x, b) (uint32_t) ((x << b) | (x >> (32 - b)))
void siphash(uint32_t v0, uint32_t v1, uint32_t v2, uint32_t v3) {
     1) v0 += v1;
                                 8) v0 += v3:
     2') v1 = ROTL(v1, 5);
                                 9) v3 = ROTL(v3, 7);
     3) v1 ^= v0:
                                 10) v3 ^= v0:
     4) v0 = ROTL(v0, 16);
                                  11) v2 += v1:
     5) v2 += v3;
                                  12) v1 = ROTL(v1, 13);
     6) v3 = ROTL(v3, 8);
                                 13) v1 ^= v2;
     7) v3 ^= v2;
                                 14) v2 = ROTL(v2, 16);
```

Figure 2: Motivating Example ME-1: SipHash was manually split into four stages and rewritten by P4 programmers [61].

gainfully for 2 reasons. First, HLS techniques are designed to systematically explore tradeoffs between functionality (e.g., which ALU can implement an operation?), capacity (e.g., how many ALUs, gateways, etc.?), and scheduling of resources (which stage should run an operation?)—a core challenge in compiling to packet-processing pipelines. Second, HLS techniques can be effective in pipelining transactional code with updates to state, while providing transactional semantics to the programmer: the illusion that each packet modifies headers and state in isolation from other packets.

CaT overview. To produce high quality implementations, CaT combines ideas from several prior P4 compiler projects (Table 1) into an end-to-end system for the first time. CaT divides up the process of compiling a P4-16 program into three phases, as shown in Figure 1; the detailed relationship of these phases to prior HLS and compiler research is shown in Table 2. First, resource transformations rewrite packet-processing programs in P4 from one form (that makes use of a scarce resource) to another form (that makes use of a more abundant resource). Second, resource synthesis employs a novel algorithm based on program synthesis to synthesize low-level resource graphs with hardware ALUs, from a high-level transactional specification of a match-action table's action functionality. Third, resource allocation employs ILP or SMT solvers to

allocate computations and data structures to memory blocks and action units, while respecting program dependencies and per-stage resource constraints. Throughout the 3 phases, CaT makes pervasive use of solver engines to simplify the development of and improve the quality of the compiler.

How CaT factorizes the compilation problem. The problem of optimal code generation in compilers is known to be NPcomplete [18] in general. Within the context of P4, Vass et al. [59] show that the problem of compiling P4 programs to pipelines is NP-hard. These results suggest that a compiler may have to decompose the problem in some way to tradeoff optimality for reasonable performance. In CaT's approach, Phases 2 and 3 can be viewed as an action-block based decomposition of the P4 compilation problem. In Phase 2, we perform *local* resource synthesis for each individual action block (after transformations in Phase 1). Then, in Phase 3, we use these local synthesis results to perform a global resource allocation for all action blocks. This keeps the synthesis runtime manageable in practice while still attempting a good quality allocation of computation to resource units. Furthermore, our Phase 2 supports rich computations in action blocks that could require multiple stages as well as transactional (@atomic) semantics. In the rest of the paper, we refer to action block computations as transactions. The next three sections detail the three phases of our compiler.

4 PHASE 1: RESOURCE TRANSFORMATION

In the first phase of our compiler, we perform source-to-source rewrites in P4, with the goal of transforming a program that makes use of scarce resources, to one that makes use of more abundant resources. Rewrite rules provide a flexible and general mechanism for this purpose, and can be easily extended by adding more rules for new backend targets and resources. CaT includes rewrite rules for if-else statements in the control block of a P4 program. The standard p4c compiler transforms each action in an if-else branch into one default table, i.e., a table without a match key and with only one action. Our rewrites effectively merge together multiple (possibly nested) if-else statements into one bigger table with keys, thereby using fewer gateway resources (which are used to implement if-else branches) than multiple default tables dispersed in if-else branches. Such rewrites are in turn driven by a novel guarded dependency analysis that identifies parallelism opportunities by eliminating false dependencies — this often leads to reduced usage of pipeline stages in a program.

4.1 Guarded Dependencies

The sequence of program statements inside the apply $\{\dots\}$ block of a P4 control block can be treated as a branching program (without loops) with (possibly nested) if-statements, reads and writes to PHV fields, and apply statements, which apply match-action tables. This program induces Read-after-Write (RAW), Write-after-Read (WAR), and Writer-after-Write (WAW) dependencies between pairs of program statements, which must be respected during synthesis and resource allocation. Conventionally, these dependencies are defined between pairs of program statements without accounting for *path conditions* [40], i.e., conditions under which a control path in a program is executed. Specifically, a dependency due to variable v between two statements s_1 and s_2 is denoted as $(v@s_1 \rightarrow v@s_2, t)$, where $t \in \{RAW, WAR, WAW\}$.

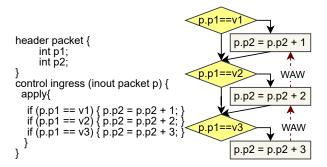


Figure 3: Motivating Example ME-2: The control flow graph of a P4 control block (left); snippet taken from a different portion of SipHash [15]. Dependencies shown as dotted red edges. $v1 \neq v2 \neq v3$ are constants.

Consider the motivating example ME-2 shown in Figure 3, inspired by a different portion of the SipHash program [15]. The WAW dependencies (shown on the right) cause the Tofino compiler to produce an implementation with 3 pipeline stages. However, these WAW dependencies are not real, since the if-conditions guarding these assignments are disjoint. Indeed, a developer of this program recognized the disjoint conditions and manually changed the program to use a single block of if...else if...else if... statements, thereby reducing the pipeline usage of the compiled program to 1 stage. We aim to automate such rewrites. In particular, p4c and the Tofino compiler miss these rewrites in ME-2, likely due to a conservative dependency analysis.

To solve this issue, we propose guarded dependencies, which take into account path conditions along control paths. Given a control-flow graph (CFG) C for a P4 control block, a guarded dependency between nodes $(n_1, n_2) \in C$ is defined as a tuple $(v@s_1 \rightarrow v@s_2, t, \phi)$, where v is the variable of concern at statement s_1 (in node n_1) and statement s_2 (in node n_2), $t \in \{RAW, WAR, WAW\}$, and ϕ (called a guard) is a formula that describes all the path conditions under which node n_2 may be visited after node n_1 is visited. A procedure based on symbolic execution [40] or model checking [24] that computes path conditions can be used to determine precise guarded dependencies for the program. In particular, we can use an SMT solver to identify false dependencies, i.e., dependencies where ϕ is unsatisfiable. Since running model checking or symbolic execution on the input program can be expensive, we next describe a faster lightweight analysis for analyzing guarded dependencies in CaT.

4.2 Lightweight Guarded Dependency Analysis for CaT Rewrites

We now describe a lightweight analysis that helps CaT determine *guarded dependencies* in a P4 program. First, we check that none of the assignment statements update any variables used in conditions of if-else statements. Such updates lead to WAR dependencies and complicates the analysis; we currently choose to not perform any rewrites in such cases. When there are no such updates, the path condition for each CFG node is a simple logical AND of branch conditions, which we compute by a depth-first traversal of the CFG. During the depth-first traversal, branch conditions are pushed/popped on a stack at branch/merge points, respectively. For a pair of nodes $(n_1, n_2) \in C$ with a guarded dependency, the guard

```
action a1
header packet {
                                                                         p.p2 = p2 + 1;
                                            key = { p.p1: exact; }
     int p1:
                                            actions = { a1, a2, a3 };
                                                                        action a2
control ingress(
                                            const entries
                                                                         p.p2 = p2 + 2;
       .p1 == v1)
                                            { v1: a1: v2: a2:
          == v2) { p.p2 = p.p2 + 2; }
== v3) { p.p2 = p.p2 + 3; }}
                                                                        action a3
                                             v3: a3; }
                                                                        p.p2 = p2 + 3;
Program ME-2, Pre-transformation Program ME-2, Post-transformation
                                           action get df lo
                                                                      action set_df_lo
if (flipflop == 1) {
    if (lo_flag == 1) {get_df_lo();}
                                                                      drop_flag_lo = 0
     else { drop_flag_lo = 0;} /*
                                             key = { flipflop : exact;
    if (...) {...} else {...} /* T2*/
if (...) {...} else {...} /* T3 */
                                                     lo_flag : exact; }
                                             actions = { get df lo; set df lo; }
  } else { // flipflop
                      == 0
                                             const entries = {
    if (lo_flag == 1) {get_df_lo();}
                                              (1,1): get df lo(); (1,0): set df lo();
    else {drop_flag_lo = 0;} /*
                                              (0,1): get_df_lo(); (0,0): set_df_lo();}
    if (...) {...} else {...} /* T2 */
    if (...) {...} else {...}} /* T3 */ }}
                                           Table T2
                                                                     Table T3
Program ME-3, Pre-transformation Program ME-3, Post-transformation
```

Figure 4: Illustration of Phase 1 Rewrites in CaT, on motivating examples ME-2 (from Figure 3) and ME-3 (taken from a UPF Rate_enforcer [14] example provided by P4 programmers).

 ϕ is a logical AND of the computed path conditions for n_1 and n_2 . If ϕ is unsatisfiable, then this is a false dependency and removed; otherwise it is conservatively retained as a dependency. For our ME-2 example in Figure 3, this analysis finds that the shown WAW dependencies are false, and removes them.

4.3 Rewrites to Match-Action Tables

We now focus on (possibly nested) if-else statements where the branch conditions are tests on packet fields that can be implemented as keys in a match-action table. Based on the guarded dependency analysis, if there is no dependency between the branches, then we can rewrite them into a match-action table. The key of the generated table is comprised from packet fields used in the if-else conditions, and the actions are the computations within each branch. For example, Figure 4 illustrates our rewrites on two P4 programs - ME-2, and another motivating example ME-3 taken from a UPF Rate_enforcer example [45]. After rewriting, both ME-2 and ME-3 use only match-action tables and thus no gateway resources. ME-2 uses only 1 stage post-rewriting vs. 3 stages before rewriting (due to false WAW dependencies). ME-3 also uses only 1 stage postrewriting vs. 2 stages before rewriting (due to needing too many gateway resources to fit into 1 stage). These motivating examples drawn from real-world P4 programs show the effectiveness of our approach, where manual steps taken by a programmer to reduce resource usage are successfully automated by CaT.

5 PHASE 2: RESOURCE SYNTHESIS

For the second phase, we propose a novel procedure to perform resource synthesis on each P4 action block. Like Chipmunk [33], we too use the SKETCH program synthesis tool [54] to generate a *semantically equivalent* pipelined hardware implementation using ALUs. However, there are several important differences from Chipmunk, which we summarize at the end of this section, after describing our procedure.

5.1 Preprocessing of a P4 Action

We preprocess each action block of the P4 program to prepare for synthesis. We first use some standard preprocessing steps, similar to Domino [52], including (a) branch removal (by replacing assignments under branches with conditional assignments), (b) creating two temporary packet fields for each stateful variable – *pre-state field* (denoting its value before update) and *post-state field* (denoting its value after update), and (c) conversion to static single-assignment (SSA) form [29].

In addition, and differently from Domino, we perform several static analyses during preprocessing: constant folding, expression simplification, and dead code elimination. These analyses are useful in simplifying the action block of a P4 program, thereby reducing the difficulty of the subsequent SKETCH queries. While preprocessing can create temporary packet fields, we neither add nor delete stateful variables during preprocessing simplifications.

5.2 Computation Graph for a P4 Action

After preprocessing, we construct a dependency graph (similar to Domino), with nodes for each program statement and an edge for each RAW dependency.² Edges in both directions are also added to/from the pre/post-state fields of each stateful variable. The strongly connected components (SCCs) of this graph correspond to stateful updates, which are condensed to form a computation graph G. Thus, G is a directed acyclic graph (DAG) with nodes for program computations (some with stateful updates) and edges for RAW dependencies. Nodes in G are partitioned into two sets: stateful nodes are formed from SCCs on the dependency graph, containing a set of program statements that describe an atomic stateful update; stateless nodes are the other nodes in the dependency graph. Each edge (u, v) is mapped to a packet field variable that appears in the LHS of the assignment at u and in the RHS of the assignment at v. We call source edges of G primary inputs (PIs); each is associated with an input packet field variable. We call outgoing edges of G primary outputs (POs), each is associated with a final value written to a packet field variable.

5.3 Synthesis Procedure for a P4 Action

Synthesis for a P4 action is now performed on the computation graph G. Rather than create a large synthesis query for the entire *G*, we decompose the problem into multiple smaller synthesis queries. Specifically, we generate individual synthesis queries for the following variables in G: (1) the output stateful variable of each stateful node (i.e., the LHS of the stateful update assignment), (2) each input variable to a stateful node (i.e., any variable in the RHS of a stateful update assignment), and (3) each primary output (PO) variable, which corresponds to a packet field. Each synthesis query finds an ALU-based implementation and is parameterized by an ALU grammar that specifies the functionality of the ALUs (stateful or stateless) available in a given hardware target. These implementations are then connected together according to G, to result in a resource graph R, where a node v represents an ALU, and an edge (u, v) indicates that the output of ALU u is connected to an input of ALU v. We prove that our synthesis procedure is correct: the resource graph *R* is functionally equivalent to *G*.

Our synthesis procedure is shown in **Algorithm 1**, which consists of four main steps: 1) normalization; 2) folding and predecessor packing optimizations; 3) synthesis of stateful updates; 4) synthesis

²Conversion to SSA form removes WAW and WAR dependencies.

```
21 for v \in \mathit{Stateful} \ \mathbf{do}
   Input:
                                                                                          s \leftarrow querySketchStateful(v, A_1);
       1. Computation graph G = (V, E), with
                                                                                  22
          V = \mathsf{Stateful} \cup \mathsf{Stateless}, where \mathsf{Stateful} is the set of
                                                                                          if s \equiv \textit{FAILURE} then
          stateful nodes and Stateless is the set of stateless nodes;
                                                                                  24
                                                                                              abort(
       2. Primary outputs POs: Outgoing edges of G
                                                                                              "Error synthesizing stateful node " + v);
                                                                                  25
       3. Stateful ALU grammar A_1, stateless ALU grammar A_2;
                                                                                  26
                                                                                          end
       4. Number of pipeline stages available in hardware,
                                                                                  27 end
          numPipelineStages.
                                                                                      // Step 4: Min-depth solutions for stateless code
   Output: Synthesized code for each primary output (PO) and
                                                                                  29 0 \leftarrow POs \cup \{\mathbf{inputs}(v) | v \in \mathsf{Stateful}\};
               each stateful update.
                                                                                  30 Order elements of POs according to topological order in G;
 {\bf 1}\ \ //\ {\rm Step}\ 1: Normalize the computation graph to ensure every stateful
                                                                                  31 for o \in \mathcal{O} \operatorname{do}
      node in G has out-degree 1.
                                                                                  32
                                                                                          // Compute the Backwards Cone of Influence (BCI) of o
 2 Normalize(G):
                                                                                          spec \leftarrow computeBCI(o); // spec of o
                                                                                  33
 3 // Step 2: Perform predecessor packing and folding optimizations.
                                                                                          i \leftarrow 1; // initial depth of solution tree
                                                                                  34
 \textbf{4} \ \texttt{graphModified} \leftarrow \texttt{TRUE};
                                                                                          // Loop over i to find a minimum depth solution tree
 5 // Iterate until fixpoint
                                                                                          while i \leq numPipelineStages do
                                                                                  36
 6 while graphModified do
                                                                                  37
                                                                                              s \leftarrow \text{querySketchStateless(spec}, i, A_2);
       // Folding: \mathbf{tryFold} returns TRUE iff G changed
                                                                                              if s \equiv SUCCESS then
                                                                                  38
       for (u, v) \in E do
 8
                                                                                   39
                                                                                                  break;
            if v \in \mathit{Stateful} \land u \in \mathit{Stateless} then
 9
                                                                                  40
                                                                                              else
            graphModified \leftarrow tryFold(G, u, v, A_1);
10
                                                                                  41
                                                                                               i \leftarrow i + 1; // increment depth
11
           end
                                                                                              end
                                                                                  42
       end
12
                                                                                          end
                                                                                   43
13
         / Predecessor packing: tryPack returns TRUE iff G changed
                                                                                  ^{44} end
       for (u, v) \in E do
14
                                                                                      Auxilliary procedures:
           if u \in \mathit{Stateful} \lor v \in \mathit{Stateful} then
15
                                                                                      procedure tryFold(G, u, v, A_1): Query SKETCH to
              graphModified \leftarrow tryPack(G, u, v, A_1);
16
                                                                                      determine if edge (u, v) can be folded into stateful node v
17
           end
                                                                                      using stateful grammar A_1. If query succeeds, edge (u, v) is
       end
18
                                                                                      removed from G.
                                                                                      procedure tryPack(G, u, v, A_1): Query SKETCH to
20 // Step 3: Synthesis of stateful updates
                                                                                      determine if nodes u, v can be packed into a single new
                                                                                      stateful node using stateful grammar A_1.
```

Algorithm 1: CaT Synthesis Procedure. Calls that use a SKETCH query are highlighted in blue.

of minimum-depth solutions for stateless code. The critical step is Step 3, which queries SKETCH to see if each stateful update assignment can be synthesized into configurations for a single stateful ALU. If any such query fails, then we terminate the procedure and provide feedback to the programmer. We create separate synthesis queries to perform optimizations in Step 2, to help Step 3 succeed. Finally, Step 4 creates synthesis queries to implement the POs and inputs to the stateful nodes.

Step 1: Normalization of computation graph *G***.** In the typical hardware backends that we target (e.g., Menshen, Tofino), a stateful ALU can output a single value that is either the pre-state or the post-state value of one of its stateful registers. In this step, we normalize *G* to a graph such that each stateful node has only one output, and each packet field labelled as an out-edge from a stateful node is either the *pre-state field* or the *post-state field*. Normalization is performed by replicating stateful nodes that have multiple outputs.

Step 2: Folding and predecessor packing optimizations. We iterate the following two optimizations until convergence.

Folding to reduce input edges. A stateful node with too many inedges could cause Step 3 to fail, due to a limited number of inputs available in ALUs. The **folding optimization** finds opportunities to reduce the number of in-edges to a stateful node. We consider dependent inputs, i.e., inputs that are themselves functions of other inputs to the same stateful node. For each such candidate i, we query SKETCH to check if the function that computes i can be folded into the stateful node itself, such that the enlarged node fits into a single stateful ALU. If the synthesis query is successful, i is removed. Figure 5 shows an example benchmark—BLUE (decrease) [31]—where this works successfully. Here, folding reduces an edge between the top two nodes in the computation graph G (extreme left of Figure 5), thereby reducing the pipeline usage by 1.

Predecessor packing to merge nodes. Even after folding, the state-ful update in a single node in *G* might not *fully utilize* an available stateful ALU in hardware. Consider again the BLUE (decrease) example in Figure 5, where the middle box shows *G* after folding. Here, a single Tofino stateful ALU can actually implement both stateful updates (in blue boxes) in a single stage, as shown by a merged node on the right. To achieve this compaction, we use a simple heuristic called **predecessor packing**, inspired by technology mapping for hardware designs [27]. The key idea is to pack more into a stateful ALU by attempting a merge of nodes *u* and *v*, where at least one node is stateful and where predecessor *u* has only one out-edge (to *v*). Like folding, we implement the packing attempt via a SKETCH query, and merge the nodes if the query is successful. In our evaluations (§7.3), we show that these optimizations are effective in compiling to fewer pipeline stages.

Step 3: Synthesizing stateful updates. We are now ready to synthesize the outputs of the stateful nodes in *G*. To preserve the

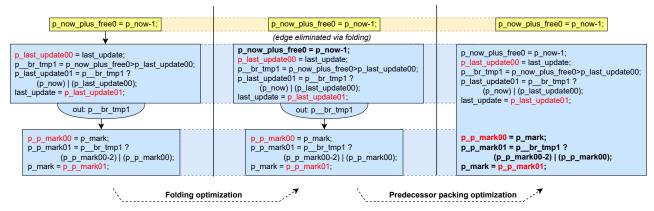


Figure 5: Computation graph for the BLUE(decrease) benchmark [31] (leftmost) and the sequence of optimizations performed by CaT when targeting the Tofino ALU. Stateful nodes are in blue, stateless nodes are in yellow, pre/post-state fields are in red, modified parts are in bold.

transactional semantics of the program, each stateful update must be completed within a single pipeline stage, i.e., the update operation must fit in a single stateful ALU. Accordingly, for each stateful node in G, we generate a SKETCH query to check if the stateful update operation can be implemented by a single stateful ALU. The functionality of the stateful ALU available in hardware is specified using an ALU grammar A_1 , which is expressed as a large block of multiple if-else statements with one case for each opcode. We assert that each such query succeeds; if any query fails, our procedure exits with an error, giving feedback to the programmer.

Step 4: Minimum-depth solutions for stateless code. In the last step, we synthesize code for the POs and inputs to the stateful nodes in G (line 29). For each such variable o to be synthesized, we first compute its backwards cone of influence (BCI), which is often used in verification/synthesis tasks to determine the dependency region up to some (boundary of) inputs [35]. In graph-theoretic terms, $\mathrm{BCI}_G(o)$ is a subgraph in G derived by going recursively backward from o, stopping at a PI or an output of a stateful node. Essentially, the BCI provides the *functional specification* for o in terms of a set of inputs, where each input is a PI or the output of a stateful node in G. Note that these specifications are stateless, i.e., they do not include any stateful nodes.

We model a switch's stateless ALU functionality using an ALU grammar A_2 (expressed as a large block of if-else statements). We use SKETCH to find a *minimum-depth tree* solution for o, where each tree node represents a stateless ALU, and the leaf nodes represent the inputs in $\mathrm{BCI}_G(o)$. A minimum-depth solution helps reduce the number of pipeline stages – this is explained in more detail in the next section (§5.4). Since SKETCH does not support optimal synthesis, we invoke it in a loop to minimize depth, where each iteration tries to find a solution tree of a given depth i (line 35), starting from 1 and continuing until i exceeds the maximum number of pipeline stages. An example computation graph with a single stateful update (blue box) and the associated synthesis query results are shown in Figure 6.

5.4 Staged-Input Tree Grammar for Synthesis

We now describe details of the grammar used for the synthesis queries in Step 4, where each query (in line 37) tries to find a solution tree of a given depth *i* for implementing a given variable *o*. Initially, we used a simple recursive tree grammar for the SKETCH

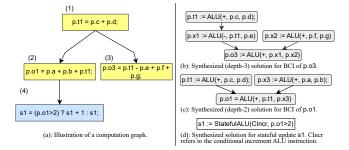


Figure 6: Example of a computation graph (left) and the synthesis query results (right) targeting Banzai ALUs [52]. Stateful nodes in blue and stateless nodes in yellow. The POs are: {p.o1,p.o3}. p.o1's BCI contains nodes 1 and 2; p.o3's BCI contains nodes 1 and 3.

query, where each tree node is an ALU (specified by a stateless ALU grammar A_2) and its children are the ALU operands; and a leaf node is an input in $\mathrm{BCI}_G(o)$, i.e., either a primary input (PI) or an output of a stateful node in G. By iteratively incrementing i, we were able to find a minimum-depth tree solution for o.

However, even with a minimum-depth tree solution for each variable o, when we compose together these solution trees according to G, the number of pipeline stages for the entire action may not be the minimum possible. This is because with this simple grammar, the depth is optimized to be minimum within an individual synthesis query for o, without considering the larger scope of the entire action. As a concrete example, consider the computation graph G for the Flowlet switching benchmark [51] shown in Figure 7. As before, blue nodes are stateful nodes and yellow nodes are stateless. In addition, we show two synthesized solutions for the variable p_br_tmp0, with the specification p_br_tmp0 = (p_arrival0 - p_last_time_0 > 2). Its BCI has two inputs: p_arrival0 is a PI, and p_last_time_0 is the output of the stateful node 1.

Note first that the BCI input p_last_time_0 can only be available after stage 1 within the overall action (stages are numbered starting from 1), since the implementation of node 1 occupies one stage. Now, consider a minimum-depth solution with nodes 2 and 3 (both shown in orange), where node 1 provides an input to the ALU operation in node 2, which in turn provides an input to the ALU

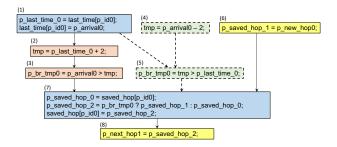


Figure 7: Computation graph for the Flowlet switching benchmark [51], showing two minimum-depth solutions for variable p_br_tmp0 using Banzai ALUs: nodes {2, 3} and nodes {4, 5}.

operation in node 3, which computes p_br_tmp0 . Hence, p_br_tmp0 is computed in stage 3 and is available at the end of stage 3.

Consider a second minimum-depth solution shown by dashed nodes and edges, with nodes 4 and 5 (both shown in green). Like the first solution, it also has two ALU operations and the same minimum depth 2. However, the ALU operation in node 4 is *independent* of p_last_time_0, and can be computed in parallel with node 1. This allows p_br_tmp0 to be computed in stage 2, making it available at the end of stage 2. This example shows that although both solutions have the minimum depth 2, the second is better because p_br_tmp0 can be computed in an earlier stage for the overall action.

Since the number of pipeline stages is often a critical resource in compiling P4 programs, we consider the larger scope of the action in each individual synthesis query. We achieve this by augmenting our tree grammar for a query, where an input in the BCI is now associated with a stage, which denotes the stage within the action at which the input is available to be used. We call this grammar a staged-input tree grammar. We regard a primary input (PI) in G as being available for use at stage 1, and the output of a stateful node being available for use at some stage s > 1, where s depends on its own implementation. In each individual synthesis query, we now look for a minimum-depth tree solution that produces the output at the earliest possible stage, based on stage information of the inputs in its BCI. To compute the latter, in Step 4, we use a topological ordering over the set of outputs o in G (line 30), such that any input in $BCI_G(o)$ is already implemented before the synthesis query for o. For the example in Figure 7, our synthesis query with a stagedinput tree grammar returns the solution with nodes 4 and 5 (in green) for the output p_br_tmp0 . The complete SKETCH input for this query is shown in Appendix A, which includes the grammars for a staged-input tree and for a stateless ALU.

5.5 Final Result of the Synthesis Procedure

The final result of the synthesis procedure is represented in the form of a resource graph R for a given P4 action block, where each node v in R represents a stateful or a stateless ALU, and an edge (u,v) in R indicates that the output of ALU u is connected to an input of ALU v. These resource graphs play an important role in resource allocation, the next phase of our compiler. We now state and prove correctness of our synthesis procedure.

THEOREM 1 (CORRECTNESS). The result of the CaT synthesis procedure (Algorithm 1) on a computation graph G is correct.

PROOF SKETCH. The synthesis procedure works by decomposing G (after correctness-preserving normalization and optimizations in Steps 1 and 2, respectively) into subgraph components comprising of: (1) outputs and inputs of stateful nodes, (2) inputs of stateful nodes and their stateless BCIs, and (3) POs and their stateless BCIs. Each such subgraph of G represents a *specification* for a synthesis query (in Steps 3 or 4), which generates a corresponding *implementation* using ALUs, i.e., a subgraph in the resource graph R. Based on correctness of program synthesis in SKETCH [54], each stateful node output, stateful node input, and PO in R is functionally equivalent to that in G. Hence the synthesis procedure is correct.

5.6 Comparison with Synthesis in Chipmunk

Our motivation for a new synthesis procedure was improving the performance of synthesis in Chipmunk [33], which also uses SKETCH. CaT and Chipmunk have several differences.

First, CaT creates multiple *smaller* synthesis queries for SKETCH. Although Chipmunk uses a slicing technique to create per-output queries, the scope for each such query is the entire transaction. Our procedure separates queries for stateful update operations from those on stateless operations in Steps 3 and 4, respectively. The scope for a stateful query is a single stateful ALU: these queries are small and also critical; if any fails, synthesis cannot succeed. The scope for a stateless query is typically smaller than an entire transaction, since its BCI stops at outputs of other stateful nodes. Overall, smaller synthesis queries lead to significant performance improvement over Chipmunk, as demonstrated in evaluations (§7). We note that because SKETCH queries are independent of each other in both CaT and Chipmunk, both lose opportunities to share common computations across multiple queries.

Second, for stateless operations, we use multiple SKETCH queries to synthesize solutions of *minimum-depth*, i.e., the minimum number of pipeline stages, while searching the space over all possible equivalent programs. Although Chipmunk also considers the space of all possible programs, its queries do not guarantee minimum-depth solutions within a given bound.

Third, Chipmunk creates synthesis queries in the form of low-level holes in an ALU grid architecture that are filled by SKETCH. In contrast, our synthesis queries ask for ALU-based implementations that we represent as resource graphs. These resource graphs are used during resource allocation (in Phase 3) for handling *multiple* transactions, which are not supported by Chipmunk.

Finally, similar to Chipmunk's ALU DSL, our synthesis queries are *parameterized* by an ALU grammar that specifies the functionality of ALUs available in a given hardware target. This enables the same synthesis procedure to be used for different hardware backends, providing compiler retargetability. CaT currently supports three different ALU grammars: Tofino ALUs [9], Banzai ALUs [52], and Menshen ALUs [60]; more can be supported as needed. As long as compiler developers have access to the documentation of a hardware ALU in the target backend, it is straight-forward to write a complete and correct ALU grammar describing its capabilities.

6 PHASE 3: RESOURCE ALLOCATION

After performing synthesis for each P4 action block, the third phase of our compiler performs *global* resource allocation for the full P4 program by using a constraint-based formulation, shown in Table 3.

Table 3: Constraint formulation for resource allocation.

	Name	Definition
Constants	N _S N _{alu} N _P N _{table} N _{entries} e _t	maximum number of pipeline stages maximum number of ALUs in each stage number of packet fields that must remain available through the pipeline maximum number of logical table IDs per stage maximum number of match entries per table per stage maximum number of entries in table t in program
Indices	t i a u s	index for Table index for partition of a Table, partition denoted $t[i]$ index for Action index for ALU index for pipeline Stage
Variables	M _{tis} stage _u stage _{us} beg _u end _u prop _{us}	binary, set to 1 iff match of $t[i]$ is assigned to stage s , 0 otherwise integer, stage assigned to ALI u u binary, set t 0 if H ALU u is assigned to stage s integer, stage where ALU u output is computed integer, \geq last stage where ALU u is used as an input binary, set to 1 iff output of ALU u is propagated in stage s
Sets	R _{tia} V _{tia} E _{tia} AP _{tia} UV _{tiau}	Resource graph for action a of table partition $t[i]$ Vertices in R_{tia} , each represents an ALU Edges in R_{tia} , each represents a connection between ALUs Set of ALUs in R_{tia} , whose outputs may need to be propagated across stages Set of ALUs v in R_{tia} , s.t. $(u,v) \in E_{tia}$, i.e., ALU u is an input to ALU v
Constraints	similar to pr	ior work [38, 43]
Match table o Match action Table depend	pairing ∀s	$\begin{array}{l} s: \; \sum_{t,i} \; M_{tis} \leq N_{table} \\ s \; \forall t,i,a \; \forall u \in V_{tia} stage_{us} \rightarrow M_{tis} \\ i_1,i_2,a_1,a_2, \forall u_1 \in V_{t_1} \; i_1 \; a_1, \; \forall u_2 \in V_{t_2} \; i_2 \; a_2 : stage_{u_1} < stage_{u_2} \end{array}$
New constra	aints in our w	vork
ALU allocation 2 Action dependency ALU propagation 1 ALU propagation 2 ALU propagation 3		$\begin{array}{l} t,i,a \ \forall u \in V_{tia}: \ 1 \leq stage_{u} \leq N_{S} \\ \forall t,i,a, \ \forall u \in V_{tia}: \ stage_{u} = s \leftrightarrow stage_{us} \\ t,i,a \ \forall u,0) \in E_{tia}: \ stage_{u} < stage_{u} \\ t,i,a \ \forall u \in AP_{tia}: \ beg_{u} = stage_{u} \land beg_{u} < end_{u} \land end_{u} \leq N_{S} \\ t,i,a \ \forall u \in AP_{tia}: \ beg_{u} = stage_{u} \land beg_{u} < end_{u} \land end_{u} \leq N_{S} \\ t,i,a \ \forall u \in AP_{tia}: \ beg_{u} : end_{u} \geq stage_{u} \\ t,i,a \ \forall u \in AP_{tia}: \ beg_{u} : (u,,N_{S}) : \ (beg_{u} < s \land s < end_{u}) \leftrightarrow prop_{us} \\ s \ \ \Sigma \lor_{t,i,a} \lor u \in AP_{tia} \ (stage_{us} + prop_{us}) \leq N_{alu} - N_{P} \end{array}$

The top part lists the definitions of constants, indices, variables, and sets that are used to automatically generate the constraints. The bottom part shows the full set of constraints, divided into a first set that is similar to prior work [38, 43], and a second set that is new. Our new constraints address: (1) ALU resources in action computations, (2) multi-stage actions, (3) fitting multiple action blocks in the same pipeline stage, and (4) propagation of ALU outputs. Prior efforts either do not consider allocation of ALU resources and multi-stage actions [38, 43], or do not address multiple action blocks [33, 52]. Another novel feature of our approach is that we use the resource graph R synthesized for each action block (in Phase 2), to perform global optimization in this phase.

Constraints Similar to Prior Work

If a match table in the program has too many entries to fit within a single stage, it is partitioned into b_t separate tables, where b_t = $[(e_t/N_{entries})]$. Currently, we only support exact matches; hence, a packet will match at most one of the partitions t[i] that have the same actions as table t. The first constraint ensures that the number of match tables allocated in a stage is less than or equal to the number of table IDs available. The second ensures that ALUs in action blocks are accompanied by the associated match table. The third enforces four types of table dependencies: match, action, successor, and reverse-match [38]. If table t_2 depends on table t_1 , all ALUs of t_2 are allocated after ALUs of t_1 . For successor and reverse-match, < is replaced by \le .

New Constraints in Our Work 6.2

The constraints for ALU allocation (1,2) ensure that each ALU in each action is assigned to one and only one pipeline stage. The Action dependency constraint uses the edges in R_{tia} (synthesized in Phase 2) to enforce dependencies between ALUs. Together with the Table dependency constraint, this allows ALUs from multiple action blocks to be assigned in the same pipeline stage, while respecting both inter-table and intra-action dependencies.

We support a multi-stage action under the condition that it does not modify the table's match key, by duplicating the match entries at each stage to ensure that the entire action is executed. As an example, suppose a match entry m in table t is associated with action A that takes 2 stages. We can allocate table t in two consecutive stages, such that if a packet matches entry *m* in table t in stage s, it will match entry m in table t in stage s + 1 as well, resulting in action A being executed completely over the two stages.

We allow allocation of multiple actions in the same stage and also allow assigning an Action A to non-consecutive stages. In the latter case, we need additional ALUs in the intermediate stages to propagate the intermediate results. The ALU propagation constraints (1-4) handle allocation of these additional ALUs. Here, AP_{tia} is the set of ALUs in R_{tia} whose outputs may need to be propagated across stages, and UV_{utia} is a set of ALUs v in R_{tia} that use ALU u as an input. The ALU propagation constraints 1-3 ensure that an ALU $u \in AP_{tia}$ is propagated until the largest stage where it is used as an input. The ALU propagation constraint 4 enforces the ALU capacity constraint in each stage, where N_P ALUs are pre-occupied to carry packet fields that remain live through the whole pipeline (e.g., IP TTL) or are updated (by ALUs not in any AP_{tia}); the remaining $(N_{alu} - N_P)$ ALUs must be enough for the sum over all ALUs u in any AP_{tia} that are either assigned to or propagated in that stage. (Appendix B shows the formulation of ALU propagation 3 using the well-known Big-M method).

Solving the Constraint Problem

We can use either an ILP solver (Gurobi [5]) or an SMT solver (Z3 [30]) to find an optimal or a feasible solution. We specify an objective function to find an optimal solution, e.g., we add the constraint min cost to minimize the number of stages, where cost is \geq the stage assigned to any ALU. i.e., $\forall t, i, a, \forall u \in V_{tia} : cost \geq$ $stage_u$. To find a feasible solution, we use a trivial objective function (min 1) with Gurobi (none is needed with Z3).

IMPLEMENTATION AND EVALUATION

We implement the CaT compiler with the workflow shown in Figure 1. The resource transformation phase is implemented on top of p4c [11]. We also use p4c to identify the action blocks and table dependencies needed in CaT's resource synthesis and resource allocation phases. For the backend, ideally the CaT compiler should directly output machine code for the targets. However, due to the undocumented and proprietary machine code format of the Tofino chipset, we generate a low-level P4 program by using a best-effort encoding for the resource constraints, based on known information about the Tofino chipset. For the Menshen backend, we extend the open-source RMT pipeline [10, 60] by writing additional Verilog to support richer ALUs, e.g., the IfElseRAW ALU [52]. The CaT compiler directly outputs machine code to configure various programmable knobs (e.g., opcodes) within Menshen's Verilog code.

Sanity checking of CaT prototype. We check CaT's output for Menshen using its cycle-accurate simulator, which can be fed input packets to test the generated machine code. We create P4-16 benchmarks starting with a subset of the switch.p4 program [53], consisting of 2–6 tables randomly sampled from switch.p4. Then, we add new actions to the tables using @atomic blocks for transactional behavior. The logic within these atomic blocks consists of one of 8 Domino benchmark programs [52]; the IfElseRaw ALU [52] in our simulator is not expressive enough for the remaining 6. We also test the 8 benchmark programs in isolation, generating 24 benchmarks in total, many of which have multiple transactions and thus stress both resource synthesis and resource allocation. We randomly generate test input packets and inspect the output packets from the simulation. So far, all our sanity checks have passed.

7.1 Evaluation Setup and Experiments

We address the following evaluation questions:

Q1: Resource Transformation. How much does CaT's resource transformation help in terms of the resource usage? We select 3 benchmarks [2] extracted from real P4 programs and compare resource usage for pre- and post-transformed programs (§7.2).

Q2: Resource Synthesis. How does CaT's resource synthesizer compare to existing ones? We compare CaT with Chipmunk on several dimensions using ALUs drawn from Tofino [9] and Banzai [52], along with controlled experiments on the predecessor packing and preprocessing optimizations (§7.3).

Q3: Resource Allocation. How good is the CaT compiler in terms of resource usage? We use Gurobi as the default solver for resource allocation and compare the runtime of the Gurobi and Z3 solvers. In addition, we compare 2 modes: finding either an optimal or a feasible solution (§7.4).

Q4: Retargetable Backend. Can CaT easily perform compilation for different hardware targets? Our synthesis experiments with the Banzai and Tofino ALUs already demonstrate this feature. Additionally, we run the CaT compiler on different *simulated* hardware configurations, compile switch.p4 under varying constraints and report the results (§7.4).

Benchmark selection. We use different benchmarks to demonstrate the benefits of each phase of the compiler.

- Resource transformation: 3 benchmarks (ME-1, ME-2, ME-3) extracted from SipHash and UPF (real P4 programs developed by other P4 programmers).
- Resource synthesis: 14 benchmarks together with their semantically equivalent mutations (10 for each benchmark, hence 140 in total) from the Chipmunk paper [33].³
- Resource allocation: Same as the benchmarks we use for sanity checking our prototype. We use the full switch.p4 program for experiments that vary hardware resource parameters in the Menshen backend.

Machine configuration. We use a 4-socket AMD Opteron 6272 (2.1 GHz) machine with 64 hyperthreads and 256 GB RAM to run all our experiments for both CaT and Chipmunk. Additionally, we note that Chipmunk requires performing a grid search on pipeline geometries (within an upper bound) using multiple such machines in parallel to find an implementation that consumes a small number of pipeline resources. By contrast, CaT does not require multiple

Table 4: Resource usage with/without CaT resource transformations.

Program	Without Ca	aT transfor	mations	With CaT	transform	nations
	#gateways	#tables	#stages	#gateways	#tables	#stages
ME-1	15	15	5	15	15	4
ME-2	3	3	3	0	1	1
ME-3	19	12	2	0	3	1

machines since CaT's resource synthesis (Algorithm 1) directly tries to minimize pipeline depth without a parallel grid search.

7.2 Results for Resource Transformation

The resource transformation phase of the CaT compiler performs a best-effort rewrite of if-else statements in the P4 program into match-action tables. Table 4 shows the resource usage of compiling benchmarks ME-{1,2,3} to the Tofino architecture with and without the CaT rewrites. As expected, the rewrites help in reducing the number of gateways. Furthermore, they may merge together multiple tables without match entries (i.e., *default* tables), thereby reducing the total number of tables. More importantly, for all benchmarks shown, the rewritten program consumes *fewer pipeline stages* due to either reduced gateway usage (ME-2, ME-3) or the removal of false control flow dependencies (ME-1). CaT does this automatically without the developer engaging in trial-and-error compilation [43].

7.3 Results for Resource Synthesis

In all our experiments, the resource synthesis phase consumes the most time, and the SKETCH synthesis queries dominate the overall runtime of CaT. In this section, we focus on evaluating this phase. We compare the CaT and Chipmunk compilers on the SipHash benchmark (cf. Figure 2) and on all benchmarks used in the Chipmunk work [33]. For the latter, we target both Tofino ALUs and Banzai ALUs, to evaluate the performance of CaT on different instruction sets and various input programs. This also demonstrates CaT's retargetability via different ALU grammars.

Results for SipHash. For the SipHash P4 program, the CaT compiler was successful with the Tofino ALU, and took about 40 hours to complete. In comparison, Chipmunk failed to generate the output even after 150 hours. After investigation, we found two main reasons for the long runtime of CaT: (1) 1 multistage action required 4 pipeline stages – the synthesis query for this action has a large search space and took more than 30 hours in SKETCH. (2) SipHash includes bitvector operations in addition to integer arithmetic. This results in a harder synthesis problem for SKETCH: SipHash uses 32-bit bitvectors, while SKETCH's default for integers is 5 bits.

We plan to explore new ideas for handling deep multistage actions in future work. For handling 32-bit bitvectors more efficiently, we enhanced our basic procedure as follows. We first run the SKETCH synthesis query on a program with a constrained input space, and verify separately whether the generated solution works for the full input space. If it does, then we have found a correct solution; otherwise, we add the generated solution as a counterexample in SKETCH and repeat the procedure. The hope is to quickly generate a solution from the constrained input space that can be proven correct for the whole input space. For the SipHash example, we constrained the input space to use only 16 bits (by setting the higher 16 bits of the input 32-bit bitvectors to 0). CaT separately verified that the generated solution is also correct for unconstrained 32-bit bitvectors. This approach reduced CaT's runtime to under 2 minutes, showing the promise of such an approach.

³Chipmunk can compile all 14 benchmarks by using Banzai ALUs [52], and 10 of the 14 benchmarks by using Tofino ALUs [33]. For Banzai ALUs, we also show the Domino pipeline usage as reported in the Chipmunk paper [33].

Table 5: CaT vs. Chipmunk and Domino; Tofino or Banzai ALUs. (pred: Predecessor packing, ppa: Preprocessing, X: failed, Std Dev: sample standard deviation).

Program	ALU	CaT			Chipmunk [33]			CaT Speedup	Domino [52]		
		Mean Time (s)	Std Dev (s)	default	Avg #stages w/o pred	w/o ppa	Mean Time (s)	Std Dev (s)	Avg #stages	wrt Chipmunk	Avg #stages (from [33])
BLUE (increase) [31]	Tofino ALU	19.04	0.43	1	2	1	159.78	59.03	2	8.39 ×	
BLUE (decrease) [31]	Tofino ALU	18.72	0.84	1	2	1	142.5	42.5	2	7.61 ×	
Flowlet switching [51]	Tofino ALU	19.76	0.69	2	X	2	962.83	1170.16	2	48.73 ×	
Marple new flow [48]	Tofino ALU	6.65	0.52	1	X	1	5.2	1.71	1	0.78 ×	
Marple TCP NMO [48]	Tofino ALU	13.24	0.53	2	X	Х	6.56	0.36	2	0.50 ×	N/A
Sampling [52]	Tofino ALU	14.03	0.57	1	X	1	22.87	10.68	1	1.63 ×	
RCP [58]	Tofino ALU	20.19	0.59	1	X	1	65.13	20.93	1	3.23 ×	
SNAP heavy hitter [20]	Tofino ALU	3.58	0.25	1	1	1	26.83	13.63	1	7.49 ×	
DNS TTL change [25]	Tofino ALU	20.84	1.97	2	3	Х	36.34	50.55	2	1.74 ×	
CONGA [19]	Tofino ALU	10.24	0.43	1	X	1	3.02	0.17	1	0.29 ×	
BLUE (increase) [31]	Banzai ALU: pred raw	40.69	1.41	4	4	4	166.88	36.59	4	4.10 ×	Х
BLUE (decrease) [31]	Banzai ALU: sub	38.83	1.48	4	4	4	1934.82	1611.66	4	49.83 ×	Х
Flowlet switching [51]	Banzai ALU: pred raw	25.37	0.94	3	3	3	185.84	81.41	3	7.33 ×	8.3
Marple new flow [48]	Banzai ALU: pred raw	13.79	0.44	2	2	2	12.31	0.18	2	0.89 ×	Х
Marple TCP NMO [48]	Banzai ALU: pred raw	28.12	2.60	3	4	Х	15.3	0.49	3	0.54 ×	Х
Sampling [52]	Banzai ALU: if else	11.52	0.65	2	2	2	33.39	11.09	2	2.90 ×	Х
RCP [58]	Banzai ALU: pred raw	25.08	0.85	2	2	2	31.21	7.55	2	1.24 ×	5.6
SNAP heavy hitter [20]	Banzai ALU: pair	3.45	0.23	1	1	1	69.07	19.36	1	20.02 ×	3.3
DNS TTL change [25]	Banzai ALU: nested if	32.63	34.91	3	5	Х	211.67	22.65	3	6.49 ×	Х
CONGA [19]	Banzai ALU: pair	10.27	0.55	1	1	1	19.47	8.05	1	1.90 ×	Х
Stateful firewall [20]	Banzai ALU: pred raw	2499.43	4638.58	4	4	Х	6749.89	6349.94	4	2.70 ×	15.5
Learn filter [52]	Banzai ALU: raw	31.01	0.73	3	3	3	212.32	4.47	3	6.85 ×	17.5
Spam Detection [20]	Banzai ALU: pair	3.51	0.21	1	1	1	59.95	17.75	1	17.08 ×	3.1
STFQ [34]	Banzai ALU: nested if	20.99	2.04	3	3	3	22.73	6.94	2	1.08 ×	Х

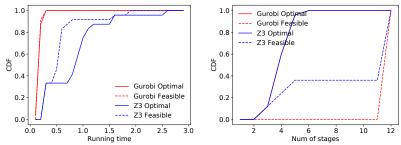
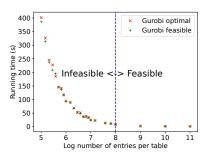
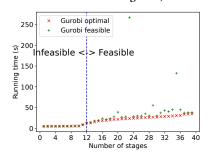


Figure 8: Gurobi vs. Z3: Running time, Num of stages.





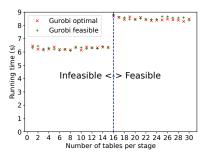


Figure 9: Varying # of max. entries/table.

Figure 10: Varying # of stages.

Figure 11: Varying # of tables per stage.

Results for Chipmunk benchmarks. The results are shown in Table 5, for Tofino ALUs and Banzai ALUs, respectively. We report the runtime of full compilation; for CaT, this includes the resource allocation time, whereas Chipmunk does not perform any resource allocation. We consider 10 semantically equivalent mutations of each of the benchmarks, and report the mean and sample standard deviation of compilation time across all mutations. The mutations we considered are identical to those in Chipmunk [33]. They are generated by iteratively applying 3 semantically-equivalent transformations on each benchmark: (1) if(x) B else A rewritten to if(!x) A else B,(2) if(A and B) rewritten to if (B and A), and

(3) if(x) rewritten to if(x and 1==1). These experiments evaluate if CaT can effectively handle semantically equivalent programs.

We report the resource consumption of the generated code produced by CaT, in terms of the total number of pipeline stages required on average across the mutations ("#stages default" column). Stages are the most scarce resource in programmable switches (e.g., 12 for Tofino). For evaluating the effectiveness of our predecessor packing optimization (pred) and the preprocessing analyses (ppa) (§5.3), we also report the number of stages without these optimizations in columns "#stages w/o pred" and "#stages w/o ppa." Gray-ed entries indicate a difference from the default setting.

Our results show that CaT is able to compile *all* programs successfully compiled by Chipmunk, with almost all compiled results having a matching number of pipeline stages. Furthermore, *CaT*

⁴The runtimes in Table 5 are similar to, but slightly different from that in Table 2 of the Chipmunk paper [33]. The differences arise due to Chipmunk's use of SKETCH's parallel mode, which introduces non-determinism due to thread interleaving.

is often much faster and more stable (in running time) than Chipmunk. Specifically, for the Tofino ALUs (top section of Table 5), CaT finishes compilation within a few seconds, 2.75x faster on average (geometric mean) than Chipmunk. The max speedup is 48x for flowlet switching, a minutes-to-seconds improvement (\approx 16 minutes in Chipmunk vs. 20 seconds in CaT). In BLUE (increase) and BLUE (decrease), CaT generates a solution with fewer stages than Chipmunk. In all other benchmarks the number of stages is the same. For the BLUE benchmarks, since the Tofino stateful ALU contains two registers, CaT's optimizations enabled it to pack a successive pair of stateful updates into a single stateful ALU (§5.3). In comparison, Chipmunk mapped the two stateful updates to two ALUs in two stages. This shows that CaT's approach can find additional opportunities for fully utilizing the functionality of available hardware resources. Predecessor packing is also effective in 9 of 10 benchmarks, enabling compilation to succeed or reducing the number of stages; preprocessing is also useful in 2 benchmarks.

For the Banzai ALUs (lower section of Table 5), we additionally report results on stages output by the Domino compiler [52] (which only handled Banzai ALUs), with the average number of stages across different program mutations shown in the last column (as reported in [33]). Note first that CaT takes no more than 1 minute on most successful benchmarks. Although it takes 40 minutes for stateful firewall, Chipmunk is much slower, requiring more than 1.5 hours. CaT provides 3.94x speedup on average (geometric mean) and 49x maximum, with respect to Chipmunk. CaT is slower only on Marple new flow and Marple TCP NMO, but finishes both within 30 seconds. Note that Chipmunk must use multiple machines in parallel for synthesis, while CaT only uses one machine for synthesis. In terms of number of stages, CaT generates code with the same number of stages as Chipmunk for all benchmarks except the STFQ example (3 in CaT vs. 2 in Chipmunk). Upon investigation, we find that this is due to separation between queries for stateful and stateless nodes in our synthesis procedure. Although our predecessor packing optimization can often mitigate this negative effect, we plan to improve it further in future work. Still, both predecessor packing and preprocessing optimizations are effective in some benchmarks here as well. Finally, Domino either fails to compile (8 of 14 examples), or uses many more stages (other 6 examples). Overall, CaT generates high-quality code comparable to Chipmunk, but in much less time and with fewer compute resources.

Results for controlled experiments. We selectively turned on 2 optimizations: (1) Predecessor packing, (2) Preprocessing analyses (constant folding, algebraic simplification, dead code elimination). The results are shown in Table 5 for both the Banzai and Tofino ALUs. For Banzai ALUs, without predecessor packing, our compiler uses additional stages in two examples (*Marple TCP NMO*, and *DNS TTL change*), showing that predecessor packing can reduce the number of pipeline stages. For the Tofino ALUs, predecessor packing was even more beneficial: disabling predecessor packing resulted in compilation errors for 6 examples (*flowlets, Marple new flow, Marple TCP NMO, Sampling, RCP*, and *CONGA*). The reason is that the Tofino ALU supports very limited stateless computations and cannot handle relational or conditional expressions. Packing such expressions into adjacent stateful ALUs was essential for compilation to succeed. For Banzai ALUs, without preprocessing analyses,

3 of the examples could not be compiled. For all other examples, the number of stages is identical with and without this optimization. We observe similar trends when the Tofino ALU is used. The runtime of preprocessing is less than 0.1 sec in all examples. Overall, CaT's optimizations allow compilation to succeed where it would fail otherwise and reduce the number of pipeline stages.

7.4 Results for Resource Allocation

We experiment with two solvers (Gurobi and Z3) and two modes (optimal and feasible) on our benchmark examples. The results are in Figure 8 with more detailed data in Appendix C, Table 6. The results show that for checking feasibility, Gurobi returns suboptimal solutions that use all the pipeline stages, while Z3 finds feasible solutions that are better than Gurobi's but takes marginally more time. However, Gurobi finds an optimal solution almost as quickly as a feasible solution. For these benchmarks, Gurobi is faster than Z3. Thus, Gurobi with optimization is a good default.

In additional experiments, we study the resource allocation time of switch.p4 as a function of the parameters of the Menshen backend target. We vary the maximum number of entries per table, number of stages, and number of tables per stage, and plot the runtime of Gurobi in both optimal and feasible mode in Figures 9, 10, 11. A vertical line indicates the transition from infeasibility to feasibility for the constraint solver. Across a variety of hardware configurations, we find that the runtime of both modes are quite similar. Figure 9 shows that runtime increases as the maximum number of entries decreases because of an increase in the number of partitions of a table (and hence variables) as the maximum number of entries decreases. Figure 10 shows that runtime increases as the number of stages increases because of the increase in the number of indicator variables tracking which stage a table belongs to. In Figure 11, the number of Gurobi variables is constant as we vary the number of tables per stage; however, whether or not there is a feasible allocation given the hardware constraints affects the ILP's runtime. The runtime is similar for optimal and feasible modes, but varies significantly depending on whether there is a solution.

8 CONCLUSION

We introduce a new decomposition of the compilation problem for packet pipelines into 3 phases: resource transformation, resource synthesis, and resource allocation, where solver engines (e.g., ILP, SMT, program synthesis) are employed extensively within these phases. We prototype CaT, a compiler for P4 programs based on this decomposition. CaT can handle more programs, reduce pipeline resource usage, compile faster, and requires fewer compute resources than existing compilers. We hope our results encourage compiler engineers for such pipelines to adopt similar ideas.

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A EXAMPLE OF SKETCH QUERY WITH GRAMMARS

This section presents one SKETCH input file generated by CaT, for a synthesis query to implement a stateless variable in the flowlets benchmark.

```
// SKETCH input file for one synthesis query
// ALU grammar specification
int alu(int opcode, int pkt_0, int pkt_1, int pkt_2, int immediate_operand) {
   if (opcode == 0) {
          return immediate operand:
     } else if (opcode == 1) {
          return pkt_0 + pkt_1;
     } else if (opcode == 2) {
          return pkt_0 + immediate_operand;
     return pkt_0 + immediate_operand;
} else if (opcode == 3) {
   return pkt_0 - pkt_1;
} else if (opcode == 4) {
   return pkt_0 - immediate_operand;
}
     } else if (opcode == 5) {
          return immediate_operand - pkt_0;
     } else if (opcode == 6) {
    return pkt_0!=pkt_1;
     } else if (opcode == 7) {
   return (pkt_0 != immediate_operand);
} else if (opcode == 8) {
          return (pkt_0 = pkt_1);
     } else if (opcode == 9) {
   return (pkt_0 == immediate_operand);
     } else if (opcode == 10) {
    return (pkt_0 >= pkt_1);
     } else if (opcode == 11) {
     return (pkt_0 >= immediate_operand);
} else if (opcode == 12) {
     return (pkt_0 < pkt_1);
} else if (opcode == 13) {
   return (pkt_0 < immediate_operand);
     } else if (opcode == 14) {
  return pkt_0 != 0 ? pkt_1 : pkt_2;
} else if (opcode == 15) {
          return pkt_0 != 0 ? pkt_1 : immediate_operand;
     } else if (opcode == 16) {
          return ((pkt_0 != 0) || (pkt_1 != 0));
     } else if (opcode == 17) {
   return ((pkt_0 != 0) || (immediate_operand != 0));
     } else if (opcode == 18) {
     return ((pkt_0 != 0) && (pkt_1 != 0));
} else if (opcode == 19) {
          return ((pkt_0 != 0) && (immediate_operand != 0));
     } else {
         return (pkt_0 == 0);
}
// staged-input tree grammar for implementation (vars0, vars1, and vars are specific to each query and are defined in the harness below)
generator int expr(fun vars0, fun vars1, fun vars, int bnd){
          if (bnd == 0){
                   return vars0();
          int t = ??(1);
          if (t == 0){
                   return vars();
          else {
                    return alu(??, expr(vars0, vars1, vars, bnd-1), expr(vars0, vars1, vars, bnd-1), expr(vars0, vars1, vars, bnd-1), ??);
}
\ensuremath{//} specification function, with BCI inputs as arguments
int comp_5(int pkt_arrival, int pkt_last_time00){
          bit pkt__br_tmp1;
          pkt__br_tmp1 = pkt_arrival-pkt_last_time00>5;
return pkt__br_tmp1;
}
// harness for synthesis
harness void sketch(int pkt_arrival, int pkt_last_time00) {
          generator int vars0(){
                   return {| pkt_arrival |};
          generator int vars1(){
                   return {|pkt_last_time00|};
          generator int vars(){
                   return {| pkt_arrival | pkt_last_time00|};
          // synthesized expression must be equivalent to specification
          assert expr(vars0, vars1, vars, 2) == comp_5(pkt_arrival, pkt_last_time00);
}
```

Benchmark	Gurob	oi opt	Gurol	oi sat	Z3 c	ppt	Z3 s	sat
	Time (s)	Stages						
stateful fw	0.133	4	0.14	12	0.251	4	0.273	4
Blue increase	0.1	4	0.12	12	0.218	4	0.244	4
marple new flow	0.102	2	0.11	12	0.201	2	0.225	2
sampling	0.102	2	0.103	12	0.21	2	0.226	2
flowlets	0.127	3	0.124	12	0.232	3	0.254	3
rcp	0.131	2	0.135	12	0.234	2	0.253	2
learn_filter	0.151	3	0.146	12	0.241	3	0.272	3
marple_tcp	0.117	3	0.119	12	0.223	3	0.241	3
benchmark9	0.169	4	0.161	12	0.81	4	1.8	12
benchmark10	0.165	4	0.163	12	0.781	4	0.501	4
benchmark11	0.19	4	0.18	12	1.13	4	0.639	12
benchmark12	0.178	4	0.168	12	0.967	4	0.502	11
benchmark13	0.18	3	0.173	12	0.88	3	0.506	11
benchmark14	0.202	3	0.182	12	0.889	4	0.523	11
benchmark15	0.241	4	0.22	12	1.033	4	0.585	11
benchmark16	0.198	4	0.183	12	0.963	4	0.553	12
benchmark17	0.159	3	0.142	12	0.845	4	0.459	12
benchmark18	0.156	3	0.146	12	0.922	4	0.447	12
benchmark19	0.169	4	0.154	12	0.946	4	0.531	12
benchmark20	0.181	5	0.17	12	1.086	4	0.6	11
benchmark21	0.145	3	0.148	12	0.733	4	0.462	12
benchmark22	0.162	3	0.168	12	1.578	4	1.578	12
benchmark23	0.215	3	0.201	12	2.544	4	0.798	12
benchmark24	0.174	3	0.149	12	1.53	4	0.595	12

Table 6: Comparing optimal and feasible for Gurobi and Z3

B ILP ENCODING FOR ALU PROPAGATION CONSTRAINTS

We use the big-M method to obtain an ILP formulation of the constraint

$$\forall u \in I, \forall s \ (beg_u < s \land s < end_u) \leftrightarrow prop_{us} = 1$$

For each $u \in I$ and $s \in \{1, ..., N_S\}$, we use a binary variable lo_{us} as an indicator for $beg_u < s$ and a binary variable hi_{us} as an indicator for $s < end_u$. M is a large constant (e.g., $N_S + 5$).

The following constraints ensure that lo_{us} is 1 if $beg_u < s$ and 0 otherwise.

$$s - beq_u \le Mlo_{us} \tag{1}$$

$$s - beg_u > -M(1 - lo_{us}) \tag{2}$$

If $s-beg_u>0$ then $lo_{us}=1$ (1) and if $s-beg_u\le 0$ then $lo_{us}=0$ (2). The following constraints ensure that hi_{us} is 1 if $s< end_u$ and 0 otherwise.

$$s - end_u < M(1 - hi_{us}) \tag{3}$$

$$s - end_u \ge -Mhi_{us} \tag{4}$$

If $s-end_u < 0$ then $hi_{us} = 1$ (4) and if $s-end_u \ge 0$ then $hi_{us} = 0$ (3). The following constraints use lo_{us} and hi_{us} to make $prop_{us}$ an indicator for $beq_u < s < end_u$.

$$lo_{us} + hi_{us} - 2 < Mprop_{us} \tag{5}$$

$$lo_{us} + hi_{us} - 2 \ge -M(1 - prop_{us}) \tag{6}$$

If $lo_{us} + hi_{us} - 2 \ge 0$ then $prop_{us} = 1$ (5) and if $lo_{us} + hi_{us} - 2 < 0$ then $prop_{us} = 0$ (6). This means that $prop_{us} = 1$ only if both $lo_{us} = 1$ and $hi_{us} = 1$. Hence, $prop_{us} = 1$ if $s > beg_u$ and $s < end_u$, otherwise $prop_{us} = 0$.

C ADDITIONAL RESULTS FOR RESOURCE ALLOCATION

We experiment with two solvers (Gurobi vs. Z3) and two modes (optimal and feasible solutions) on all our 24 benchmarks. We report both time spent running the solvers and the final number of stage usage to compare between different solvers and different modes. Table 6 shows the detailed results for the running time.

D ARTIFACT APPENDIX

D.1 Abstract

This artifact appendix section describes how to reproduce results demonstrated in this paper by running CaT on Amazon EC2 instances.

D.2 Artifact check-list (meta-information)

Obligatory. Use just a few informal keywords in all fields applicable to your artifacts and remove the rest. This information is needed to find appropriate reviewers and gradually unify artifact meta information in Digital Libraries.

- Data set: Our data for reproducing Table 5 comes from https://github.com/CaT-mindepth/benchmarks repo.
- Run-time environment: AWS image with number: ami-0bf331cf0e574fa8b
- Hardware: Amazon EC2 Instances (c5ad.16xlarge)
- Metrics: Compilation time and resource (e.g., number of pipeline stages) usage
- Output: Compilation time and resource (e.g., number of pipeline stages) usage
- How much disk space required (approximately)?: 128GB (on EC2 instance)
- How much time is needed to complete experiments (approximately)?: 15 hours
- Publicly available?: Yes
- Archived (provide DOI)?: https://doi.org/10.5281/zenodo.7592970
- Latest update: We put the latest FAQs and updates in this file (https://github.com/CaT-mindepth/CaT-AE/blob/main/UPDATES.md) and please check it before reproducing experiment results.

D.3 Description

D.3.1 **How delivered**. The latest version of CaT compiler is open-source in github repo: https://github.com/CaT-mindepth, and the detailed process of reproducing the experiment results is shown in the artifact appendix.

D.3.2 **Hardware dependencies**. Amazon EC2 Instances with \approx 64 cores such as c5ad.16xlarge.

D.3.3 **Software dependencies.** We have already installed all the software dependencies in the shared Amazon EC2 image.

D.4 Experiment workflow

D.4.1 Fetch the latest updates from github repos:

\$ cd /home/ubuntu/workspace/cat_eval

\$./init.sh

D.4.2 Part I: reproduce the result in Table 5.

In general, the 10 mutations for program name <X> may be found at the following folder: /home/ubuntu/workspace/cat_eval/benchmarks/Domino_mutations/<X>/

The running script for Banzai ALU:

Default mode:

\$./quickrun-domino.sh <absolute path to input Domino program>
<user-specified absolute path to output JSON file> <Banzai ALU
name>

Without Predecessor Packing mode:

\$./quickrun-domino-noPredPack.sh <absolute path to input Domino program> <user-specified absolute path to output JSON file> <Banzai ALU name>

Without Preprocessing mode:

\$./quickrun-domino-noPreprocessing.sh <absolute path to input Domino program> <user-specified absolute path to output JSON file> <Banzai ALU name>

See the "num_pipeline_stages" field in the output JSON file for the number of pipeline stages usage.

As for Tofino ALU:

Default mode:

\$./quickrun-tofino.sh <absolute path to input Domino program> suser-specified absolute path to output P4 file>

Without Predecessor Packing mode:

\$./quickrun-tofino-noPredPack.sh <absolute path to input Domino program> <user-specified absolute path to output P4 file> Without Preprocessing mode:

\$./quickrun-tofino-noPreprocessing.sh <absolute path to input Domino program> <user-specified absolute path to output P4 file> See the "num pipeline stages" in the output P4 file for the number of pipeline stages usage.

As for Chipmunk and Domino compiler:

Should you wish to reproduce the results generated by Chipmunk and Domino compilers, please refer to their artifact evaluation instructions, with links listed below:

Chipmunk: https://github.com/chipmunk-project/chipmunk-project.github.io

Domino: http://web.mit.edu/domino/

D.4.3 Part II: reproduce the result in Figure 8 and Table 6.

\$ cd /home/ubuntu/workspace/cat_eval/CaT-AE/figure_gen

\$ bash figure8.sh

D.4.4 Part III: reproduce the result in Figure 9, Figure 10, and Figure 11.

Generating the running time and #stages used in ILP.

\$ cd /home/ubuntu/workspace/cat_eval/CaT-AE/figure_gen

\$ bash figure9.sh

\$ bash figure 10.sh

\$ bash figure11.sh

Generating the infeasible and feasible boundary.

Infeasible boundary in Figure 9:

\$ time python3 Gurobi_opt_vs_fea.py 128 16 12 Optimal

Feasible boundary in Figure 9:

\$ time python3 Gurobi_opt_vs_fea.py 256 16 12 Optimal

Infeasible boundary in Figure 10:

\$ time python3 Gurobi_opt_vs_fea.py 256 16 11 Optimal

Feasible boundary in Figure 10:

\$ time python3 Gurobi_opt_vs_fea.py 256 16 12 Optimal

Infeasible boundary in Figure 11:

\$ time python3 Gurobi_opt_vs_fea.py 256 15 12 Optimal

Feasible boundary in Figure 11:

\$ time python3 Gurobi_opt_vs_fea.py 256 16 12 Optimal

D.5 Evaluation and expected results

The results of resource usage should be exactly the same as those reported in all tables and figures. However, in terms of the running time, they should be within the same magnitude if you use the similar machines to rerun the experiments.

D.6 Notes

We put the latest FAQs and updates in https://github.com/CaT-mindepth/CaT-AE/blob/main/UPDATES.md. If you have any questions, feel free to open an issue there or let us know through email.

D.7 Methodology

Submission, reviewing and badging methodology:

- https://www.acm.org/publications/policies/artifact-review-badging
- http://cTuning.org/ae/submission-20201122.html
- http://cTuning.org/ae/reviewing-20201122.html

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