

1           **Parallel Strategies for the GPS Radio Occultation Data**  
2           **Assimilation with a Nonlocal Operator in the Weather Research**  
3           **and Forecasting model**

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## ABSTRACT

10 Several strategies are designed and implemented in the data assimilation system for the  
11 Weather Research and Forecasting model to parallel assimilate the global positioning system  
12 (GPS) radio occultation (RO) sounding with the nonlocal excess phase delay operator, which  
13 is computational expensive and has been proven to produce significantly better analysis for  
14 numerical weather predictions compared to local refractivity operator. In particular, to solve  
15 the parallel load imbalance problem due to the uneven geographic distribution of the GPS RO  
16 observations, the round-robin scheduling is adopted to distribute GPS RO observations to  
17 balance the workload among the processing cores. The wallclock time required to complete 5  
18 iterations of minimization on a demonstration Antarctic case with 106 GPS RO observations,  
19 is reduced from more than 3.5 hours with single processor to 2.5 minutes with 106 processing  
20 cores. These strategies present the possibility of the application of the nonlocal GPS excess  
21 phase delay operator in the operational data assimilation systems with a cut-off time limit.

# 1. Introduction

The observations from the global positioning system (GPS) radio occultation (RO) limb sounding technique has been proven as a valuable observation of atmosphere for numerical weather prediction (NWP) and climate research (Kuo et al. (1997); Zou et al. (1999); Zou et al. (2000); Liu and Zou (2003); Healy et al. (2005); Huang et al. (2005); Cucurull et al. (2006); Cucurull and Derber (2008); Healy and Thépaut (2006)). GPS RO data has several advantages such as a high vertical resolution, no need for calibration, unaffected by cloud cover and rainfall, and global coverage. In particular, in the middle of upper troposphere, the GPS RO measurements have accuracy comparable with or better than that of radiosondes (Kuo et al. (2005)). Since the launch of the Constellation Observing System for Meteorology, Ionosphere, and Climate (COSMIC) mission in 2006, approximately  $\sim 1500$ - $2500$  globally distributed GPS RO sounding are provided per day in near-real time. The COSMIC GPS RO sounding are currently being used at several global operational NWP centers, including the National Centers for Environmental Prediction (NCEP; Cucurull and Derber (2008)), the European Centre for Medium-Range Weather Forecasts (ECMWF; Healy (2008)), the Met Office (UKMO), and Météo France (Poli et al. (2009)).

Due to the success of COSMIC, U.S. agencies and Taiwan have decided to move forward with a follow-on RO mission (called FORMOSAT-7/COSMIC-2) that will launch six satellites into low-inclination orbits in late 2015, and another six satellites into high-inclination orbits in early 2018. The COSMIC-2 mission will provide nearly an order of magnitude more RO data increase in the number of atmospheric and ionospheric observations that will greatly benefit the research and operational communities (<http://www.cosmic.ucar.edu/cosmic2/>).

Depending on the level of data processing, various variables can be retrieved from GPS RO observations for use in data assimilation, such as bending angle, refractivity and retrieved moisture/temperature profile ( See Kuo et al. (2000), Kuo et al. (2004)). To account for the variations of the atmospheric states along the GPS ray paths, the nonlocal excess phase operator, introduced by Sokolovskiy et al. (2005), has proven to significantly improve the

assimilation of GPS RO data (Sokolovskiy et al. (2005), Liu et al. (2008), Chen et al. (2009), Ma et al. (2009) and Shao et al. (2009)). However, due to the high computational cost associated with the nonlocal operator, it has been tested only in some research configurations with affordable number of observations. The parallel implementation of the GPS RO data assimilation with nonlocal operator is urgently needed to advance its applications in both research and operational data assimilation systems.

Because both the local refractivity and nonlocal excess phase delay operators had been implemented in the data assimilation system for Weather Research and Forecasting model (WRFDA, Barker et al. (2012), Chen et al. (2009)), the three-dimensional variational (3D-Var) approach in WRFDA will be used throughout this paper to demonstrate the parallelization of GPS nonlocal operator. We believe that the parallel strategies for nonlocal operator are general and applicable for other parallel data assimilation systems (such as four-dimensional variational, and Ensemble Kalman Filter) employing the domain-decomposition approach.

This article is organized as follows. In Section 2, we briefly introduce both the local refractivity operator and nonlocal excess phase delay operators implemented in WRFDA and their computational costs. Section 3 provides the technical details of how the nonlocal GPS RO operator is paralleled in a domain-decomposition parallel context. The strategy to solve the load imbalance problem is presented in Section 4. Sec. 5 presents some further optimization to save the cost. The summary and discussion are given in Section 6.

## 2. Local and Nonlocal GPS RO Operators

In both local and nonlocal GPS RO operators, the neutral atmospheric refractivity can be calculated from model variables via the following relationship

$$N = 77.6 \frac{P}{T} + 3.73 \times 10^5 \frac{PQ}{T^2(0.622 + 0.378Q)} \quad (1)$$

72 where  $P$  is the total atmospheric pressure in  $hPa$ ;  $T$  is the atmospheric temperature in  $K$ ;  
73 and  $Q$  is the specific humidity in  $kg/kg$ . The local GPS RO refractivity operator assumes  
74 that the observed refractivity is modeled as the local refractivity at the perigee point where  
75 the GPS ray is closest to the earth. The first guess fields of  $P$ ,  $T$  and  $Q$  are interpolated  
76 horizontally and vertically to the perigee point of the RO observation and the Eqs. (1) is  
77 used to calculate the local refractivity. The local GPS RO refractivity operator is simple  
78 and low computational cost, and it is used by most of the data assimilation systems.

79 To account for the variations of the atmospheric states along the GPS ray paths, the  
80 nonlocal excess phase operator, introduced by Sokolovskiy et al. (2005), simulates the excess  
81 phase by integrating the local refractivity along the ray path, which is approximated by a  
82 straight line. The new observation  $S$  (excess phase, which is to be assimilated) is defined as

$$S = \int_{ray} N \, dl \quad (2)$$

83 where  $l$  is the ray path and  $N$  is the refractivity. There are two steps associated with the  
84 implementation of the nonlocal operator (Chen et al. (2009), Ma et al. (2009), and Liu et al.  
85 (2008)): Firstly, the observed excess phase is calculated by integrating the refractivity from  
86 RO observations:

$$S_{obs} = \int_{ray} N_{RO}(r) \, dl \quad (3)$$

87 where  $r$  is the radius vector derived from  $r = r_c + z$ ,  $r_c$  is the local curvature radius of  
88 the earth, and  $z$  is the height above the earth's surface,  $N_{RO}$  is the observed refractivity  
89 interpolated on the model mean heights of the tangent point position by a vertical average.  
90 The next step is to calculate the model counterpart  $S_{mod}$ , which is given by

$$S_{mod} = \int_{ray} N_{mod}(r) \, dl \quad (4)$$

91 where  $N_{mod}$  is the simulated refractivity from first guess fields of  $T$ ,  $P$ , and  $Q$  by Eqs. (1)  
92 and interpolated at the tangent point position.

93 Compared to the local GPS refractivity operator, the computational cost of the non-  
94 local excess phase operator is increased dramatically due to the integration of refractivity

along the GPS ray. Liu et al. (2008) reports that the cost of nonlocal to local operator is at least 100 times on a Linux cluster of NCAR. To justify the necessity to parallel the GPS RO nonlocal operator, an Antarctic domain with  $30km$  horizontal resolution, shown as Fig. 1, is chosen to demonstrate the computational cost of the GPS RO operators. This is a Advanced Research WRF (Skamarock et al. (2008)) model domain of 1800 UTC 11 December 2007 with  $401 \times 401$  mesh size and 55 vertical layers between surface and 10  $hPa$ . Fig. 1 also shows the locations of the 106 GPS RO profiles within  $\pm 3$  hours window centered at 1800UTC. The 106 GPS RO profiles are assimilated with WRFDA 3D-Var on National Center for Atmospheric Research (NCAR)’s supercomputer yellowstone (<http://www2.cisl.ucar.edu/resources/yellowstone>) and the wallclock time of 5 iterations of minimization are recorded to demonstrate the computational costs. After excluding the program initialization and I/O, with single processing core, it takes only 146s to assimilate 106 GPS RO profiles by local operator. However, about 12,762s ( $\approx 3.5$  hours) are needed to run 5 iterations with nonlocal operator. The ratio of the computational cost of nonlocal to local operator is about 87 for this case. In terms of the production 3D-Var run with 60 iterations (assumes 2 outer loops) approximately, the wallclock time for serially running this case will be more than 42 hours on yellowstone. Therefore, the cost of nonlocal operator with single processing core is extremely unaffordable for either research or operational purposes.

### 3. Parallelization Strategy

Most of the atmospheric models and their data assimilation systems employ the horizontal domain-decomposition method for parallel processing. In data assimilation systems, the observation is usually assigned to the subdomain where it is geographically located. However, for such as satellite radiance data assimilation, due to the uneven distribution of the observations and the expensive radiative transfer model, the radiance operator is expensive and the load imbalance issue has to be considered for operational practice. We may either

change the way of the horizontal domain-decomposition method to have each subdomain cover similar number of radiance data, or redistribute the radiance data among processing cores to have each core has similar workload. Please note that the load balance algorithm itself might be costly and complicated.

The difficulty to parallel the nonlocal operator under the domain-decomposition context roots in its nonlocal integral nature of Eq. (4) along the ray-paths at all vertical levels above the tangent point and below the model top. The ray-path might intercepts several subdomains located on different processing cores respectively and each processing core is only aware of the atmospheric states of the local subdomain. Apparently, the most suitable strategy to parallel nonlocal GPS RO operator is the ray-path-wise distribution among processing cores (Zhang et al. (2004)), which distributes the workload among processing cores based upon the number of the GPS ray-paths, instead of the geographic location of the observations. However, in our parallelization strategy, we must consider the fact of the existed domain-decomposition method to minimize the implementation cost.

Although Eq. (4) is an integration of the refractivity along the ray-path, which might go through the whole model domain, we noticed that only one derived variable – model simulated refractivity ( $N_{mod}$ ) is used for the integration. If each processing core (subdomain) is aware of the global  $N_{mod}$ , the integration is able to be done on each whole ray-path parallel. The calculate of  $N_{mod}$  from firstguess fields with Eq. (1) is trivial and each processing core can calculate the  $N_{mod}$  of subdomain locally in advance. It turns out that we can use the parallel collecting-and-broadcasting operation to collect the local calculated  $N_{mod}$  from each subdomain to a global  $N_{mod}$  array and to broadcast the global  $N_{mod}$  to each processing core. The costs for this strategy is the additional memory storage for several global arrays and the collecting-and-broadcasting operation in each iteration. For modern distributed memory supercomputers, the costs are trivial.

With the implementation of above parallel strategy (experiment "Parallel") , Fig. 2(a) shows the parallel wallclock timing results with up to 512 processing cores on NCAR's

yellowstone (red bars represent experiment "Parallel"). The wallclock time of 5 iterations minimization reduced from around 3.5 hours with serial run to 279 ( $\approx 4.5$  minutes) seconds with 512 processing cores.

## 4. Load Balance

Fig. 3 shows the parallel speedups, which are the ratio of the wallclock times of parallel runs against that of the serial run. The black line is the linear parallel speedup and represents the ideal speedup or acceleration when multiple processing cores are used. The red line represents experiment "Parallel". The speedup with 512 processing cores is 46 and one may argue that the actual speedup is much lower than the ideal speedup (512) and the parallelization strategy is not cost-effective. Therefore, the analysis of the parallel algorithm of the GPS RO operator will be helpful to understand the unsatisfactory speedup and identify the reason behind.

In Sec. 3, we emphasized that we have to consider the existed horizontal domain-decomposition method for the GPS RO data distribution, which indicates that each GPS RO profile is assigned to the connected subdomain based on its geographic location. The location of the GPS RO profile is not fixed and changes for every occultation. The geographic distribution of GPS RO profiles is not even (see Fig. 1). Since the nonlocal excess phase operator for GPS RO data is very expensive and it is very likely that some processing cores or subdomains get more GPS RO profiles than others. One may deduce that the overall performance is solely determined by the workload of the processing cores which being assigned the most number of profiles to process. Fig. 2(b) shows the variation of the maximum number of assigned observations per subdomain with the numbers of processing cores (red bars represent experiment "Parallel"). Because the uneven geographic distribution of the GPS RO profiles, even we used 512 processing cores, there is still one out of 512 subdomains covers two GPS RO profiles and most of the processing cores are idle. Therefore,



the theoretic speedup with 512 processing cores is  $106/2 = 53$  and the actual speedup of 46 should be considered as efficient enough for this strategy. It is impossible to achieve the ideal speedup before solving the load imbalance issue. Visual comparison of (a) and (b) in Fig. 2 for experiment "Parallel" suggests that the parallel performance has a very high correlation with the maximum number of the observation per processing core/subdomain. The load imbalance is the bottleneck of the overall parallel performance.

We have indicated in Sec. 3 that the most suitable parallel strategy for the nonlocal GPS RO operator is the ray-path-wise distribution. Taking advantage of the parallel strategy implemented in Sec. 3, each processing core is aware of the global  $N_{mod}$ , which means that each processing core can calculate the integral of Eq. 4 along any ray-path of any profile. Therefore, it is feasible to distribute the GPS RO data ray-by-ray among processing cores in a round-robin fashion. However, taking into account the cost of implementation, it is much easier to distribute the GPS RO data profile-by-profile among processing cores in terms of the amount of code modification. Please note that since different GPS RO profile may includes different number of GPS ray-paths at vertical levels above the tangent point and below the model top, the profile-by-profile distribution may sacrifice some of the load balance compared to the ray-by-ray distribution.

The experiment "Loadbalance" in Fig. 2(a) shows the wallclock time spent with the number of processing cores up to 128. Actually, 106 is the minimum number of processing cores for this case to get the maximum theoretic parallel efficiency since we have 106 GPS RO profiles. With the round-robin distribution of the GPS RO profiles, each processing core is assigned with approximately equal number of the profiles. With 128 processing cores, the wallclock time is reduced to 162 ( $\approx 2.5$  minutes) seconds for 5 iterations minimization including initialization and I/O. Compared to 492 seconds with 128 processing cores and 279 seconds with 512 processing cores in Sec. 3, the load balance strategy tremendously increases the parallel efficiency of the nonlocal excess phase GPS RO operator. The experiment "Loadbalance" in Fig. 3 shows that the speedup with 128 processing cores is 80. More

precisely speaking, the speedup with 106 processing core is 80. Without the load balance strategy, the speedup with 128 processing cores is 33. Again, the high correlation between the wallclock times and the maximum number of observations per processing cores of experiment "Loadbalance" in (a) and (b) of Fig. 2 confirms the analysis that the importance of the load balance strategy in parallel data assimilation of GPS RO data with nonlocal operator.

## 5. Further Optimization

In variational data assimilation methods, not only the observation operator is needed to calculate the innovation, but also the corresponding tangent linear and adjoint observation operators are required during the minimization. The tangent linear and adjoint operators are used to evolve the perturbations forward and backward along the basic trajectory, respectively. Therefore, it is an economic way to save the computational cost if the basic trajectory could be recorded, other than recomputed. In terms of the nonlocal GPS RO operator implementation in the WRFDA system (Chen et al. (2009)), the locations of each ray-path should be recored during the innovation calculation and the recorded location of each ray-path can be used in tangent linear adjoint operators directly. The experiment "Optimization" in Fig. 2(a) shows the wallclock timing results with this further optimization. Averaged 4% ~ 10% further acceleration is observed.

## 6. Summary and Discussion

The nonlocal excess phase operator for GPS RO data has been demonstrated to be a solid and promising method to simulate the the observed GPS excess phase delay from the model states. However, due to its high computational cost and the nonlocal nature in the algorithm which includes the integration along the GPS ray-path across the model domain, it is not easy to implement this new operator in data assimilation systems parallelized based

222 on the horizontal domain-decomposition method. Therefore, it has been tested only in some  
223 research configurations with affordable number of observations.

224 To parallel the nonlocal excess phase GPS RO operator, the first strategy is to enable  
225 each processing core to be aware of the global simulated model refractivity, which is the only  
226 variable needed for the nonlocal operator and is calculated in advance. Thus, each processing  
227 core can process the GPS observations geographically located within its connected subdo-  
228 main. However, the performance analysis reveals that the load imbalance associated with  
229 the default geographic observation distribution among processing cores seriously constrains  
230 the parallel efficiency. Leveraging the implementation of the first strategy, GPS RO profiles  
231 can be alternatively distributed among processing cores in a round-robin fashion, which en-  
232 sures the best possible load balance with available computing resource. The demonstration  
233 case with 106 GPS RO profiles over a Antarctic domain shows that the wallclock time for  
234 5 iterations minimization with WRFDA reduced from about 3.5 hours with one processing  
235 core to approximately 2.5 minutes with 106 processing cores. This is affordable in terms of  
236 both research and operational practices.

237 Depends on the height of tangent point of the GPS occultation, different GPS RO profiles  
238 may has different number of levels, therefore, different number of ray-paths. As mentioned  
239 before, better load balance and further acceleration is still possible if the GPS RO data is  
240 distributed among processing cores ray-by-ray. However, the ray-path has to be determined  
241 before the data distribution and this may include some substantial code changes.

## 242 7. Figures and tables

243 *a. Figures*

244 *b. Tables*

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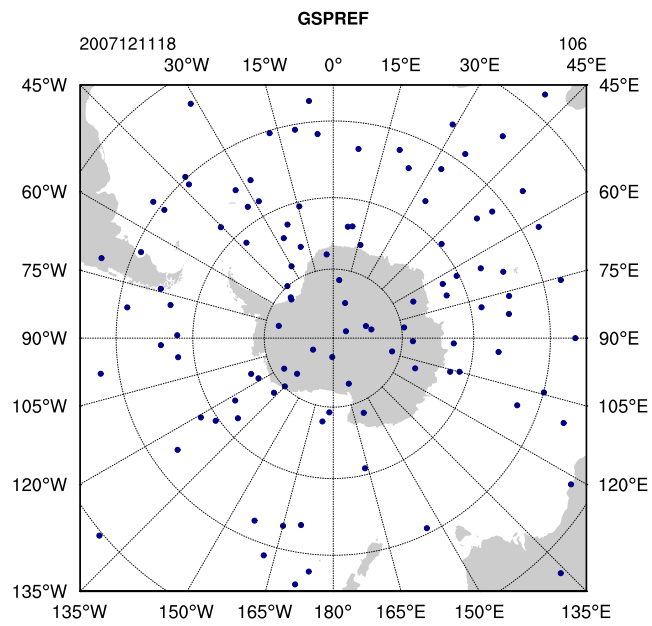


FIG. 1. Experiment domain and the locations of 106 GPS RO profiles (blue dots) within  $\pm 3$  hours of 1800 UTC 11 December 2007

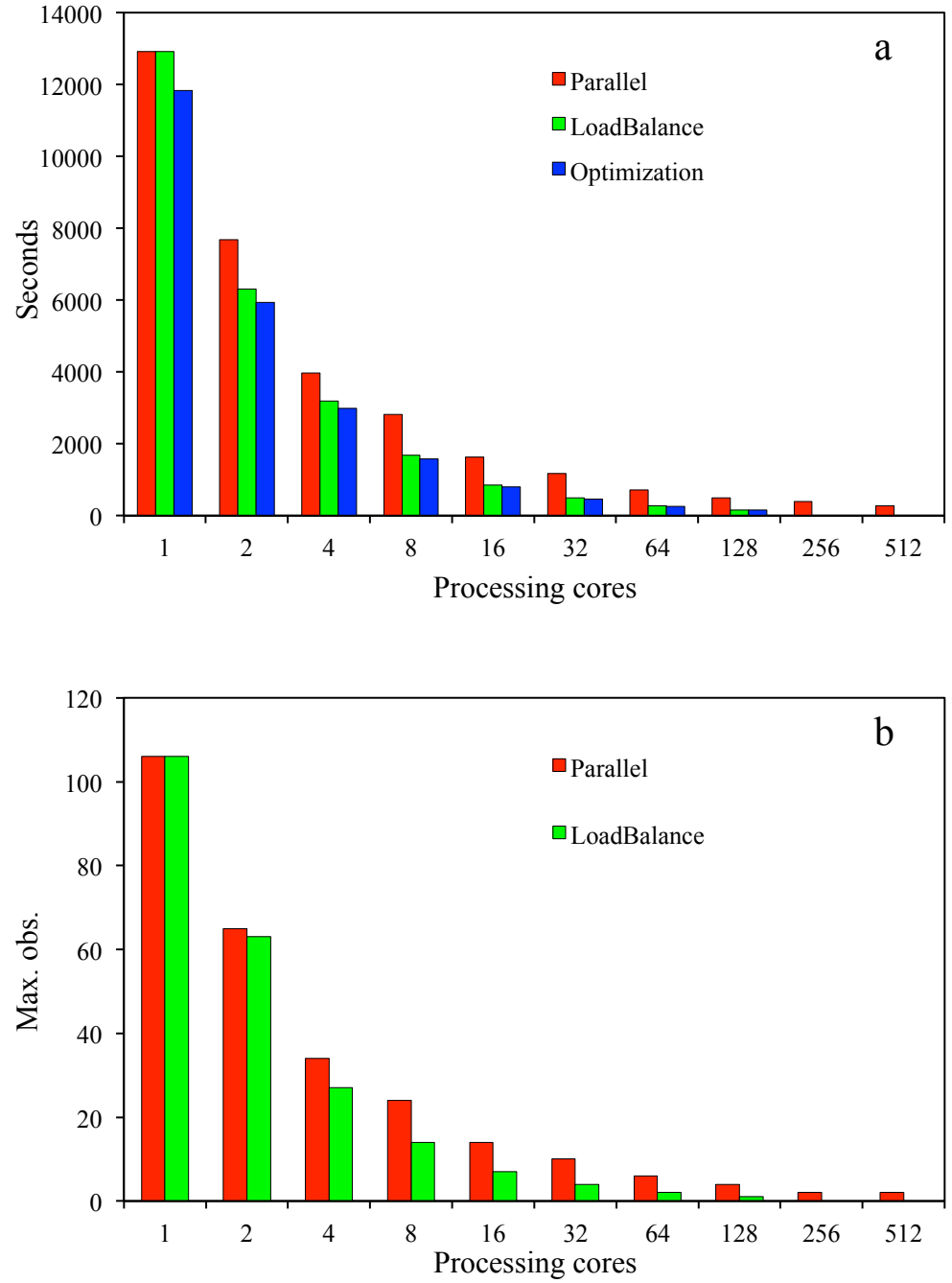


FIG. 2. The wallclock times (a) and maximum number of observation per processing core (b) for 5 iterations of minimization on NCAR yellowstone.

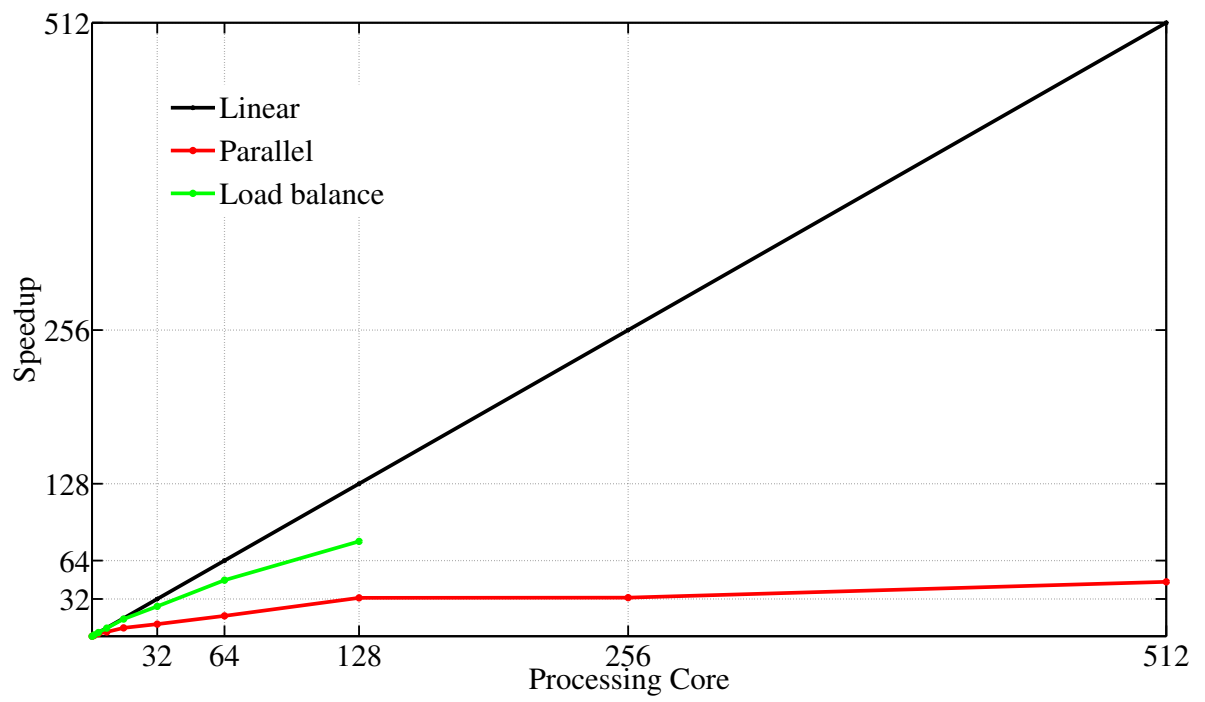


FIG. 3. The same as Fig. 2 ,but for the parallel speedup