WRF Data Assimilation System

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6th East Asia WRF Tutorial, SNU

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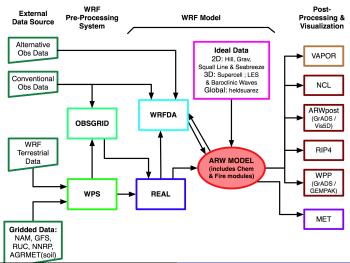


What is WRFDA?

- WRFDA : A Data Assimilation system for WRF (ARW) model
 - Variational and Ensemble methods
 - Used for both research and operational data analysis
- It is a supported community model, i.e. a free and shared resource with distributed development and centralized support



WRF Modeling System Flow Chart





WRFDA formulation

$$J = \frac{1}{2} (\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x} - \mathbf{x}_b) + \frac{1}{2} [\mathbf{y}_o - H(\mathbf{x})]^T \mathbf{R}^{-1} [\mathbf{y}_o - H(\mathbf{x})]$$

Define the first guess of the n^{th} outer loop: \mathbf{x}_{n-1} , note: $\mathbf{x}_0 = \mathbf{x}_b$

$$J = \frac{1}{2} (\mathbf{x}_n - \mathbf{x}_{n-1} + \mathbf{x}_{n-1} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x}_n - \mathbf{x}_{n-1} + \mathbf{x}_{n-1} - \mathbf{x}_b)$$
$$+ \frac{1}{2} [\mathbf{y}_o - H(\mathbf{x}_n)]^T \mathbf{R}^{-1} [\mathbf{y}_o - H(\mathbf{x}_n)]$$

Define analysis increment of n^{th} outer loop: $\delta \mathbf{x}_n = \mathbf{x}_n - \mathbf{x}_{n-1}$

$$J = \frac{1}{2} (\delta \mathbf{x}_n + \mathbf{x}_{n-1} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\delta \mathbf{x}_n + \mathbf{x}_{n-1} - \mathbf{x}_b)$$
$$+ \frac{1}{2} [\mathbf{y}_o - H(\mathbf{x}_{n-1} + \delta \mathbf{x}_n)]^T \mathbf{R}^{-1} [\mathbf{y}_o - H(\mathbf{x}_{n-1} + \delta \mathbf{x}_n)]$$



Define innovation : $\mathbf{d}_n = \mathbf{y}_o - H_{n-1}(\mathbf{x}_{n-1})$

$$J = \frac{1}{2} (\delta \mathbf{x}_n + \mathbf{x}_{n-1} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\delta \mathbf{x}_n + \mathbf{x}_{n-1} - \mathbf{x}_b)$$
$$+ \frac{1}{2} [\mathbf{d}_n - \mathbf{H}_{n-1} (\delta \mathbf{x}_n)]^T \mathbf{R}^{-1} [\mathbf{d}_n - \mathbf{H}_{n-1} (\delta \mathbf{x}_n)]$$

 $\mathbf{H}_{n-1} = \frac{\partial H_{n-1}}{\partial \mathbf{x}_{n-1}}$ is the tangent linear observational operator To find the $\delta \mathbf{x}_n$ which lead J to minimium:

$$\nabla_{\delta \mathbf{x}_n} J = 0$$

$$\nabla_{\delta \mathbf{x}_n} J = \mathbf{B}^{-1} (\delta \mathbf{x}_n + \mathbf{x}_{n-1} - \mathbf{x}_b) - \mathbf{H}_{n-1}^T \mathbf{R}^{-1} (\mathbf{d}_n - \mathbf{H}_{n-1} (\delta \mathbf{x}_n))$$



At the minimium, $\nabla_{\delta \mathbf{x}_n} J$ vanishes:

$$(\mathbf{B}^{-1} + \mathbf{H}_{n-1}^T \mathbf{R}^{-1} \mathbf{H}_{n-1}) \delta \mathbf{x}_n = \mathbf{H}_{n-1}^T \mathbf{R}^{-1} \mathbf{d}_n - \mathbf{B}^{-1} (\mathbf{x}_{n-1} - \mathbf{x}_b)$$

Then

$$\delta \mathbf{x}_n = (\mathbf{B}^{-1} + \mathbf{H}_{n-1}^T \mathbf{R}^{-1} \mathbf{H}_{n-1})^{-1} [\mathbf{H}_{n-1}^T \mathbf{R}^{-1} \mathbf{d}_n - \mathbf{B}^{-1} (\mathbf{x}_{n-1} - \mathbf{x}_b)]$$

With the Woodbury Matrix Identity formulation:

$$(\mathbf{A} + \mathbf{U}\mathbf{C}\mathbf{V})^{-1} = \mathbf{A}^{-1} - \mathbf{A}^{-1}\mathbf{U}(\mathbf{C}^{-1} + \mathbf{V}\mathbf{A}^{-1}\mathbf{U})^{-1}\mathbf{V}\mathbf{A}^{-1}$$

$$(\mathbf{B}^{-1} + \mathbf{H}_{n-1}^T \mathbf{R}^{-1} \mathbf{H}_{n-1})^{-1} = \mathbf{B} - \mathbf{B} \mathbf{H}_{n-1}^T (\mathbf{R} + \mathbf{H}_{n-1} \mathbf{B} \mathbf{H}_{n-1}^T)^{-1} \mathbf{H}_{n-1} \mathbf{H}_{n-1}^T \mathbf{H}_{n-1}^T$$



$$\delta \mathbf{x}_n = [\mathbf{B} - \mathbf{B}\mathbf{H}_{n-1}^T (\mathbf{R} + \mathbf{H}_{n-1}\mathbf{B}\mathbf{H}_{n-1}^T)^{-1}\mathbf{H}_{n-1}\mathbf{B}]$$
$$[\mathbf{H}_{n-1}^T \mathbf{R}^{-1}\mathbf{d}_n - \mathbf{B}^{-1}(\mathbf{x}_{n-1} - \mathbf{x}_b)]$$

$$\delta \mathbf{x}_n = \mathbf{B} \mathbf{H}_{n-1}^T (\mathbf{R} + \mathbf{H}_{n-1} \mathbf{B} \mathbf{H}_{n-1}^T)^{-1} [\mathbf{d}_n + \mathbf{H}_{n-1} (\mathbf{x}_{n-1} - \mathbf{x}_b)] - (\mathbf{x}_{n-1} - \mathbf{x}_b)$$

Please note that the new analysis is:

$$\mathbf{x}_a = \delta \mathbf{x} + \mathbf{x}_{n-1}$$



For first outer loop,
$$\mathbf{x}_0 = \mathbf{x}_b$$
 and $\mathbf{d} = \mathbf{y}_o - H(\mathbf{x}_b)$
$$J = \frac{1}{2} \delta \mathbf{x}^T \mathbf{B}^{-1} \delta \mathbf{x} + \frac{1}{2} (\mathbf{d} - \mathbf{H} \delta \mathbf{x})^T \mathbf{R}^{-1} (\mathbf{d} - \mathbf{H} \delta \mathbf{x})$$

$$\nabla_{\delta \mathbf{x}} J = \mathbf{B}^{-1} \delta \mathbf{x} - \mathbf{H}^T \mathbf{R}^{-1} (\mathbf{d} - \mathbf{H} \delta \mathbf{x})$$

$$\delta \mathbf{x} = \mathbf{B} \mathbf{H}^T (\mathbf{R} + \mathbf{H} \mathbf{B} \mathbf{H}^T)^{-1} \mathbf{d}$$



B in WRFDA

• Partly for preconditioning reasons:

$$\mathbf{B} = \mathbf{U}\mathbf{U}^T \text{ with } \mathbf{U} = \mathbf{U}_p$$

- Horizontal transform (\mathbf{U}_h) is via
 - Regional recursive filters
 - Global power spectrum
- Vertical transform (\mathbf{U}_v) is via
 - cv3 recursive filters
 - cv5&6 via EOF
- Physical transformation (\mathbf{U}_p) depends upon the choice of the analysis control variable



Cost function in WRFDA

- Define: $\delta \mathbf{x} = \mathbf{U}\mathbf{v}$
- v is the analysis increment in control variable space
 - streamfunction
 - unbalanced velocity potential
 - unbalanced temperature
 - RH
 - unbalanced surface pressure
- The actual cost function in WRFDA:

$$J = \frac{1}{2}\mathbf{v}^{T}\mathbf{v} + \frac{1}{2}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})^{T}\mathbf{R}^{-1}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})$$
$$\mathbf{x}_{a} = \mathbf{U}\mathbf{v} + \mathbf{x}_{n-1}$$



Prepare the BE

$$J = \frac{1}{2}\mathbf{v}^{T}\mathbf{v} + \frac{1}{2}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})^{T}\mathbf{R}^{-1}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})$$

- Nominally, $\mathbf{B} = \mathbf{U}\mathbf{U}^T$ is the background error covariance
- For initial testing, default background error statistics may be used
 - be.dat file (CV option 5) from test case tar file can only be used with the case of online tutorial
 - be.dat.cv3 (CV option 3) from source code tar file can be used for general test domains, tuning is still needed for satisfied performance
- Ultimately, **B** should be specific to the particular model domain (and season)



Prepare the Background

$$J = \frac{1}{2}\mathbf{v}^{T}\mathbf{v} + \frac{1}{2}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})^{T}\mathbf{R}^{-1}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})$$
$$\mathbf{U}\mathbf{v} = \mathbf{x} - \mathbf{x}_{b}$$

- In cold-start mode: accomplished by running the WPS and real programs
 - The background is the wrfinput_d01 file
- In cycling mode: the output of the WRF model
 - WRF can output wrfinput-formatted files used for cycling



Prepare the Observations & Errors

$$J = \frac{1}{2}\mathbf{v}^{T}\mathbf{v} + \frac{1}{2}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})^{T}\mathbf{R}^{-1}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})$$
$$\mathbf{d} = \mathbf{y} - H(\mathbf{x}_{b})$$

- Conventional observation input for WRFDA is supplied through
 - Little_R format, observation preprocessor, OBSPROC
 - Prepbufr format data directly
- Observation error covariance also provided by OBSPROC (R is a diagonal matrix)
- Separate input file (ASCII) for radar, both reflectivity and radial velocity
- Separate input file for satellite radiances, BUFR format

Run WRFDA

$$J = \frac{1}{2}\mathbf{v}^{T}\mathbf{v} + \frac{1}{2}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})^{T}\mathbf{R}^{-1}(\mathbf{d} - \mathbf{H}\mathbf{U}\mathbf{v})$$

- *H* is the observational operator, which calculate the counterpart of observations in model space
- Conjuagate gradient method
- Try to find a \mathbf{v} , which minimizes J and then $\mathbf{x}_a = \mathbf{U}\mathbf{v} + \mathbf{x}_{n-1}$

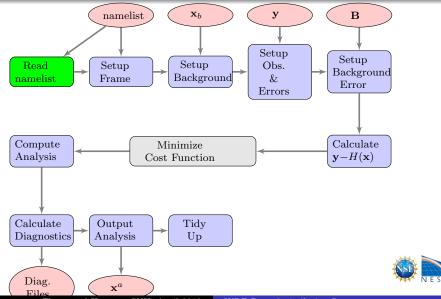


Update Boundary Condition

- After creating an analysis, \mathbf{x}_a , we have changed the initial conditions for the model
- However, tendencies in wrfbdy_d01 file are valid for background, \mathbf{x}_b
- The update_bc program adjusts these tendencies based on the difference $\mathbf{x}_a \mathbf{x}_b$
- Of course, if \mathbf{x}_a was produced for reasons other than running WRF, there is probably not a need to update boundary conditions



WRFDA Basics WRFDA Overview WRFDA Implementation WRFDA Software



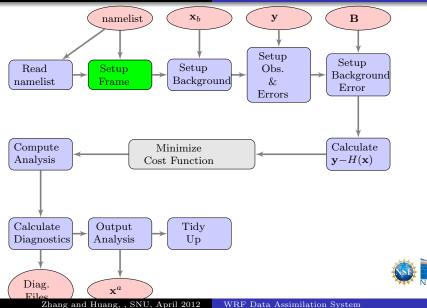
Read namelist

- Reads WRFDA data assimilation options from namelist.input file
- Performs consistency checks between namelist options.

```
Calling order:
da_wrfvar_main ==> call da_wrfvar_init1, da_wrfvar_init2 ==> call initial_config

Calling subroutines:
da_wrfvar_main.f90 ==> da_wrfvar_init1.inc, da_wrfvar_init2.inc ==> module_configure.F
```





Setup Frame

• Use WRF framework distributed memory capability to initialize tile, memory, patch dimensions, etc.

```
Calling order:

da_wrfvar_main ==> call da_wrfvar_init2 ==> call alloc_and_configure_domain

da_wrfvar_main ==> call da_wrfvar_run.inc ==> call da_wrfvar_interface ==> call da_solve

==> call da_solve_init

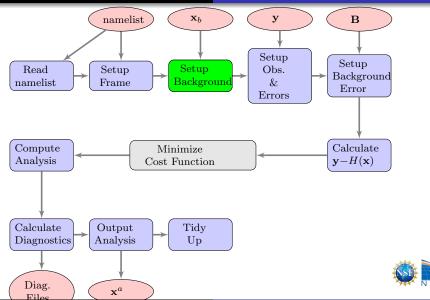
Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_init2.inc ==> module_domain.F

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc

==> da_solve_init.inc
```





Setup Background

- Reads the first-guess file
- Extracts fields used by WRFDA
- Creates background FORTRAN 90 derived data type xb etc.

```
Calling order:
```

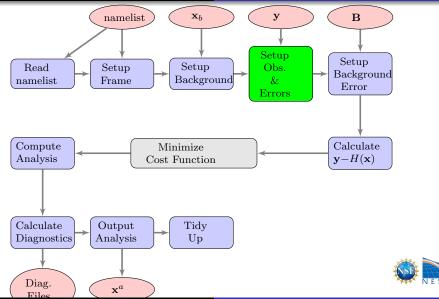
```
da_wrfvar_main ==> call da_wrfvar_init2 ==> call da_med_initialdata_input
da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve
==>call da_setup_firstguess
```

Calling subroutines:

```
da_wrfvar_main.f90 ==> da_wrfvar_init2.inc ==> da_med_initialdata_input.inc
da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc
==>da_setup_firsteuess.inc
```



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Setup Observations & Errors

- Reads in observations
- Assign observational error
- Creates observation FORTRAN 90 derived data type ob
- Domain and time check

Calling order:

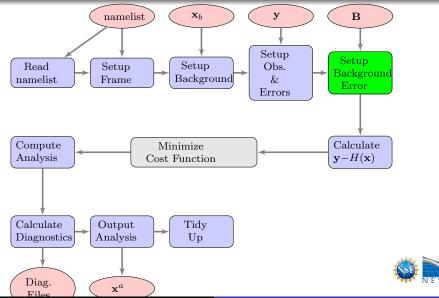
da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve ==>call da_setup_obs_structures

Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc
==>da_setup_obs_structures.inc



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Setup Background Error

- Reads in background error statistics
- Extracts necessary quantities: eigenvectors, eigenvalues, lengthscales, regression coefficients, etc.
- Creates background error FORTRAN 90 derived data type be
- Reference : Online BE Documents

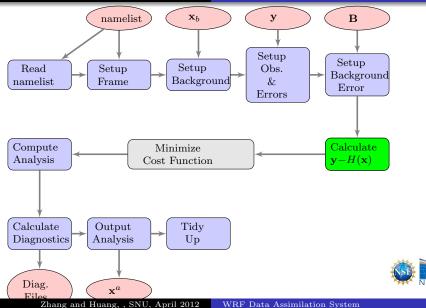
Calling order:

da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve ==>call da_setup_background_errors

Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc
==>da_setup_background_errors.inc





Calculate Innovation

- Calculates model equivalent of observations through interpolation and change of variable
- Computes observation minus first guess (y H(x)) value
- Creates innovation vector FORTRAN 90 derived data type
 iv

```
Calling order:
```

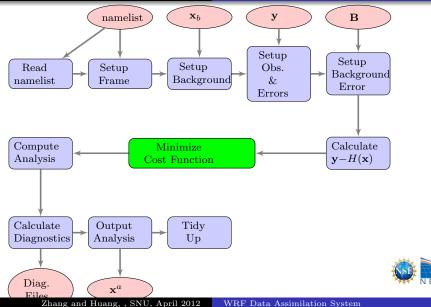
da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve ==>call da_get_innov_vector, da_allocate_y

Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc
==>da_get_innov_vector.inc, da_allocate_y.inc



WRFDA Basics WRFDA Implementation



Minimization

Use conjugate gradient method

- Initializes analysis increments to zero
- Computes cost function (if desired)
- Computes gradient of cost function
- Uses cost function and gradient to calculate new value of analysis control variable

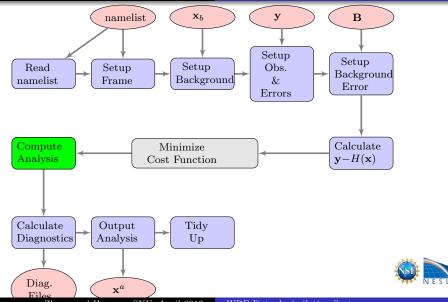
```
Calling order:
da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve
==>call da_minimise_cg
```

```
Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc
==>da_wrfvar_interface.inc
```



WRFDA Basics WRFDA Implementation



Compute Analysis

- Once WRFDA has found a converged control variable, convert control variable to model space analysis increments
- Calculate:
 analysis = first-guess + analysis increment
- Performs consistency checks, e.g., remove negative humidity etc.
- Optionally, do outer loop

Calling order:

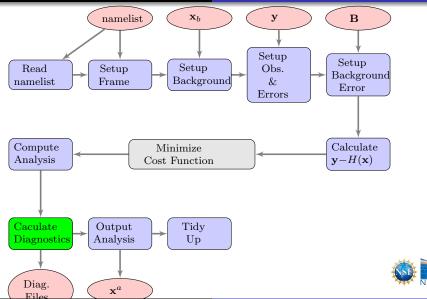
da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve ==> call da transfer xatoanalysis

Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc ==>da transfer xatoanalysis.inc



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Calculate Diagnostics

- Output $\mathbf{y} H(\mathbf{x}_b)$, $\mathbf{y} H(\mathbf{x}^a)$ statistics for all observation types and variables
- Compute $\mathbf{x}^a \mathbf{x}_b$ (analysis increment) statistics for all model variables and levels
- Statistics include minimum, maximum (and their locations), mean and standard deviation.

```
Calling order:
```

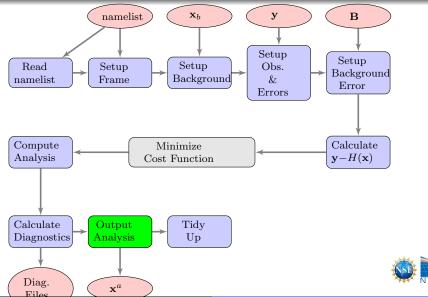
da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve ==> call da_write_diagnostics

Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc
==> da_write_diagnostics.inc



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Output Analysis

• Outputs analysis in native model format.

Calling order:

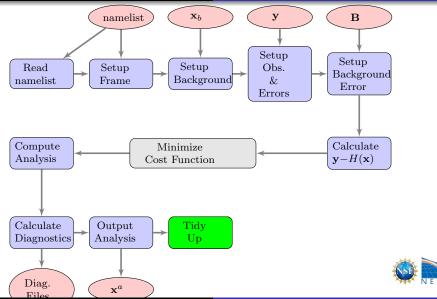
da_wrfvar_main ==> call da_wrfvar_run ==> call da_wrfvar_interface ==> call da_solve ==> call da_med_initialdata_output

Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc
==> da_med_initialdata_output.inc



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Tidy Up

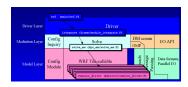
- Deallocate dynamically-allocated arrays, structures, etc.
- Timing information
- Clean end to WRFDA

```
Calling subroutines:

da_wrfvar_main.f90 ==> da_wrfvar_run.inc ==> da_wrfvar_interface.inc ==> da_solve.inc ==> dealcoate ....
```

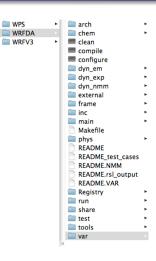


WRFDA Software Framework



- WRFDA relies on the WRF Software framework for
 - Distributed memory parallelism (halo exchanges, etc.)
 - Input/Output of first guess and analysis files
 - Parallel transposes
- WRFDA also uses
 - The WRF Registry mechanism to handle definitions of fields, halos, type, package and transposes (Registry.wrfy,
 - The WRF build system (clean, configure, compile)

WRFDA Code Organization

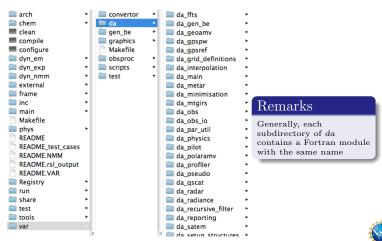


Remarks

Besides the directories for WRF, the WRFDA tar file contains a var directory, which holds all of the WRFDA code

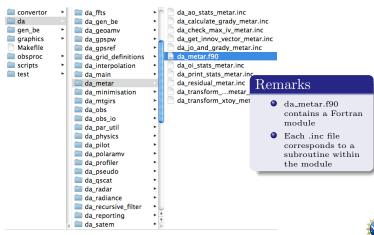


WRFDA Code Organization





WRFDA Code Organization





How to add a new observation in WRFDA

A example of adding TAMDAR data, contributed by Dr. Hongli Wang

```
1. define structures
      da/da_define_structures/da_deallocate_v.inc
      da/da_define_structures/da_zero_v.inc
      da/da define structures/da deallocate observations.inc
      da/da_define_structures/da_allocate_v.inc
      da/da_define_structures/da_allocate_observations.inc
      da/da define structures/da define structures.f90
      da/da setup structures/da setup obs structures.inc
     da/da_setup_structures/da_setup_structures.f90
2. da obs io
      da/da obs io/da search obs.inc
      da/da_obs_io/da_write_filtered_obs.inc
      da/da_obs_io/da_read_obs_ascii.inc
      da/da obs io/da scan obs ascii.inc
     da/da obs io/da obs io.f90
3. da obs
      da/da obs/da random omb all.inc
      da/da obs/da add noise to ob.inc
      da/da_obs/da_obs.f90
      da/da obs/da count filtered obs.inc
      da/da obs/da transform xtov adi.inc
      da/da_obs/da_use_obs_errfac.inc
      da/da obs/da fm decoder.inc
```



WRFDA Software Framework WRFDA Code Organization How to add a new observation in WRFDA Quick Start Online WRFDA Resources

How to add a new observation in WRFDA, cont'd

```
da/da_obs/da_fill_obs_structures.inc
     da/da obs/da transform xtov.inc
4. da tamdar
      da/da_tamdar/da_ao_stats_tamdar.inc
      da/da tamdar/da calculate grady tamdar.inc
      da/da tamdar/da check max iv tamdar.inc
      da/da_tamdar/da_get_innov_vector_tamdar.inc
      da/da tamdar/da io and grady tamdar.inc
      da/da tamdar/da io tamdar uvtg.inc
      da/da_tamdar/da_oi_stats_tamdar.inc
      da/da_tamdar/da_print_stats_tamdar.inc
      da/da tamdar/da residual tamdar.inc
      da/da_tamdar/da_tamdar.f90
      da/da_tamdar/da_transform_xtoy_tamdar.inc
      da/da tamdar/da transform xtov tamdar adi.inc
5. da minimisation
      da/da_minimisation/da_calculate_grady.inc
      da/da minimisation/da calculate residual.inc
      da/da minimisation/da minimisation.f90
      da/da_minimisation/da_get_innov_vector.inc
      da/da_minimisation/da_get_var_diagnostics.inc
      da/da minimisation/da io and gradv.inc
      da/da_minimisation/da_write_diagnostics.inc
 6. control/regestry/compile
      da/da control/da control.f90
      Registry/Registry.wrfvar
```



da/Makefile

How to add a new observation in WRFDA, cont'd

```
7. da test
      da/da_test/da_check_xtoy_adjoint_tamdar.inc
      da/da_test/da_test.f90
      da/da_test/da_check_xtoy_adjoint.inc
      da/da_test/da_get_y_lhs_value.inc
8. obsproc
      obsproc/src/3dvar_obs.F90
      obsproc/src/fm decoder.F90
      obsproc/src/sort platform.F90
      obsproc/src/module_decoded.F90
      obsproc/src/module_write.F90
      obsproc/src/module_complete.F90
      obsproc/src/module_duplicate.F90
      obsproc/src/platform_interface.inc
      obsproc/src/module namelist.F90
      obsproc/src/module_err_afwa.F90
      obsproc/src/module_per_type.F90
      obsproc/src/module ac.F90
      Registry/Registry.wrfvar
tamdar_sfc
      da/da_define_structures/da_define_structures.f90
      da/da control/da control.f90
      da/da_define_structures/da_allocate_v.inc
      da/da_define_structures/da_deallocate_observations.inc
      da/da define structures/da deallocate v.inc
      da/da_define_structures/da_zero_v.inc
```



Quick Start

- Supported compilation mechanisms
 - Serial
 - Distributed-memory(dm)
 - Shared-memory(sm) (use with cautions, thread safe compiler only–IBM XLF)
 - hybrid (dm+sm) (use with cautions)
- Supported platforms
 - IBM: XLF
 - Linux: PGI, IFORT, GFORTRAN (higher version needed, V4.4.0 tested)
 - Macintosh intel: PGI, G95, GFORTRAN (higher version needed, V4.4.0 tested)
- Included libraries
 - CRTM 2.0.2
 - BUFR, BLAS and LAPACK



- Install NetCDF (V3.6 above) with THE SAME COMPILER you will choose to compile WRFDA codes.
- Setup the environmental variable
 - csh, tcsh: setenv NETCDF your_netcdf_path
 - bash, ksh : export NETCDF=your_netcdf_path
- cd WRFDA
- ./clean -a
- ./configure (-d) wrfda (-d : compile with debug mode)
- ./compile all_wrfvar
- 42 executables should be generated under var/build directory



Upgraded to Mac OS X Snow Leopard Users

- PGI (v10.3.0, 64-bit), G95(v4.0.3, 32-bit), GFORTRAN(v4.4.0, default is 32-bit, '-m64' needed for 64-bit) have been tested.
- gcc, g++ are verision 4.2.1, default is 64-bit, '-m32' needed for 32-bit.
- NetCDF library should be re-install with appropriate compiler and option.
- V3.4 configure is able to produce configure.wrf for PGI and G95.
- For GFORTRAN compiler, '-m64' should be added to SFC,SCC, CCOMP in configure.wrf manually.
- Prepbufr and Bufr format data have problem to be read Snow Leopard system.

Online WRFDA Resources

WRFDA has a dedicated page, similar to the ARW Users page: WRFDA User Page





Online Resources

From the WRFDA page, one can access:









WRFDA Software Framework WRFDA Code Organization How to add a new observation in WRFDA Quick Start Online WRFDA Resources

Thank You

The NESL Mission is:

To advance understanding of weather, climate, atmospheric composition and processes;

To provide facility support to the wider community; and,

To apply the results to benefit society.



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