

# 计算机网络

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## 1. Introduction

- Networks: exchange something from one site to the others
- Why computer networks? 【s1 p20】
  - Communication, resource sharing, collaboration.

## Connectivity

- What do we need to connect two computers? 【s1 p27】
  - computers
  - links
- Building blocks 【s1 p28】
  - Nodes
    - Hosts: work for the users
    - Switches: work for the network
  - Direct links
    - point to point
    - **multiple access** (常用)
- Device at the center
  - Hub ( 集线器 ), a box
  - Switch ( 交换机 ), a computer
  - Router ( 路由器 )
- Switched networks 【s1 p30】
  - suitable for scaling up
  - circuit switched (tel.), packet switched (computer)
  - can be defined recursively
- Network scales 【s1 p32】
  - SAN, LAN, WAN, MAN, Internet

## Networking resource sharing

- Fundamental resource sharing concept: **multiplexing** ( 复用 )
- Solutions 【s1 p35】
  - Synchronous Time-Division Multiplexing (STDM)
    - 分时间段使用 · 这段时间归谁 · 那段时间归谁 · 不能乱用。
  - Frequency-Division Multiplexing (FDM)

- 分出多个lane，每个lane分配一台电脑，同样是专属。这样效率还是不高，可能一条道没用，另一条道却堵。
- Packet switching (Statistical Multiplexing, On-demand Time-division)
  - 将各个电脑的数据包分成小块，小块混杂着传输。
  - 各个小块过程中可能线路不同，但最终到达的目的地相同。
- Circuit vs Packet switching 【s1 p37】

## Architecture

- Support for common services 【s1 p42】
    - request/reply channel
    - message stream channel: videos, sending message continuously
  - Layering 【s1 p43】
    - Application programs, Process-to-process channels, Host-to-host connectivity, Hardware
  - **Layers of network applications** 【s1 p44】
    - Users
    - Applications
    - Network services
    - Network hardware
  - Simplified file transfer architecture
- 

## 2. Network architecture

### Layering and protocols

- **Protocols** 【s2 p7】
  - Standards at a layer
  - a library function, or a running process
  - protocol stack: set of consecutive layers
- **Interfaces** 【s2 p8】
  - service interface
  - peer-to-peer interface
- Protocol graph
  - most peer-to-peer communication is indirect, direct only at hardware level.
  - RRP: request/reply protocols
  - MSP: message stream
  - HHP: host-to-host
- Encapsulation (封装)

- Data  $\rightarrow$  (RRP, Data)  $\rightarrow$  (HHP, RRP, Data)  $\rightarrow$  (RRP, Data)  $\rightarrow$  Data

## Architecture

### ISO/OSI Model

- 7 layers
  - 7: Application
  - Presentation
  - Session
  - Transport
  - Network
  - Data link
  - 1: Physical
- 太麻烦了，仅供参考，实际并未使用。
- Nodes 至少要有3个layer: physical, data link, network
- Brief introduction of the 7 layers 【s2 p14~p16】

### TCP/IP architecture

- 漏斗形 hourglass shape 【s2 p17】
- Does not imply strict layering
- 4 layers 【s2 p19】
  - 4: Application
  - Transport
  - Network
  - 1: Physical

## Application programming interface (API)

- How can we build network applications? 【s2 p24】
  - applications: inter-process communication
  - common services
  - A **network architecture** is a layered arrangement of common services, which support inter-process communication.
- The most widely used network API: **socket interface**
  - A socket is defined as an endpoint for communication
  - Host num: Port num, 161.25.19.8: 1625
- The client/server paradigm 【s2 p28】

- steps
  - Further notes about socket API 【s2 p37】
- 

### 3. Direct connection

#### Network performance

- Performance metrics 【s3 p4】
  - Bandwidth
    - data transmitted per time unit
    - Mbps =  $10^6$  bits per second
  - Latency
    - time to send message from point A to point B
    - RTT: round-trip time.  $RTT = \text{Propagation delay} \times 2$
    - **Latency = Propagation + Transmit + Queue**
  - Throughput =  $\frac{\text{TransferSize}}{\text{TransferTime}}$
- Latency 和 Bandwidth 可以看作 fix cost 和 unit cost.
  - For high speed networks, latency dominates.
- Delay  $\times$  Bandwidth product
  - amount of data "in the pipe"
  - **the amount of data the sender can send before the receiver receives.**
- 当算大小时，用2的次方换算，如  $1\text{KB} = 1024\text{ Bytes}$ ；当算速度时，用10的次方换算，如  $1\text{Kbps} = 1000\text{ bits/s}$

#### Direct link

- One can send message to the other without the third computer.
- Direct link networks 【s3 p14】
  - connecting
  - encoding
  - framing
  - error detection
  - reliable delivery
  - media access control

#### Connecting hardware

- Node architecture, network adapter, links 【s3 p18~p20】
- Typical cables 【s3 p22】
  - twisted pairs

- coaxial cables
- fiber optic cables
- Wireless links 【s3 p26】
  - Mobile phone system
  - Wireless LAN/WAN
  - Short range device connection (infrared, bluetooth)

## Encoding, framing, and error detection (NOT required)

### Reliable transmission

- Transmitted frames may be delayed or lost.
- Solution: Automatic Repeat reQuest (ARQ)
  - Correctness: each packet is released to the network layer once and only once, without error
  - Efficiency
- Stop and wait 【s3 p51】
  - Acknowledgement and timeouts
  - It does not keep the pipe full
- Improvements 【s3 p54】
  - Concurrent logical channels
- **Sliding window** 【s3 p55】
  - allow multiple outstanding un-ACKed frames
  - upper bound: window. 【s3 p57】
    - Sender window size (SWS): Delay  $\times$  Bandwidth product.
    - Receiver window size (RWS): = 1 or = SWS

## 4. Direct link networks

- Ethernet, Token rings, Wireless 【s4 p4】
- IEEE 802.x specifications 【s4 p6】
- Media Access Control (MAC)
  - Ethernet: CSMA/CD
  - Token ring
  - Wireless: CSMA/CA

### Ethernet

- Physical connection 【s4 p9】

- Physical topologies 【s4 p10】
  - Linear bus topology
    - not so reliable, many single failure points
    - difficult to identify the problem if the entire network shuts down
  - Star topology
    - require more cable length, but more reliable
- Ethernet transmission 【s4 p14】
  - Broadcast
    - all stations receive all transmissions
  - CSMA/CD medium access control (MAC) scheme 【s4 p15】
    - all hosts compete for the same link. possibility of collisions
  - Transmission algorithms
    - 1-persistent protocol
    - p-persistent protocol
- Ethernet addresses 【s4 p18】
  - addresses are assigned to network adapters
  - 48-bits
  - address recognition 【s4 p19】
  - Possible destinations 【s4 p20】
    - unicast, broadcast, multicast
  - MAC address is used to distinguish between the destinations
  - Promiscuous mode

## Token rings

- Ring topology 【s4 p26】
  - prevent collisions before occurring
- Token ring transmission 【s4 p27】
- Token passing paradigm
  - travel in a unidirectional fashion
- When the node fails, the relay closes bypassing the node.
  - 在物理角度与 Ethernet 并没有本质区别，同样可以用 Hub
- Token ring maintenance 【s4 p30】
  - Ring can elect a new monitor. Highest MAC address wins.
- FDDI (Fiber Distributed Data Interface) dual ring operation
  - no single failure point
  - 但 token ring 太复杂了，现在更多用 Ethernet 的结构。

## Wireless

- Wireless links 【s4 p33】
    - similar to Ethernet structure, sometimes called "wireless ethernet".
  - Access point (AP)
  - Ad hoc (mesh structure) 【s4 p35】
  - Most radios in wireless networking can't transmit and receive at the same time, so we can't detect collisions. Instead, we'll do CSMA/CA (collision avoidance).
  - Problems
    - The hidden node problem 【s4 p37】
    - The exposed node problem
    - Solution: Multiple Access with Collision Avoidance (MACA) 【s4 p39】
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## 5. Packet switching

- Problems of direct link networks 【s5 p5】
  - limitation: scalability
  - key problem: inter-connecting networks
  - solution: packet switching

## Inter-networking

- General working mechanism: switching and forwarding
- Inter-connecting links of the same type: Bridges/LAN switches
- Inter-connecting links of different types: Gateways/routers, the Internet Protocol

## Switching and forwarding

- Ethernet 【s5 p9】
  - shared medium: Bus
  - Hub
- Switched LAN
  - 用的是 switch 而不是 Hub
  - NO shared medium
  - no direct link between hosts. 因为switch算是一台电脑
  - 现在实际上只用 switch 而不用 hub 了，因为成本也不高。
- Switch
  - Each one runs a **data-link protocol**.
  - Each input or output is a port (interface). 这里的port指的是物理意义上的接口，而不是数字。

- Layer 3 switch (**router**): links may run different data link protocols
- Hub, Switch/Bridge, and Router/Gateway 【s5 p13~14】

### How does a switch decide what output port to use?

- Datagrams
  - connectionless
  - forwarding table
  - For connectionless networks 【s5 p17】
    - routing is important
    - it is not known whether the network can deliver the packet
- Virtual circuit 【s5 p18】
  - connection-oriented
  - Two part process:
    - connection setup
    - data transfer
  - virtual circuit table
  - connection establishment 【s5 p20】
    - Permanent Virtual Circuit (PVC)
    - Switched Virtual Circuit (SVC)
  - Typical virtual circuit networks
    - Frame relay (support VPN)
    - Asynchronous transfer mode (ATM)
- Source routing 【s5 p22】

### Bridges/LAN Switches 【s5 p24】

- LAN Bridge operation
- Learn table entries based on source address.
  - 一开始是空表，例如A从p1来，就知道它在p1，此时broadcast，因为不知道目标在哪，如此反复直到填出全表。
- Extended LANs can have loops
  - solution: minimum spanning tree
- Limitations 【s5 p30】
  - do not scale
  - do not accommodate heterogeneity

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## 6. Basic internetworking

### The network layer



- Connecting heterogeneous networks 【s6 p8】
  - requirements 【s6 p6】
  - Router/Gateway
- Key functions of the network layer 【s6 p12】
  - global addressing
  - data conversion
  - fragmentation
  - routing

## The Internet Protocol (IP) 【s6 p14】

- IP datagram delivery 【s6 p18】
  - connectionless
  - Best-effort ( 尽力而为 )
    - unreliable service
- Packet format
- Datagram size
  - Maximum Transmission Unit (MTU)
  - How to choose the max datagram size for IP?
    - fragment IP datagram into multiple MTUs
- Fragmentation and assembly 【s6 p21】

## Address and datagram forwarding

- IP addressing
  - independent of MAC addressing
  - used by: higher layer protocols, applications
  - virtual
  - 32-bit (IPv4)
  - unique value for each host/interface
    - prefix identifies the network (assigned by global authority), suffix identifies the host/interface (assigned by local administrator). 【s6 p28】
- Dotted decimal notation
  - 4 decimal values per 32-bit address
  - each number represents 8 bits, the value is between 0 and 255.
- Classes of IP addresses 【s6 p30】
  - class A: large networks, host 24
  - class B: medium, host 16
  - class C: small, host 8

- Special address
    - 0, booting
    - 255, broadcast
  - Router addresses
    - need one address per router connection
  - Datagram forwarding/routing
    - next hop
  - Subnetting 【s6 p38】
    - subnet masks 分割 · 后面为0的部分为host可以使用的位置。
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## 7. IP related protocols

- Address translation (ARP)
- Host configuration (DHCP)
- Error reporting (ICMP)
- Virtual networks and tunnels

### ARP

- Address translation 【s7 p7】
  - map IP address into physical (MAC) addresses
  - ARP (Address Resolution Protocol)
- ARP 【s7 p8】
  - ARP Request: who has this IP address?
  - ARP Reply: I have xxx. My MAC address is xxx.
  - Reverse ARP Request (RARP): who has this MAC address?
  - RARP Reply: I have the MAC. My IP address is xxx.
- ARP details 【s7 p11】
- ARP spoofing attacks 【s7 p16】
  - Denial of Service (DoS)
  - Man in the middle
  - MAC flooding
  - mitigating factor
    - only local attackers can exploit ARP's insecurities
  - How to prevent? 【s7 p20】
    - cannot be completely fixed

## Host configuration (DHCP)

- Dynamic Host Configuration Protocol (DHCP) 【s7 p22】
  - IP addresses bound to workstations dynamically
  - Benefits and limitations 【s7 p28】

## Error reporting (ICMP)

- Internet Control Message Protocol (ICMP) 【s7 p31】
  - Route test
    - Echo (ping), trace route
  - Error reporting
  - Redirect

## Virtual networks and channels

- Private networks 【s7 p35】
  - Virtual Private Networks (VPN)
    - sharing some of the same physical links, but make it private. 因为单独买physical的线太贵了.....
    - 在R1信息加密 ( 包括地址也加密 · 别人拿到也看不懂 ) · 传到R2解密 · 然后再按地址传 · ( 于是就可以躲过某些地址筛查 )
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# 8. Routing and the global Internet

## Distance vector routing

- Routing table
- Network as a graph
  - all-pairs shortest path problem
- Static solution 【s8 p9】
  - problem
- Distance vector routing 【s8 p11】
  - algorithm 【s8 p13】
  - deal with link failures 【s8 p15】
    - Periodic updates
    - Triggered updates
  - count-to-infinity problem (2-node loops)
    - solution 【s8 p18】
  - Routing Information Protocol (RIP) 【s8 p19】

## Link state routing 【s8 p21】

- spread the local knowledge of each node to all other nodes in the network, so that every node can construct a network weighted graph
- Strategy 【s8 p22】
  - send to all nodes (not just neighbors) information about directly connected links (not entire routing table)
  - Link State Packet (LSP)
- Link state routing mechanism
  - reliable flooding 【s8 p24】
  - Dijkstra
- Properties 【s8 p26】
- Open Shortest Path First Protocol (OSPF)

## Global Internet

- Multi-backbone Internet 【s8 p30】
  - challenges 【s8 p32】
- Related topics 【s8 p33】
  - Subnetting
  - Network address translation (NAT) 【s8 p35】
  - IPv6 【s8 p37】
    - 128-bit addresses
    - notation 【s8 p38】
    - address space allocation
    - packet format

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## 9. End to End Protocols

### Transport protocols 【s9 p6】

- Provide application-to-application (process-to-process) communication. Called "end-to-end"
- Optionally provide:
  - Reliability, Flow control, Congestion control
- End to end protocols
  - Simple demultiplexer (UDP)
  - Reliable byte stream (TCP)

### UDP

- User Datagram Protocol 【s9 p9】

- **Unreliable** message delivery
- Connectionless
- No flow control
- No error recovery (**no ACKs**)
- Application multiplexing
- Addresses for applications: protocol ports **【s9 p11】**
  - server: lower port numbers
  - client: higher port numbers
- Simple asynchronous demultiplexing **【s9 p13】**
- Basic firewalls **【s9 p16】**

## TCP

- Transmission Control Protocol **【s9 p18】**
  - Most popular layer 4 protocol
  - Connection-oriented protocol
- TCP feature summary
  - provides a stream transport service
  - allows two application programs to...
- Reliable byte stream **【s9 p20】**
  - reliable, in-order delivery
- Segment format **【s9 p21】**
- An apparent contradiction? **【s9 p22】**
  - IP and TCP
  - How is this possible? ACK mechanism.
- Achieving reliability
  - reliable connection setup
    - must be reliable
  - reliable data transmission
  - reliable connection shutdown
    - must be graceful
- Why startup/shutdown is difficult? **【s9 p25】**
- TCP Startup solution: **3-way handshake** **【s9 p26】**
  - use three-message exchange
  - SYN, SYN+ACK, ACK
  - Segments are labeled with a **sequence number**. **【s9 p29】**

- Protect from out-of-order delivery.
  - Initial Sequence Numbers (ISN)
- TCP Shutdown: 四次挥手【s9 p30】
- Reliable data transmission【s9 p31】
  - Guarantees a reliable delivery of data: **ARQ**
  - Ensures that data is delivered in order: **SeqNum**
  - Enforces flow-control between sender and receiver: **Sliding window**
- Reliable delivery of data
  - Positive Acknowledgement
  - **Retransmission**【s9 p33】
- Retransmission
  - Problem: how long should wait?
    - distance to destination, current traffic condition. 在TCP层面上，不知道中间的路是怎样的（不像data link layer）
  - Solution: **adaptive retransmission**
    - User current estimate to set retransmission timer
    - $\text{EstimatedRTT} = \alpha \text{EstimatedRTT} + (1-\alpha) \text{SampleRTT}$
    - $\text{TimeOut} = 2 \times \text{EstimatedRTT}$
    - 这里的SampleRTT指的是最近一次检测到的数据
- Pipeline operation
  - 由 **receiver** 决定 window size
- TCP flow control【s9 p38】
  - sliding window protocol
  - receiver: **advertise available buffer space**
  - sender: can send up to entire window before ACK arrives
- Window advertisement【s9 p40】
  - Each acknowledgement carries new window information
- Sliding window【s9 p41】
  - Sending side: buffer bytes between LastByteAcked and LastByteWritten
  - Receiving side: buffer bytes between LastByteRead and LastByteRcvd
- Flow control【s9 p42】
  - Receiving side
  - Sending side

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## 10. End-to-End Data

- End-to-End data
  - Presentation formatting
  - Markup language (XML)
  - Multimedia data

## Presentation formatting

- Problem 【s10 p7】
  - at the transport layer (TCP/UDP), a message is sent as an uninterpreted string of bytes.
  - How to encode the different kinds of data into byte strings?
- Encoding/Decoding
- Byte order: big-/little-endian
- Data types
- Formatting approaches 【s10 p11】
  - Argument marshalling ( 参数编组 )
    - Remote Procedure Call (**RPC**) 【s10 p12】
  - Markup documents

## Markup languages

- XML 【s10 p19】
- Difference between XML and HTML 【s10 p22】
  - XML is designed to carry data, not to display data
  - HTML is designed to display data
- Flexibility of XML 【s10 p23】
- XML element, prolog, syntax, tree
- Displaying XML 【s10 p28】
  - CSS
  - **XSL** (eXtensible Stylesheet Language) preferred!
- XML validation 【s10 p32】
  - DTD (Document Type Definition)
- JSON
  - a lightweight data-interchange format
- YAML (Yet Another Markup Language)
- Application of XML

- From HTML to XHTML
- General document formats
  - docx
- MathML
- SVG
- Synchronized Multimedia Integration Language (SMIL)
- RSS (Really Simple Syndication)

## Multimedia data

- The amount of data is very large
  - Compression methods 【s10 p53】
    - Image compression: JPEG
      - 记一次颜色 · 然后标出哪些坐标对应哪些颜色
    - Video compression: MPEG
      - I frames (intrapicture): self-contained, 保存完整的图片
      - P frames (predicted picture): depending on earlier I frames, 只存储不同之处
      - B frames (bidirectional predicted picture): depending on earlier and later frames (可以是I也可以是P)
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## 11. Applications: World Wide Web

- Separation of duties 【s11 p4】
  - network
  - application
- Typical application protocols 【s11 p7】
  - HTTP (WWW)
  - FTP (file transfer)
  - SMTP, POP, IMAP (emails)
  - SSH, Telnet (remote shell)
  - RDP, VNC (remote desktop)

### World Wide Web (HTTP)

- HTML (HyperText Markup Language) 【s11 p11】
- URL (Uniform Resource Locator) 【s11 p12】
- URL and MIME types 【s11 p15】
- HTTP (HyperText Transfer Protocol) 【s11 p16】
  - default server port: 80
  - HTTP request message 【s11 p18】
  - HTTP request methods 【s11 p21】



- HTTP response codes 【s11 p23】
  - HTTPS 【s11 p25】
  - Cookies 【s11 p26】
    - to identify the client
    - private mode
  - Documents 【s11 p28】
    - Static: fast, but not customized
    - Dynamic: slower, but more flexible
  - Client side elements
    - HTML5
  - AJAX (Asynchronous Javascript and XML)
  - Web services: XML + HTTP 【s11 p31】
    - XML-RPC
    - example: Google search API
  - HTTP server operation
  - Multi-tier servers
  - Browser operation 【s11 p37】
    - browser kernels (layout engines)
- 

## 12. Applications: DNS and Email

### Domain name service (DNS)

- DNS functionality 【s12 p5】
  - given name of a computer, return computer's IP address
  - Example 【s12 p6】
- Configure of the network connection
  - IP address
  - netmasks
  - gateway
  - name server
- Domain name syntax 【s12 p7】
  - DNS: domain name  $\rightarrow$  IP address
  - ARP: IP address  $\rightarrow$  MAC address

- Top-level domains
- DNS client/server interaction 【s12 p14】
  - client known as resolver
- Name resolution 【s12 p15】
  - local name server不需要知道全世界所有的name所对应的IP地址，按照图中的顺序问就行，问过的存为cache，更快。
- Root servers 【s12 p18】
  - 13 root name servers
  - multiple server hosts
    - load balancing 【s12 p20】
    - content distribution
- Global server load balancing (GSLB) 【s12 p22】

## Internet Email (SMTP, POP, IMAP)

- Internet Email 【s12 p28】
  - Email address
  - Mail message format
- MIME (Multi-purpose Internet Mail Exchange) 【s12 p29】
  - sender inserts additional header lines to indicate the encoding method
- MIME encoding
  - quoted-printable
  - base64
- SMTP (Simple Mail Transfer Protocol) 【s12 p34】

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## Questions

s1

- What is packet switching? What are the advantages of packet switching?
- Why is layering useful?

s3

- If you want to connect your home to the Internet, what are the common access technologies available for you to choose from?
- Why is the sliding window transmission “reliable”?

- Why should the sender window size (SWS) be set to bandwidth\*delay product estimate?

s4

- Why is Ethernet more popular than Token Ring in LANs?
- Why might a mesh (ad-hoc) topology be superior to a base station topology for communication in a natural disaster?
- How can an ethernet communicate with an FDDI network?

s5

- What are the advantages and disadvantages of datagram switching?
- What are the advantages and disadvantages of virtual circuit switching?
- How can broadcast and multicast of messages be implemented in the extended LAN (connected with bridges)?
- What is ATM? What do you think about the future of ATM?
- How can an ethernet communicate with an FDDI network?

s6

- Why is IP able to connect various link technologies to form a larger internetwork?
- What aspect of IP addresses makes it necessary to have one address per network interface, rather than just one per host?

s8

- What are the differences between distance vector and link state routing approaches?
- What are the limitations of the Network Layer?

s11

- Why the client's port numbers are different but the server's are the same? 【s11 p6】
- What made WWW so successful?
- Why does HTTP run over TCP (rather than UDP)?

s12

- Is it possible to map multiple domain names to one IP address? If yes, what kind of problems should be addressed?