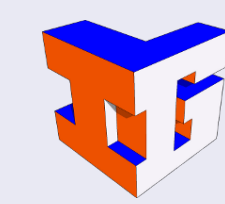


# Almost Beatty Partitions and Optimal Scheduling Problems

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## Beatty Sequences

### Definition: Beatty Sequence

Given  $\alpha > 0$ , define the **Beatty sequence**  $B_\alpha$  as

$$B_\alpha := \left\{ \left\lfloor \frac{n}{\alpha} \right\rfloor, n = 1, 2, \dots \right\},$$

where  $\lfloor x \rfloor$  denotes the floor function.

**Remark:** When  $\alpha \leq 1$ , then the elements of  $B_\alpha$  are distinct and have density  $\alpha$  in  $\mathbb{N}$ . When  $\alpha > 1$ ,  $B_\alpha$  has repeated elements.

### Definition: Non-homogeneous Beatty Sequence

Given  $\alpha > 0$  and  $\delta \in \mathbb{R}$ , define the **non-homogeneous Beatty sequence**  $B_{\alpha,\delta}^*$  as:

$$B_{\alpha,\delta}^* := \left\{ \left\lfloor \frac{n}{\alpha} + \delta \right\rfloor, n = 1, 2, \dots \right\}.$$

## Beatty Partitions

### Beatty's Theorem

Let  $\alpha$  and  $\beta$  be two positive irrational numbers such that  $\alpha + \beta = 1$ . Then  $B_\alpha$  and  $B_\beta$  form a partition of the positive integers.

### Example: Beatty Partition

Let  $\phi$  be the golden ratio defined by  $\phi = (\sqrt{5} + 1)/2$ . Let  $\alpha = 1/\phi$ ,  $\beta = 1/\phi^2$ . Note that  $\alpha + \beta = 1$ .

$n$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
$B_{1/\phi}$	1	3	4	6	8	9	11	12	14	16	17	19	21	22	24	25
$B_{1/\phi^2}$	2	5	7	10	13	15	18	20	23	26	28	31	34	36	39	41

Rearranging the two sequences shows that the Beatty sequences  $B_{1/\phi}$  and  $B_{1/\phi^2}$  **partition**  $\mathbb{N}$ :

$B_{1/\phi}$	1	3	4	6	8	9	11	12	14	16
$B_{1/\phi^2}$	2	5	7	10	13	15	18	20	23	26

## Beatty Partitions into more than two parts?

### Question

Does Beatty's Theorem generalize to partitions into 3 parts? That is, given three positive irrational numbers  $\alpha$ ,  $\beta$  and  $\gamma$  which sum up to 1, do  $B_\alpha$ ,  $B_\beta$  and  $B_\gamma$  partition the positive integers?

**Answer: No!**

### Uspensky's Theorem

Beatty's Theorem does not hold for three (or more) sequences. That is, if  $\alpha$ ,  $\beta$  and  $\gamma$  are arbitrary positive numbers, then  $B_\alpha$ ,  $B_\beta$  and  $B_\gamma$  **never** partition the positive integers.

## How close can a 3-part partition be to three Beatty Sequences?

### Definition: Almost Beatty Sequence

Consider a Beatty sequence with density  $\alpha \in (0, 1)$ :

$$B_\alpha = (a(n))_{n \in \mathbb{N}}, \text{ where } a(n) = \left\lfloor \frac{n}{\alpha} \right\rfloor.$$

We call a sequence

$$\widetilde{B}_\alpha = (\widetilde{a}(n))_{n \in \mathbb{N}}$$

an **almost Beatty sequence** with density  $\alpha$  if  $\|\widetilde{a} - a\| < \infty$ , where  $\|\widetilde{a} - a\| = \sup_n |\widetilde{a}(n) - a(n)|$ .

### Theorem (Partition into 2 Exact and 1 Almost Beatty Sequence)

Let  $\alpha$ ,  $\beta$ , and  $\gamma$  be positive irrational numbers such that  $\alpha + \beta + \gamma = 1$ . Let  $B_\alpha = (a(n))_{n \in \mathbb{N}}$ ,  $B_\beta = (b(n))_{n \in \mathbb{N}}$  and  $B_\gamma = (c(n))_{n \in \mathbb{N}}$  be the corresponding Beatty sequences. Define

$$\widetilde{B}_\gamma = \mathbb{N} \setminus (B_\alpha \cup B_\beta) = (\widetilde{c}(n))_{n \in \mathbb{N}}.$$

Then:

- $B_\alpha$ ,  $B_\beta$ ,  $\widetilde{B}_\gamma$  **form a partition of**  $\mathbb{N}$  if and only if  $r\alpha + s\beta = 1$  for some  $r, s \in \mathbb{N}$ .
- If this condition is satisfied, then  $\widetilde{B}_\gamma$  **is an almost Beatty sequence** with perturbation errors satisfying  $|c(n) - \widetilde{c}(n)| \leq \max(\lfloor \frac{2-\alpha}{1-\alpha} \rfloor, \lfloor \frac{2-\beta}{1-\beta} \rfloor)$ .

### Theorem (Partition into 1 Exact and 2 Almost Beatty Sequences)

Let  $\alpha$ ,  $\beta$ , and  $\gamma$  be positive irrational numbers such that  $\alpha + \beta + \gamma = 1$  and  $\max(\alpha, \beta) < \gamma$ . Define  $\widetilde{B}_\beta = (\widetilde{b}(n))_{n \in \mathbb{N}}$  as

$$\widetilde{b}(n) = \begin{cases} b(n), & \text{if } b(n) \notin B_\alpha \\ b(n) - 1, & \text{if } b(n) \in B_\alpha \end{cases}$$

Denote

$$\widetilde{B}_\gamma = \mathbb{N} \setminus (B_\alpha \cup \widetilde{B}_\beta).$$

Then  $B_\alpha$ ,  $\widetilde{B}_\beta$ , and  $\widetilde{B}_\gamma$  partition all positive integers and  $b(n) - \widetilde{b}(n) \in \{0, 1\}$ ,  $c(n) - \widetilde{c}(n) \in \{0, 1, 2\}$

1	2	3	4	5	6	7	8	9	10
11	12	13	14	15	16	17	18	19	20
21	22	23	24	25	26	27	28	29	30
31	32	33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48	49	50

Almost Beatty Partition with  $B_{1/\phi^3}$ ,  $\widetilde{B}_{1/\phi^4}$ , and  $\widetilde{B}_{1/\phi}$ .

## The Chairman Assignment Problem

### Chairman Assignment Problem (Robert Tijdeman, 1980)

Suppose a set of  $k$  ( $\geq 2$ ) states  $S = \{S_1, S_2, \dots, S_k\}$  form a union and a union chair has to be selected every year. Each state  $S_i$  has a positive weight  $\lambda_i$  with  $\sum_{i=1}^k \lambda_i = 1$ .

Denote the state designating the chairman in the  $n$ th year by  $\omega_n$ . Hence  $\omega = \{\omega_n\}_{n \in \mathbb{N}}$  is a sequence in  $S$ . Let  $A_\omega(i, N)$  denote the number of chairmen representing  $S_i$  in the first  $N$  years.

The problem asks for the assignment  $\omega$  which minimizes the perturbation error

$$D(\omega) = \sup_{i=1, \dots, k} \sup_{N \in \mathbb{N}} |\lambda_i N - A_\omega(i, N)|.$$

### Example: Chairman Assignment for 2 States

Year	1	2	3	4	5	6	7	8	9	10	11	12	13	14
State 1	1	3	4	6	8	9	11	12	14	16	17	19	21	22
State 2	2	5	7	10	13	15	18	20	23	26	28	31	34	36
Assignment $\omega_n$	1	2	1	1	2	1	2	1	1	2	1	1	2	1

This example illustrates the connection between chairman assignments with  $k$  states and partitions of  $\mathbb{N}$  into  $k$  sequences.

### Question:

Is the assignment given by a Beatty partition an optimal assignment (i.e. minimizes the error  $D(\omega)$  defined by Tijdeman)?

### Theorem

Let  $\alpha$ ,  $\beta$  be positive irrational numbers such that  $\alpha + \beta = 1$ . Let  $\delta = 1 - \frac{1}{2\alpha}$ ,  $\epsilon = 1 - \frac{1}{2\beta}$ .

- The chairman assignment  $\omega$  corresponding to the Beatty partition  $\mathbb{N} = B_\alpha \cup B_\beta$  satisfies

$$D(\omega) = \max\{\alpha, 1 - \alpha\}.$$

- The chairman assignment  $\omega$  corresponding to the non-homogeneous Beatty partition  $\mathbb{N} = B_{\alpha,\delta}^* \cup B_{\beta,\epsilon}^*$  satisfies

$$D(\omega) = \frac{1}{2}$$

Tijdeman proved that  $D(\omega) = 1/2$  corresponds to an optimal chairman assignment. Thus, the above non-homogeneous Beatty partition solves the Chairman Assignment Problem when there are two states.

## Other Applications

- Frequency Hopping
- Carpool Problem
- Weighted Fair Queueing
- Computer Networks

## Future Work

- Investigate almost Beatty partitions into more than 3 sequences.
- Investigate almost Beatty partitions using non-homogeneous Beatty sequences. Can such sequences give an optimal chairman assignment?
- Consider other perturbation measures in the Chairman Assignment Problem.
- Look for applications of Beatty partitions to other optimal scheduling problems (e.g. frequency hopping).
- Investigate connections between non-homogeneous Beatty sequences and sequences given by Tijdeman's recursive algorithm.

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