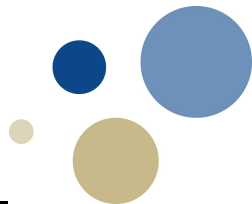




Norwegian University of  
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# **An Overview: The Application of Machine-Learning method in Underwater Acoustic**

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# Outline



## Introduction

- Underwater Acoustic Environment
- Definition of Machine Learning Problem

## Data and Method

- Database: Simulation or Real
- Machine Learning VS. Deep Learning
- Preprocessing and Feature Extract
- The application in Underwater Acoustic

## My PhD. Plan

# Problem Definition: Underwater Acoustic Environment

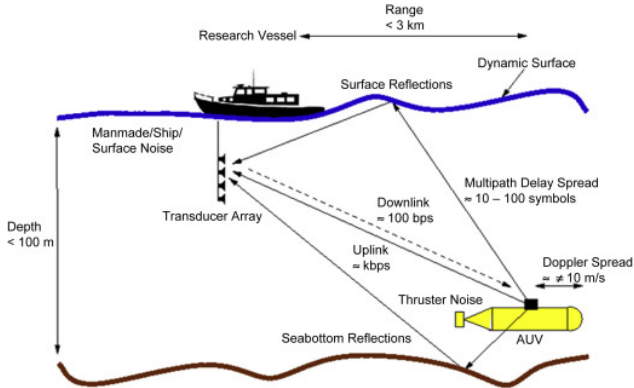


Figure: Underwater Acoustic Environment<sup>[1]</sup>

- The source-receiver condition
- Seabed geoacoustic parameters
- The acoustic propagation model

# Definition of Machine Learning Problem

## Dataset Structure

The general dataset structure (so-called sample pairs) is

$$\{\mathbf{x}_i, y_i\}_{i=1}^N \quad (1)$$

in which  $N$  is the amount of samples,  $\mathbf{x}_i$  is the feature of the  $i$ th sample pair,  $y_i$  is the  $i$ th corresponding label.

## Supervised Learning

A machine learning task of learning a function that maps an input to an output based on example input-output pairs.<sup>[2]</sup>

1. Classification:  $f(\mathbf{x}) \rightarrow y(\text{discrete categories})$

2. Regression:  $f(\mathbf{x}) \rightarrow y(\text{real number})$

where  $f(\cdot)$  is the latent rule learned by machine learning method.

Widely applied in underwater acoustic field: **localization, geoacoustic inversion, ...**

# Database: Simulation or Real



Most of scientists use the propagation models to create training dataset:

- ORCA<sup>[3, 4]</sup>
- KRAKEN<sup>[5, 6, 7, 8, 9, 10, 11]</sup>
- SOLID<sup>[12]</sup>
- SAFARI-code<sup>[13]</sup>
- Parabolic Equation<sup>[14]</sup>

Few use the ocean experiment data to created training dataset:

- TRIAL SABLE Experiment<sup>[3]</sup>
- RAFAL Water-Tank Experiment<sup>[14]</sup>
- Santa Barbara Channel Experiment<sup>[10]</sup>
- Noise09 Experiment<sup>[9]</sup>

# Method: Machine Learning VS. Deep Learning

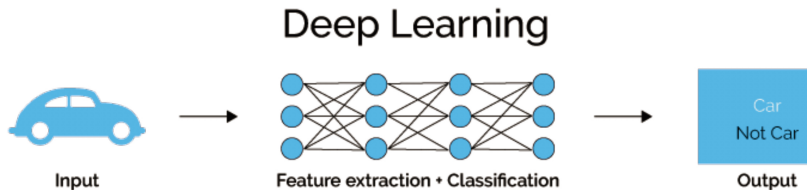
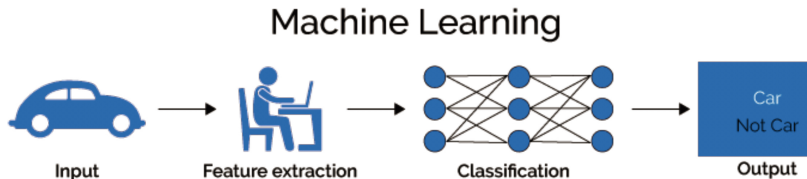


Figure: Machine Learning VS. Deep Learning

# Preprocessing and Feature Extract



## For Geoacoustic Inversion

- **Raw Acoustic field** <sup>[13, 3]</sup>
- Angles of incidence <sup>[15]</sup>
- Amplitude of Green's function <sup>[13]</sup>
- Transmission Losses <sup>[16]</sup>
- Relative Amplitudes of distinct peaks of signal <sup>[11]</sup>
- Signal Kurtosis <sup>[11]</sup>
- Signal Strength <sup>[11]</sup>
- Peak Level <sup>[4]</sup>
- Integrated Intensity <sup>[4]</sup>

## For Geoacoustic Inversion

- **Raw Acoustic field** <sup>[6]</sup>
- Phase Difference <sup>[17]</sup>
- Amplitude of acoustic pressure after FFT <sup>[14]</sup>
- Normalized sample covariance matrix <sup>[10, 9]</sup>
- Eigenvector of raw acoustic field <sup>[6]</sup>
- Segment Normalized <sup>[7, 8]</sup>

# Method: The application in Underwater Acoustic



## 1. Classic Machine Learning:

- Shallow Artificial Neural Network:
  - Multilayer Perceptron<sup>[12, 17, 15, 16, 3, 10, 9, 5, 4]</sup>
  - Radial Basis Function (RBF) network<sup>[13]</sup>
  - Generalized Regression Neural Network (GRNN)<sup>[5]</sup>
- Linear regression<sup>[14]</sup>
- Kernel regression<sup>[14]</sup>
- Support Vector Machine (SVM)<sup>[10]</sup>
- Random Forests (RF)<sup>[9]</sup>
- Generalized additive model<sup>[11]</sup>

## 2. Deep Neural Network (**Deep Learning**)

- Time delay neural network (TDNN)<sup>[6]</sup>
- Convolutional Neural Network (CNN)<sup>[6, 7]</sup>
- Residual Neural Networks<sup>[8]</sup>



# Research Interest: Geoacoustic Inversion based on Deep Learning



## Reason

- Significant importance for underwater acoustic modeling
- Hard to create an appropriate ML dataset (**Real data**)
- Fewer studies based on deep learning

# Step1: Database Construction

## Simulation Database

- different acoustic propagation models
- different geoacoustic parameters (grids, intervals)

## Real Database

- Public experiments with labeled data (the SWellEx96 Experiment,...)
- **Label supplement method** for those unlabeled data

## Alternative way : Marine Science

- Argo:  
The broad-scale global array of temperature & salinity profiling floats
- Simple Ocean Data Assimilation (SODA):  
providing 3D Velocity, SST, Salinity, potential temperature, sea surface height,...

## Step2: Method Design



### Option 1. Supervised Learning: Design a special network

- Convolutional Neural Network (CNN)
- Long Short-Term Memory (LSTM)
- ...

### Option 2. Unsupervised Learning: Label Supplement & Feature Extraction and Analysis

- Auto-encoder (AE)
- K-means
- ...

## Step3: Evaluation




### Compare with conventional underwater acoustic methods

- Match Field Processing (MFP)
- Genetic Algorithm (GA)
- ...


### Validation based on real dataset

- labeled dataset
- re-labeled dataset


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Thank you!