

Chapter0重点掌握内容：

- 名词解释：计算机科学（computer science）、算法（algorithm）、程序（program）、编程（programming）、软件（software）、硬件（hardware）
- 算法的作用（The Role of Algorithms）
- 摩尔定律（Moore's law）

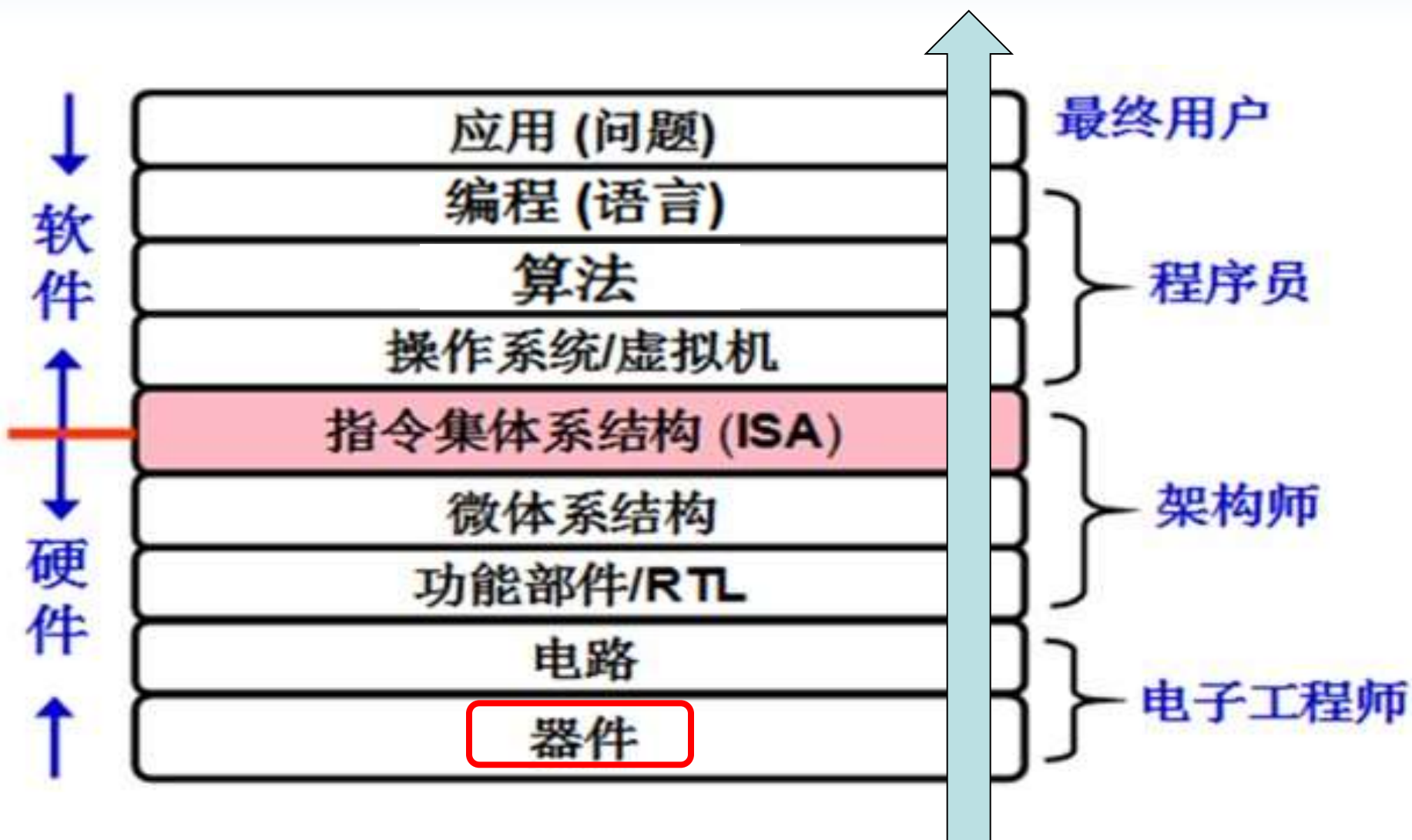
What Are We Going to Learn?

- Part I: How does the computer work?
 - Data representation
 - Data manipulation
 - Operating system
 - Computer network

- Part II: How to make computers work?
 - Algorithm
 - Programming
 - Software engineering
 - Data abstraction



- Part III: What do computers work for?
 - Database
 - Computer graphic
 - Artificial intelligence
 - Theory of computation



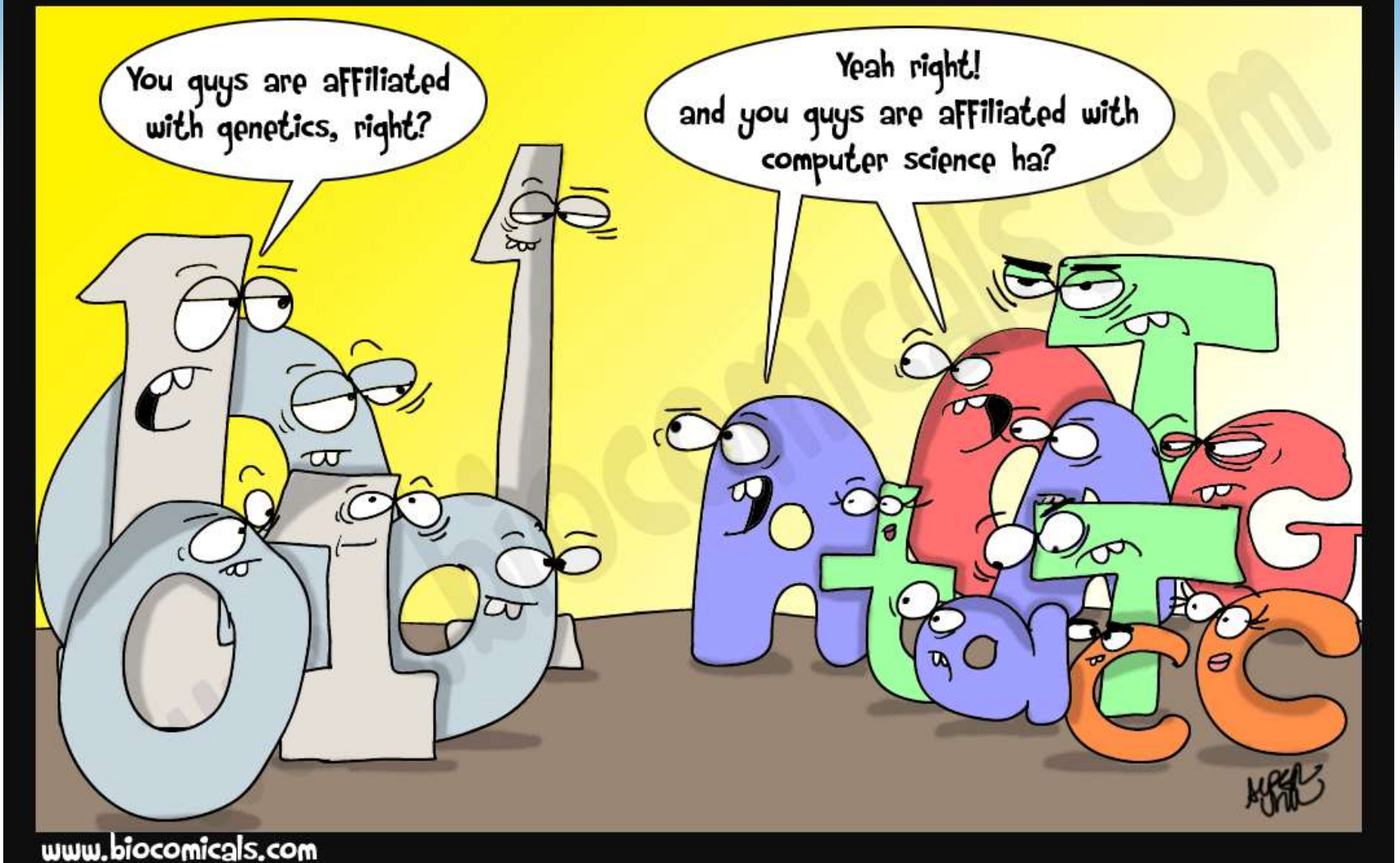
Chapter 1

Data Storage

- 1.1 Bits and their storage
- 1.2 Main memory
- 1.3 Mass storage
- 1.4 Representing information as bit patterns
- 1.5 The binary system
- 1.6 Storing integers
- 1.7 Storing fractions
- 1.8 Data and programming
- 1.9 Data compression
- 1.10 Communication errors

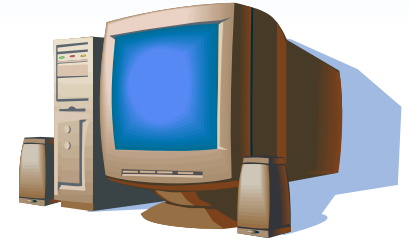
1.1 Bits and their storage



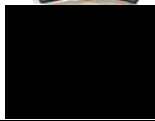
Basic operations for binary data
and the physical devices to
implement them



1 / 0 bit位 (Binary : 二进制数)

Two Different Worlds



What we see/hear		Inside computers
Text	a,b,c	<u>01100001,01100010,01100011</u>
Number	1,2,3	<u>00000001,00000010,00000011</u>
Sound		<u>01001100010101000110100...</u>
Image		<u>10001001010100000100111...</u>
Video		<u>00110000001001101011001...</u>

Discrete/digital and binary

数字化的二进制的

模拟信号与数字信号

➤ 模拟信号

- 用连续变化的物理量（时间、幅度、频率、相位等）表示的信息。
- 如每天的气温，汽车在行驶过程中的速度，电路中某节点的电压幅度等

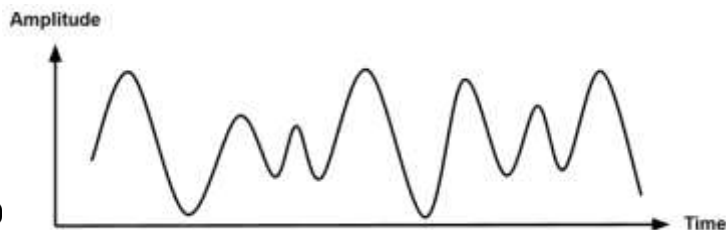
➤ 数字信号

- 是人为抽象出来的不连续信号，通常可由模拟信号获得。数字信号的取值是不连续的、取值的个数是有限的。

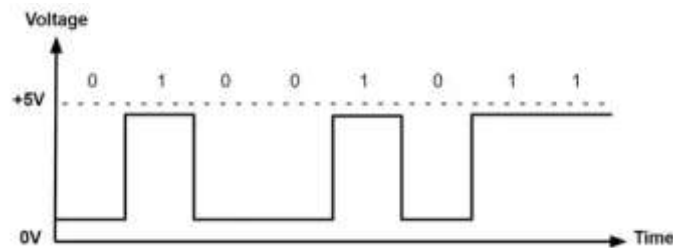
➤ 模拟信号数字化

- 将模拟信号转换成可以用有限个数值来表示的离散序列。

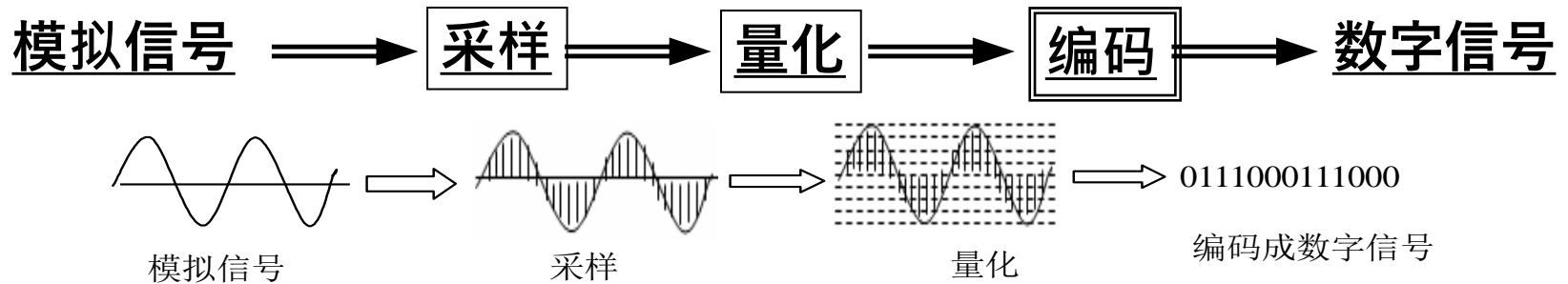
模拟信号



数字信号



模拟信号的数字化

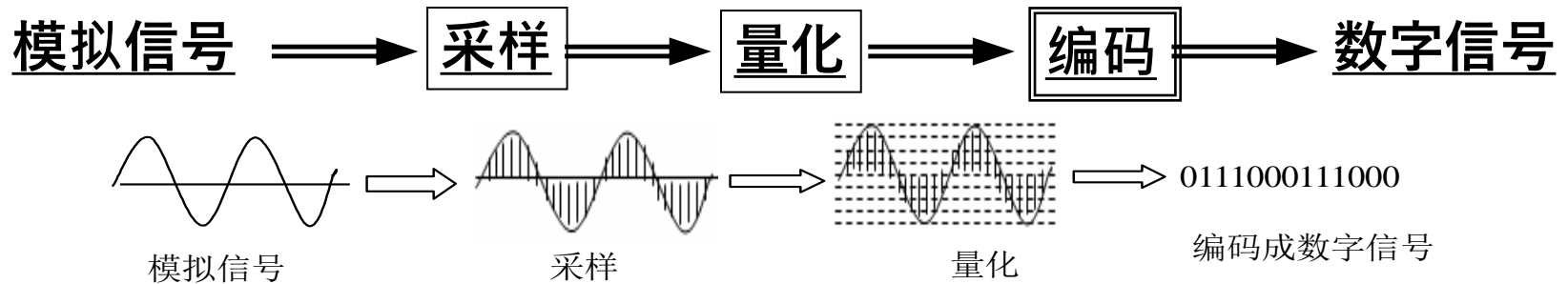


采样 每隔一定时间间隔对模拟波形上取一个幅度值。

可以保证不失去原始信号的原始信息的最小采样率是多少？

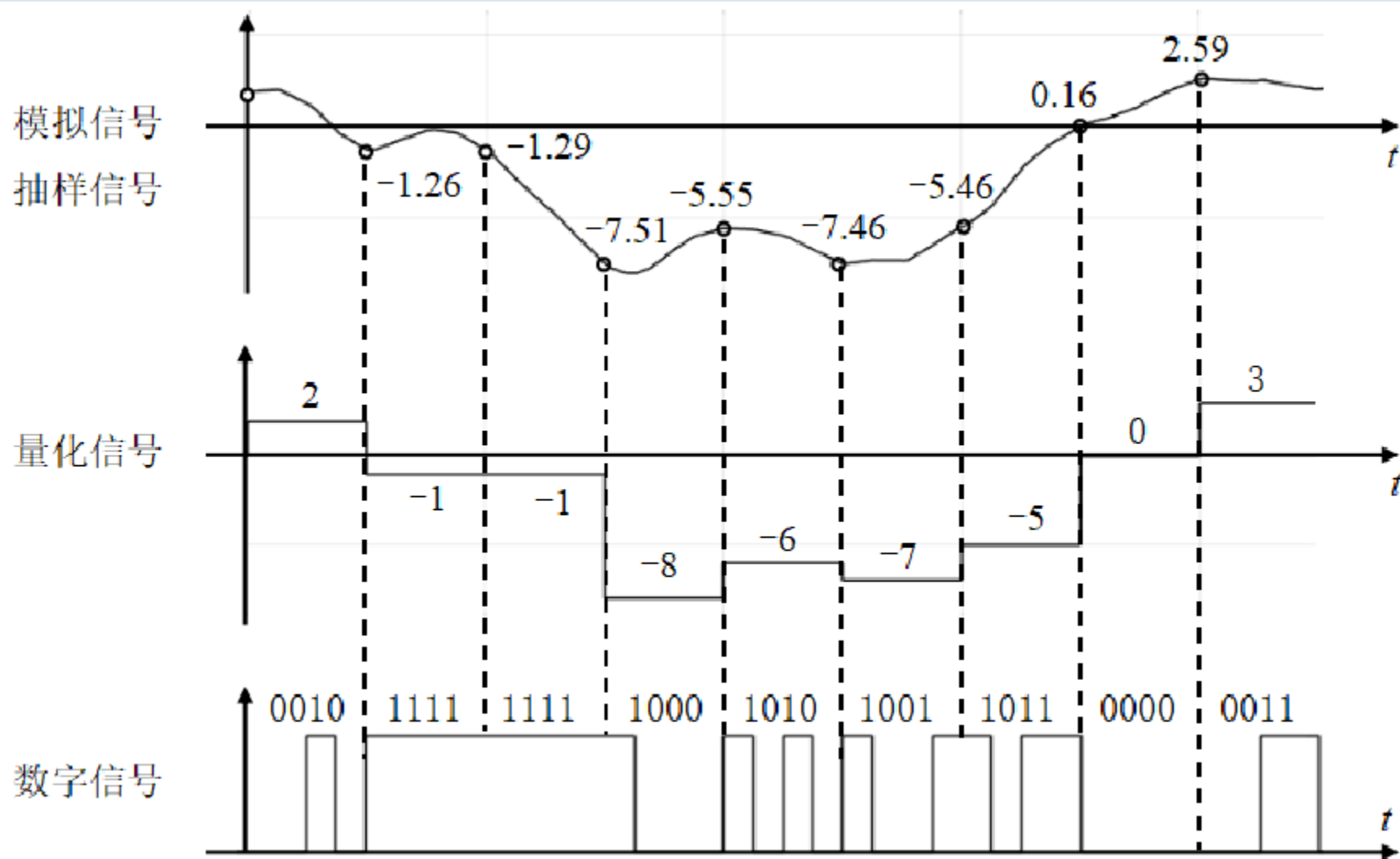
在进行模拟/数字信号的转换过程中，当采样频率 f_s 大于信号中最高频率 f_{max} 的2倍时($f_s > 2f_{max}$)，采样之后的数字信号完整地保留了原始信号中的信息，采样定理又称**奈奎斯特定理**

模拟信号的数字化



量化 将每个采样点得到的幅度值以数字存储。

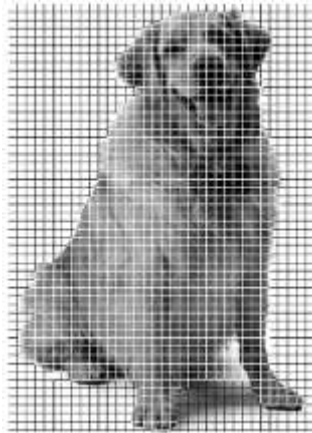
编码 将采样和量化后的数字数据以一定的格式记录下来



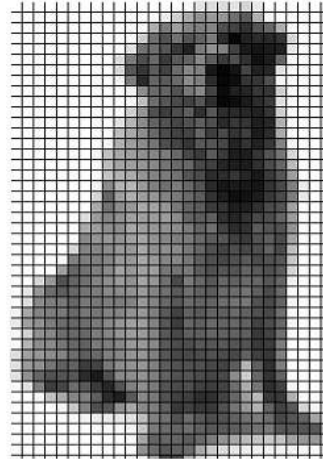
图像的数字化



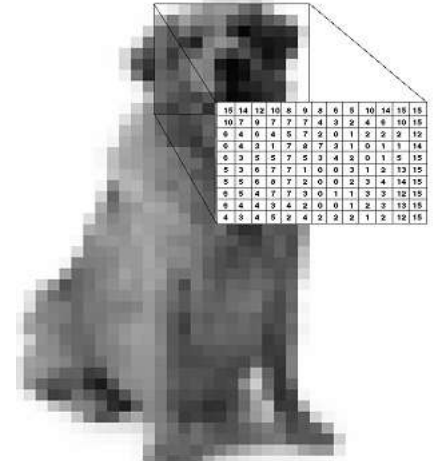
图像



采样



量化



数字图像

15	14	12	10	8	9	8	6	5	10	14	15	15
10	7	9	7	7	4	3	2	4	8	10	15	15
6	4	6	4	5	7	2	0	1	9	9	12	12
0	4	3	1	7	8	7	3	1	0	1	1	14
0	3	5	5	7	5	3	4	2	0	1	5	15
5	3	6	7	7	1	0	6	3	1	2	13	15
5	5	6	8	7	2	0	0	2	3	4	14	15
0	5	4	7	7	3	0	1	1	3	3	12	15
9	4	4	9	4	2	0	0	1	2	2	13	15
4	3	4	5	2	4	2	2	2	1	2	12	15

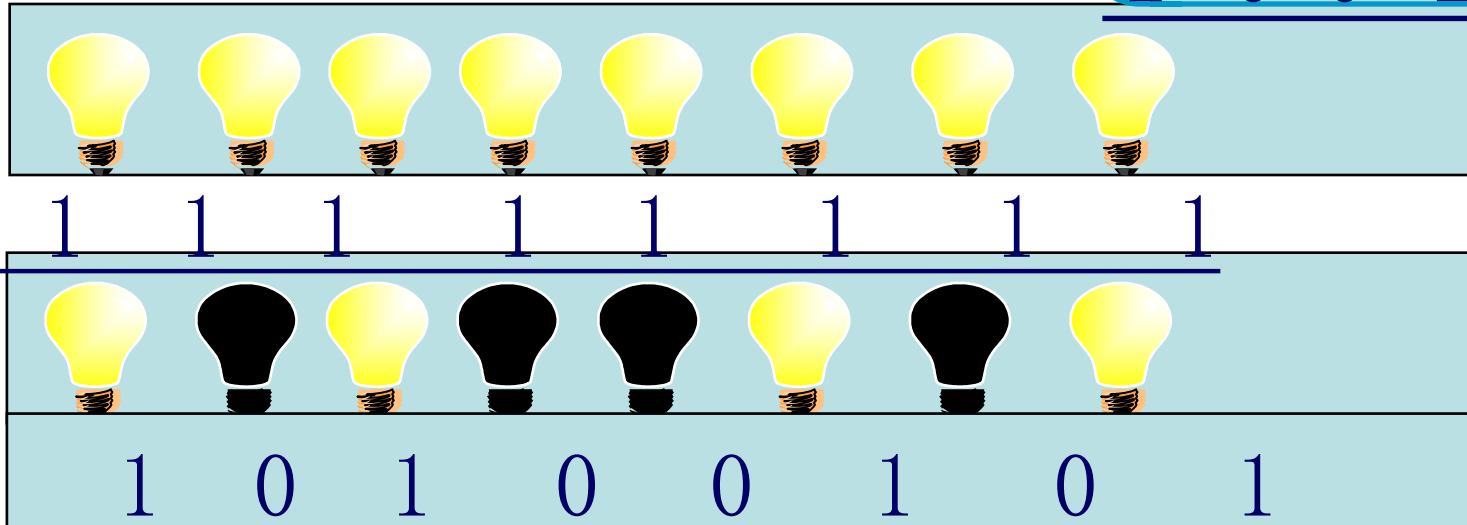
Binary representation

- Why is binary representation used by computer?
 - Easy to implement
 - Simple computation rules

$$\underline{1 \times 1 = 1}$$

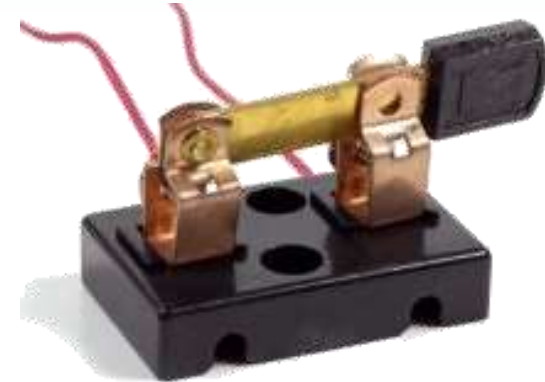
$$\underline{0 \times 0 = 0}$$

$$\underline{1 \times 0 = 0 \times 1 = 0}$$

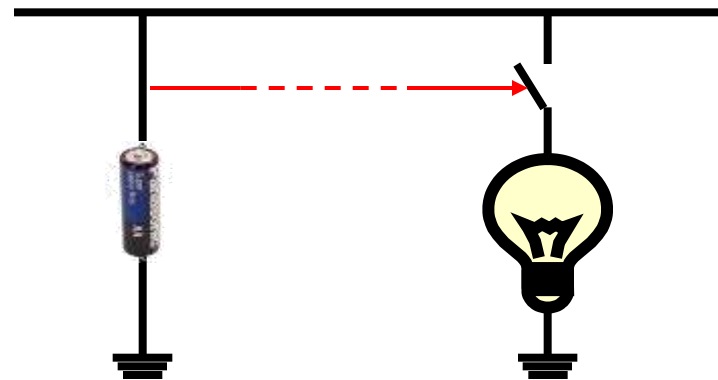


How to Turn on a Switch?

- By hand



- Electric Switch?
 - Why do we want to do that?

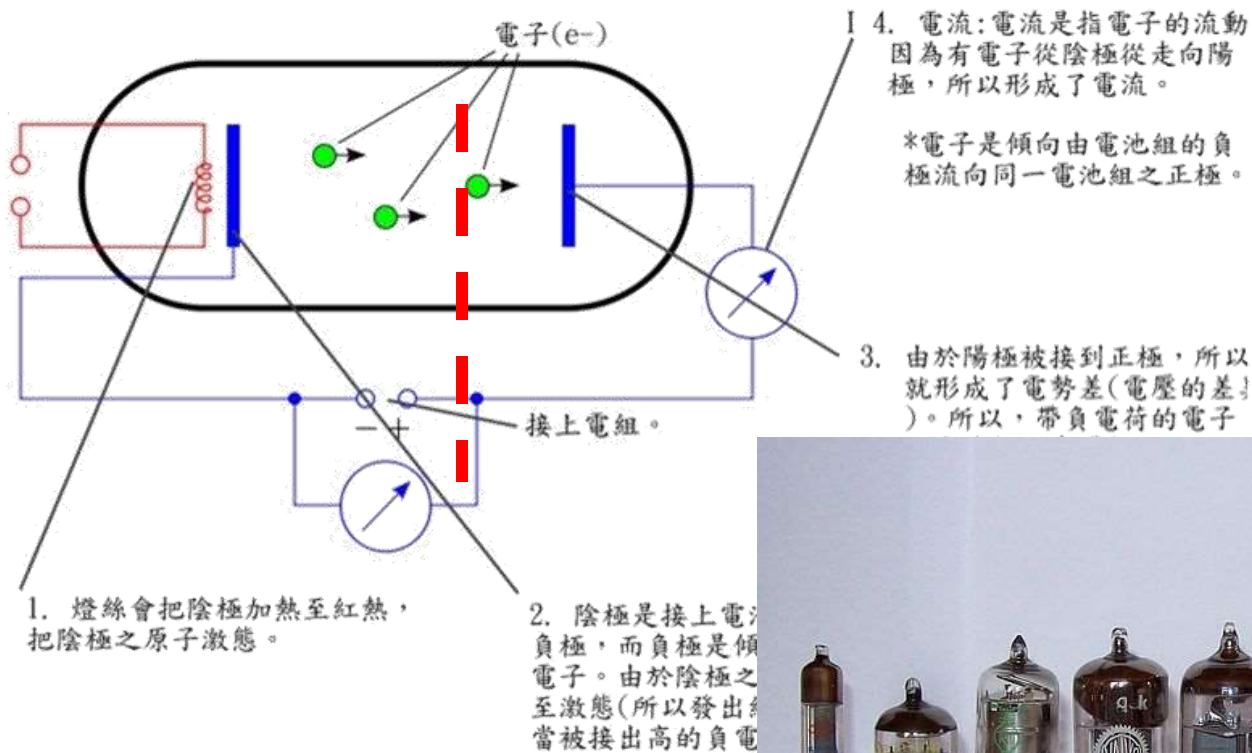


✓ 1 0

✓ bit

Electronic Switch: vacuum tube

- The earliest one is the *vacuum tube* 真空管

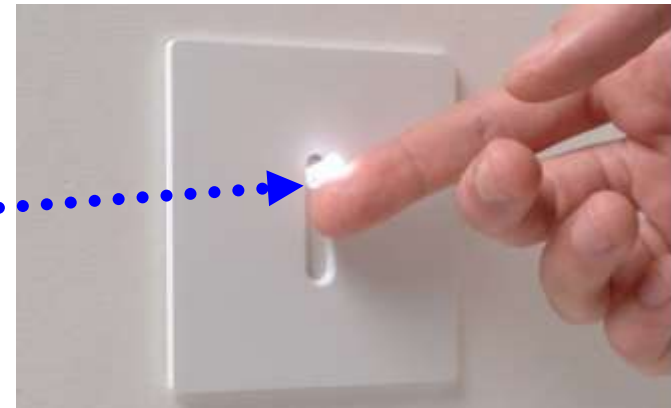
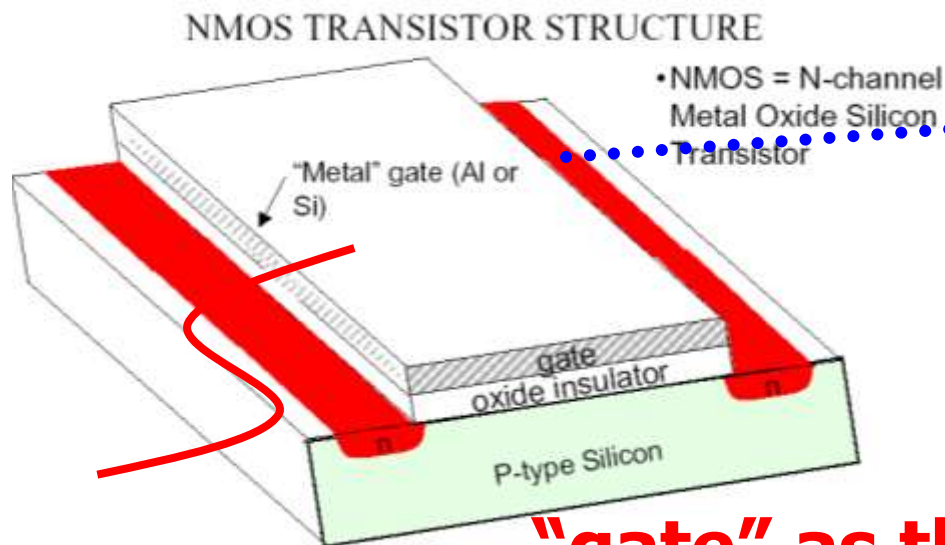


The 1946 ENIAC computer used 17,468 vacuum tubes and consumed 150 kW of power



Electronic Switch: Transistor 晶体管

- The problems of vacuum tubes are slow, large, expensive, easy to break
- Transistor can be faster, smaller, and more robust



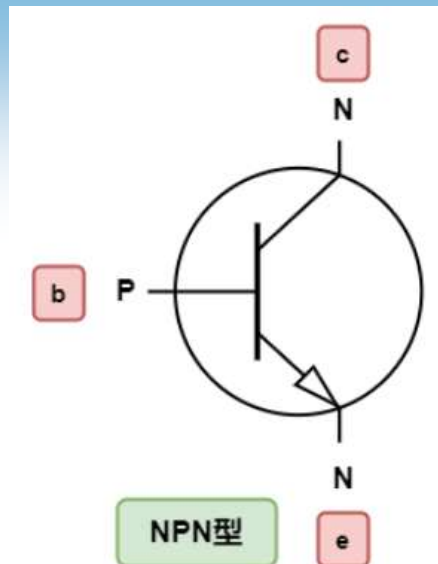
"gate" as the switch

晶体管开关

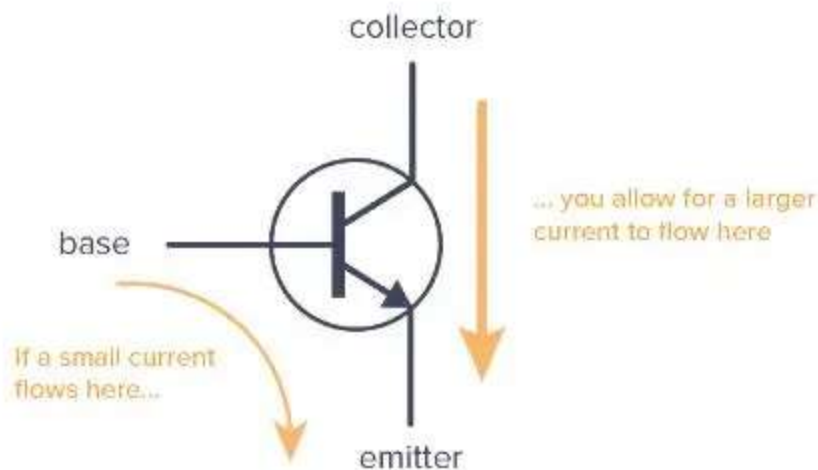
基极 (b)

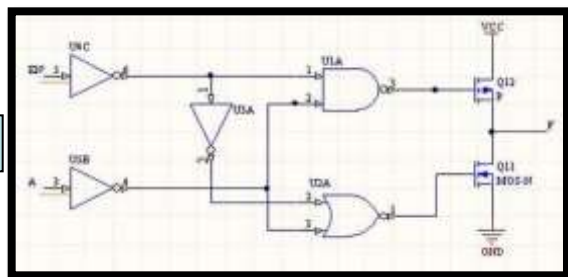
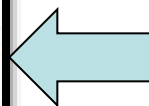
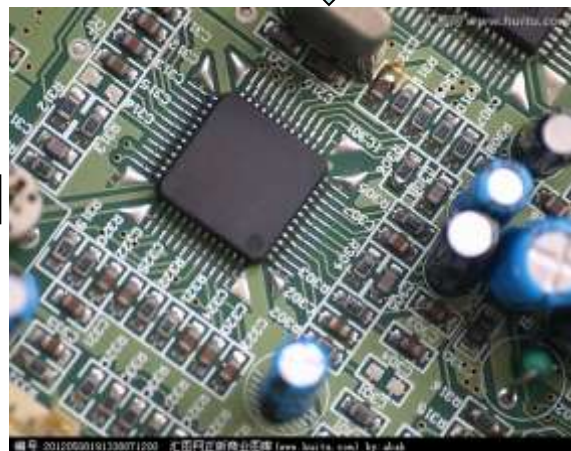
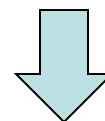
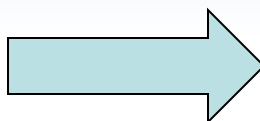
集电极 (c)

发射极 (e)



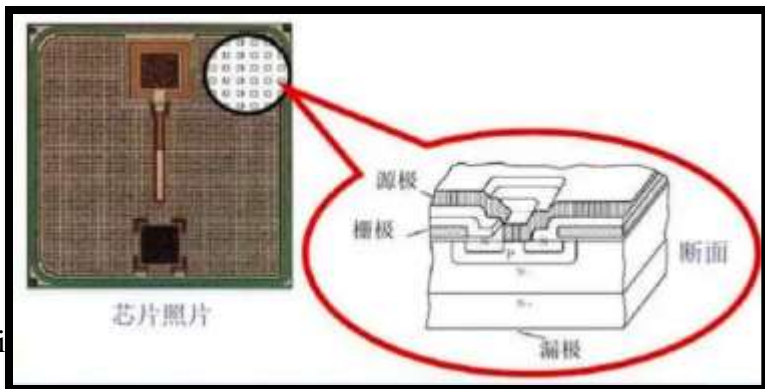
当电流从基极流向发射极时，晶体管打开，使更大的电流可以从集电极流向发射极。

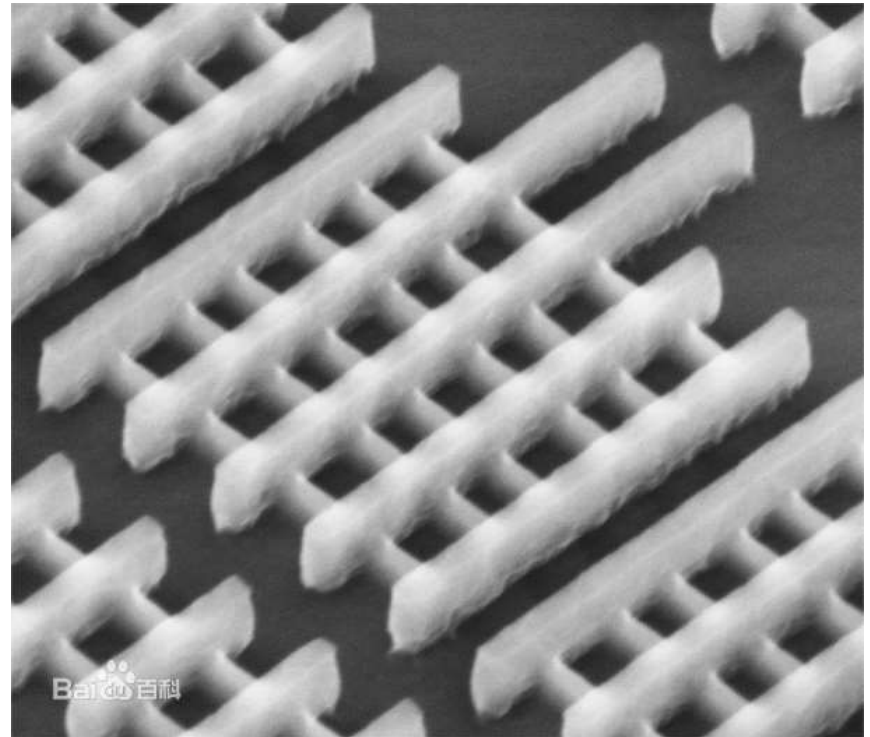




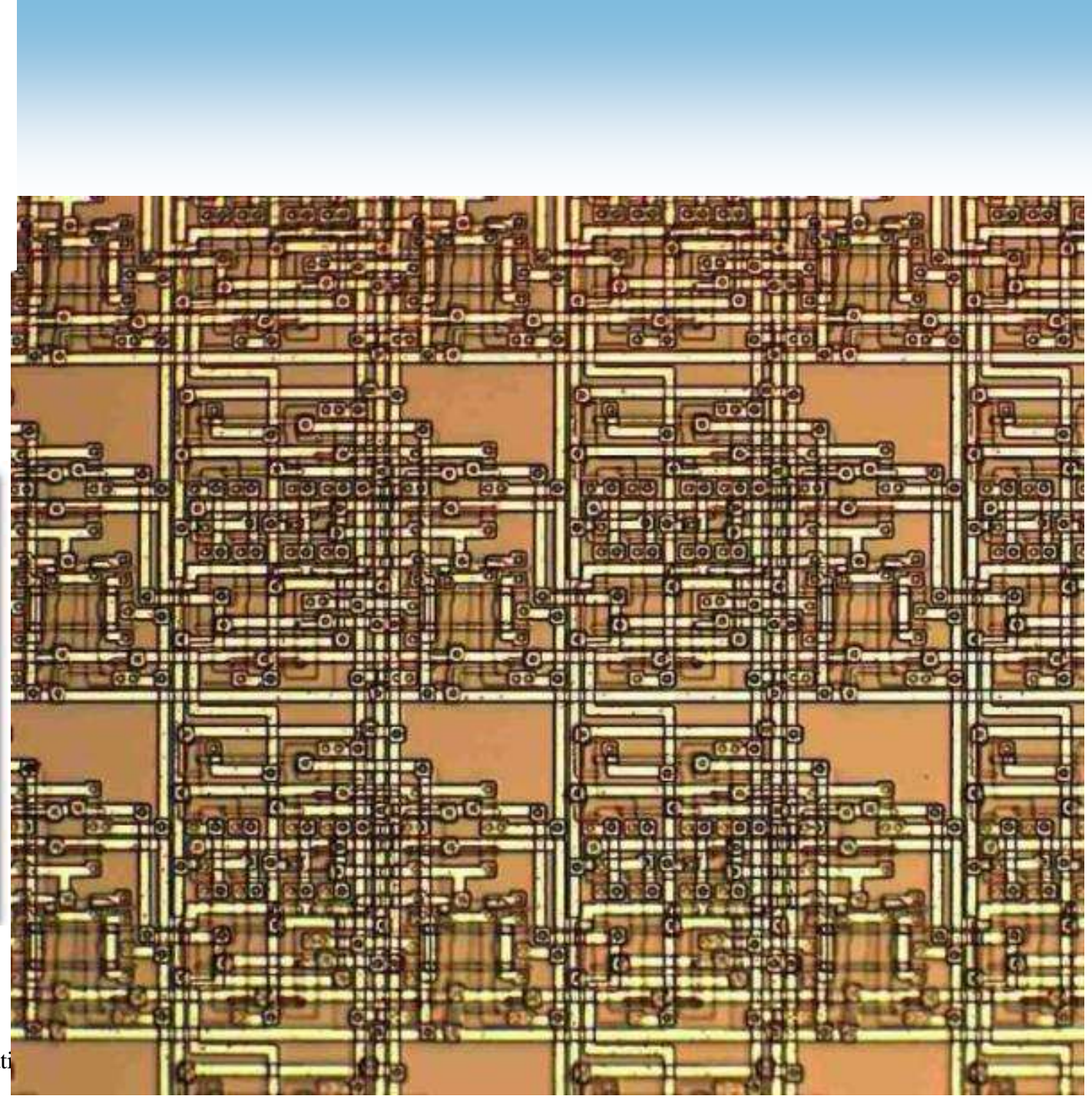
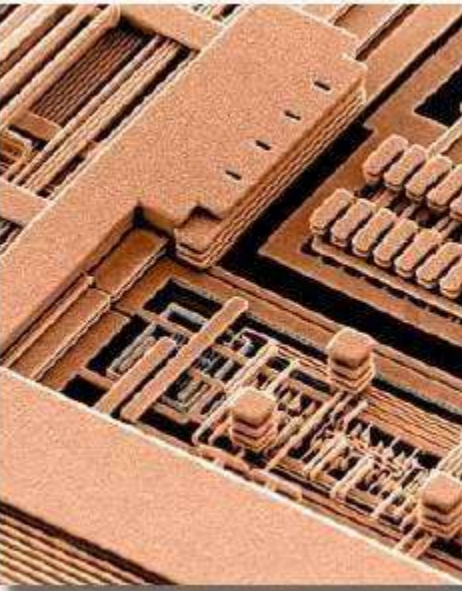
门电路

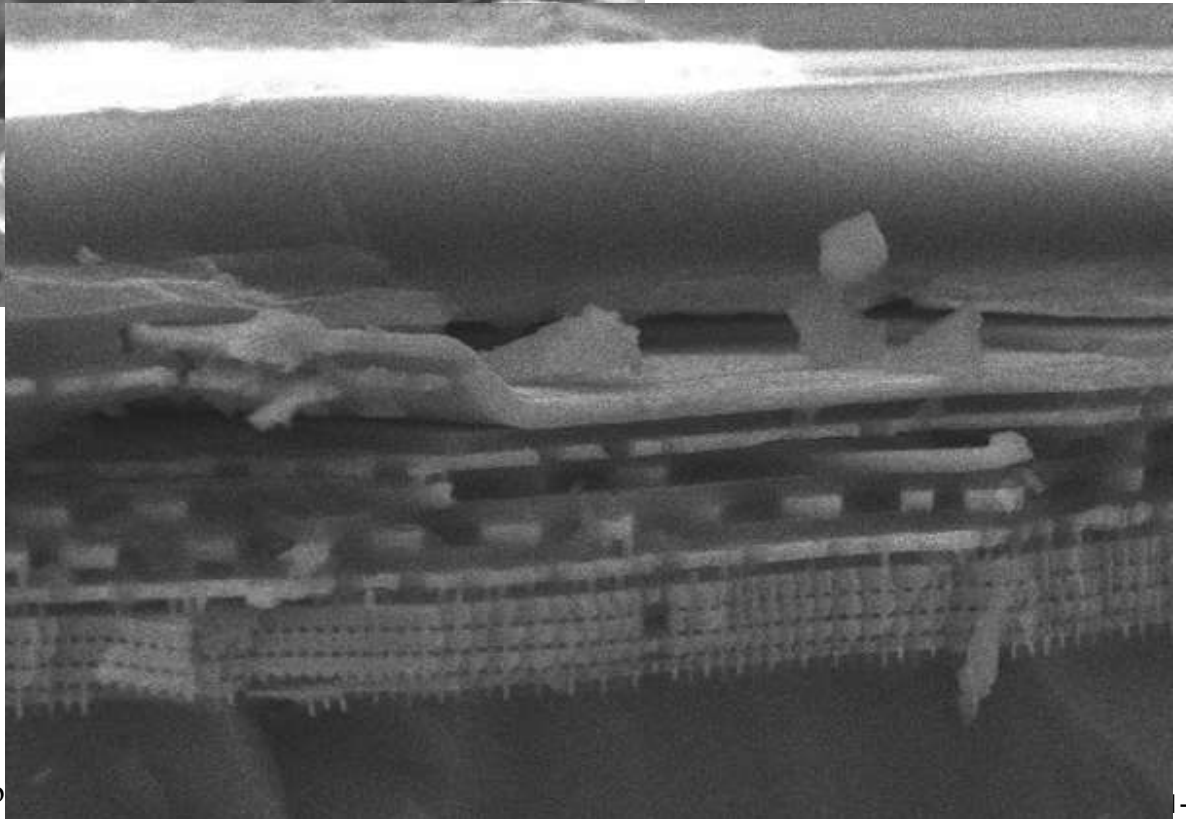
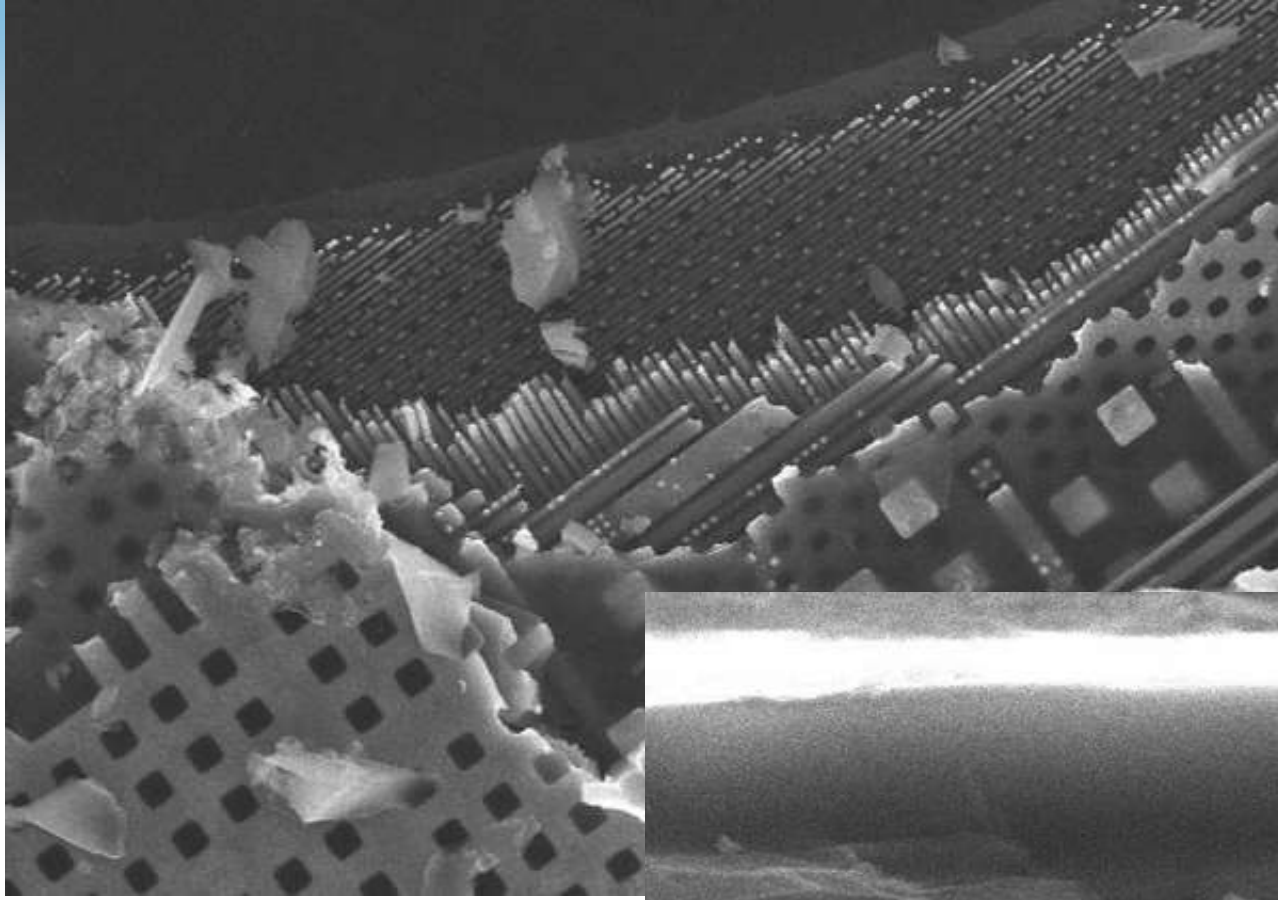
晶体管
0/1





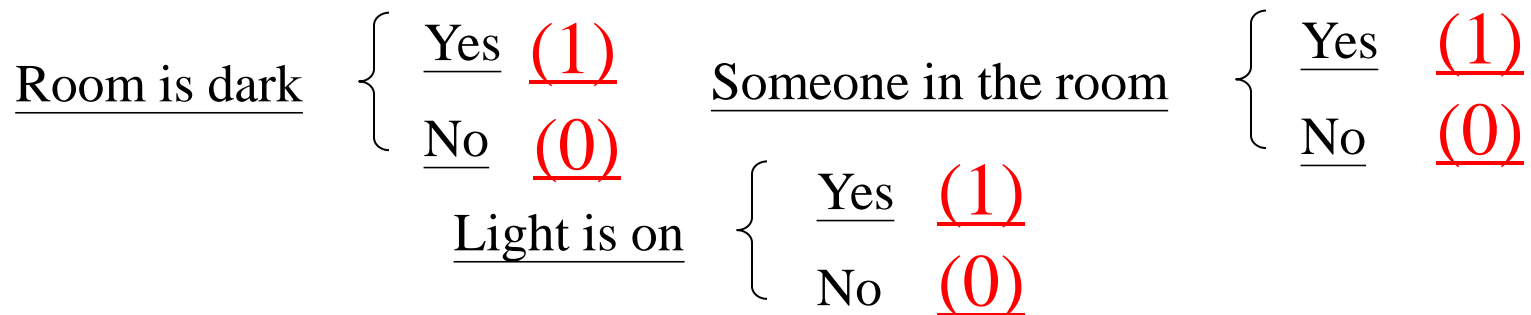
Intel Core i7





Binary and Logic逻辑

- Logic: concerns about **true** or **false**
- Logic operation:
 - If the room is dark **and** someone is in the room, turn on the light.



- True/false can be represented by 0/1
Binary number system in computer \leftrightarrow logic

The AND Function

- We can use the **AND** function to represent the statement

Room is dark A	Someone in the room B	Light is on A .AND. B
0	0	0
0	1	0
1	0	0
1	1	1

Input Output

Boolean Operations (布尔运算)

- **Boolean Operation:** An operation that manipulates one or more true/false values
- Specific operations
 - AND
 - OR
 - XOR (exclusive or) 异或
 - NOT

Figure 1.1 The Boolean operations AND, OR, and XOR (exclusive or)

The AND operation

$$\begin{array}{r} \text{AND} \quad 0 \\ \quad 0 \\ \hline 0 \end{array}$$

$$\begin{array}{r} \text{AND} \quad 0 \\ \quad 1 \\ \hline 0 \end{array}$$

$$\begin{array}{r} \text{AND} \quad 1 \\ \quad 0 \\ \hline 0 \end{array}$$

$$\begin{array}{r} \text{AND} \quad 1 \\ \quad 1 \\ \hline 1 \end{array}$$

The OR operation

$$\begin{array}{r} \text{OR} \quad 0 \\ \quad 0 \\ \hline 0 \end{array}$$

$$\begin{array}{r} \text{OR} \quad 0 \\ \quad 1 \\ \hline 1 \end{array}$$

$$\begin{array}{r} \text{OR} \quad 1 \\ \quad 0 \\ \hline 1 \end{array}$$

$$\begin{array}{r} \text{OR} \quad 1 \\ \quad 1 \\ \hline 1 \end{array}$$

The XOR operation

$$\begin{array}{r} \text{XOR} \quad 0 \\ \quad 0 \\ \hline 0 \end{array}$$

$$\begin{array}{r} \text{XOR} \quad 0 \\ \quad 1 \\ \hline 1 \end{array}$$

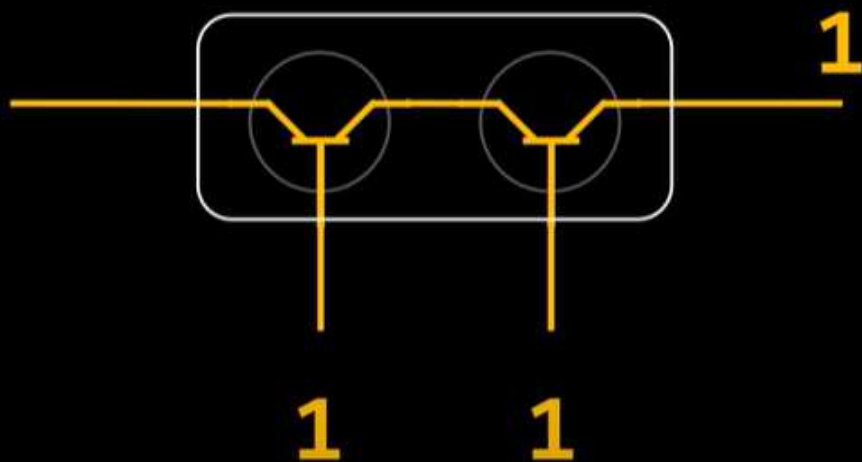
$$\begin{array}{r} \text{XOR} \quad 1 \\ \quad 0 \\ \hline 1 \end{array}$$

$$\begin{array}{r} \text{XOR} \quad 1 \\ \quad 1 \\ \hline 0 \end{array}$$

Gates门（器件）

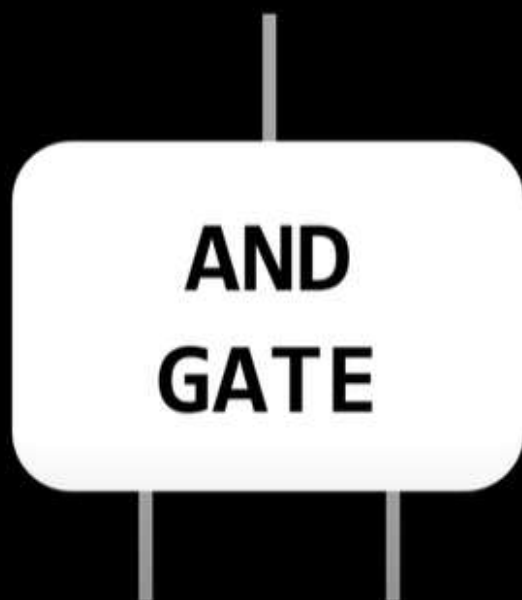
抽象工具

- **Gate:** A device that computes a Boolean operation
 - Often implemented as (small) electronic circuits
 - Provide the building blocks from which computers are constructed
 - VLSI (Very Large Scale Integration)



INPUTS		
x	y	OUTPUT
0	0	0
0	1	0
1	0	0
1	1	1

that consistently outputs a specific value based on two given
 输入一致输出特定值的盒子



INPUTS		OUTPUT
x	y	x AND y
0	0	0
0	1	0
1	0	0
1	1	1

A pictorial representation of AND, OR, XOR, and NOT gates as well as their input and output values

与门

AND



Inputs	Output
0 0	0
0 1	0
1 0	0
1 1	1

OR



或门

Inputs	Output
0 0	0
0 1	1
1 0	1
1 1	1

XOR



异或门

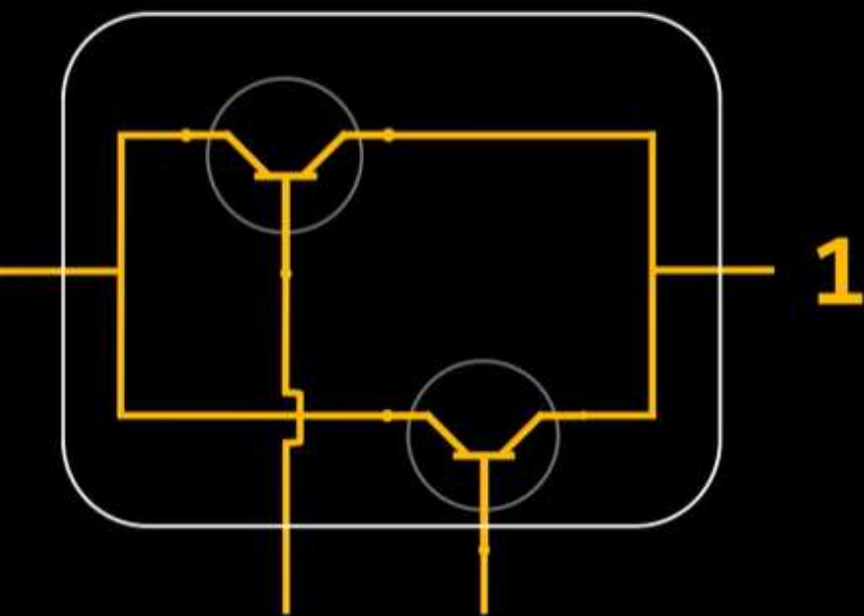
Inputs	Output
0 0	0
0 1	1
1 0	1
1 1	0

NOT



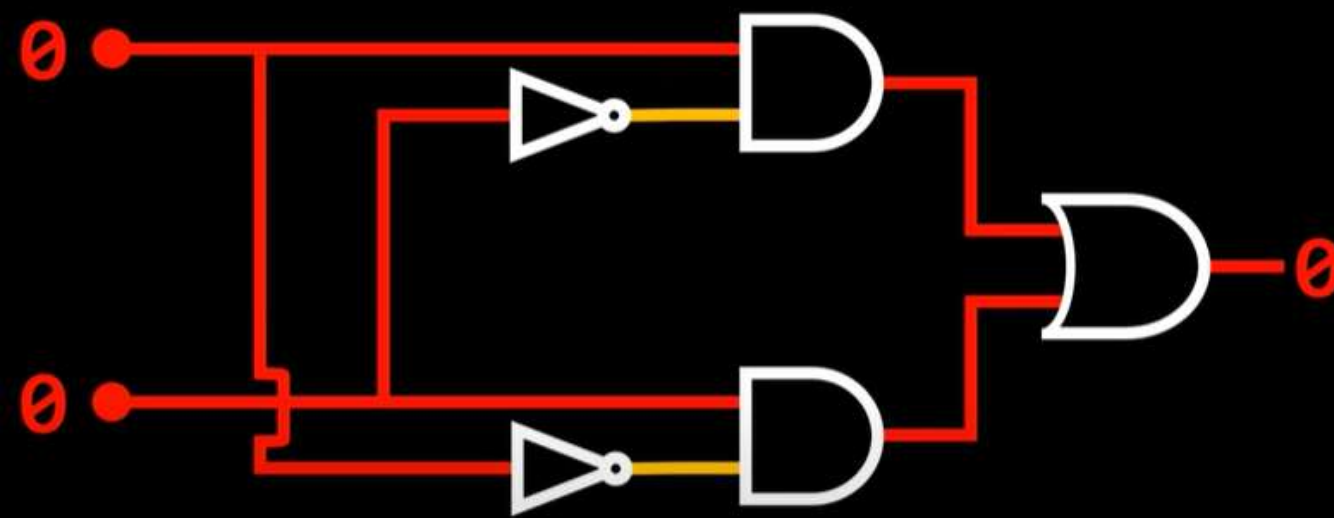
非门

Inputs	Output
0	1
1	0



INPUTS		OUTPUT
x	y	
0	0	0
0	1	1
1	0	1
1	1	1

circuit into a box known as an or gate an or gate outputs a value of zero if
 电路抽象为一个称为“或门”的盒子，“或门”输出一个值 当且

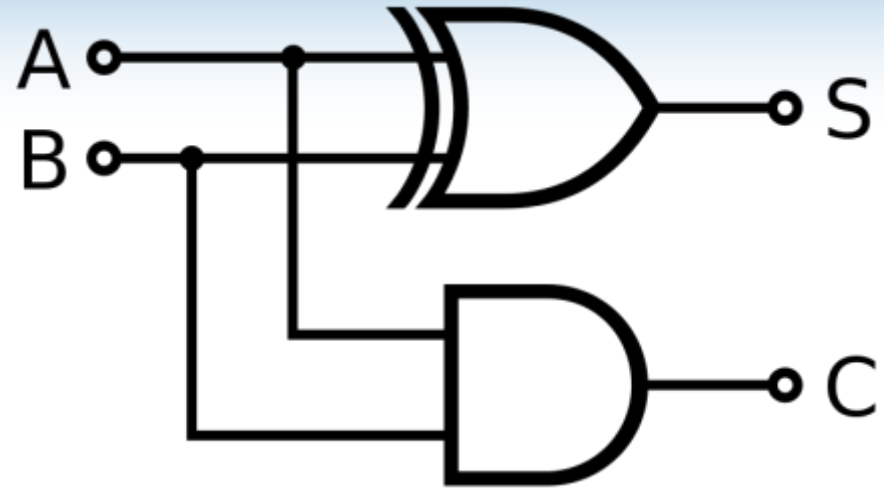


INPUTS		OUTPUT
x	y	$x \text{ XOR } y$
0	0	0
0	1	1
1	0	1
1	1	0

- Arithmetic

Adder

- Half adder半加器



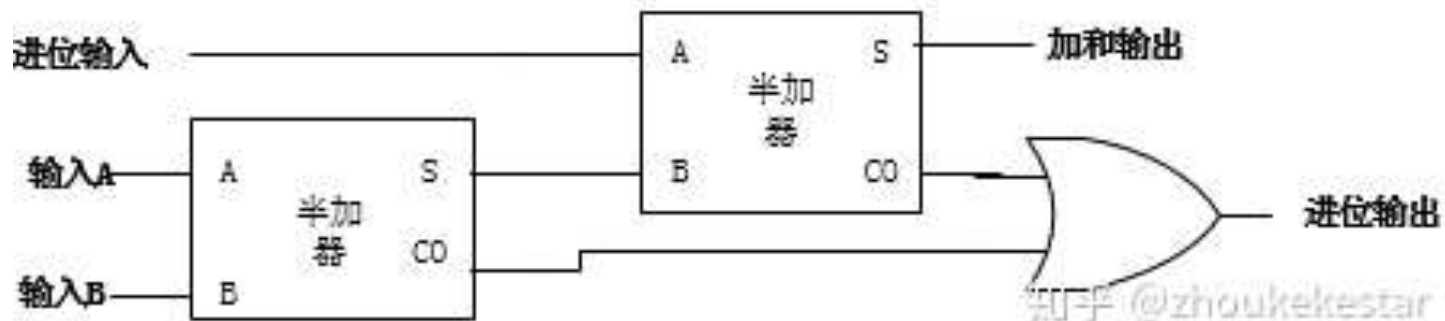
半加器

Inputs		Outputs	
A	B	C	S
0	0	0	0
1	0	0	1
0	1	0	1
1	1	1	0

将两个一位二进制数相加，输出和（sum）及进位（carry）

Adder

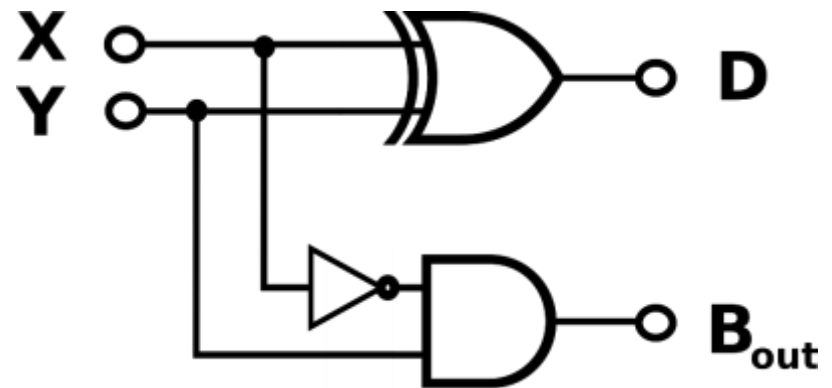
- Full adder全加器
 - 将两个一位二进制数相加，并根据接收到的低位进位信号，输出和、进位输出。全加器的三个输入信号为两个加数A、B和低位进位Cin。



Subtractor

- Half subtractor
- Full subtractor

X	Y	D	Bor
0	0	0	0
0	1	1	1
1	0	1	0
1	1	0	0



Half subtractor

BIG Idea

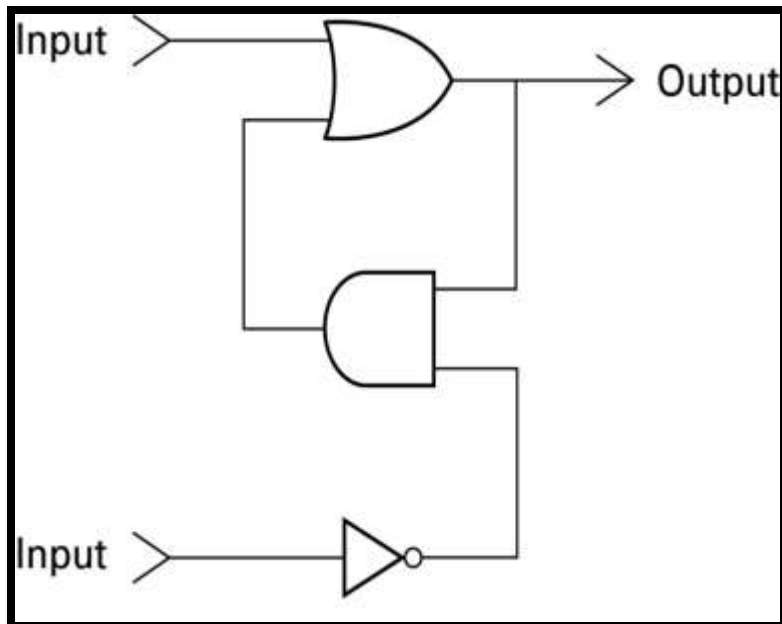
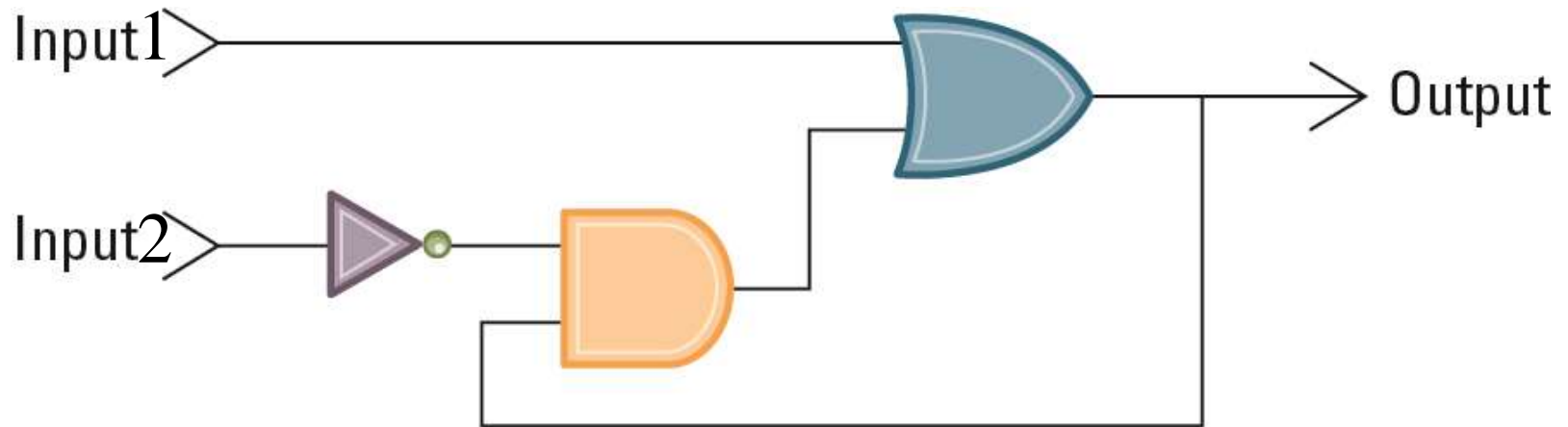
- Computers store and process **binary**
- Logic **true** and **false** can be used to represent binary **1** and **0**
- Logic operations can be implemented by logic **gates**
 - and in turn by **ON/OFF switches**
- Computers can be implemented using logic gates → for storing and processing

How is the bit information stored by the computer?

Storage: Flip-flops (触发器)

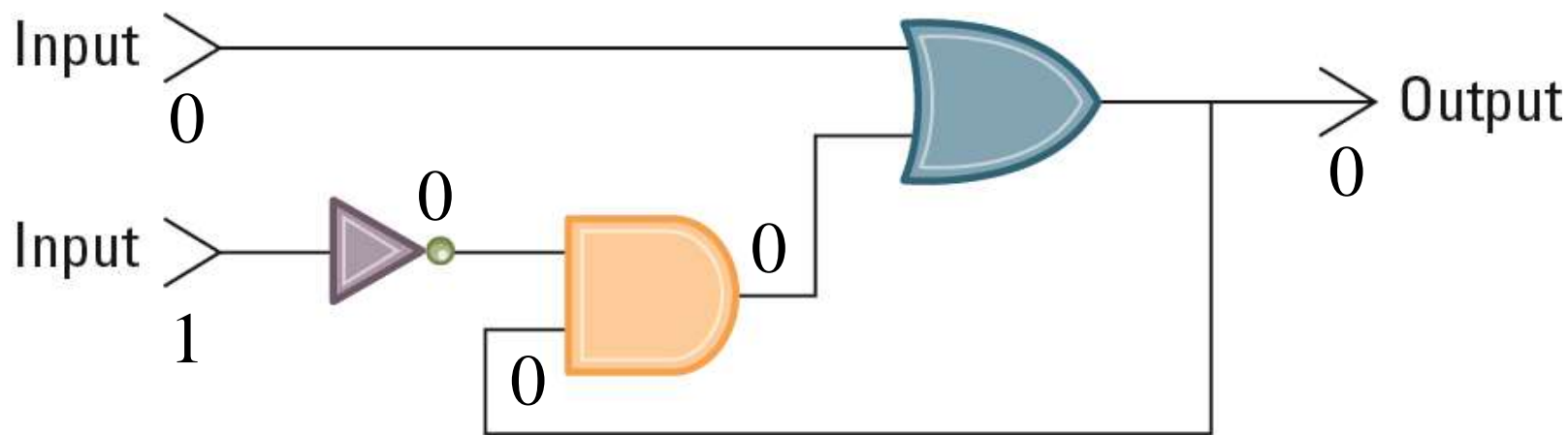
- **Flip-flop:** A circuit built from gates that can store one bit.
 - One input line is used to set its stored value to 1
 - One input line is used to set its stored value to 0
 - While both input lines are 0, the most recently stored value is preserved

A simple flip-flop circuit

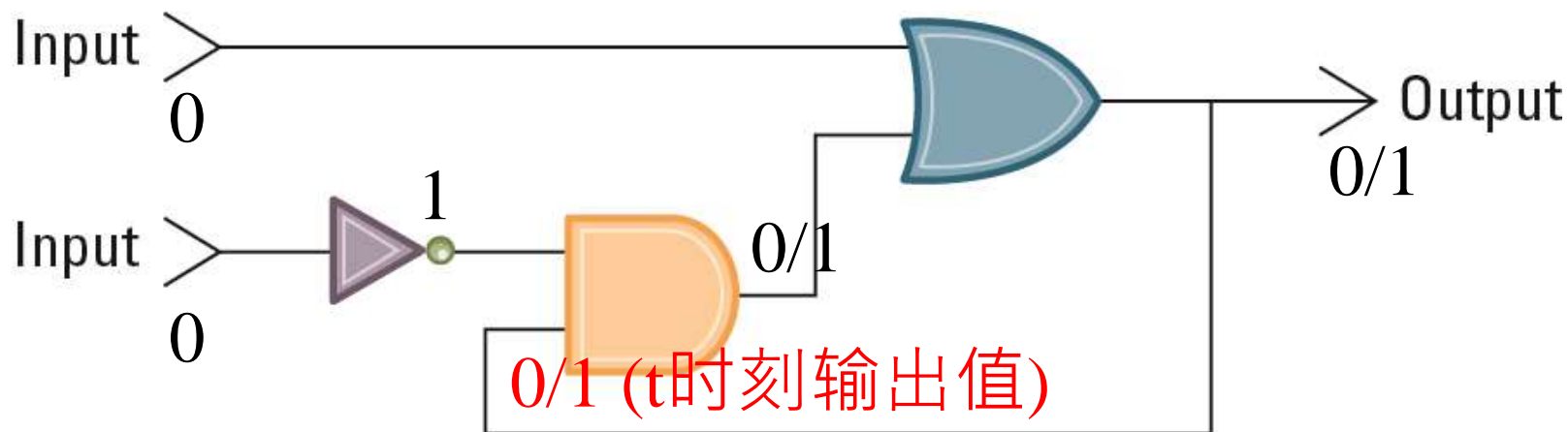


Input 1	Input 2	Output
1	0	1
0	1	0
1	1	1
0	0	保持不变

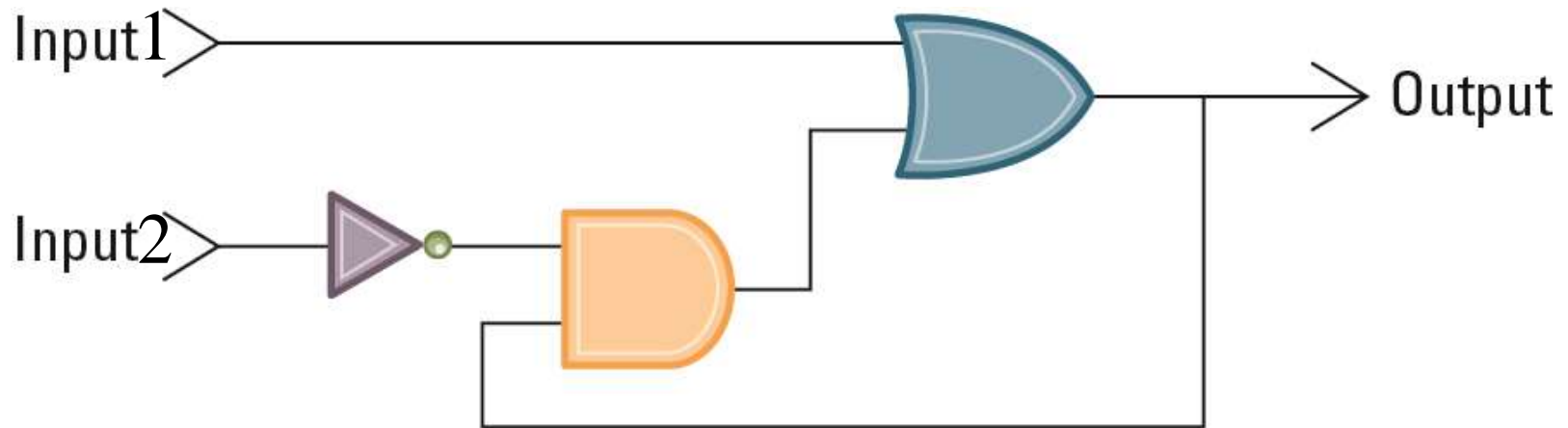
在t时刻



在t+1时刻



A simple flip-flop circuit



Input 1	Input 2	Output
1	0	1
0	1	0
1	1	1
0	0	保持不变

Input1 (上)

Input2 (下)

Output
保持不变 (0或1)

0

0

1

即使Input1再回到0, 输出仍将一直为1

1

0

0

即使Input2再回到0, 输出仍将一直为0

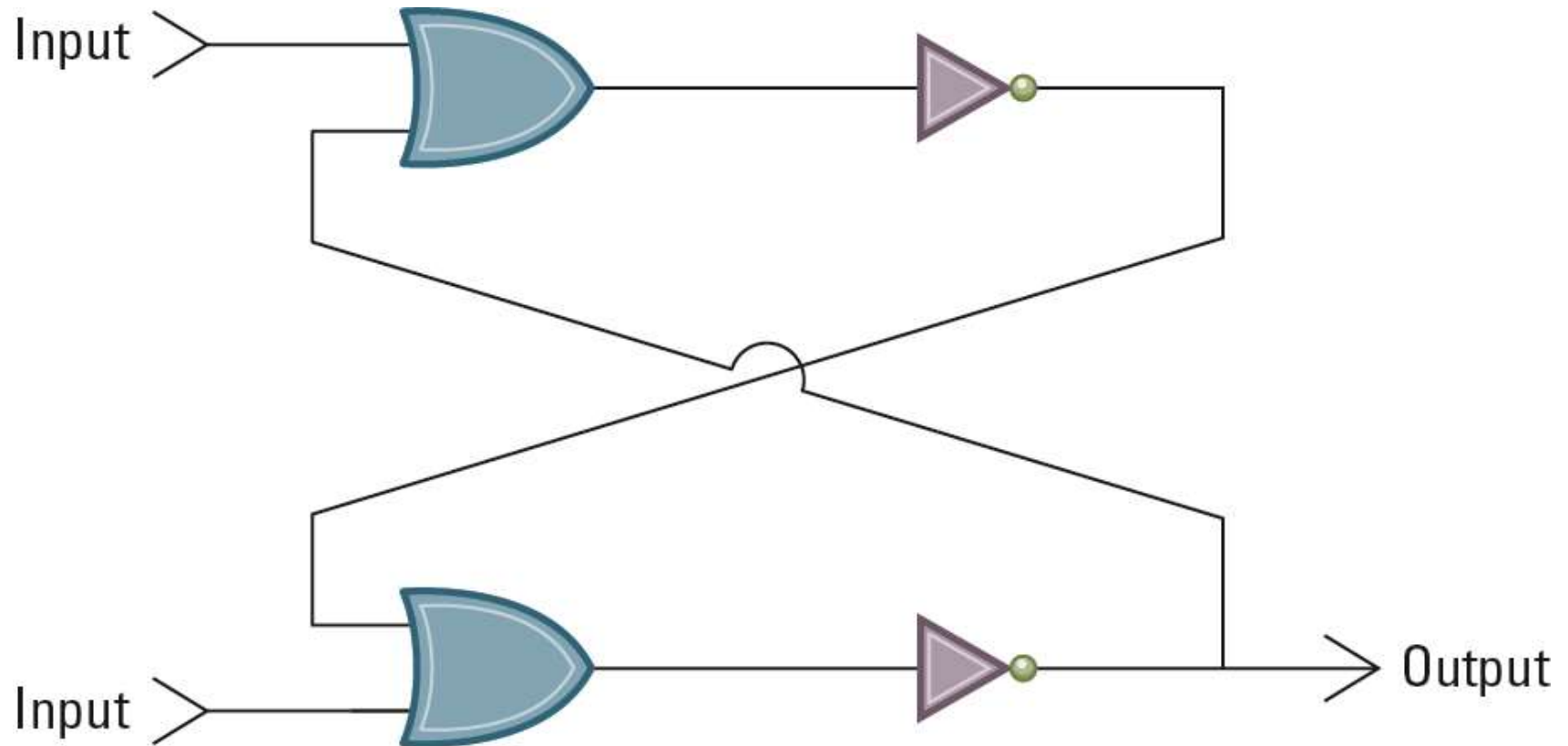
0

1

Flip-flop

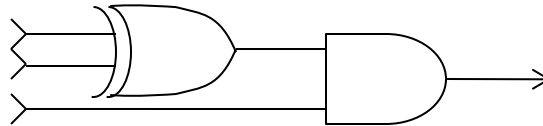
- Shows how a device can be constructed from gates
- Example of abstraction
- Store a bit

Another way of constructing a flip-flop



Exercises

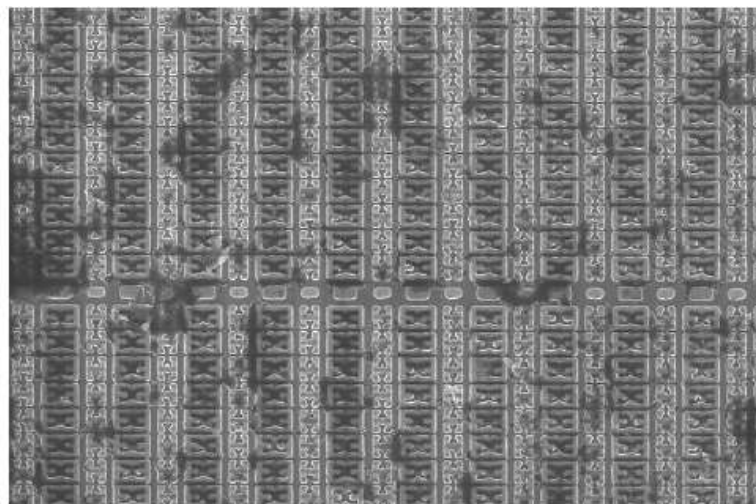
- What input bit patterns will cause the following circuit to output 1? And output 0?



- **How are patterns of bits stored in computer?**

SRAM

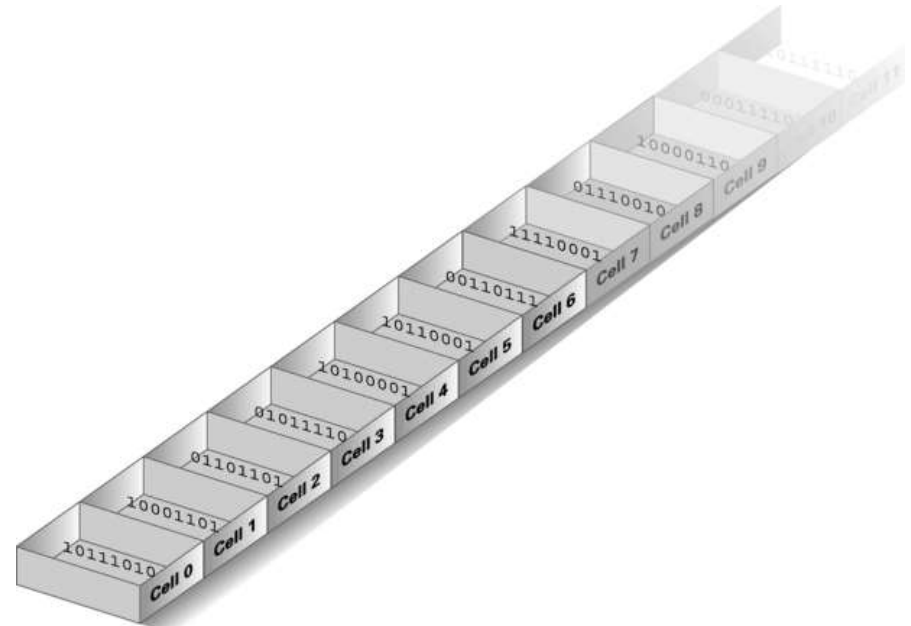
- **SRAM** (Static Random-Access Memory) 采用双稳态触发器 (**Flip-Flop**) 作为存储单元，能够保持数据的稳定状态而无需定期刷新。因此，**SRAM**的存取速度非常快，功耗相对较低，但制造成本较高，存储密度也相对较低。



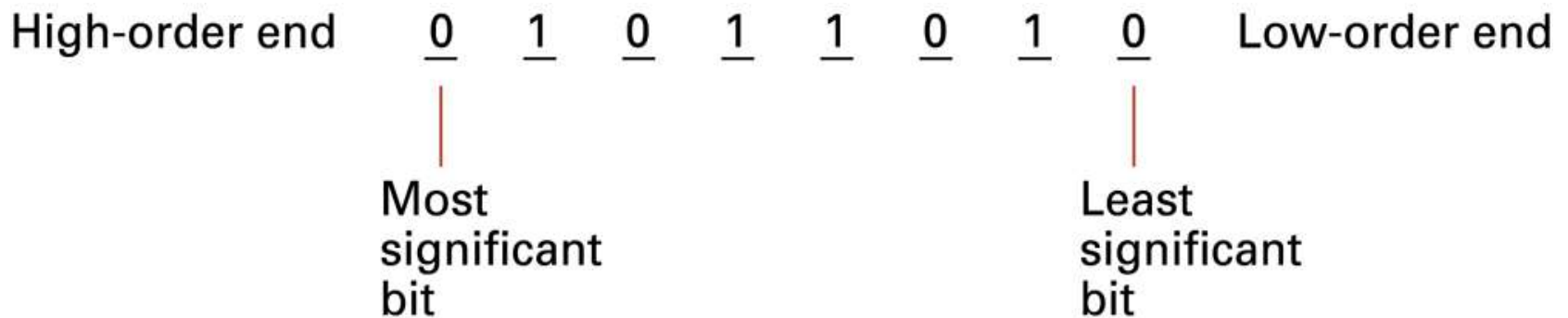
1.2 Main memory

Main Memory Cells

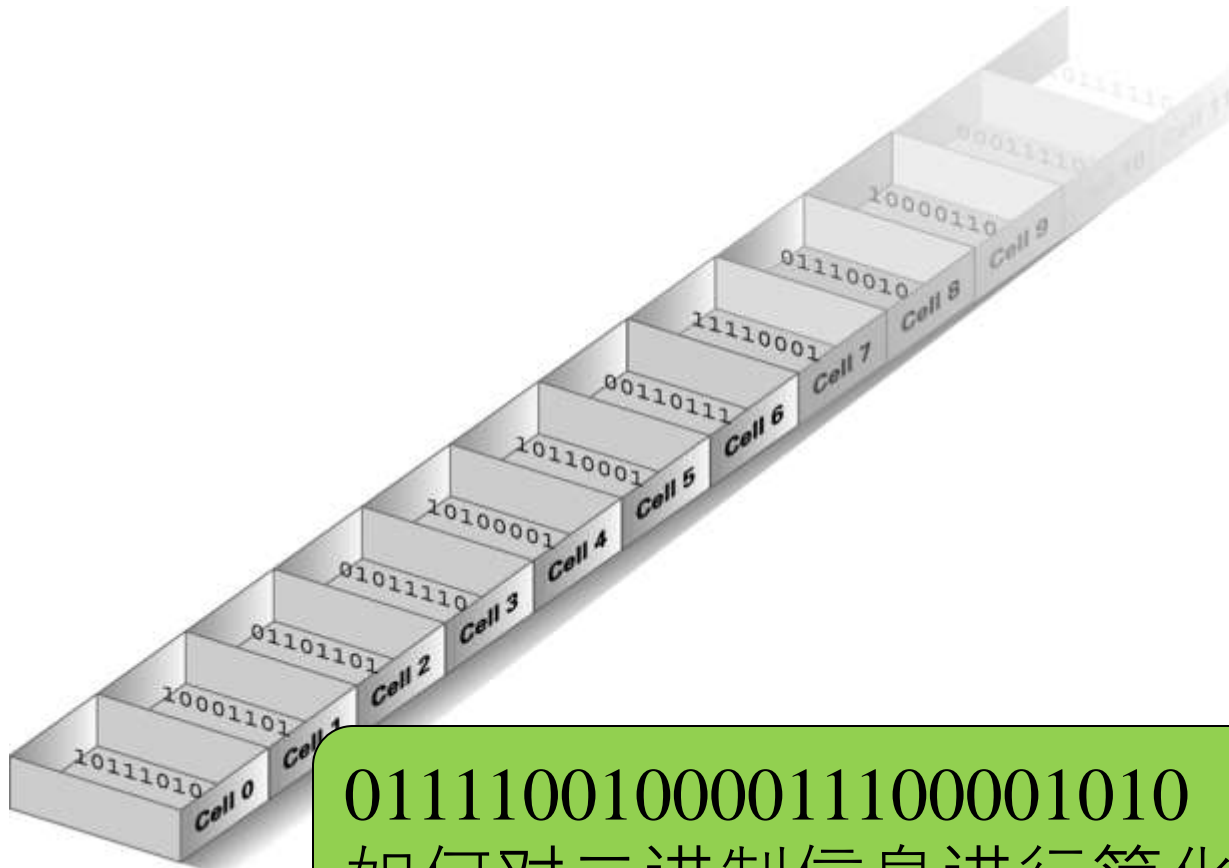
- **Cell:** A unit of main memory (typically 8 bits which is one **byte**)



The organization of a byte-size memory cell



Memory cells arranged by address



01111001000011100001010

如何对二进制信息进行简化表示？

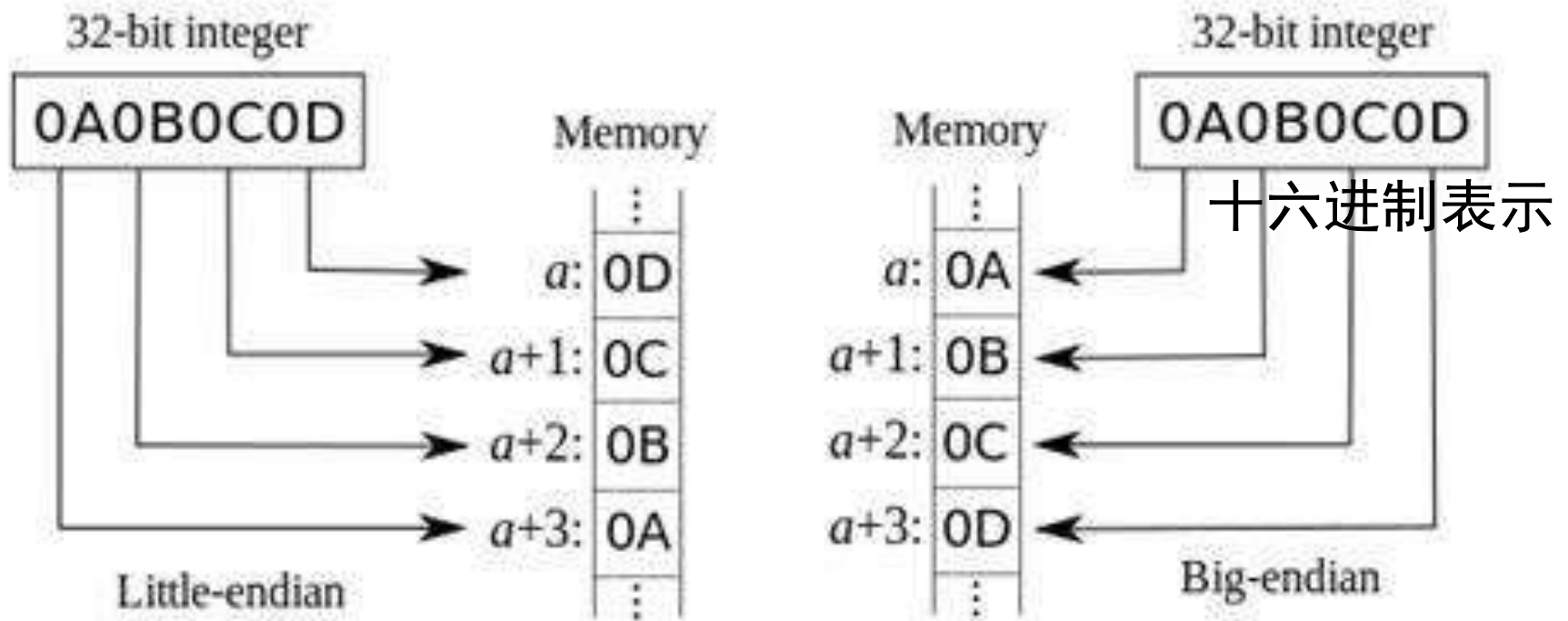
Hexadecimal Notation 十六进制表示

Decimal	Binary	Octal	Hexadecimal
00	0000	00	0
01	0001	01	1
02	0010	02	2
03	0011	03	3
04	0100	04	4
05	0101	05	5
06	0110	06	6
07	0111	07	7
08	1000	10	8
09	1001	11	9
10	1010	12	A
11	1011	13	B
12	1100	14	C
13	1101	15	D
14	1110	16	E
15	1111	17	F

10001000B
88H

Main Memory Addresses (主存储器地址)

- **Address:** A “name” that uniquely identifies one cell in the computer’s main memory

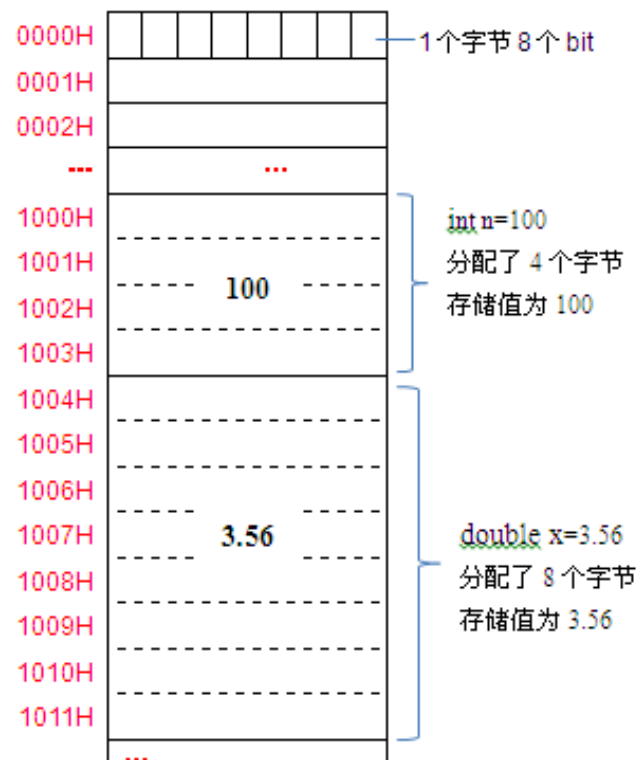


内存地址和数据存放

内存：以字节Byte为单位，每个字节有唯一的地址，就可方便地存取数据。

数据存放：不同的数据类型占据的字节数不同。

```
int    n=100;    //占4个字节
double x=3.56;   //占8个字节
```



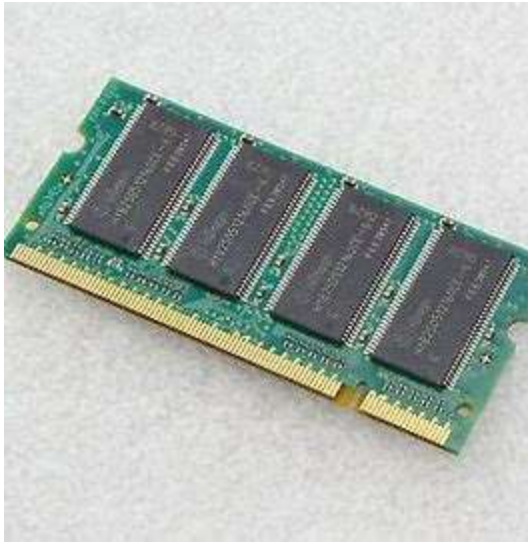
Memory Categories

- Volatile memory 易失性存储
 - Random Access Memory (RAM) 随机存取器
- Non-volatile memory 非易失性存储
 - For example?

Memory Terminology

- **Random Access Memory (RAM):** 随机存取器
 - Memory in which individual cells can be easily accessed in any order
 - Static Random Access Memory (SRAM)
 - 只要保持通电, 储存的数据就可以恒常保持
 - Dynamic Random Access Memory (DRAM)
 - 需要附加的刷新电路, 数据需要周期性地更新

DDR SDRAM (Double Data Rate Synchronous Dynamic Random Access Memory)



双倍速率同步动态随机存储器

DDR



DDR2



DDR3



DDR4



Memory categories

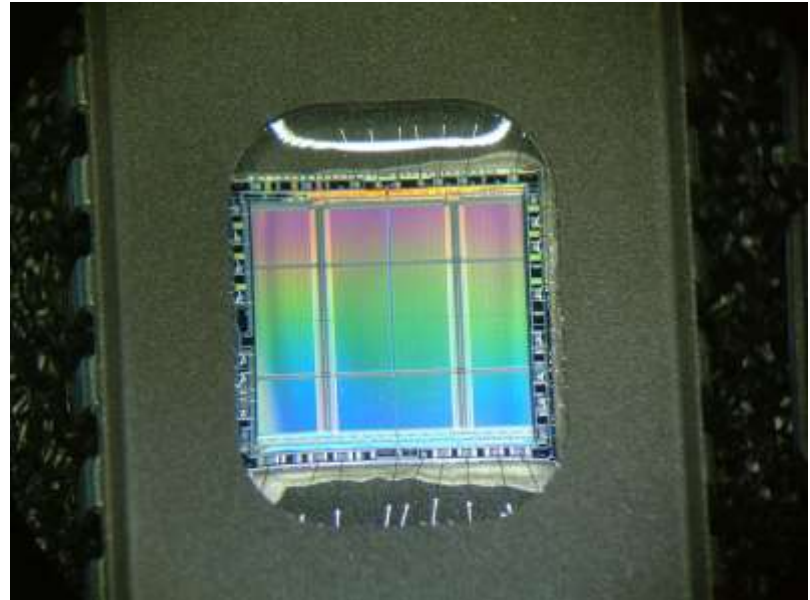
- Volatile memory 易失存储器
- Non-volatile memory 非易失存储器
 - Read only memory (ROM)
 - Magnetic disk
 - Flash
 - NAND Flash (SSD solid state drive)



Nand-flash存储器是flash存储器的一种，具有容量较大，改写速度快等优点

Electrically

- Read only (mostly) memory (ROM)



Electrically

- Flash



SSD solid-state drive

- NAND Flash



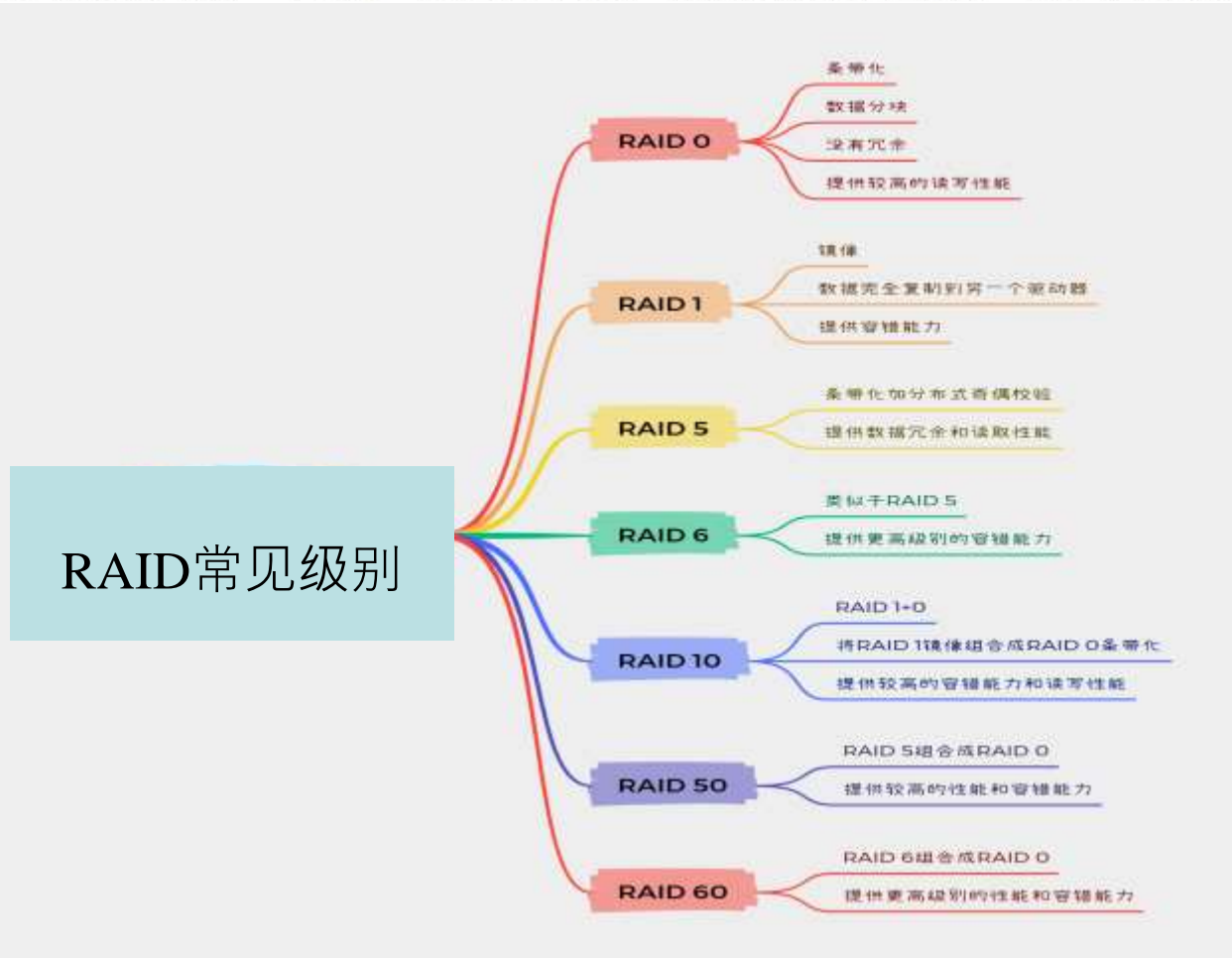
- ✓ 固态硬盘（SSD）是一种基于非易失性存储技术的数据存储设备
- ✓ 是用固态电子存储芯片阵列制成的硬盘
- ✓ SSD更小更轻，速度更快，能耗更低，耐用性更高

Measuring Memory Capacity

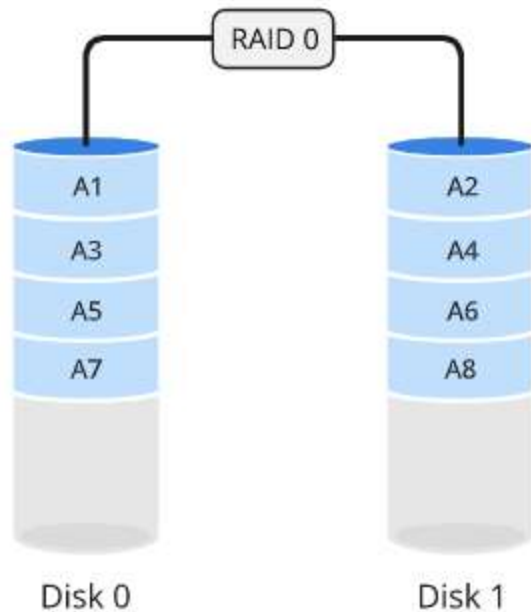
- **Kilobyte:** 2^{10} bytes = 1024 bytes
 - Example: 3 KB = 3 times 1024 bytes
 - Sometimes “kibi” rather than “kilo”
- **Megabyte:** 2^{20} bytes = 1,048,576 bytes
 - Example: 3 MB = 3 times 1,048,576 bytes
 - Sometimes “megi” rather than “mega”
- **Gigabyte:** 2^{30} bytes = 1,073,741,824 bytes
 - Example: 3 GB = 3 times 1,073,741,824 bytes
 - Sometimes “gigi” rather than “giga”

RAID存储

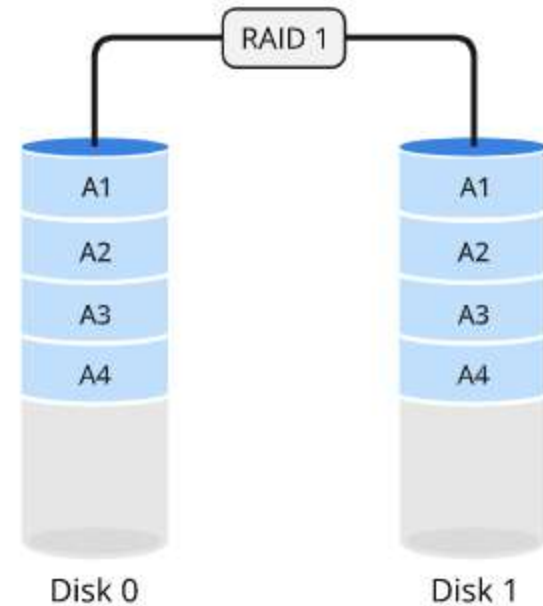
RAID (Redundant Array of Independent Disks, 独立磁盘冗余阵列) 是一种数据存储虚拟化技术, 它将多个物理硬盘组合成一个或多个逻辑单元, 以提高存储性能、容量和数据冗余。



RAID 0 (条带)



RAID 1 (镜像)



数据被分成块，并依次存储在两个驱动器上。每个块的一部分存储在驱动器A上，另一部分存储在驱动器B上

数据被完全复制到两个驱动器上。每个块的数据都同时存储在两个驱动器上，以实现数据的冗余备份

云存储

网上在线存储的模式，把数据存放在通常由第三方托管的多台虚拟服务器



数据中心

- 复杂的设施，用于存储、管理和传输海量数据。
- 通常包含服务器、存储系统、网络设备及确保系统稳定运行所需的电源和冷却系统。
- 数据中心是促进5G、人工智能、云计算等新一代数字技术发展的数据中枢和算力载体。

