### **Overview of lecture topics**

- Topic 1: Course introduction
- Topic 2: Introduction to frequency domain and Laplace transformation
- Topic 3: Dynamic Behavior of Linear systems
- Topic 4: Frequency Response Analysis and Bode plots
- Topic 5: Mathematical Description of Chemical Systems
- Topic 6: Nonlinear ODE's, Linearity, Linearization Feedback, Stability, Root Locus
- Topic 7: Feedback Controller Design and Bode stability
- Topic 8: Advanced (Enhanced) Process Control







### **Outline**

- Model definition
- Why do we need models?
- What type of models are applied?
- Models that you know
- What modelling principles may be applied?
- Model design procedure
- Some modelling examples



### **Model definition**

### **Mathematical Model**

• Eykhoff (1974)

"A <u>representation of the essential aspects of an existing system</u> (or a system to be constructed) <u>which</u> <u>represents knowledge of that system in a usable form</u>"

• Denn (1986)

"A <u>mathematical model</u> of a process <u>is a system of equations</u> that for given specific input data <u>is</u> <u>representative of the response of the process</u> to a corresponding <u>set of inputs</u>"



# Why do we need models?

- Understand processes
- Process design
- Estimate non-measured variables
- Detection of failures and limits
- Evaluate control strategies
- Process optimization





# Why do we need models?

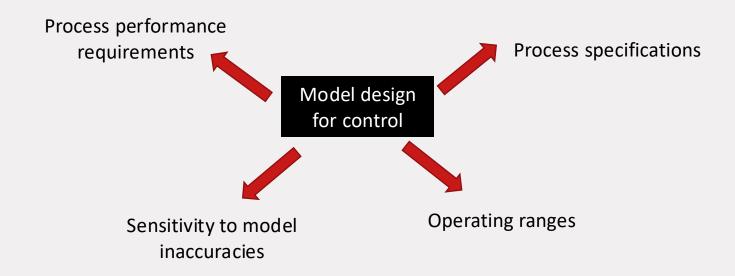
5 questions that have to be answered in **process control** through modelling:

- 1. Which are the variables of the process?
  - Inputs, outputs, manipulated variables, disturbances
- 2. Which variables can be influenced?
  - · Number of degrees of freedom
  - Cause-effect relationships
  - Process controllability
- 3. Over what range can variables be altered?
  - Operating window
- 4. How effectively can feedback maintain the process at desired conditions?
  - Sign, magnitude, speed and shape of response
- 5. How sensitive are results obtained to small changes in process behavior?



# Why do we need models?

Models represent the relevant process behavior needed for control system design





## What types of models are applied?

Models applied for the design of process control and optimization systems can be categorized by the following types of mathematical models:

### **Fundamental models**

- First principle models
- Mass, energy, momentum balances

### **Empirical models**

- Data-driven models
- Based on experience or a priori knowledge of the system

### Steady-state models

 Describe an operation point and small variations around it

### **Dynamic models**

Describes the transient behaviour

### Other classifications

**Deterministic or stochastic** Variations

Lumped or distributed models Parameters



# Models that you know

- Conservation of mass
   Conservation of Energy
   Conservation of Momentum

### **Procedure**

Take a control volume (system) Define the conserved quantity Analyze according to:

Accumulation = Input - Output + Production - Consumption



# Models that you know

### **Constitutive equations**

- Properties of matter
  - Calorific capacity
  - Density
- Transport equations
  - Newton Law (momentum)
  - Fourier Law (heat transfer)
  - Fick's diffusion Law (mass)
- Reaction kinetics
  - Mass action kinetics
  - Arrhenius
- Thermodynamic equations
  - State equations (Ideal gas equation)
  - Phase equilibrium

$$Q = UA\Delta T$$

• Chemical Reaction Rate 
$$r_A = k_0 e^{-E/RT} C_A$$

$$r_A = k_0 e^{-E/RT} C_A$$

$$pV = nRT$$

$$F = C_{v} \cdot \left(\frac{\Delta P}{\rho}\right)^{\frac{1}{2}}$$

$$y_i = K_i \cdot x_i$$



It is extremely important to closely follow a well structured design procedure to develop models that comply with the ultimate requirements that meet the design specifications of the process control system

## Design Procedure basic steps:

- Define goals for the model
- Prepare basic process information
- Formulate the model
- Determine a solution with respect to model behaviour
- Analyse the solution results for correctness and for performance
- Validate the model

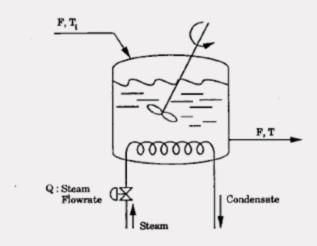


Definition of goals for the model

- Why and what do I want to model?
  - Control
  - Getting new information
  - Simulations / prediction
- How simple or complex should the model be?
- Which are the important aspects to be considered in the model?
  - Requirements with respect to model accuracies
  - Design specifications
- How deep are the fundamental principles for this process?



Example: A Continuous Stirred Tank Heating System



### Goal:

Determination of the dynamic response of the tank temperature to changes in steam flow



# Prepare basic process information

- Make a sketch of the process and identify the system that needs to be modelled
- Identify the variables of interest of the process in relation to the application of the model
- Explicitly state the assumptions made for modelling
- List the available data



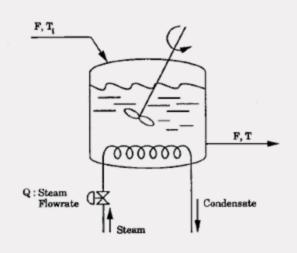
# Example:

### Information

- The system is the stirred tank
  - Important variables:
    - Temperature in the tank (output variable)
    - Heat supplied by the steam (manipulated input variable)

### Assumptions

- The content of the tank is ideally mixed
- Physical properties are constant (density, heat capacity of liquid, latent heat of steam)
- All the heat supplied by the steam (including condensation heat) is transferred to the liquid content in the tank
- Heat losses to the atmosphere are considered to be negligible





# **Example:**

### **Process data available**

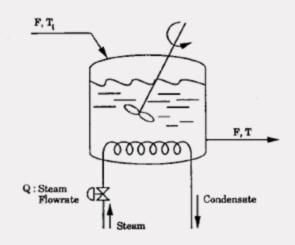
•Specific heat capacity of the liquid :  $C_p$  [J/kg·K]

•Density of the liquid:  $\rho$  [kg/m<sup>3</sup>]

•Latent heat of vaporization of steam: λ [J/kg]

•Tank volume: V [m³]

•Initial temperature of liquid in the tank:T<sub>0</sub> [K]





### Formulate the model

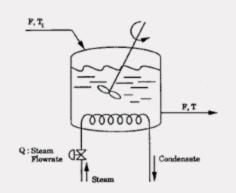
- Apply the relevant laws of conservation
- Apply in addition constitutive equations to get a unique solution
- Rationalize the model by combining given equations and by collecting terms
- Check the degrees of freedom
- Make the equations dimensionless by scaling of the variables



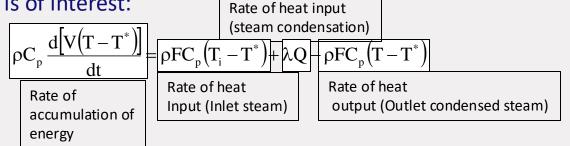
The overall mass balance is not relevant (flow in = flow out):

$$\frac{d\rho V}{dt} = \rho F - \rho F, \frac{dV}{dt} = 0$$

Mass balance



Application of the energy balance is relevant since temperature of the liquid content in the tank is of interest:



$$\rho V C_p \frac{dT}{dt} = \rho F C_p (T_i - T) + \lambda Q$$
 After simplification

*Remark*: T\* - reference temperature at which specific enthalpy of liquid is taken to be zero



The number of degrees of freedom analysis of this model:

- Number of dependent variables:
   2 (T and Q)
- Number of independent equations:
- Number of parameters: 4  $(\lambda, C_p, V, \rho)$
- Number of external or disturbance variables: 1 (T<sub>in</sub>)



# Determine a solution with regard to model behaviour

Two possible routes may be followed in calculating solutions of the obtained set of equations from the detailed modelling of the process:

- Try to solve the set of Differential (Algebraic) Equations analytically
  - Only feasible for relatively simple equations
  - Preferred way as it provides very much insight into the system behaviour
- Solve the equations numerically
  - Use simulation packages like Matlab/Simulink, gPROMS, Aspen Custom Modeller, Mathematica, Process Studio, Mobatec, ...
  - Be aware of potential inaccuracies due to the applied solvers and the applied resolution



To find a solution the equations are further simplified and re-arranged

$$\rho V C_p \frac{dT}{dt} = \rho F C_p \left( T_i - T \right) + \lambda Q \quad \Rightarrow \quad \frac{dT}{dt} + \frac{1}{\tau} T = \frac{\lambda Q}{\rho V C_p} + \frac{1}{\tau} T_i$$

with  $au = rac{V}{F}$  residence time

The model in terms of deviation variables:

$$x = T - T_s, \ u = Q - Q_s, \ d = T_i - T_{i,s}$$

@ Steady state: 
$$\frac{dT}{dt}=0$$
  $\frac{1}{\tau}T_s=\frac{\lambda Q_s}{\rho VC_p}+\frac{1}{\tau}T_{i,s}$ 



Subtract the steady state equation from the original ODE

$$\frac{dT}{dt} - \frac{dT_s}{dt} + \frac{1}{\tau} (T - T_s) = \frac{\lambda Q}{\rho V C_p} - \frac{\lambda Q_s}{\rho V C_p} + \frac{1}{\tau} (T_i - T_s)$$

$$\frac{dx}{dt} + \frac{1}{\tau} x = \frac{\lambda}{\rho V C_p} u + \frac{1}{\tau} d \quad x(0) = 0 \text{ if } T(0) = T_s$$

# Take the Laplace transform

$$sX(s) + \frac{1}{\tau}X(s) = \frac{\lambda}{\rho V C_p} U(s) + \frac{1}{\tau}D(s)$$

$$X(s) = \left(\frac{\beta \tau}{\tau s + 1}\right) U(s) + \left(\frac{1}{\tau s + 1}\right) D(s) \quad \text{with:} \beta = \frac{\lambda}{\rho V C_p}$$



$$X(s) = \left(\frac{\beta\tau}{\tau s + 1}\right)U(s) + \left(\frac{1}{\tau s + 1}\right)D(s)$$

### Solution:

$$x(t) = e^{-\frac{1}{\tau}}x(0) + \int_0^t e^{-\frac{t-\sigma}{\tau}}\beta u(\sigma)d\sigma + \int_0^t e^{-\frac{t-\sigma}{\tau}}\frac{1}{\tau}d(\sigma)d\sigma$$

Inverse Laplace Transformation of the terms on the right hand side

$$\frac{\beta \tau}{\tau s + 1} \Leftrightarrow \beta e^{-\frac{t}{\tau}} \qquad \frac{1}{\tau s + 1} \Leftrightarrow \frac{1}{\tau} e^{-\frac{t}{\tau}}$$



Analyse the solution results for correctness and for performance

- Check the results for correctness
  - Does the solution satisfy limiting conditions like initial and final conditions?
  - Does the solution obey implied bounds?
  - Are numerical solutions within range of expectations?
- Interpret the results obtained
  - Plot the solution
  - Check the obtained characteristic behaviour and does it meet expectations
  - Relate the results obtained to available process data and assumptions made
  - Evaluate the sensitivity of the solution
  - Answer some relevant "what if" questions



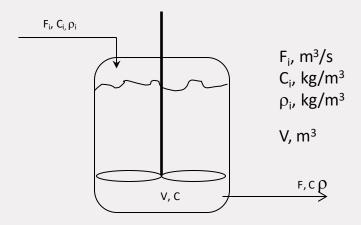
### Validate the model

- Select a number of key variables for validation of the model behaviour
- Compare the results obtained from validation with experimental results available
- Compare the results of a simplified model with results possibly available from a more detailed model



## Some modelling examples

### Continuous stirred tank reactor with no chemical reaction

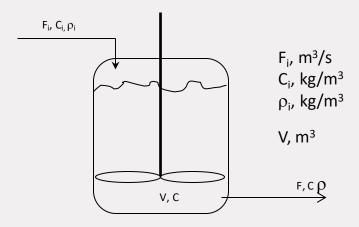


- 1. Define goals for the model
- 2. Prepare basic process information
- 3. Formulate the model
- 4. Determine a solution with regard to model behaviour
- 5. Analyse the solution results for correctness and for performance
- 6. Validate the model



## Some modelling examples

### Continuous stirred tank reactor with no chemical reaction



- **1. Goal**: To know the changes of concentration C in the reactor
- **2. Information:** density is constant
- 3. Formulate the model

### Principle of conservation of mass

- Total mass
- Component balance for solute C

If you have N components, then write N-1 component balances + total mass balance



# Some modeling examples

# $F_i$ , $C_i$ , $\rho_i$ $F, C, \rho$ V, C

### Total mass balance:

$$\frac{d(\rho V)}{dt} = \rho_i F_i - \rho F = 0$$

$$\frac{dV}{dt} = F_i - F$$

constant density

$$\rho_i = \rho$$

### Component balance:

$$\frac{d(CV)}{dt} = F_i C_i - FC$$

$$\frac{dC}{dt} = \frac{F_i}{V}C_i - \frac{F}{V}C - \frac{C}{V}\frac{dV}{dt}$$

V and C are dependent variables

## If we assume continuous operation $F_i=F$ (V is constant)

$$\frac{dC}{dt} = \frac{F}{V}C_i - \frac{F}{V}C \qquad \qquad \frac{dC}{dt} = \frac{1}{\tau}\left(C_i - C\right)$$



$$\frac{dC}{dt} = \frac{1}{\tau} \left( C_i - C \right)$$



# Some modelling examples

### Rearrange

$$\frac{dC}{dt} + \frac{1}{\tau}C = \frac{1}{\tau}C_i$$

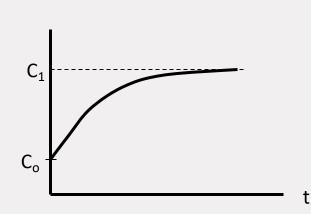
Time constant  $\tau$ 

Manipulated input or forcing function

$$C_i = \begin{pmatrix} C_0 & t \le 0 \\ C_1 & t > 0 \end{pmatrix}$$

### Solution

$$C(t) = C_1 + (C_0 - C_1)e^{-\frac{t}{\tau}}$$





# Other modelling examples

# Bioreactor for biomass production in continuous operation

### Mass balance

$$\frac{d(\rho V)}{dt} = \rho F - \rho F = 0$$

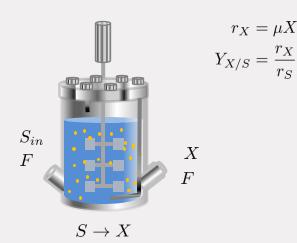
### Mass balance per component

$$\frac{d(SV)}{dt} = FS_{in} - FS - r_S V$$
$$\frac{dS}{dt} = \frac{F}{V}(S_{in} - S) - r_S$$

$$\frac{d(XV)}{dt} = -FX + r_X V$$
$$\frac{dX}{dt} = r_X - \frac{F}{V} X$$

Define dilution rate as:

$$D = \frac{F}{V}$$





## Other modelling examples

# Bioreactor for biomass production in continuous operation

### Mass balance

$$\frac{dV}{dt} = F - F = 0$$

### Mass balance per component

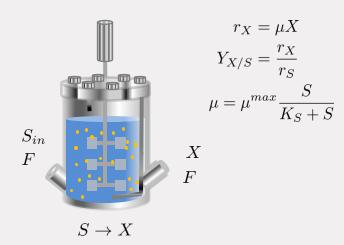
$$\frac{dS}{dt} = D(S_{in} - S) - r_S \qquad \qquad \frac{dS}{dt} = D(S_{in} - S) - \frac{\mu X}{Y_{X,S}}$$

$$\frac{dX}{dt} = r_X - DX \qquad \qquad \frac{dX}{dt} = (\mu - D)X$$

$$\frac{dS}{dt} = D(S_{in} - S) - \frac{\mu^{max}}{Y_{X,S}} \frac{S}{K_S + S} X$$

$$\frac{dX}{dt} = \left(\mu^{max} \frac{S}{K_S + S} - D\right) X$$

Non linear





# Some modeling examples

# F<sub>i</sub>, C<sub>A,i</sub>, $\rho_i$ $2A \to B$ V, C $F, C_A C_B \rho$

### Continuous stirred tank reactor with chemical reaction

### Total balance

$$\begin{split} \frac{d(\rho V)}{dt} &= \rho_i F_i - \rho F = 0 \\ \frac{dV}{dt} &= F_i - F & \text{constant density} \quad \rho_i = \rho \\ \frac{dV}{dt} &= 0 & \text{constant Volume} \quad F_i = F \end{split}$$

### Component balance:

$$\frac{dC_A}{dt} = \frac{F}{V}C_{A,i} - \frac{F}{V}C_A - kC_A^2$$

$$\frac{dC_B}{dt} = -\frac{F}{V}C_B - kC_A^2$$

Nonlinear

