

resources

Leo Freitas

November 3, 2022

Contents

1	Recursive partial recursive functions	3
2	Exampe of recursive functions with non-constructive types	4
theory RecursiveVDMNat		
imports VDMToolkit		
begin		

VDM expressions with basic-typed (nat , int) variables (e.g. $x - y$) have specific type widening rules (e.g. if both variables are nat , the result might be int). Therefore, in Isabelle VDM nats become VDMNat , which are just a synonym for \mathbb{Z} .

Isabelle recursive functions requires a proof obligation that parameters represent a constructive and compatible pattern, and that recursive calls terminate. Nevertheless, \mathbb{Z} in Isabelle is defined in terms of a pair of \mathbb{N} , hence recursion over \mathbb{Z} are involved. Given VDM needs to represent its nat variables as VDMNat this will make VDM recursive functions in Isabelle involved as well.

To give a concrete example, we define a recursive implementation of factorial in VDM translated to Isabelle as:

```
factorial: nat -> nat
factorial(n) == if n = 0 then 1 else n * factorial(n)
measure n;
```

For translation, we first encode the implicit precondition of factorial that insists that the given parameter n is a VDMNat

definition

```
pre-vdm-factorial :: ⟨VDMNat ⇒ IB⟩
where
  ⟨pre-vdm-factorial n ≡ inv-VDMNat n⟩
```

lemmas pre-vdm-factorial-defs = pre-vdm-factorial-def inv-VDMNat-def

Next, we define the factorial function through recursion, where when the precondition fails, we return undefined, which is a term that cannot be reasoned with in Isabelle (i.e. it's a dead end). Otherwise, we define factorial pretty much as in VDM.

```
function (domintros) vdm-factorial :: ⟨VDMNat ⇒ VDMNat⟩
  where
    ⟨vdm-factorial n =
      (if pre-vdm-factorial n then
        (if n = 0 then
          1
        else
          n * (vdm-factorial (n - 1))
        )
      else
        undefined)⟩
```

Pattern compatibility and completeness is discharged with the usual proof strategy in this case. In the general (more complex recursive call cases, e.g., Ackerman's function for instance), the user might have goals to discharge.

by (pat-completeness, auto)

Following the same strategy as before for sets, we define a relation representing the recursive and original call, respectively. The relation contains only input values that satisfy the precondition of factorial as well as the specific case which recursion occurs (e.g. $(0::'a) < n$).

```
abbreviation
  vdm-factorial-wf :: ⟨(VDMNat × VDMNat) set⟩
  where
    vdm-factorial-wf ≡ { (n - 1, n) | n . n ≠ 0 ∧ pre-vdm-factorial n }
```

To make well foundedness proof easy, we reuse an already proved well founded relation for the integers, with the relation `int-ge-less-than`^[display] which we start from $0::'a$, as defined by `gen-VDMNat-term`. This is quite similar to the strategy used for finite subsets with `finite-psubset`^[display].

Because `int-ge-less-than` is already well founded (e.g. `wf (int-ge-less-than ?d)`^[display]), the proof for our definition is trivial.

```
lemma l-vdm-factorial-term-wf: wf (gen-VDMNat-term vdm-factorial-wf)
  by (simp add: wf-int-ge-less-than wf-Int1)
```

To make sure our choice is valid (e.g. doesn't lead to the empty relation), we ensure that indeed the termination relation is in fact the same as the well founded predicate.

```
lemma l-vdm-factorial-term-valid: (gen-VDMNat-term vdm-factorial-wf) = vdm-factorial-wf
  apply (simp add: pre-vdm-factorial-defs)
  apply (intro equalityI subsetI)
  apply (simp-all add: int-ge-less-than-def case-prod-beta)
```

by auto

Finally, we prove termination using the previously proved lemmas using the relation. This simplifies the goal into well formedness of termination relation and that the precondition implies it, both of which are easily proved with simplification in this case.

```
termination
  apply (relation <<(gen-VDMNat-term vdm-factorial-wf)>>)
  using l-vdm-factorial-term-wf apply presburger
  by (simp add: pre-vdm-factorial-defs int-ge-less-than-def)

end
theory RecursiveSet
  imports VDMToolkit
begin
```

1 Recursive partial recursive functions

In Isabelle, recursive functions must discharge proof obligations on:

1. pattern completeness:

This relates to all patterns in a constructive type being referred to (e.g., $0::'a$ and $\text{Suc } n$ for \mathbb{N}).

2. pattern compatibility:

This relates to multiple way patterns can be constructed that boils down to the pattern completeness cases (e.g., $n + (2::'a)$ being simply multiple successor calls over constructors $\text{Suc } (\text{Suc } 0)$).

That is important to ensure that recursion is well structured (i.e., recursive calls will not get stuck because call constructs are not available). For example, if you miss the $0::'a$ case, eventually the $\text{Suc } n$ case will reach zero and fail.

A final proof obligation is on termination: the recursion is well-founded. This has to be proved whenever properties of defined function are meant to be total.

For example, a function that finds the zero of functions can be given as:

```
function (domintros) findzero :: (nat  $\Rightarrow$  nat)  $\Rightarrow$  nat  $\Rightarrow$  nat
where
  findzero f n = (if f n = 0 then n else findzero f (Suc n))
  by pat-completeness auto
```

print-theorems

term findzero-dom

```

term findzero-rel
thm findzero.domintros impI
    findzero-rel.intros
find-theorems name:Wellfounded.acc

```

Various theorems are made available, such as:

$(\bigwedge f\ n. ?x = (f, n) \implies ?P) \implies ?P$ [display] Cases analysis

$\llbracket \text{findzero } ?x\ ?xa = ?y; \text{findzero-dom } (?x, ?xa); \bigwedge f\ n. \llbracket ?x = f; ?xa = n; ?y =$
 $(\text{if } f\ n = 0 \text{ then } n \text{ else findzero } f\ (\text{Suc } n)); \text{findzero-dom } (f, n) \rrbracket \implies ?P \rrbracket \implies$
 $?P$ [display] Elimination rules

$\llbracket \text{findzero-dom } (?a0.0, ?a1.0); \bigwedge f\ n. \llbracket \text{findzero-dom } (f, n); f\ n \neq 0 \implies ?P\ f$
 $(\text{Suc } n) \rrbracket \implies ?P\ f\ n \rrbracket \implies ?P\ ?a0.0\ ?a1.0$ [display] Induction rules

$\text{findzero-dom } (?f, ?n) \implies \text{findzero } ?f\ ?n = (\text{if } ?f\ ?n = 0 \text{ then } ?n \text{ else findzero } ?f$
 $(\text{Suc } ?n))$ [display] Simplificaiton rules

Note the last two are partial, module a domain predicate `findzero-dom`, which represents a well-founded relation that ensures termination. These p-rules can be simplified into total rules that do not depend on a domain predicate, which can compicate proofs.

2 Exampe of recursive functions with non-constructive types

Recurring on non-constructive types (e.g., sets, integers, etc.) entail more involved compatibility and completeness proofs. They also usually lead to partial function definitions, given Isabelle can't tell whether termination is immediatelly obvious.

In VDM, however, recursive functions on sets (as well as map domains) are common.

In our `vdm2isa` translator, we impose various implicit VDM checks as explicit predicates in Isabelle. In VDM, sets are always finite, and structural invariants are declared for types.

Our example recursive function is given a set of \mathbb{N} and return their sum. In VDM, because of various type widening rules (e.g., $0 - x$ returns an integer result, whereas in Isabelle this remains a \mathbb{N}). We encode VDM corresponding type as `VDMNat`. This is represented in Isabelle as \mathbb{Z} in order to allow for VDM type widening rules during translation.

The function is defined in VDM as:

```

sumset: set of nat -> nat
sumset(s) == if s = {} then 0 else let e in set s in sumset(s - {e}) + e
measure card s;

```

It consumes the set by picking each set element and summing them to the recursive call until the set is empty.

In Isabelle, the implicit VDM checks are defined as the precondition, which ensures that the given set contains only natural numbers, and is finite.

```
definition
  pre-sumset :: VDMNat VDMSet  $\Rightarrow$   $\mathbb{B}$ 
  where
    pre-sumset s  $\equiv$  inv-VDMSet' inv-VDMNat s
```

These def sets are automatically generated by translator.

```
lemmas pre-sumset-defs = pre-sumset-def inv-VDMSet'-defs inv-VDMNat-def
```

We define the VDM recursive function in Isabelle next. It checks whether the given set satisfy the function precondition. If it doesn't, undefined is returned. If it does, then each case is encoded pretty much 1-1 from VDM using Hilbert's choice operator.

```
function (domintros)
  sumset :: VDMNat VDMSet  $\Rightarrow$  VDMNat
  where
    sumset s =
      (if pre-sumset s then
        (if s = {} then
          0
        else
          let e = (SOME x . x  $\in$  s) in
            sumset (s - {e}) + e)
      else
        undefined
    )
```

The pattern completeness and compatibility goals are given as $(\wedge a. \text{Well-founded.accp sumset-rel } a \implies \exists !y. \text{sumset-graph } a \ y) \ \&\&\& \ (\wedge P \ x. (\wedge s. x = s \implies P) \implies P) \ 1. \ \wedge P \ x. (\wedge s. x = s \implies P) \implies P \ 2. \ \wedge s \ sa. s = sa \implies (\text{if pre-sumset } s \text{ then if } s = \emptyset \text{ then } 0 \text{ else let } e = \text{SOME } x. x \in s \text{ in sumset-sumC } (s - \{e\}) + e \text{ else undefined}) = (\text{if pre-sumset } sa \text{ then if } sa = \emptyset \text{ then } 0 \text{ else let } e = \text{SOME } x. x \in sa \text{ in sumset-sumC } (sa - \{e\}) + e \text{ else undefined})$
$$$$

We follow the “usual” proof strategy for this using pat completeness tactic. For more general examples, if that fails, sledgehammer should be used.

```
by (pat-completeness, auto)
```

Termination proof is achieved by establishing a well-founded relation associated with the function recursive call with respect to its declaration.

In our case, that is the smaller set after picking e ($s - \{\text{SOME } e. e \in s\}$) and the set used at definition, leading to the pairs $(s - \{\text{SOME } e. e \in s\}, s)$. We

ensure all the s involved are not empty and satisfy the function precondition (pre-sumset).

Given this is a simple (non-mutual, single call-site, easy set element choice) recursion, thankfully the setup is not as complex to establish well-foundedness. We piggyback on some Isabelle machinery by using the term:

```
finite-psubset[display]
```

It establishes that a relation where the first element is strictly smaller set than the second element in the relation pair. This makes the proof of well-foundedness easy for sledgehammer, which is important in order for translated code be easier to prove.

```
abbreviation
```

```
sumset-wf-rel :: (VDMNat VDMSet × VDMNat VDMSet) set
where
sumset-wf-rel ≡ { (s - {(SOME e . e ∈ s)}, s) | s . s ≠ {} ∧ pre-sumset s }
```

Termination requires well-founded relation, so we prove that function sumset termination relation is well-founded using sledgehammer. This is easily proved by reusing a well founded relation for finite proper subsets, which are the upper bound of any well-formed relation we create. This is important for the termination proof of sumset.

```
lemma l-sumset-rel-wf: wf (gen-set-term sumset-wf-rel)
  using l-gen-set-term-wf by blast
```

Moreover, once we establish well-foundedness, we need to get to the termination relation from the filtering predicate defined through the precondition (i.e. the precondition helps establish the terminating relation).

In this case, the only needed term for Isabelle to establish termination is set finiteness, however, we insist on the whole precondition to ensure that the intended VDM meaning is maintained.

```
lemma l-pre-sumset-sumset-wf-rel:
```

```
pre-sumset s ⇒ s ≠ {} ⇒ (s - {(SOME x. x ∈ s)}, s) ∈ (gen-set-term sum-
set-wf-rel)
  unfolding gen-set-term-def
  apply (simp add: pre-sumset-defs)
  by (metis Diff-subset member-remove psubsetI remove-def some-in-eq)
```

This is sub optimal. Lets then show it's the relation (i.e. finite subset trick to make well-founded induction proof easier, does not compromise the wellfounded relation itself).

```
lemma l-sumset-wf-rel-valid: gen-set-term sumset-wf-rel = sumset-wf-rel
  apply (intro equalityI subsetI)
  apply (simp)
  using l-pre-sumset-sumset-wf-rel by blast
```

```
termination
```

Next, we have to discharge the termination proof, which is given as $\text{All sumset-dom } 1. \text{ All sumset-dom}$

$\text{apply (rule termination[of (gen-set-term sumset-wf-rel)])}$

We follow the strategy of using the termination relation and well formedness, which transforms the mysterious/abstract domain predicate into two new subgoals $\text{All sumset-dom } 1. \text{ wf (gen-set-term sumset-wf-rel) } 2. \bigwedge s x. \llbracket \text{pre-sumset } s; s \neq \emptyset; x = (\text{SOME } x. x \in s) \rrbracket \implies (s - \{x\}, s) \in \text{gen-set-term sumset-wf-rel}$

The first goal is directly discharged with $\text{wf (gen-set-term sumset-wf-rel)}$.

$\text{using l-sumset-rel-wf apply force}$

Finally, we show that termination relation is entailed by function precondition.

$\text{using l-pre-sumset-sumset-wf-rel by presburger}$

Is the sumset termination relation non-trivial? That is, we have some solutions within the finite subsets representing the recursive wellfounded relation.

$\text{lemma l-sumset-term-not-empty: sumset-wf-rel} \neq \{\}$

apply safe
 $\text{find-theorems elim}$
 $\text{apply (erule equalityE)}$
 $\text{find-theorems } \{\} \subseteq -$
 $\text{find-theorems } - \subseteq \{\} \text{ elim}$
 $\text{find-theorems } (- \subseteq -) = -$
 $\text{thm subset-iff-psubset-eq subset-eq}$
 $\text{apply (simp add: subset-eq)}$

This will require a VDM witness

$\text{apply (erule-tac } x=\{1\} \text{ in allE)}$
 $\text{by (auto simp add: pre-sumset-defs)}$

end
 $\text{theory RecursiveVDMMap}$
 $\text{imports VDMToolkit}$
 begin

```

sum_elems: map nat to nat -> nat
sum_elems(m) ==
  if m = {|->} then 0 else
    let d in set dom m in m(d) + sum_elems(|d|<-m)
measure card dom m;

```

definition

```

pre-sum-elems :: ⟨(VDMNat → VDMNat) ⇒  $\mathbb{B}$ ⟩
where
  ⟨pre-sum-elems m ≡ inv-Map inv-VDMNat inv-VDMNat m⟩

lemmas pre-sum-elems-defs = pre-sum-elems-def inv-Map-defs inv-VDMNat-def

function (domintros)
  sum-elems :: ⟨(VDMNat → VDMNat) ⇒ VDMNat⟩
  where
    ⟨sum-elems m =
      (if pre-sum-elems m then
        (if m = Map.empty then
          0
        else
          let d = (SOME e . e ∈ dom m) in the(m d) + (sum-elems ({d} -◁ m))
        )
      else
        undefined
    )
  ⟩
  by (pat-completeness, auto)

definition
  sum-elems-wf :: ⟨((VDMNat → VDMNat) × (VDMNat → VDMNat)) VDMSet⟩
  where
    [simp]: ⟨sum-elems-wf ≡ { (({d} -◁ m), m) | m d . m ≠ Map.empty ∧ d ∈ dom m ∧ pre-sum-elems m }⟩

lemma l-sum-elems-wf: wf sum-elems-wf
  apply (unfold sum-elems-wf-def)
  thm wf-measure[of ⟨λ m . card (dom m)⟩, THEN wf-subset]
  apply (rule wf-measure[of ⟨λ m . card (dom m)⟩, THEN wf-subset])
  apply (simp add: measure-def inv-image-def less-than-def less-eq)
  apply (rule subsetI, simp add: case-prod-beta)
  apply (elim exE conjE)
  by (simp add: l-VDMMap-filtering-card pre-sum-elems-defs)

lemma l-sum-elems-wf-valid: sum-elems-wf ≠ {}
  apply safe
  apply (erule equalityE)
  apply (simp add: subset-eq)

This will require the user to define a @Witness in VDM.

  apply (erule-tac x=⟨1 ↦ 1⟩ in allE)
  by (simp add: pre-sum-elems-defs)

lemma l-pre-sum-elems-sum-elems-wf:
  pre-sum-elems m ⇒ m ≠ Map.empty ⇒ ({(SOME e . e ∈ dom m)} -◁ m, m)
  ∈ sum-elems-wf

```



```

    apply (simp add: pre-sum-elems-defs)
    by (metis domIff empty-iff some-in-eq)

termination
  apply (rule termination[OF l-sum-elems-wf])
  using l-pre-sum-elems-sum-elems-wf by presburger

end

```