



# Learning Rules Explaining Interactive Theorem Proving Tactic Prediction

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# Authors

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formal verification, interactive theorem proving, automated reasoning, and the application of machine learning to mathematics

# Interactive Theorem Provers

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**Automated Theorem Proving (ATP)** attempts to fully automate the process of proving mathematical theorems.

**Limitations:** Complex proofs often exceed the capabilities of fully automated systems.

**ITP** Combines **human expertise** with **automated reasoning tools** to ensure the correctness of proofs in mathematics and computer science.

Humans provide insight and intuition where automation fails, guiding the proof process.

# Coq

Theorem **add\_assoc** : forall **n m p** : **nat**,  $(n + m) + p = n + (m + p)$ .

proof.

1 subgoal  
 $n, m, p : \text{nat}$   
$$\frac{}{(n + m) + p = n + (m + p)} (1/1)$$

induction n.

2 subgoals  
 $m, p : \text{nat}$   
$$\frac{}{(0 + m) + p = 0 + (m + p)} (1/2)$$
  
 $n' : \text{nat}$   
 $\text{IHn}' : \text{forall } m \ p : \text{nat}, (n' + m) + p = n' + (m + p)$   
 $m, p : \text{nat}$   
$$\frac{}{((S \ n') + m) + p = S \ n' + (m + p)} (2/2)$$

simpl.

1 subgoal  
 $m, p : \text{nat}$   
$$\frac{}{m + p = m + p} (1/1)$$

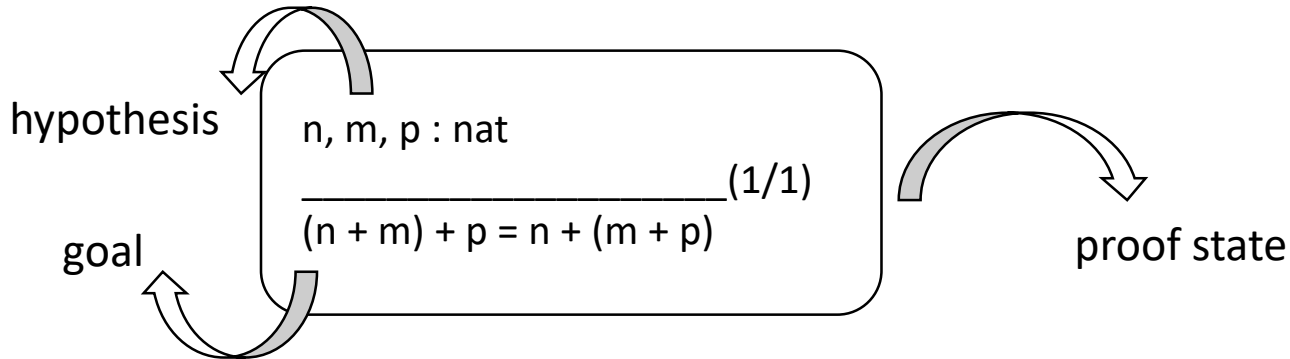
reflexivity.

No more subgoals.

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# Coq

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**tactic:**

Input: proof state

Output: proof state

Numerous investigations have focused on providing the user with guidance through tactic suggestion.

# k-NN method

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k-NN method take a goal  $g$ , select a goal  $g'$  most similar to  $g$ , and rank the particular tactics relevant for solving  $g'$  based on their likelihood of solving  $g$ .

## Weaknesses:

Cannot be used in **new** theory.

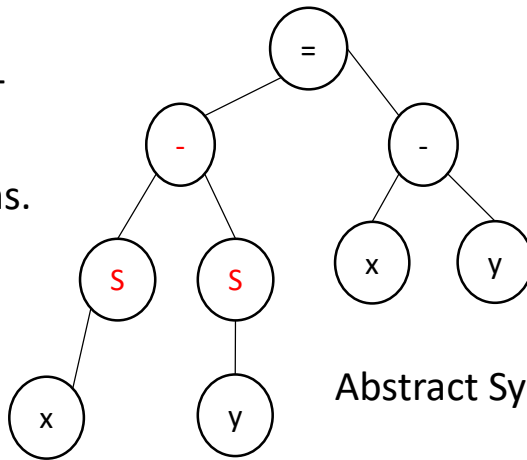
It lack interpretability.

This work combines **k-NN** with **ILP** to provide tactics.

# Learned Rules

```
tac(A, "simpl") :-  
  goal_node(const,A,B,C), goal_node(construct,A,D,E),  
  goal_above(A,B,D), goal_node(construct,A,F,E), dif(F,D),  
  goal_above(A,B,F).
```

The goal may be simplified if its AST  
contains **a constant above two  
constructors** with different positions.

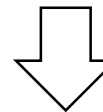


Abstract Syntax Tree (AST)

$x, y : \text{nat}$

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$S\ x - S\ y = x - y$



use tactic "simpl"

$x, y : \text{nat}$

---

$x - y = x - y$

# ILP Problem

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For a **specific** tactic  $tac$ ,

$$B \cup H \models E$$

$H$ : Rules about the tactic

$E$ :

**Positive examples:** The proof states to which it is applied are regarded as the positive examples

**Negative examples:** The proof states to which the tactics different from  $tac$  are applied are regarded as the negative examples

$B$ : User-defined background knowledge



# Background Knowledge

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**Representation Predicates:** Nodes in the AST in the proof state. (e.g. goal\_node/3)

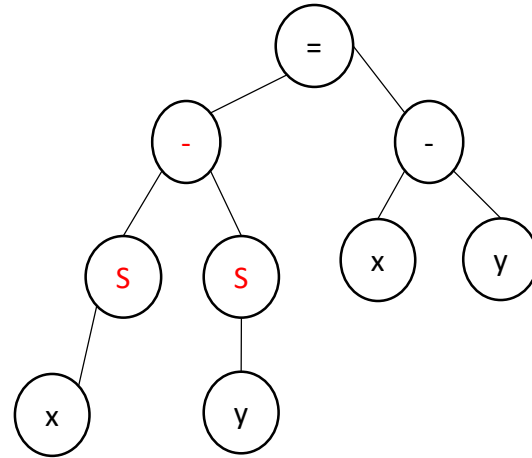
**Feature Predicates:**

left, above, equality between terms, dif, root

**Anonymous Predicates:**

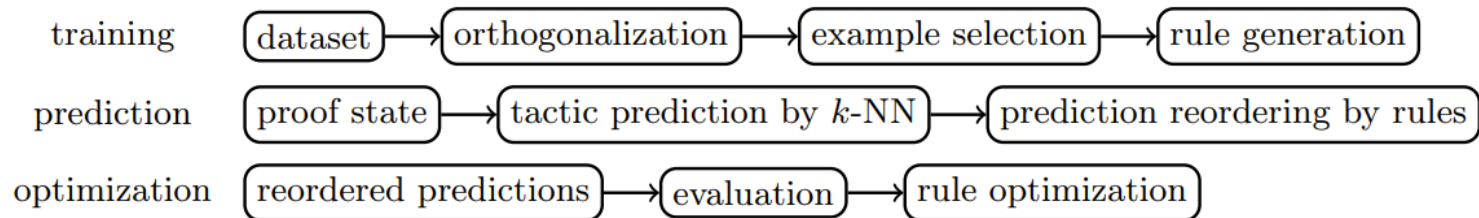
for generalization

goal\_node(A, B, C) -> goal\_node(const, A, B, C)

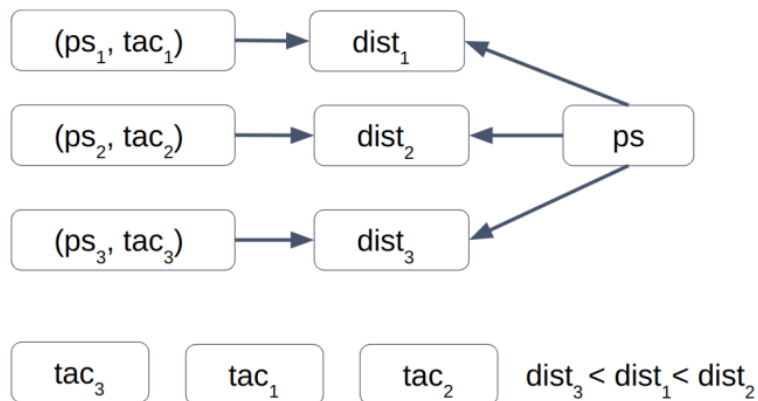


# Learning Framework

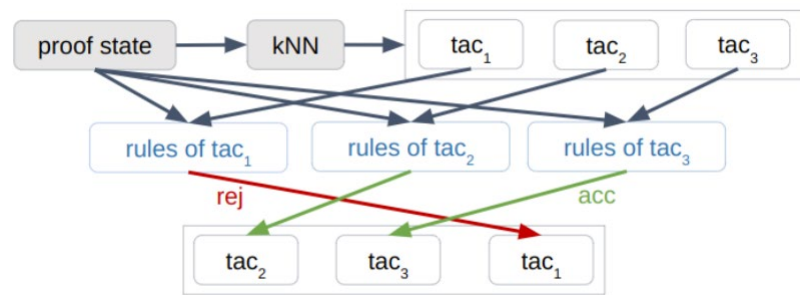
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# Prediction



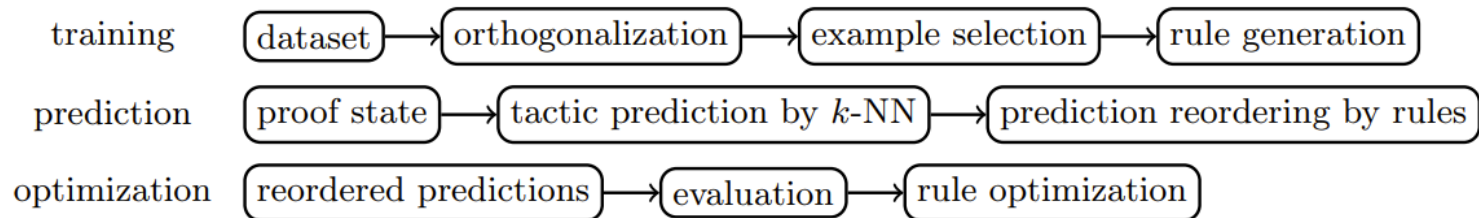
k-NN



Reorder k-NN predictions

# Learning Framework

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# Optimization

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**Reason:** To remove some low-quality rules to increase the overall performance of rules

If a rule is overly general  $\rightarrow FPs \gg TPs \rightarrow$  Remove it

They use *precision* as the metric of the quality of a single rule

$$precision = \frac{TP}{TP + FP}$$

# Experiments

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**Dataset:** Coq standard library. It consists of 41 theories and 151,678 proof states.

**ILP:** Aleph

They conducted the experiments in the transfer-theory setting, which means different Coq theories are used for training, validation, and testing.

# Parameter Optimization

## Hyperparameters:

1. The number of positive examples and negative examples
2. [Background knowledge](#)
3. Filter threshold of rules

**AF:** anonymous feature predicates

**AR:** anonymous representation predicates

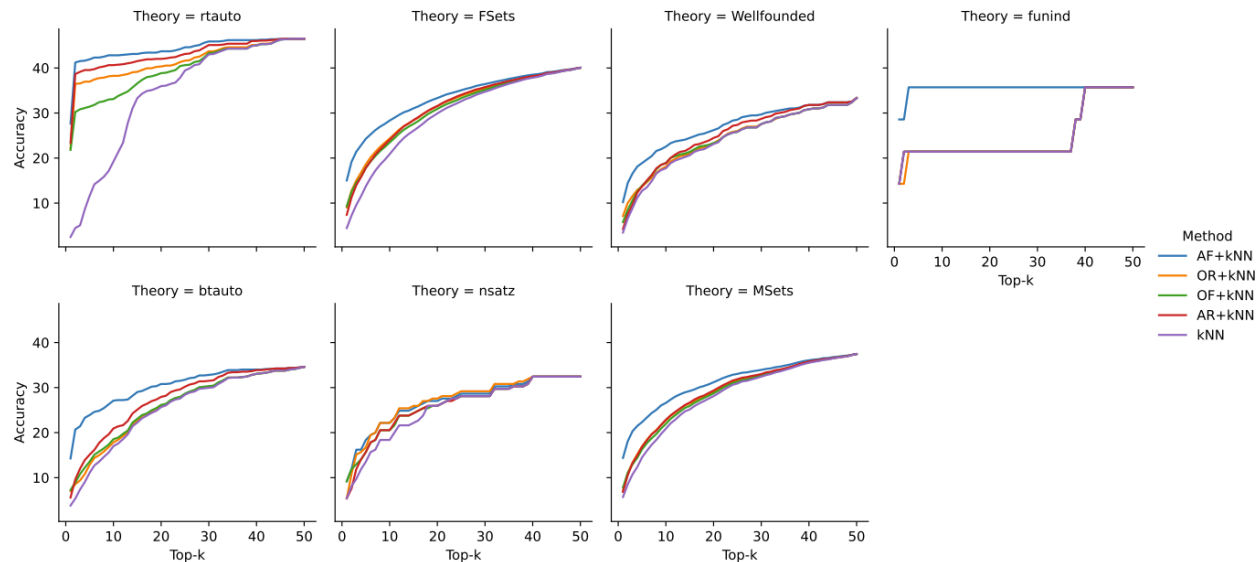
**OF:** original feature predicates

**OR:** original representation predicates



# Testing

PARAMETER	AF	AR	OF	OR
PRECISION	0.18	0.12	0.18	0.12
POSITIVE	1	16	4	1
NEGATIVE	32	1	1	1





# Conclusion

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## Pros

First application of ILP to ITP.

New feature predicates, allowing us to calculate features in learning if necessary.

Empirically show improvement over the non-filtering approaches.

## Cons

Can not use modern ILP approach

The usage of some tactics such as **induction** is inherently complicated