



# ASP: Heuristic-driven Solving and Optimization and Preference Handling

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# Heuristic-driven Solving

```
clingo psign.lp \  
--heuristic=Domain
```

**--heuristic={Berkmin, Vmtf, Vsids, Unit, None, Domain}**

Use *BerkMin*-like decision heuristic [55] (with argument `Berkmin`), *Siege*-like decision heuristic [76] (with argument `Vmtf`), *Chaff*-like decision heuristic [69] (with argument `Vsids`), *Smodels*-like decision heuristic [78] (with argument `Unit`), or (arbitrary) static variable ordering (with argument `None`). Finally, argument `Domain` enables a *domain-specific* decision heuristic as described in Section 10.

clasp and clingo provide means for incorporating domain-specific heuristics into ASP solving. This allows for modifying the heuristic of the solver from within a logic program or from the command line.

Heuristic information is represented within a logic program by means of heuristic directives of the form

$$\text{\#heuristic } A : B. [w@p, m]$$

where  $A$  is an atom,  $B$  a rule body, and  $w$ ,  $p$  and  $m$  are terms. The priority '@ $p$ ' is optional. Different types of heuristic information can be controlled using the modifiers `sign`, `level`, `true`, `false`, `init` and `factor` for  $m$ . We introduce them below step by step.

```
#heuristic a. [1,sign]  
{a}.
```

The modifier `sign` allows for controlling the truth value assigned to variables subject to a choice within the solver.

```
#heuristic a. [-1,sign]  
{a}.
```

At the start of the search, the solver updates its heuristic knowledge about atom `a` assigning to it the `sign` value 1. Then, it has to decide on `a`, making it either true or false. Following the current heuristic knowledge, the solver makes `a` true and returns the answer set `{a}`. ■

- The result would be the same if in the heuristic directive we used any positive integer instead of 1.

```
#heuristic a. [ 1, sign]
#heuristic b. [ 1, sign]
#heuristic a. [10, level]
{a;b}.
:- a, b.
```

The Domain heuristic assigns to each atom a `level`, and it decides first upon atoms of the highest level. The default value for each atom is 0, and both positive and negative integers are valid.

### Dynamic heuristic modifications

**Example 10.4.** In the next program, the heuristic directive for `c` depends on `b`:

```
#heuristic a. [ 1, sign]
#heuristic b. [ 1, sign]
#heuristic a. [10, level]
{a;b}.
:- a, b.
{c}.
#heuristic c :      b. [ 1, sign]
#heuristic c : not b. [-1, sign]
```

The `Domain` heuristic allows for representing priorities between different heuristic directives that refer to the same atom. The priority is optionally represented by a positive integer  $p$  in ‘@ $p$ ’. The higher the integer, the higher the priority of the heuristic atom. For example, the following are valid heuristic directives:

```
#heuristic c. [ 1@10,sign]
#heuristic c. [-1@20,sign]
```

With both, the `sign` assigned to `c` is  $-1$  (because priority 20 overrules 10).

**Remark 10.3.** If the priority is omitted then it defaults to 0, as with the priorities of weak constraints (Section 3.1.13). For example, with these directives

```
#heuristic c. [ 10,level]
#heuristic c. [5@2,level]
```

the level of `c` is 5 because  $2 > 0$ .

The modifiers `true` and `false` allow us to refer at the same time to the `level` and the `sign` of an atom. Internally, a heuristic directive with the form

```
#heuristic A : B. [w@p,true]      #heuristic A : B. [w@p,level]  
#heuristic A : B. [1@p,sign]
```

```
#heuristic A : B. [w@p,false]     #heuristic A : B. [w@p,level]  
#heuristic A : B. [-1@p,sign]
```



The modifiers `init` and `factor` allow for modifying the scores assigned to atoms by the underlying `Vsids` heuristic. Unlike the `level` modifier, `init` and `factor` allow us to bias the search without establishing a strict ranking among the atoms.

With `init`, we can add a value to the initial heuristic score of an atom. For example, with

```
#heuristic a. [2,init]
```

a value of 2 is added to the initial score that the heuristic assigns to atom `a`. Note that as the search proceeds, the initial score of an atom decays, so `init` only affects the beginning of the search.

To bias the whole search, we can use the `factor` modifier that multiplies the heuristic score of an atom by a given value. For example, with

```
#heuristic a. [2,factor]
```

the heuristic score for atom `a` is multiplied by 2.

```
time(1..lasttime).  
location(table).  
location(X) :- block(X).  
holds(F,0) :- init(F).  
  
% Generate  
{ move(X,Y,T) : block(X), location(Y), X != Y } = 1  
:- time(T).  
  
% Test  
:- move(X,Y,T), holds(on(A,X),T-1).  
:- move(X,Y,T), holds(on(B,Y),T-1), B != X, Y != table.  
  
% Define  
moved(X,T) :- move(X,Y,T).  
holds(on(X,Y),T) :- move(X,Y,T).  
holds(on(X,Z),T) :- holds(on(X,Z),T-1), not moved(X,T).  
  
% Test  
:- goal(F), not holds(F,lasttime).  
  
% Display  
#show move/3.
```

## Heuristic Strategies:

1. Prioritize decisions on move/3

#heuristic move(B,L,T) : block(B),location(L),time(T). [1,level]

Force the solver to decide on move/3 atoms first

```
Answer: 1 (Time: 0.003s)  
move(b2,table,1) move(b1,b0,2) move(b2,b1,3)  
SATISFIABLE  
  
Models      : 1  
Calls       : 4  
Time        : 0.003s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)  
CPU Time    : 0.016s
```

```
Answer: 1 (Time: 0.002s)  
move(b2,table,1) move(b1,b0,2) move(b2,b1,3)  
SATISFIABLE  
  
Models      : 1  
Calls       : 4  
Time        : 0.002s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)  
CPU Time    : 0.000s
```

```
time(1..lasttime).  
location(table).  
location(X) :- block(X).  
holds(F,0) :- init(F).
```

```
% Generate  
{ move(X,Y,T) : block(X), location(Y), X != Y } = 1  
                                     :- time(T).  
  
% Test  
:- move(X,Y,T), holds(on(A,X),T-1).  
:- move(X,Y,T), holds(on(B,Y),T-1), B != X, Y != table.  
  
% Define  
moved(X,T) :- move(X,Y,T).  
holds(on(X,Y),T) :- move(X,Y,T).  
holds(on(X,Z),T) :- holds(on(X,Z),T-1), not moved(X,T).  
  
% Test  
:- goal(F), not holds(F,lasttime).  
  
% Display  
#show move/3.
```

## Heuristic Strategies:

2. Soft bias towards move/3:

#heuristic move(B,L,T) : ... [2,init]

#heuristic move(B,L,T) : ... [2,factor]

Increases their score (initially or cumulatively) without strict priority.

```
time(1..lasttime).  
location(table).  
location(X) :- block(X).  
holds(F,0) :- init(F).
```

```
% Generate  
{ move(X,Y,T) : block(X), location(Y), X != Y } = 1  
:- time(T).
```

```
% Test  
:- move(X,Y,T), holds(on(A,X),T-1).  
:- move(X,Y,T), holds(on(B,Y),T-1), B != X, Y != table.  
% Define  
moved(X,T) :- move(X,Y,T).  
holds(on(X,Y),T) :- move(X,Y,T).  
holds(on(X,Z),T) :- holds(on(X,Z),T-1), not moved(X,T).
```

```
% Test  
:- goal(F), not holds(F,lasttime).
```

```
% Display  
#show move/3.
```

## Heuristic Strategies:

3. Prefer move/3 to be true:

#heuristic move(B,L,T) : ... [1,true]

#heuristic move(B,L,T) : ... [1,sign]

Encourages one move/3 per time step to be selected quickly.

```
time(1..lasttime).  
location(table).  
location(X) :- block(X).  
holds(F,0) :- init(F).
```

```
% Generate  
{ move(X,Y,T) : block(X), location(Y), X != Y } = 1  
:- time(T).
```

```
% Test  
:- move(X,Y,T), holds(on(A,X),T-1).  
:- move(X,Y,T), holds(on(B,Y),T-1), B != X, Y != table.  
% Define  
moved(X,T) :- move(X,Y,T).  
holds(on(X,Y),T) :- move(X,Y,T).  
holds(on(X,Z),T) :- holds(on(X,Z),T-1), not moved(X,T).
```

```
% Test  
:- goal(F), not holds(F,lasttime).
```

```
% Display  
#show move/3.
```

## Search Direction Control:

### 1. Forward Search:

#heuristic move(B,L,T) : ... [lasttime - T + 1, true]

Gives higher priority to earlier moves

```
time(1..lasttime).  
location(table).  
location(X) :- block(X).  
holds(F,0) :- init(F).
```

```
% Generate  
{ move(X,Y,T) : block(X), location(Y), X != Y } = 1  
                                     :- time(T).  
  
% Test  
:- move(X,Y,T), holds(on(A,X),T-1).  
:- move(X,Y,T), holds(on(B,Y),T-1), B != X, Y != table.  
  
% Define  
moved(X,T) :- move(X,Y,T).  
holds(on(X,Y),T) :- move(X,Y,T).  
holds(on(X,Z),T) :- holds(on(X,Z),T-1), not moved(X,T).  
  
% Test  
:- goal(F), not holds(F,lasttime).  
  
% Display  
#show move/3.
```

## Search Direction Control:

### 2. Backward Search from Goals:

#heuristic move(B,L,T) : holds(on(B,L),T). [true, T]

Prefers actions that directly support current goals  
(holds/2)

```
time(1..lasttime).  
location(table).  
location(X) :- block(X).  
holds(F,0) :- init(F).  
  
% Generate  
{ move(X,Y,T) : block(X), location(Y), X != Y } = 1  
                                     :- time(T).  
  
% Test  
:- move(X,Y,T), holds(on(A,X),T-1).  
:- move(X,Y,T), holds(on(B,Y),T-1), B != X, Y != table.  
% Define  
moved(X,T) :- move(X,Y,T).  
holds(on(X,Y),T) :- move(X,Y,T).  
holds(on(X,Z),T) :- holds(on(X,Z),T-1), not moved(X,T).  
  
% Test  
:- goal(F), not holds(F,lasttime).  
  
% Display  
#show move/3.
```

## Search Direction Control:

### 3. Promote State Persistence (Inertia):

#heuristic holds(on(B,L),T-1) : holds(on(B,L),T). [lasttime-T+1,true]

Encourages state continuity backward in time.

The `Domain` heuristic also allows us to modify the heuristic of the solver from the command line. For this, it is also activated with option `--heuristic=Domain`, but now the heuristic modifications are specified by option:

`--dom-mod=<mod>[, <pick>]`

where `<mod>` ranges from 0 to 5 and specifies the modifier:

| <mod> | Modifier        | <mod> | Modifier |
|-------|-----------------|-------|----------|
| 0     | None            | 1     | level    |
| 2     | sign (positive) | 3     | true     |
| 4     | sign (negative) | 5     | false    |

`<pick>` specifies bit-wisely the atoms to which the modification is applied:

- 0 Atoms only
- 1 Atoms that belong to strongly connected components
- 2 Atoms that belong to head cycle components
- 4 Atoms that appear in disjunctions
- 8 Atoms that appear in optimization statements
- 16 Atoms that are shown



Find answer sets where the set of selected atoms is subset-minimal

1. Apply heuristic to atoms you want to minimize

```
#heuristic a(1..3). [1,false]
```

2. command line

```
--heuristic=Domain --dom-mod=5,16    % apply 'false' to atoms shown by #show
```

# Optimization and Preference Handling

```
{ hotel(1..5) } = 1.  
star(1,5). cost(1,170).  
star(2,4). cost(2,140).  
star(3,3). cost(3,90).  
star(4,3). cost(4,75). main_street(4).  
star(5,2). cost(5,60).  
noisy :- hotel(X), main_street(X).  
#maximize { Y@1,X : hotel(X), star(X,Y) }.  
#minimize { Y/Z@2,X : hotel(X), cost(X,Y), star(X,Z) }.  
:~ noisy. [ 1@3 ]
```

The system *asprin* provides a general framework for optimizing qualitative and quantitative preferences in ASP. It allows for computing optimal answer sets of logic programs with preferences. While *asprin* comes with a library of predefined preference types (`subset`, `pareto`, etc.), it is readily extensible by new customized preference types. For a formal description of *asprin*, please consult [11].

The following description conforms with *asprin* 3.1, which uses *clingo* 5.

<https://github.com/potassco/asprin>

Brewka, Gerhard, et al. "asprin: Customizing answer set preferences without a headache." Proceedings of the AAAI Conference on Artificial Intelligence. Vol. 29. No. 1. 2015.

A *preference statement* is of the form

$$\# \text{preference}(s, t) \{e_1; \dots; e_n\} : B.$$

where  $s$  is a term giving the preference name,  $t$  is a term providing the preference type, and each  $e_j$  is a preference element. The rule body  $B$  has the same form and purpose as above. That is, the body  $B$  of a preference statement is used to instantiate the variables of  $s$ ,  $t$  and each  $e_i$ . For safety, all variables appearing in  $s$  and  $t$  must also appear in a positive literal in  $B$ .

Preference statements are accompanied by *optimization directives* such as

$$\# \text{optimize}(s) : B.$$

where  $B$  is as above, telling *asprin* to restrict its reasoning mode to the preference relation declared by  $s$ .

```
dom(1..3).
```

```
{ m(1..3) } = 1.
```

```
a(1)      :- m(1).  a(1..2) :- m(2).  a(3)      :- m(3).  
b(1..3) :- m(1).  b(1)      :- m(2).  b(2..3) :- m(3).
```

```
#show m/1. #show a/1. #show b/1.
```

```
1 #preference(p1,subset){ a(X) : dom(X) }.  
2 #optimize(p1).
```

Line 1 contains a preference statement of name `p1` and type `subset` that contains a single (non-ground) preference element. Intuitively, the preference statement `p1` defines a preference of type `subset` over atoms of predicate `a/1`. Line 2 contains an optimization directive that instructs *asprin* to compute answer sets that are optimal with respect to `p1`.

```
clingo version 5.4.0  
Reading from base.lp  
Solving...  
Answer: 1  
b(2) m(3) b(3) a(3)  
Answer: 2  
b(1) m(2) a(1) a(2)  
Answer: 3  
b(2) b(3) b(1) m(1) a(1)  
SATISFIABLE  
  
Models      : 3  
Calls       : 1  
Time        : 0.005s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)  
CPU Time    : 0.000s
```

```
asprin version 3.1.1  
Reading from base.lp ...  
Solving...  
Answer: 1  
m(3) a(3) b(2) b(3)  
OPTIMUM FOUND  
Answer: 2  
m(2) a(1) a(2) b(1)  
Answer: 3  
m(1) a(1) b(2) b(3) b(1)  
OPTIMUM FOUND  
  
Models      : 3  
  Optimum   : yes  
  Optimal   : 2  
Calls       : 8  
Time        : 0.074s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)  
CPU Time    : 0.078s
```

**Example 11.4.** Consider a preference specification about leisure activities (without base program).

```
1 #preference(costs, less(weight)) {  
2   C :: sauna : cost(sauna, C);  
3   C :: dive : cost(dive, C)  
4 }.  
5 #preference(fun, superset) { sauna; dive; hike; not bunji }.  
6 #preference(temps, aso) {  
7   dive >> sauna || hot;  
8   sauna >> dive || not hot  
9 }.  
10 #preference(all, pareto) {**costs; **fun; **temps}.  
  
12 #optimize(all).
```

- 类型: `less(weight)` → 越“便宜”越好;
- 格式: `C :: atom : condition`
  - 例如: 如果 `cost(sauna, 5)`, 那选 `sauna` 会产生成本 5;
- 目标是: 最小化所选活动的总成本, 类似于 `#minimize` 的行为。
- 类型: `superset`
- 偏好定义了: 我们更喜欢包含这些活动的解;
- 解中包含越多 (或不包含 `bunji`), 就越优。
- 类型: `aso` (Answer Set Optimization);
- 定义了一种条件优先关系 (`>>`):
  - 如果天气是热的 (`hot`), 则 `dive` 优于 `sauna`;
  - 如果天气不热, 则 `sauna` 优于 `dive`;
- 这是一种上下文敏感的偏好表达方式, 非常强大。
- 类型: `pareto` (帕累托最优);
- `**` 表示引用之前定义的其他偏好 (不是原子, 是 preference 名称);
- 意思是: 一个答案集是 Pareto 最优的, 如果没有其他解在所有三个偏好上都更优。

```
1 #preference (p1, subset) { a(X) : dom(X) } .  
2 #optimize (p1) .
```

**Example 11.5.** The preference statement of Example 11.1 stands for the following ground preference statement:

```
#preference (p1, subset) { a(1); a(2); a(3) } .
```

It declares the following preference relation:

$$X >_{p1} Y \quad \text{iff} \quad \begin{aligned} & \{e \in \{a(1), a(2), a(3)\} \mid X \models e\} \\ & \subset \{e \in \{a(1), a(2), a(3)\} \mid Y \models e\} \end{aligned}$$

In Example 11.1, we get  $X_1 >_{p1} X_2$  because  $\{a(1)\} \subset \{a(1), a(2), a(3)\}$  and  $X_3 >_{p1} X_2$  given that  $\{a(2), a(3)\} \subset \{a(1), a(2), a(3)\}$ ; however, we have  $X_1 \not>_{p1} X_3$  since  $\{a(1)\} \not\subset \{a(2), a(3)\}$ . ■

**Example 11.6.** In Example 11.1, the preference statement  $p1$  is admissible because  $a(1)$ ,  $a(2)$ , and  $a(3)$  are Boolean formulas and thus belong to the domain of `subset`. If we added the preference elements `1::a(1)` or `**p2`, the statement would not be admissible any more. ■



The preference library of *asprin* implements the following basic preference types:

- `subset` and `superset`
- `less(cardinality)` and `more(cardinality)`
- `less(weight)` and `more(weight)`
- `minmax` and `maxmin`
- `aso` (Answer Set Optimization, [13])
- `poset` (Qualitative Preferences, [18])
- `cp` (CP nets, [10])
- 基于集合包含关系的偏好
- 基于集合大小的偏好
- 基于加权求和的偏好
- 多目标中最坏（好）值的最小化（最大化）
- Answer Set Optimization
- 类似于aso，但引入了严格的偏序结构
- 条件性偏好网络，相较于aso增强了条件功能

The library of *asprin* implements furthermore the following composite preference types, which amount to the ones defined in [79]:

- `neg`
- `and`
- `pareto`
- `lexico`

**Example 11.12.** Consider the following preference specification, where `p1` and `p` are defined as before:

```
#preference(p1,subset){          a(X) : dom(X) }.
#preference(p3,less(cardinality)){ b(X) : dom(X) }.
#preference(p8,neg){             **p1           }. % 反转 p1 的偏好
#preference(p9,and){             **p1;          **p3 }. % p1 与 p3 都优于, 才算优于
#preference(p10,pareto){          **p1;          **p3 }. % p1 或 p3 提升, 但不在其他方面更差
#preference(p11,lexico){          1::**p1; 2::**p3 }. % p1 优先级高于 p3 的字典序比较
```

**Example 11.13.** Recall the preference statement  $p1$  of Example 11.1:

```
#preference (p1, subset) { a(X) : dom(X) } .
```

This is translated into:<sup>33</sup>

```
1 preference (p1, subset) .  
2 preference (p1, (1, 1, (X)), 1, for (atom(a(X))), ()) :- dom(X) .
```

Line 1 states the name and the type of the preference statement. Line 2 can be read as follows: the preference statement  $p1$ , appearing as the first preference statement of the program, in the first element has variables  $\{X\}$ , and in the first position of the element there is a Boolean formula  $a(X)$  that has an empty list of associated weights.

A preference program implementing a preference type  $\tau$  compares two answer sets  $X$  and  $Y$  given a preference statement  $s$  of type  $\tau$ . To allow for this comparison, *asprin* provides for every term `for( $t_F$ )` appearing in the translation of  $s$  the fact `holds( $t_F$ )` whenever  $X$  satisfies the Boolean formula  $F$ . Analogously, *asprin* provides the fact `holds'( $t_F$ )`, if  $Y$  satisfies  $F$ .

**Example 11.17.** The preference type `subset` can be implemented as follows (see file `subset.lp`).

```
#program preference(subset).  
better(S) :- preference(S,subset),  
    not holds(A),      holds'(A), preference(S,_,_,for(A),_),  
    not holds(B) : not holds'(B), preference(S,_,_,for(B),_).
```

In addition, the following integrity constraint enforces the optimization with respect to a given optimization directive: (included in file `basic.lp`):

```
#program preference.  
:- not better(P), optimize(P).
```

Instead of using *asprin*'s library, viz. `asprin_lib.lp`, we can now directly use the above preference program as follows:

```
asprin --no-asprin-lib \  
    base.lp preference1.lp subset.lp basic.lp 0
```

# Thank You

Question?  
Suggest?