

From Classical to Modern: Bridging Varieties and Schemes

Xinbo Li

Mentor: Wang Yao

The University of Texas at Austin

November 25, 2025

Affine Space

The *affine n -space* over a field k : $\mathbb{A}^n = k^n$ as a set.

Let $A = k[x_1, \dots, x_n]$, the polynomial ring of n variables.

Zariski Topology

For $f \in A$ a polynomial, let $Z(f)$ to be the zero set of f .

For a subset $T \subset A$, we define $Z(T)$ to be the common zeroes of all elements of T :

$$Z(T) = \{P \in \mathbb{A}^n \mid f(P) = 0 \text{ for all } f \in T\}.$$

$Z(T) = Z(I)$ if I is generated by T .

Sets of the form $Z(T)$ are called *algebraic sets*.

Zariski Topology

Define a topology on \mathbb{A}^n : **closed** sets are algebraic sets $Z(T)$.

- $Z(T_1) \cup Z(T_2) = Z(T_1T_2)$
- $\bigcap Z(T_\alpha) = Z(\bigcup T_\alpha)$
- $\emptyset = Z(1)$, and $\mathbb{A}^n = Z(0)$.

Affine Space

Example

Consider \mathbb{A}^1 , where $A = k[x]$.

A is a PID, and any element $f \in A$ could be factored as

$$f(x) = c(x - a_1)(x - a_2) \cdots (x - a_n).$$

$$\implies Z(f) = \{a_1, \dots, a_n\}.$$

Affine Space

Example

Consider \mathbb{A}^1 , where $A = k[x]$.

A is a PID, and any element $f \in A$ could be factored as

$$f(x) = c(x - a_1)(x - a_2) \cdots (x - a_n).$$

$$\implies Z(f) = \{a_1, \dots, a_n\}.$$

$$\implies (\text{Zariski's topology} \iff \text{cofinite topology})$$

Affine Space

Example

Consider \mathbb{A}^1 , where $A = k[x]$.

A is a PID, and any element $f \in A$ could be factored as

$$f(x) = c(x - a_1)(x - a_2) \cdots (x - a_n).$$

$$\implies Z(f) = \{a_1, \dots, a_n\}.$$

\implies (Zariski's topology \iff cofinite topology)

NOT Hausdorff, irreducible

Affine Variety

Definition (Affine Variety)

An *affine variety* Y is an irreducible subset of \mathbb{A}^k with the Zariski topology. A *quasi-affine variety* is an open subset of an affine variety.

Affine Variety

Definition (Affine Variety)

An *affine variety* Y is an irreducible subset of \mathbb{A}^k with the Zariski topology. A *quasi-affine variety* is an open subset of an affine variety.

There is a one-to-one **order-reversing** correspondence between algebraic sets in \mathbb{A}^n and radical ideals in A .

irreducible algebraic set \iff prime ideal.

Affine Variety

Example

Consider $A = k[x_1, \dots, x_n]$. Maximal ideals of A corresponds to minimal irreducible algebraic sets: points in \mathbb{A}^n .

Regular Functions

Definition (Regular Functions)

Let Y be an affine variety. A function $f: Y \rightarrow k$ is *regular* at P if there exists an open set $U \subset Y$ and $g, h \in A$ where h doesn't vanish on U , such that $f = g/h$ on U . A function f is *regular* if it is regular at all $P \in Y$.

Theorem (Continuity of Regular Functions)

Regular functions are continuous, given the Zariski's topology and identifying k as \mathbb{A}^1 .

The category $\text{Var}(k)$

Definition (Varieties over k)

A *variety* over k is any affine/quasi-affine (projective/quasi-projective) variety over the field k .

The category $\text{Var}(k)$

Definition (Varieties over k)

A *variety* over k is any affine/quasi-affine (projective/quasi-projective) variety over the field k .

Definition (Morphisms of Varieties)

Let X, Y be varieties over k . $\varphi: X \rightarrow Y$ a *morphism* of varieties is a continuous map such that for all open $V \subset Y$, $f: V \rightarrow k$ a regular function pulls back to a regular function on $\varphi^{-1}(V) \subset X$.

Schemes

Definition (Presheaf)

Let X be a topological space. A *presheaf* \mathcal{F} of abelian groups on X consists of the data

Schemes

Definition (Presheaf)

Let X be a topological space. A *presheaf* \mathcal{F} of abelian groups on X consists of the data

- for every open subset $U \subset X$, an abelian group $\mathcal{F}(U)$,

Schemes

Definition (Presheaf)

Let X be a topological space. A *presheaf* \mathcal{F} of abelian groups on X consists of the data

- for every open subset $U \subset X$, an abelian group $\mathcal{F}(U)$,
 - for every inclusion $V \subset U$ of open sets of X , a morphism of abelian groups $\rho_{UV}: \mathcal{F}(U) \rightarrow \mathcal{F}(V)$,

Schemes

Definition (Presheaf)

Let X be a topological space. A *presheaf* \mathcal{F} of abelian groups on X consists of the data

- for every open subset $U \subset X$, an abelian group $\mathcal{F}(U)$,
- for every inclusion $V \subset U$ of open sets of X , a morphism of abelian groups $\rho_{UV} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$,

subject to the following conditions

- $\mathcal{F}(\emptyset) = 0$,
- ρ_{UU} is the identity map, and
- if $W \subset V \subset U$ open sets, then $\rho_{UW} = \rho_{VW} \circ \rho_{UV}$.

Schemes

Definition (Sheaf)

A *sheaf* \mathcal{F} on X is a presheaf such that:

Schemes

Definition (Sheaf)

A *sheaf* \mathcal{F} on X is a presheaf such that:

- if U is an open set, and $\{V_i\}$ an open covering of U , and if $s \in \mathcal{F}(U)$ is an element such that $s|_{V_i} = 0$ for all i , then $s = 0$;

Schemes

Definition (Sheaf)

A *sheaf* \mathcal{F} on X is a presheaf such that:

- if U is an open set, and $\{V_i\}$ an open covering of U , and if $s \in \mathcal{F}(U)$ is an element such that $s|_{V_i} = 0$ for all i , then $s = 0$;
- if $s_i \in \mathcal{F}(V_i)$ for each i , such that for all i and j , $s_i|_{V_i \cap V_j} = s_j|_{V_j \cap V_i}$, then there exists $s \in \mathcal{F}(U)$ such that $s|_{V_i} = s_i$ for each i . The first property tells us that this s is unique.

Schemes

Example (Sheaf of smooth functions)

Consider \mathbb{R}^n with the standard topology. Smooth functions on \mathbb{R}^n form a sheaf.

Schemes

Example (Sheaf of smooth functions)

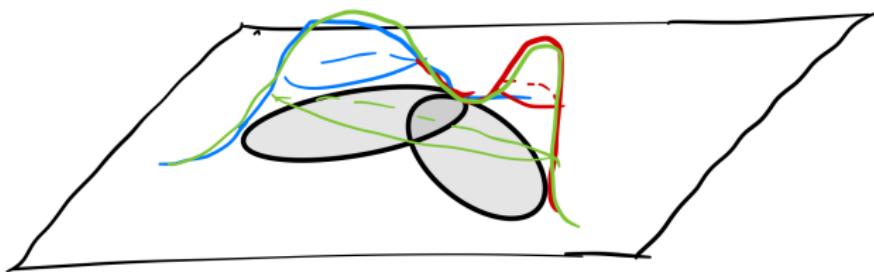
Consider \mathbb{R}^n with the standard topology. Smooth functions on \mathbb{R}^n form a sheaf. Explicitly, take $\mathcal{F}(U)$ to be the continuous functions defined on the open $U \subset \mathbb{R}^n$. Denote this sheaf of smooth functions as $\mathcal{C}_{\mathbb{R}^n}^\infty$.

Varieties



Schemes

The t functor



Stalk

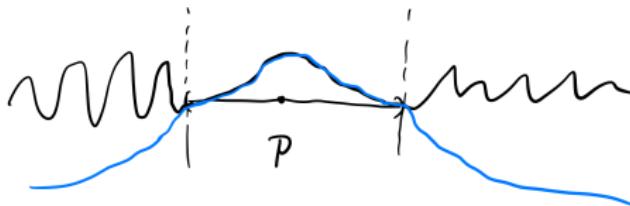
Let \mathcal{F} be a presheaf on X .

Stalk

Let \mathcal{F} be a presheaf on X . The *stalk* \mathcal{F}_P at $P \in X$ is the set of *germs* of the form $[(U, s)]$ where $s \in \mathcal{F}(U)$, and $[(U, s)] = [(V, t)]$ if and only if there exists an open $W \subset U \cap V$ such that $s|_W = t|_W$.

Stalk

Let \mathcal{F} be a presheaf on X . The *stalk* \mathcal{F}_P at $P \in X$ is the set of *germs* of the form $[(U, s)]$ where $s \in \mathcal{F}(U)$, and $[(U, s)] = [(V, t)]$ if and only if there exists an open $W \subset U \cap V$ such that $s|_W = t|_W$.



Morphism between presheaves

Let \mathcal{F} and \mathcal{G} be presheaves on X .

Morphism between presheaves

Let \mathcal{F} and \mathcal{G} be presheaves on X . A morphism $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ is a collection of morphisms $\varphi: \mathcal{F}(U) \rightarrow \mathcal{G}(V)$ making the following diagram commute.

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\varphi(U)} & \mathcal{G}(U) \\ \downarrow \rho_{UV}^{\mathcal{F}} & & \downarrow \rho_{UV}^{\mathcal{G}} \\ \mathcal{F}(V) & \xrightarrow{\varphi(V)} & \mathcal{G}(V) \end{array}$$

Direct image & inverse image sheaf

Let $f: X \rightarrow Y$ be a map of topological spaces.

- For any sheaf \mathcal{F} on X , the *direct image sheaf* $f_*\mathcal{F}$ on Y is defined by $(f_*\mathcal{F})(V) = \mathcal{F}(f^{-1}(V))$ for any open set $V \subset Y$.

Direct image & inverse image sheaf

Let $f: X \rightarrow Y$ be a map of topological spaces.

- For any sheaf \mathcal{F} on X , the *direct image sheaf* $f_*\mathcal{F}$ on Y is defined by $(f_*\mathcal{F})(V) = \mathcal{F}(f^{-1}(V))$ for any open set $V \subset Y$.
- For any sheaf \mathcal{G} on Y , the *inverse image sheaf* $f^{-1}\mathcal{G}$ is the sheafification of

$$U \mapsto \varinjlim_{V \supset f(U)} \mathcal{G}(V),$$

where U is open in X and limit taken over all open subsets V containing $f(U)$.

Locally Ringed Spaces

Definition (Ringed Spaces)

A *ringed space* is a pair (X, \mathcal{O}_X) of a topological space X and a sheaf of rings \mathcal{O}_X on X . A morphism of ringed spaces from (X, \mathcal{O}_X) to (Y, \mathcal{O}_Y) is a pair (f, f^\sharp) of a continuous map $f: X \rightarrow Y$ and a map $f^\sharp: \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$ of sheaves of rings on Y .

Locally Ringed Spaces

Definition (Ringed Spaces)

A *ringed space* is a pair (X, \mathcal{O}_X) of a topological space X and a sheaf of rings \mathcal{O}_X on X . A morphism of ringed spaces from (X, \mathcal{O}_X) to (Y, \mathcal{O}_Y) is a pair (f, f^\sharp) of a continuous map $f: X \rightarrow Y$ and a map $f^\sharp: \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$ of sheaves of rings on Y .

Definition (Locally Ringed Spaces)

A *locally ringed space* is a ringed space where the stalk of the structure sheaf \mathcal{O}_X at any point is a local ring. A morphism between locally ringed spaces is a morphism of ringed spaces inducing local morphisms between stalks.

Example (Manifold as locally ringed spaces)

Consider the spaces $X, Y = \mathbb{R}^n$ with the standard topology, equipped with the sheaf of smooth functions. Let (f, f^\sharp) be a morphism of locally ringed spaces between $(X, \mathcal{C}_X^\infty)$ and $(Y, \mathcal{C}_Y^\infty)$.

Example (Manifold as locally ringed spaces)

Consider the spaces $X, Y = \mathbb{R}^n$ with the standard topology, equipped with the sheaf of smooth functions. Let (f, f^\sharp) be a morphism of locally ringed spaces between $(X, \mathcal{C}_X^\infty)$ and $(Y, \mathcal{C}_Y^\infty)$. For each point $P \in X$, the stalks $\mathcal{C}_{X,P}^\infty$ and $\mathcal{C}_{Y,f(P)}^\infty$ are both local rings, with the unique maximal ideal to be elements which vanish at P , or $f(P)$, respectively.

Example (Manifold as locally ringed spaces)

Consider the spaces $X, Y = \mathbb{R}^n$ with the standard topology, equipped with the sheaf of smooth functions. Let (f, f^\sharp) be a morphism of locally ringed spaces between $(X, \mathcal{C}_X^\infty)$ and $(Y, \mathcal{C}_Y^\infty)$. For each point $P \in X$, the stalks $\mathcal{C}_{X,P}^\infty$ and $\mathcal{C}_{Y,f(P)}^\infty$ are both local rings, with the unique maximal ideal to be elements which vanish at P , or $f(P)$, respectively.

From the local homomorphism condition, one can derive that the map f^\sharp is indeed the pullback map of smooth functions.

Affine Schemes

Consider a ring A , and $\text{Spec } A$ the set of all prime ideals of A .

Zariski Topology

Let \mathfrak{a} be an ideal of A and define $V(\mathfrak{a}) \subset \text{Spec } A$ to be the set of all prime ideals which contain \mathfrak{a} .

Zariski Topology

Let \mathfrak{a} be an ideal of A and define $V(\mathfrak{a}) \subset \text{Spec } A$ to be the set of all prime ideals which contain \mathfrak{a} .

Define a topology on $\text{Spec } A$ by declaring the closed sets to be $V(\mathfrak{a})$'s, for any ideal $\mathfrak{a} \subset A$. One observe that

- If $\mathfrak{a}, \mathfrak{b} \subset A$ two ideals, then $V(\mathfrak{a}\mathfrak{b}) = V(\mathfrak{a}) \cup V(\mathfrak{b})$.
- If $\{\mathfrak{a}_i\}$ is any set of ideals of A , then $V(\sum \mathfrak{a}_i) = \bigcap V(\mathfrak{a}_i)$.
- If $\mathfrak{a}, \mathfrak{b} \subset A$ two ideals, $V(\mathfrak{a}) \subset V(\mathfrak{b})$ if and only if $\sqrt{\mathfrak{a}} \supseteq \sqrt{\mathfrak{b}}$.

Also, $V(A) = \emptyset$, and $V((0)) = \text{Spec } A$. This confirms that it indeed gives us a topology.

Structure Sheaf

A sheaf of rings \mathcal{O} on $\text{Spec } A$: for an open subset $U \subset \text{Spec } A$, define $\mathcal{O}(U)$ to be the set of functions

$$s: U \rightarrow \bigsqcup_{\mathfrak{p} \in U} A_{\mathfrak{p}}$$

such that $s(\mathfrak{p}) \in A_{\mathfrak{p}}$ for each \mathfrak{p} , and s is locally a quotient of elements of A .

Structure Sheaf

A sheaf of rings \mathcal{O} on $\text{Spec } A$: for an open subset $U \subset \text{Spec } A$, define $\mathcal{O}(U)$ to be the set of functions

$$s: U \rightarrow \bigsqcup_{\mathfrak{p} \in U} A_{\mathfrak{p}}$$

such that $s(\mathfrak{p}) \in A_{\mathfrak{p}}$ for each \mathfrak{p} , and s is locally a quotient of elements of A .

Explicitly, for each $\mathfrak{p} \in U$, there exists a neighbourhood V of \mathfrak{p} , contained in U , and $a, f \in A$, such that for each $\mathfrak{q} \in V$, $f \notin \mathfrak{q}$, and $s(\mathfrak{q}) = a/f$ in $A_{\mathfrak{q}}$.

Spectrum

Definition (Spectrum of Rings)

Let A be a ring. The *spectrum* of A is the pair consisting of the topological space $\text{Spec } A$ together with the sheaf of rings \mathcal{O} defined above. We write $(\text{Spec } A, \mathcal{O})$.

Rings and Affine Schemes

Theorem

We have an equivalence of categories

$$\mathbf{AffSch} \cong \mathbf{CRing}^{\text{op}}$$

Rings and Affine Schemes

Theorem

We have an equivalence of categories

$$\mathbf{AffSch} \cong \mathbf{CRing}^{\text{op}}$$

where $\mathbf{CRing}^{\text{op}} \rightarrow \mathbf{AffSch}$ is given by $A \mapsto \text{Spec } A$, and $\mathbf{AffSch} \rightarrow \mathbf{CRing}^{\text{op}}$ is given by $X \mapsto \Gamma(X, \mathcal{O}_X)$.

Schemes

Definition (Schemes)

An *affine scheme* is a locally ringed space (X, \mathcal{O}_X) which is isomorphic (as a locally ringed space) to the spectrum of some ring.

Schemes

Definition (Schemes)

An *affine scheme* is a locally ringed space (X, \mathcal{O}_X) which is isomorphic (as a locally ringed space) to the spectrum of some ring.

A scheme is a locally ringed space (X, \mathcal{O}_X) locally isomorphic to an affine scheme. We call X the underlying topological space of the scheme (X, \mathcal{O}_X) , and \mathcal{O}_X its structure sheaf. A morphism of schemes is a morphism of locally ringed spaces.

Schemes

Definition (Schemes)

An *affine scheme* is a locally ringed space (X, \mathcal{O}_X) which is isomorphic (as a locally ringed space) to the spectrum of some ring.

A scheme is a locally ringed space (X, \mathcal{O}_X) locally isomorphic to an affine scheme. We call X the underlying topological space of the scheme (X, \mathcal{O}_X) , and \mathcal{O}_X its structure sheaf. A morphism of schemes is a morphism of locally ringed spaces.

Notation:

- X is (X, \mathcal{O}_X) ;
- the underlying topological space is $\text{sp}(X)$.

Schemes over k

Definition (Scheme over k)

A scheme X over k is itself together with a morphism of schemes $X \rightarrow \text{Spec } k$.

Schemes over k

Definition (Scheme over k)

A scheme X over k is itself together with a morphism of schemes $X \rightarrow \text{Spec } k$. A morphism $f: X \rightarrow Y$ between schemes over k is a morphism of schemes compatible with given morphisms to $\text{Spec } k$. In other words, the below diagram commutes.

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ p_X \searrow & & \swarrow p_Y \\ & \text{Spec } k & \end{array}$$

Schemes over k

Definition (Scheme over k)

A scheme X over k is itself together with a morphism of schemes $X \rightarrow \text{Spec } k$. A morphism $f: X \rightarrow Y$ between schemes over k is a morphism of schemes compatible with given morphisms to $\text{Spec } k$. In other words, the below diagram commutes.

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ p_X \searrow & & \swarrow p_Y \\ & \text{Spec } k & \end{array}$$

Sheaf map $\implies \Gamma(X, \mathcal{O}_X)$ is a k -algebra

Main theorem

Theorem

Let k be an algebraically closed field. There is a natural fully faithful functor

$$t: \mathbf{Var}(k) \rightarrow \mathbf{Sch}(k)$$

from the category of varieties over k to schemes over k .

Main theorem

Theorem

Let k be an algebraically closed field. There is a natural fully faithful functor

$$t: \mathbf{Var}(k) \rightarrow \mathbf{Sch}(k)$$

from the category of varieties over k to schemes over k . For any variety V , its topological space is homeomorphic to the set of closed points of $\text{sp}(t(V))$, and its sheaf of regular functions is obtained by restricting the structure sheaf of $t(V)$ via this homeomorphism.

Construction of t

Let X be any topological space. Define $t(X)$ to be the set of nonempty irreducible closed subsets of X .

Construction of t

Let X be any topological space. Define $t(X)$ to be the set of nonempty irreducible closed subsets of X .

- If Y is a closed subset of X , then $t(Y) \subset t(X)$.
- $t(Y_1 \cup Y_2) = t(Y_1) \cup t(Y_2)$, and $t(\bigcap Y_i) = \bigcap t(Y_i)$.

Define a topology on $t(X)$ by taking as closed sets the subsets of the form $t(Y)$, where $Y \subset X$ a closed subset.

Construction of t

Let X be any topological space. Define $t(X)$ to be the set of nonempty irreducible closed subsets of X .

- If Y is a closed subset of X , then $t(Y) \subset t(X)$.
- $t(Y_1 \cup Y_2) = t(Y_1) \cup t(Y_2)$, and $t(\bigcap Y_i) = \bigcap t(Y_i)$.

Define a topology on $t(X)$ by taking as closed sets the subsets of the form $t(Y)$, where $Y \subset X$ a closed subset.

The map $\alpha: X \rightarrow t(X)$ by $P \mapsto \overline{\{P\}}$ induces a correspondence between open sets in X and open sets in $t(X)$. Then there is a natural structure sheaf $\mathcal{O}_{t(X)}$ on $t(X)$.

Construction of t

Now, let k be an algebraically closed field. An affine variety $Z(I) \subset \mathbb{A}^n$ has a corresponding affine scheme $\text{Spec } k[x_1, \dots, x_n]/I$.

Fully-faithfullness of t

For $X = V(I)$ and $Y = V(J)$ affine varieties, we have

$$\begin{aligned}\mathrm{Hom}_{\mathbf{Var}(k)}(X, Y) &= \mathrm{Hom}_{k-\mathrm{alg}}(\mathcal{O}_Y(Y), \mathcal{O}_X(X)) \\ &= \mathrm{Hom}_{\mathbf{Sch}}(\mathrm{Spec} \mathcal{O}_X(X), \mathrm{Spec} \mathcal{O}_Y(Y)).\end{aligned}$$