

# Some Discussions on the Distribution of Reduced Residue Classes

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# Presentation Outline

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# Introduction: Motivation

Conjectures on consecutive prime gaps ( $p_i$ : the  $i$ -th prime):

## Conjecture (1.1)

Fix  $\gamma > 0$ , for  $x > 1$ :

$$\sum_{p_i \leq x} (p_{i+1} - p_i)^\gamma \leq Cx(\log x)^{\gamma-1}$$

for some constant  $C > 0$ .

Stronger conjectures:

$$\#\{p_i \leq x : p_{i+1} - p_i \geq \alpha \log p_i\} \sim e^{-\alpha} \frac{x}{\log x}, \quad \limsup_{i \rightarrow \infty} \frac{p_{i+1} - p_i}{(\log p_i)^2} = 1.$$

# Introduction: Definitions

Fix  $q \in \mathbb{N}^*$ ,  $1 < a_1 < a_2 < \dots$ : positive integers coprime to  $q$ . Analogue of Conjecture 1.1:

## Theorem (1.2)

Fix  $\gamma > 0$ , we have:

$$\sum_{i=1}^{\phi(q)} (a_{i+1} - a_i)^\gamma \lesssim_\gamma \phi(q) \cdot P^{-\gamma}$$

where  $\phi(q)$ : reduced residue classes modulo  $q$ ,  $P := \phi(q)/q$ .

## Definition (1.3)

Fix  $h \in \mathbb{N}^*$ ,  $k > 0$ . Define the  $k$ -th moment:

$$M_k(q, h) := \sum_{n=1}^q \left( \#\{a_i : n < a_i \leq n + h\} - Ph \right)^k$$

# Conjecture 1.4 & Theorem 1.5

## Conjecture (1.4)

For  $h \geq \frac{1}{P}$ ,  $k > 0$ :

$$M_k(q, h) \lesssim_k q(Ph)^{\frac{k}{2}}$$

We prove a weaker estimate yet strong enough to deduce Theorem 1.2:

## Theorem (1.5)

For  $h \geq \frac{1}{P}$ ,  $k > 0$ :

$$M_k(q, h) \lesssim_k q(Ph)^{\frac{k}{2}} \left( 1 + P^{\frac{k}{2}} (\log h)^{2^k} \right)$$

# Preliminary Reductions: Prop 1.6

## Proposition (1.6)

*Conjecture 1.4 or Theorem 1.5 holds for all  $q$  if it holds for square-free  $q$ .*

**Proof:** Let  $q'$  be the largest square-free divisor of  $q$ . Then, the RHS of Conjecture 1.4 or Theorem 1.5 for  $q, q'$  differ by a factor  $q/q'$  since  $P = \phi(q)/q = \phi(q')/q'$ . Note

$$M_k(q, h) = \frac{q}{q'} M_k(q', h).$$

□

# Preliminary Reductions: Prop 1.7

## Proposition (1.7)

*Conjecture 1.4 and Theorem 1.5 reduce to  $k \in \mathbb{Z}_{>0}$ .*

**Proof:** For  $k > 0$ ,  $k' = \lfloor k \rfloor + 1$ , by Hölder's inequality:

$$\left( \frac{1}{q} M_k(q, h) \right)^{\frac{1}{k}} \leq \left( \frac{1}{q} M_{k'}(q, h) \right)^{\frac{1}{k'}}$$

Using the above inequality gives the conclusion. □

# Proof of Conjecture 1.4 (for $k = 2$ )

## Theorem (2.1)

For  $h \geq \frac{1}{P}$ :  $M_2(q, h) \leq qPh$ .

**Proof:** Let  $d(r) = \begin{cases} 1, & (r, q) = 1, \\ 0, & \text{otherwise.} \end{cases}$  Set  $X_n = \sum_{r=n+1}^{n+h} d(r)$ , then

$$M_2(q, h) = \sum_{n=1}^q (X_n - Ph)^2 = \sum_{n=1}^q X_n^2 - qP^2h^2.$$

Expand the square:

$$X_n^2 = \sum_{r=n+1}^{n+h} d(r)^2 + 2 \sum_{n+1 \leq r < s \leq n+h} d(r)d(s).$$

Sum from  $n = 1$  to  $q$ , by interchanging the summations we get

$$\sum_{n=1}^q X_n^2 = h \sum_{r=1}^q d(r)^2 + 2 \sum_{k=1}^{h-1} (h-k) \sum_{r=2}^{q+1} d(r)d(r+k).$$

## Proof of Conjecture 1.4 (for $k = 2$ ) (continued)

Since  $d(r)^2 = d(r)$  and  $\sum_{r=1}^q d(r) = qP$ , the single-sum term satisfies:

$$h \sum_{r=1}^q d(r)^2 = h \cdot qP.$$

For  $\sum_{r=2}^{q+1} d(r)d(r+k)$ , we have the key identity:

$$\sum_{r=2}^{q+1} d(r)d(r+k) = q \prod_{\substack{p|q \\ p\nmid k}} \left(1 - \frac{2}{p}\right) \prod_{\substack{p|q \\ p|k}} \left(1 - \frac{1}{p}\right).$$

## Proof of Conjecture 1.4 (for $k = 2$ ) (continued)

Let  $\alpha(d) = \prod_{p|d} (p - 2)$ ,  $\mu$  the Möbius function:

$$\sum_{r=2}^{q+1} d(r)d(r+k) = q \prod_{p|q} \left(1 - \frac{2}{p}\right) \sum_{\substack{d|q \\ d|k}} \frac{\mu^2(d)}{\alpha(d)}.$$

Use the inequality in the double sum and change the summation order:

$$\sum_{k=1}^{h-1} (h-k) \sum_{r=2}^{q+1} d(r)d(r+k) = q \prod_{p|q} \left(1 - \frac{2}{p}\right) \sum_{\substack{d|q \\ d|k}} \frac{\mu^2(d)}{\alpha(d)} \sum_{\substack{k \leq h \\ d|k}} (h-k).$$

## Proof of Conjecture 1.4 (for $k = 2$ ) (continued)

Estimate the arithmetic sum:  $\sum_{\substack{k \leq h \\ d|k}} (h - k) \leq \frac{h^2}{2d}$ , which gives

$$2 \sum_{k=1}^{h-1} (h - k) \sum_{r=2}^{q+1} d(r)d(r+k) \leq qP^2 h^2.$$

Combine the two estimates for  $X_n^2$ :

$$\sum_{n=1}^q X_n^2 \leq qPh + qP^2 h^2.$$

Thus

$$M_2(q, h) = \sum_{n=1}^q X_n^2 - qP^2 h^2 \leq qPh.$$

## Theorem 1.5 Implies Theorem 1.2

### Proposition (3.1)

For  $h \geq \frac{1}{P}$ ,  $k > 0$ , if  $M_k(q, h) \lesssim_k q(Ph)^{\frac{k}{2}} \left(1 + P^{\frac{k}{2}} (\log h)^{2^k}\right)$ , then  
 $\forall \gamma < \frac{k+1}{2}$ :

$$\sum_{i=1}^{\phi(q)} (a_{i+1} - a_i)^\gamma \lesssim_\gamma \phi(q) P^{-\gamma}.$$

**Proof:**  $a_1 < a_2 < \dots$ : positive integers coprime to  $q$ ,

$N(l) = \#\{i : a_{i+1} - a_i \geq l\}$ . Fix  $l \geq 1$ . Let  $h = \lfloor l/2 \rfloor$ , for  $a_{i+1} - a_i \geq l$ ,  
 $X_n = \#\{a_j : n < a_j \leq n + h\} = 0$  for  $n \in [a_i, a_i + l - h]$ .

## Proof of Proposition 3.1 (continued)

Hence we get a lower bound for  $M_k(q, h)$ :

$$M_k(q, h) \geq N(I)h(Ph)^k.$$

Combined with the assumed upper bound for  $M_k(q, h)$ :

$$N(I) \lesssim_k \phi(q)(PI)^{-\frac{k}{2}} \left(1 + P^{\frac{k}{2}}(\log I)^{2^k}\right).$$

Rewrite the sum:

$$\sum_{i=1}^{\phi(q)} (a_{i+1} - a_i)^\gamma = \sum_{I=1}^{\infty} N(I)(I^\gamma - (I-1)^\gamma) \lesssim_\gamma \sum_{I=1}^{\infty} N(I)I^{\gamma-1}.$$

## Proof of Proposition 3.1 (continued)

$$\sum_{i=1}^{\phi(q)} (a_{i+1} - a_i)^\gamma = \sum_{l=1}^{\infty} (N(l) - N(l+1)) l^\gamma \sim \sum_{l=1}^{\infty} N(l) l^{\gamma-1}.$$

Split the sum into two parts ( $l \leq \frac{2}{P}$  and  $l > \frac{2}{P}$ ):

$$\sum_{l=1}^{\infty} N(l) l^{\gamma-1} = \sum_{1 \leq l \leq \frac{2}{P}} N(l) l^{\gamma-1} + \sum_{l > \frac{2}{P}} N(l) l^{\gamma-1}.$$

For the first sum:  $N(l) \leq \phi(q)$ , so it is bounded by  $\phi(q) P^{-\gamma}$ .

## Proof of Proposition 3.1 (continued)

For the second sum, use  $N(I) \lesssim_k \phi(q)(PI)^{-1-\frac{k}{2}}(1 + P^{\frac{k}{2}}(\log h)^{2^k})$ :

$$\sum_{I > \frac{2}{P}} N(I) I^{\gamma-1} \lesssim_k \phi(q) P^{-1-\frac{k}{2}} \sum_{I > \frac{2}{P}} I^{\gamma-2-\frac{k}{2}} (1 + P^{\frac{k}{2}} I^\varepsilon),$$

where we use  $(\log h)^{2^k} \lesssim_{k,\varepsilon} I^\varepsilon$  (for  $\varepsilon > 0$ ).

Take  $\varepsilon = \frac{1}{2}$ : the sum converges when  $\gamma < 1 + \frac{k}{2} - \varepsilon = \frac{k+1}{2}$ . Thus:

$$\sum_{i=1}^{\phi(q)} (a_{i+1} - a_i)^\gamma \lesssim_\gamma \phi(q) P^{-\gamma}.$$

The inequality is valid for all  $\gamma < \frac{k+1}{2}$ .

□

# Proof of Theorem 1.5: Probabilistic Model

Assume  $h > \frac{1}{P}$ .  $X_1, \dots, X_h$  independent,

$$P(X_m = 1) = P, \quad P(X_m = 0) = 1 - P. \quad X = \sum_{m=1}^h X_m,$$
$$\mu_k = \mathbb{E}((X - Ph)^k).$$

## Proposition (4.1)

$$\mu_k \lesssim_k (hP)^{\frac{k}{2}}.$$

**Proof:**  $Y_m = X_m - P$ ,  $X - Ph = \sum_{m=1}^h Y_m$ , so

$$\mu_k = \sum_{1 \leq m_1, \dots, m_k \leq h} \mathbb{E}(Y_{m_1} \dots Y_{m_k}).$$

$\mathbb{E}(Y_{m_1} \dots Y_{m_k}) = 0$  if any index appears only once.

## Proof of Proposition 4.1 (continued)

Let  $r$  be the number of distinct indices turning up in  $\mathbb{E}(Y_{m_1} \dots Y_{m_k})$ , then  $r \leq k/2$ . An elementary estimate of  $\mathbb{E}$  gives

$$\mu_k \leq \sum_{r=1}^{\lfloor k/2 \rfloor} \binom{h}{r} \sum_{\substack{l_1 + \dots + l_r = k \\ l_i \geq 2}} \frac{k!}{l_1! \dots l_r!} (2P)^r$$

Thus  $\mu_k \lesssim_k (hP)^{\frac{k}{2}}$ .

□

## Proof of Theorem 1.5: Expansion

$$\begin{aligned} M_k(q, h) &= \sum_{n=1}^q \left( \sum_{\substack{m=1 \\ (m+n,q)=1}}^h 1 - Ph \right)^k \\ &= \sum_{r=0}^k \binom{k}{r} \left( \sum_{n=1}^q \left( \sum_{\substack{m=1 \\ (m+n,q)=1}}^h 1 \right)^r \right) (-Ph)^{k-r} \end{aligned}$$

We compute the inner sum:

$$\sum_{n=1}^q \left( \sum_{\substack{m=1 \\ (m+n,q)=1}}^h 1 \right)^r = \sum_{\substack{m_1, \dots, m_r \\ 1 \leq m_i \leq h}} \sum_{\substack{1 \leq n \leq q \\ (m_i + n, q) = 1, \forall i}} 1$$

## Proof of Theorem 1.5: Large Prime Factors

Fix  $m_1, \dots, m_r$ , and denote  $s = \#\{m_i\}_{i=1}^r$ . Then:

$$\sum_{\substack{1 \leq n \leq q \\ (n+m_i, q)=1, i=1, \dots, r}} 1 = q \prod_{p|q} \left(1 - \frac{s}{p}\right)$$

Assume  $p > y > h$  for some real number  $y > 0$  and all  $p|q$ . Apply Proposition 4.1 and note that:

$$\prod_{p|q} \left(1 - \frac{s}{p}\right) \left(1 - \frac{1}{p}\right)^{-s} = 1 + O_k \left( \sum_{p|q} p^{-2} \right) = 1 + O_k(y^{-1})$$

This proves Conjecture 1.4 for  $q$  with large prime factors ( $> h$ ).

## 4.2 Fourier Techniques and the Fundamental Lemma

For small prime factors  $p \mid q$ , we need the Fundamental Lemma:

### Lemma (4.2)

$r_1, \dots, r_k$  square-free,  $r = \text{lcm}(r_i)$  (each prime divides  $\geq 2 r_i$ ).

$G_i : \mathbb{Z}/r_i\mathbb{Z} \rightarrow \mathbb{C}$ , then:

$$\left| \sum_{\substack{\rho_i \in \mathbb{Z}/r_i\mathbb{Z} \\ \sum \rho_i = 0}} \prod_{i=1}^k G_i(\rho_i) \right| \leq \frac{1}{r} \prod_{i=1}^k \left( r_i \sum_{\rho_i} |G_i(\rho_i)|^2 \right)^{\frac{1}{2}}$$

where  $e(x) = e^{2\pi i x}$ .

Fourier coefficients for the period- $q$   $f$ :

$$\hat{f}\left(\frac{a}{q}\right) = \frac{1}{q} \sum_{n=1}^q f(n) e\left(-\frac{an}{q}\right), \quad f(n) = \sum_{r|q} \sum_{\substack{0 \leq a < r \\ (a,r)=1}} \hat{f}\left(\frac{a}{r}\right) e\left(\frac{an}{r}\right)$$

# Fourier Expansion of $f(n)$

$f(n) = \#\{a_i : n < a_i \leq n + h\} - Ph$ , expand  $f(n)^k$ :

$$\sum_{n=1}^q f(n)^k = \sum_{n=1}^q \left( \sum_{r|q} \sum_{\rho \in (\mathbb{Z}/r\mathbb{Z})^*} \hat{f}(\rho) e(\rho n) \right)^k$$

Expanding the  $k$ -th power, only terms with  $\sum_{i=1,\dots,k} \rho_i = 0$  survive:

$$\sum_{n=1}^q f(n)^k = q \sum_{r_1, \dots, r_k | q} \sum_{\substack{\rho_i \in (\mathbb{Z}/r_i\mathbb{Z})^* \\ \sum \rho_i = 0}} \prod_{i=1}^k \hat{f}(\rho_i)$$

## Theorem (4.3)

For  $h > \frac{1}{P}$ :  $M_k(q, h) \lesssim_k q h^{\frac{k}{2}} P^{k-2^k}$ .

## Proof of Theorem 4.3 (continued)

Recall the Fourier coefficient:  $\hat{f}(\rho) = \frac{P}{\phi(r)} E(\rho)$ , where we define

$$E(\rho) = \sum_{m=1}^h e(\rho m).$$

A key elementary estimate for the exponential sum:

$$|E(\rho)| = \left| \sum_{m=1}^h e(\rho m) \right| \leq \min \left\{ h, \frac{1}{\|\rho\|} \right\},$$

where  $\|x\| = \min_{n \in \mathbb{Z}} |x - n|$  denotes the distance to the nearest integer.  
We have the summation bound:

$$\sum_{\rho \in (\mathbb{Z}/r\mathbb{Z})^*} |E(\rho)|^2 \lesssim rh.$$

## Proof of Theorem 4.3 (continued)

Plug  $\hat{f}(\rho_i) = \frac{P}{\phi(r_i)} E(\rho_i)$  into the product term, apply the fundamental lemma:

$$\left| \sum_{\substack{\rho_i \in (\mathbb{Z}/r_i\mathbb{Z})^* \\ \sum_{i=1}^k \rho_i = 0}} \prod_{i=1}^k \hat{f}(\rho_i) \right| \leq P^k \cdot \frac{\prod_{i=1}^k r_i^{1/2}}{\text{lcm}(r_1, \dots, r_k) \prod_{i=1}^k \phi(r_i)} \prod_{i=1}^k \left( \sum_{\rho_i} |E(\rho_i)|^2 \right)^{1/2}.$$

Insert the bound  $\sum_{\rho_i} |E(\rho_i)|^2 \lesssim r_i h$  and simplify the product:

$$\prod_{i=1}^k \left( \sum_{\rho_i} |E(\rho_i)|^2 \right)^{1/2} \lesssim h^{k/2} \cdot \prod_{i=1}^k r_i^{1/2}.$$

## Proof of Theorem 4.3 (continued)

Combine the above estimates to get the simplified bound for the inner sum:

$$\left| \sum_{\substack{\rho_i \in (\mathbb{Z}/r_i\mathbb{Z})^* \\ \sum \rho_i = 0}} \prod_{i=1}^k \hat{f}(\rho_i) \right| \lesssim_k P^k h^{k/2} \cdot \frac{\prod_{i=1}^k r_i}{\text{lcm}(r_1, \dots, r_k) \prod_{i=1}^k \phi(r_i)}.$$

Sum over all divisors  $r_1, \dots, r_k \mid q$ :

$$\sum_{r_1, \dots, r_k \mid q} \frac{\prod_{i=1}^k r_i}{\text{lcm}(r_i) \prod \phi(r_i)} = \prod_{p \mid q} \left(1 + \frac{1}{p} \left(1 + \frac{p}{p-1}\right)\right)^k \lesssim \prod_{p \mid q} \left(1 + \frac{1}{p-1}\right)^{2^k}.$$

## Final Estimate of $M_k(q, h)$

Recall  $M_k(q, h) = \sum_{n=1}^q f(n)^k$ , and use all estimates we obtained earlier:

$$\begin{aligned} M_k(q, h) &\lesssim_k q \cdot P^k h^{k/2} \sum_{r_1, \dots, r_k | q} \frac{\prod_{i=1}^k r_i}{\text{lcm}(r_i) \prod \phi(r_i)} \\ &\lesssim_k q P^k h^{k/2} \prod_{p|q} \left(1 + \frac{1}{p-1}\right)^{2^k} = q h^{\frac{k}{2}} P^{k-2^k} \end{aligned}$$

Finally we obtain:

$$M_k(q, h) \lesssim_k q h^{\frac{k}{2}} P^{k-2^k}.$$



# Final Proof of Theorem 1.5

**Step 1: Split  $q$  into two parts** By Chinese Remainder Theorem, split  $q$  into small and large prime factors:

$$q = q_1 q_2, \quad (q_1, q_2) = 1,$$

where

$$q_1 = \prod_{\substack{p|q \\ p \leq h^k}} p, \quad q_2 = \prod_{\substack{p|q \\ p > h^k}} p.$$

Denote  $P_i = \frac{\phi(q_i)}{q_i}$  ( $i = 1, 2$ ), then  $P = \frac{\phi(q)}{q} = P_1 P_2$ .

## Final Proof of Theorem 1.5 (continued)

**Step 2: Split the function  $D(n_1, n_2)$ .** Let

$$D(n_1, n_2) = \sum_{m=1, (m+n_i, q_i)=1 \forall i}^h 1 - Ph$$

decompose  $D = D_1 + D_2$ , where

$$D_1(n_1, n_2) = P_2 \sum_{m=1, (m+n_1, q_1)=1}^h 1 - Ph$$

$$D_2(n_1, n_2) = \sum_{m=1, (m+n_i, q_i)=1 \forall i}^h 1 - P_2 \sum_{m=1, (m+n_1, q_1)=1}^h 1$$

By Hölder's inequality and summing over all  $n$ , we get the estimate:

$$M_k(q, h) = \sum_{n_1=1}^{q_1} \sum_{n_2=1}^{q_2} D(n_1, n_2)^k \lesssim_k \sum_{n_1, n_2} D_1^k + \sum_{n_1, n_2} D_2^k.$$

## Final Proof of Theorem 1.5 (continued)

**Step 3: Estimate for small primes ( $q_1, D_1$ ).** Apply Theorem 4.3 to  $q_1$ :

$$\sum_{n_1, n_2} D_1^k = q_2 P_2^k \cdot M_k(q_1, h).$$

By Theorem 4.3:  $M_k(q_1, h) \lesssim_k q_1 h^{\frac{k}{2}} P_1^{k-2^k}$ . Use **Mertens' Theorem** ( $P_1^{-1} \leq \prod_{p \leq h^k} \left(1 - \frac{1}{p}\right)^{-1} \sim e^\gamma \log(h^k) \lesssim \log h$ ):

$$\sum_{n_1, n_2} D_1^k \lesssim_k q \cdot (hP)^{\frac{k}{2}} P^{\frac{k}{2}} (\log h)^{2^k}.$$

## Final Proof of Theorem 1.5 (continued)

**Step 4: Estimate for large primes ( $q_2, D_2$ ).** For large primes  $p > h^k$ , use the **probabilistic large prime estimate**:

$$\sum_{n_1, n_2} D_2^k = \sum_{n_1} M_k(q_2, h(n_1)) \lesssim_k q \cdot (hP)^{\frac{k}{2}}.$$

**Final Combination:** Combine the estimates of  $D_1^k$  and  $D_2^k$ :

$$M_k(q, h) \lesssim_k q(hP)^{\frac{k}{2}} \cdot \left(1 + P^{\frac{k}{2}} (\log h)^{2^k}\right).$$

This completes the proof of Theorem 1.5. □

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# Thank you for listening!

Any questions are welcome