

1       **High-resolution regional climate modeling evaluation based on**  
2       **varres-CESM and WRF over California**

3                   Author One\* and Author Two<sup>†</sup>

4                   *American Meteorological Society, Boston, Massachusetts*

5                   Extra Author

6                   *Affiliation, City, State/Province, Country*

7   \*Corresponding author address: Author One, American Meteorological Society, 45 Beacon St.,  
8   Boston, MA 02108.

9   E-mail: latex@ametsoc.org

10 <sup>†</sup>Current affiliation: American Meteorological Society, 45 Beacon St., Boston, MA 02108.

## ABSTRACT

11

## 1. Introduction

Global climate models (GCMs) have been widely used to simulate both past and future climate. Although GCMs have been demonstrated to successfully represent large-scale features of the climate system, they have usually been employed at coarse resolutions ( $\sim 1$  degree), largely due to computation limitations. The climate reanalysis datasets, which assimilate climate observations within climate model, can represent a best estimate of historical weather patterns, but still have low resolution no finer than 0.5 degree. Under this circumstance, regional climate is not well captured by global climate models (GCMs) and global reanalysis datasets which are employed at coarse resolutions. And dynamic processes at unrepresented scales are significantly drivers for regional and local climate variability especially over complex terrain (?). In order to capture those fine-scale dynamical features, high horizontal resolution is needed to allow a more accurate representation of fine scale forcing, and the better representation of processes and interactions, as former studies have already showed (??). Also, better represented regional climate information can lead to effective action for responses to climate change and mitigation of negative impacts taken by local stakeholders and policymakers.

In order to model regional climate at a higher spatial and temporal resolution over a limited area, downscaling methods have been developed. There are two main downscaling ways. One is statistical methodology, it aims to estimate finer scale properties through analyzing the relationships between observed variables at different scales (Fowler et al. 2007). This method is empirical and cannot be used if the observed relationships do not hold with a changing climate (?). The other is called dynamical downscaling, using numerical model to simulate higher spatial resolution conditions in greater detail. The dynamical downscaling method is most popular and commonly used. Two type of models are used including nested limited-area models (LAMs) and

35 variable-resolution (including stretched-grid) global climate models (VRGCMs) (?). The more  
36 commonly used LAMs are often referred as regional climate models (RCMs) when applying to  
37 climate scales. RCMs are forced by output of GCMs or reanalysis data, and have been widely  
38 used, showing the ability to capture physically consistent regional and local circulations at the  
39 needed spatial and time scales (????). For the VRGCM approach, it uses a variable-resolution  
40 global model composing high-resolution over a specific region and lower resolution over the rest  
41 of the globe (?). VRGCMs have been shown to be an alternative way for regional climate studies  
42 and applications, owning the advantages of traditional GCMs in representing large-scale features,  
43 and also being computationally less expensive than uniform GCMs (?).

44 Compared with RCMs, a key advantage of VRGCMs is the use a single model rather than the  
45 combinations of GCM and RCM. Thus, VRGCMs avoid potential lack of consistency between the  
46 driver and model, and naturally allow two-way interaction between the high-resolution area and the  
47 global domain without nudging (????). In order to get deeper insight for the performances of these  
48 two different modeling methods, it is necessary to compare them directly. The goal of this paper is  
49 to evaluate the performance of VRGCMs together with the traditional method of RCMs for the first  
50 time to see whether VRGCMs can show similar or even better ability in regional climate modeling.  
51 And simulations will be conducted at higher resolution than most former studies. This will add  
52 value in modeling mean regional climatology and improve our understanding about the effects of  
53 multi-scale processes in regional climate regulation. In this study, WRF (Weather Research and  
54 Forecasting) is used as a traditional RCM method (?). WRF has gained wide acceptance to study  
55 regional climate over the past decade, showing its adequate capability in representation of mean  
56 fine-scale climate properties (???). For the VRGCM approach, the newly developed variable-  
57 resolution CESM (varres-CESM) is adopted here. CESM is a state-of-the-art Earth modeling  
58 framework developed at NCAR, consisting of atmospheric, oceanic, land and sea ice components

59 (?). However, variable-resolution in Community Atmosphere Models (CAM) Spectral Element  
60 (SE) dynamical core is a recently available technique which has never be applied for long-term  
61 regional climate simulation (??).

62 Simulations using both methods have been implemented for 26 years historical climate centered  
63 on the state of California (CA). With the complex topography, coastal influence, and wide latitude  
64 range, it makes CA a suitable test bed for high-resolution climate studies. Also, it is necessary  
65 to learn detailed local climate variability in California with its important agricultural role and so-  
66 cioeconomic status, and particular vulnerability to anthropogenically-induced climate change (??).  
67 RCM simulations over California have been conducted in previous studies (????). Caldwell et al.  
68 (2009) presented results from WRF (Weather Research and Forecasting) at 12km spatial resolu-  
69 tion showing both the overall consistent and certain bias between the simulations and observations  
70 (?). The paper is organized as follows. Section 2 describes the model set up, evaluation methods  
71 and verification data. In Section 3, results are demonstrated focusing on 2 m temperature (Ts) and  
72 precipitation (Pr). Key results are summarized and further discussion is made in section 4.

## 73 **2. Models and Methodology**

### 74 *a. Simulation design*

#### 75 1) WRF

76 The fully compressible non-hydrostatic WRF-ARW model in version 3.5.1 is used. ERA-  
77 Interim pressure-level reanalysis was used to provide initial, lateral conditions and SST for the  
78 domains every 6 h. ERA-Interim reanalysis ( $\sim 80$  km) has been widely used and shows its strong  
79 reliability as forcing data (?). Two simulations are conducted for 27km (WRF27) and 9km (WRF9)

horizontal resolution separately from 1979-01-01 to 2005-12-31 (UTC). The 10 km resolutions are actually finer than most former studies for long-term climate.

For the coarser resolution, one domain is used. For the WRF9, two nested domains are settled with outer domain at 27km (same as the WRF27) and inner domain at 9km horizontal grid spacing, with two-way nesting. Both grids are centered at CA and have respectively, 120\*110 and 151\*172 grid points. 10 grid points are used as lateral relaxation zones. Sea surface temperature (SST) was updated due to the long-term climate modeling. In order to reduce the drift between forcing data and RCM over time, grid nudging (?) was applied to the outer domain per 6 hours at all levels except the planetary boundary layer (PBL) as suggested by Lo et al. (?). This setup uses 41 vertical levels with top pressure at 50hpa.

We use the following parameterization options for the standard settings: WSM 6-class graupel microphysics scheme (?), Kain-Fritsch cumulus scheme (?), CAM shortwave and longwave radiation schemes (?) (?). These settings are supported by the one-year test running result with different options. Also, the Yonsei University (YSU) boundary layer scheme (?), and Noah Land Surface Model (?) are chosen as commonly used (?? add citations here) for climate applications considering long-term reliability and computational cost. Figure 1 shows the study region and topography for each domain.

## 2) VARRES-CESM

CESM has been under development for nearly two decades, and has been used heavily in better understanding the effects of global climate change (?). Here, CAM version 5 (CAM5) and Community Land Model (CLM) version 4 are used. As we have mentioned, recently, SE as the default dynamical core in CAM has added variable resolution support. Here, the variable-resolution cubed-sphere grids are generated within both CAM and CLM with the open-source

103 software package SQuadGen (citation??). Simulations at 0.25 degree ( $\sim 28\text{km}$ ) and 0.125 degree  
104 ( $\sim 14\text{km}$ ) horizontal resolution are developed, remaining regrid is at 1 degree, also with time pe-  
105 riod from 1979-01-01 to 2005-12-31 (UTC). Corresponding fine-scale topography is produced.  
106 Land surface data at 50 km resolution is used. Tuning parameters and other necessary setting op-  
107 tions are tested to reach our need. Greenhouse gas (GHG) concentrations are prescribed based on  
108 observations. SSTs and ice coverage are supplied by the 1degree Hadley Centre Sea Ice and Sea  
109 Surface Temperature dataset (HadISST) (?).

110 Settings for Varres-CESM (adding). Figure 2 shows the grid mesh for each simulation.

## 111 *b. Methodology*

112 The evaluation focuses on near surface air temperature and precipitation to display the mean  
113 regional climate variability both annually and seasonally. Reanalysis and gridded observational  
114 datasets (described in Table 1) are employed as reference data compared against simulation results  
115 to assess the models' performances. Due to the uncertainties in observations, we use different  
116 sources of datasets including measurements from stations, high-resolution reanalysis data or satel-  
117 lite information. Though these products are generally based on similar measurements, they are  
118 scaled and gridded using different techniques, causing processing uncertainty except of measure-  
119 ment error. And we acknowledge that reanalysis products can not be treated as truth.

120 The UW daily gridded meteorological data is obtained from the Surface Water Modeling group  
121 at the University of Washington (?). The PRISM monthly gridded climate observations is pro-  
122 duced by the PRISM (Parameter elevation Regression on Independent Slopes Model) Climate  
123 Group, and the dataset is based on a larger network of station data and accounts for elevation and  
124 topographic effects (cite??). UW Ts dataset is computed similarly to Pr, but without the topo-  
125 graphic adjustment towards PRISM, and using a simple 6.1 K/km lapse rate. The Daymet gridded

126 daily meteorological observations also take into account areas of complex terrain. The National  
127 Oceanic and Atmospheric Administration (NOAA) Climate Prediction Center (CPC) dataset uses  
128 more stations than the UW data, but without topographic correction (cite??). The National Centers  
129 for Environmental Prediction (NCEP) North American Regional Reanalysis (NARR) is NCEP's  
130 high resolution combined model and assimilated dataset (cite??).

131 In order to keeping consistency, reference data are interpolated to models' output resolution  
132 when showing the differences or calculating related statistical values (e.g. root mean square error  
133 (RMSE), bias, and correlation). Bilinear interpolation method is used for regular 2D grid. Also,  
134 output from globally uniform CESM with 25km spatial resolution is compared together to see  
135 if variable-resolution CESM perform similarly or even better in modeling mean climatology (?).  
136 The first year was treated as model spin-up, thus, all the data analysis are based on the period from  
137 1980 to 2005, i.e. 26 years.

### 138 **3. Results**

139 Topographic details within different models and diverse horizontal scales are showed in Figure  
140 3. We can see that higher resolutions own better representation of topography, which is important  
141 driver for fine-scale dynamic processes especially at complex terrain. In this part, we will show  
142 the models' performances in both temperature and precipitation. In this section, comparisons and  
143 analysis are focused on daily maximum, minimum and average 2m temperatures ( $T_{max}$ ,  $T_{min}$  and  
144  $T_{avg}$ ), and daily precipitation ( $Pr$ ) both annually and seasonally. These variables are most relevant  
145 for climate assessment.



## 146 *a. Temperature*

147 The long-term annual average climatology of Tmax, Tmin and Tavg from varres-CESM, uni-  
148 form CESM, WRF and reference dataset are displayed by Fig. 4, 5 and 6. Generally, simu-  
149 lations show similar regional patterns as observations, with warmer central valley and southern  
150 deserts, and colder northern coastal area and Sierra mountainous region. Both WRF and variable-  
151 resolution CESM demonstrate satisfactory modeling ability. And higher resolution simulations  
152 perform better capturing fine features close to observations, especially for WRF 9km. Comparing  
153 with uniform CESM, varres-CESM performed similarly or even better in some cases, showing  
154 both improved modeling ability at high resolution and reduced computation cost.

155 However, they do display some differences in different sub zones. In particular, Tmax are a little  
156 higher mainly at central valley in both CESM and WRF, and WRF 9km shows much more obvious  
157 cold bias in other regions than other simulations. Varres-CESM perform better than WRF and  
158 uniform CESM, especially at higher resolution. However, Tmin is obviously warmer by both WRF  
159 and CESM, especially at coastal region and southern desert, resulting under-prediction of diurnal  
160 range. WRF and uniform CESM perform better than varres-CESM. The differences between  
161 models and reference data are plotted in Fig. 7 for Tavg, in order to show the output comparison  
162 more clearly. Varres-CESM and WRF perform similarly, better than uniform CESM. Comparing  
163 with PRISM, models show overall underestimation, especially at coastal and mountain regions,  
164 however with relatively small bias at most region. The RMSE for these models are basically ranges  
165 from 1 to 3 K, as showed by Table 2. Overall, variable-resolution CESM 0.125 deg performs  
166 best for long-term annual results, however, WRF 9km has larger error than WRF 27km. (varres-  
167 CESM  $\zeta$  WRF  $\zeta$  uniform CESM). And Correlations are high between simulations and observations  
168 ( $>0.95$ ), especially for Tmax and Tavg. There are about +2 K SST bias near the coast between

varres-CESM and WRF. This may explain part of the reason for the above results. NARR shows obvious differences from other gridded observations, however, uncertainty between observational datasets are much smaller than the models' biases, unlikely impacting our results.

The seasonal cycle of Tavg is showed in Figure 8. Models do show good consistent with reference data with about no larger than 2 K bias. However, varres-CESM do show smaller bias than WRF at summer season, and WRF did better at winter season. Varres-CESM seems to be colder in winter and WRF is not hot enough in summer. And varres-CESM showed larger variability among seasons than observations, while WRF shows opposite trend. No obvious divergence can be detected between multi-scales, though coarser simulations even result a littler better than finer ones.

For CA, we are more interested in the summer season, especially the Tmax value for heat extreme analysis. Here, the annually average summer Tmax from models and reference data are displayed in Figure 9 and 10. CESM generally overestimate Tmax especially for uniform CESM except at coastal region, while WRF showed obviously negative bias expect at central valley. Varres-CESM with higher resolution performed best as proved by the statistics in Table 3, however, WRF 27km show less error than WRF 9km. Overall, models especially varres-CESM 0.125d and WRF 9km still show fairly accuracy over most regions. The underlying reasons behind those differences can be manifold including the models' inner mechanism, the forcing data and the scale effects. In order to further investigate the models' ability for heat extreme detection, we also depicted the frequency distribution of Tmax constructed from 26 years summer daily data in Figure 11. Normal distributions are showed by models and observations. Varres-CESM is quite consistent with observations, and WRF especially at 9km own obvious bias, tending to be colder. For hot events detection, both varres-CESM and WRF 27km exhibit satisfactory performance with slightly over-prediction. No improvement is showed by higher resolution in varres-CESM.

## *b. Precipitation*

The long-term annual average climatology of daily precipitation (Pr) from varres-CESM, WRF and reference dataset are displayed by Fig. 12 and 13. Comparing with observations, simulations do capture regional patterns of precipitation. Precipitation distributes mostly along the north coastal part and Sierra mountains, and relatively low over other regions. However, there exist obvious differences among simulations. Varres-CESM overestimate a little especially for coarser simulation at the western side of Sierras, and finer simulation has reduced that bias showing the improvement of orographic effects. Notably, large difference showed between WRF 27km and WRF 9km. WRF 27km underestimated a little, but WRF 9 greatly showed obvious positive absolute error at North coastal part and the Sierra where maximum precipitation is distributed, and the relative bias can reach 50 percent. Overall, models perform satisfactorily except for WRF 9km, and varres-CESM 0.125d perform a little better than CESM 0.25d and WRF 27km, as further showed by the RMSE and bias value in Table 4. Observations also demonstrate noticeable differences indicating uncertainty inherent in interpolating station data to a grid. However, these observations are still of the highest quality available and the uncertainty is relatively small comparing the simulations, and our conclusions can hold.

The climatological annual cycle of precipitation averaged over CA is presented in Fig. 14. It can be seen that bias mainly occurred during rainy seasons especially in winter. WRF 27km is more consistent with observations than others. Varres-CESM is wetter especially in winter season. WRF 9km is too wetter. And WRF 27km is dryer. As temperature, varres-CESM showed larger variability among seasons than observations, while WRF 27km shows opposite trend. The seasonal trend proves what we know about the strong seasonality of California Pr with high values during the winter and almost no precipitation during the summer.

216 In this way, we particularly showed the annually average winter precipitation from models and  
217 reference data as plotted in Figure 15 and 16. We can see that models and reference data show  
218 similar pattern as annual, though the precipitations almost doubles in winter comparing with an-  
219 nual value. Varres-CESM still overestimate with larger absolute bias, especially at central valley.  
220 WRF 27km underestimate a little, and WRF 9km still greatly overestimate at North coastal region  
221 and the sierra region. Considering the relatively heavy winter precipitation, the relative error is  
222 still acceptable for Varres-CESM, and WRF 27km, with RMSE and bias values showed in Table  
223 5. Further, the frequency distribution of winter Pr constructed from 26 years daily data is depicted  
224 in Figure 17. For strong precipitation events, varres-CESM is more consistent with observations  
225 than WRF, though showing slightly over-prediction of heavy rainy days. Varres-CESM 0.25d and  
226 varres-CESM 0.125d do not show meaningful differences. However, WRF 27km shows under-  
227 prediction of rainy days, especially for moderately rainy events, and, not surprisingly, WRF 9km  
228 obviously over-prediction rainy days particular when raining goes stronger.

229 The positive bias of precipitation using WRF at high resolution has also been found in former  
230 studies (?). Caldwell et al. (2009) gave a detailed discuss of the possible reasons, stating that bias  
231 comes from a variety of source like the model itself and partly the physics schemes. And this is  
232 out of the scope of this paper, further discussion can be found in former studies (??).

233 At last, a concise summary of model performance is provided by the Taylor diagram (Figure  
234 18). (add short summary here)

235 **4. Discussions and summary**

236 **5. Figures and tables**

237 *a. Figures*

238     Reference to Figure ??.

239 *Acknowledgments.*



TABLE 1. This is my table.





FIG. 1. This is my caption.