

# **ECE 340:** **Semiconductor Electronics**

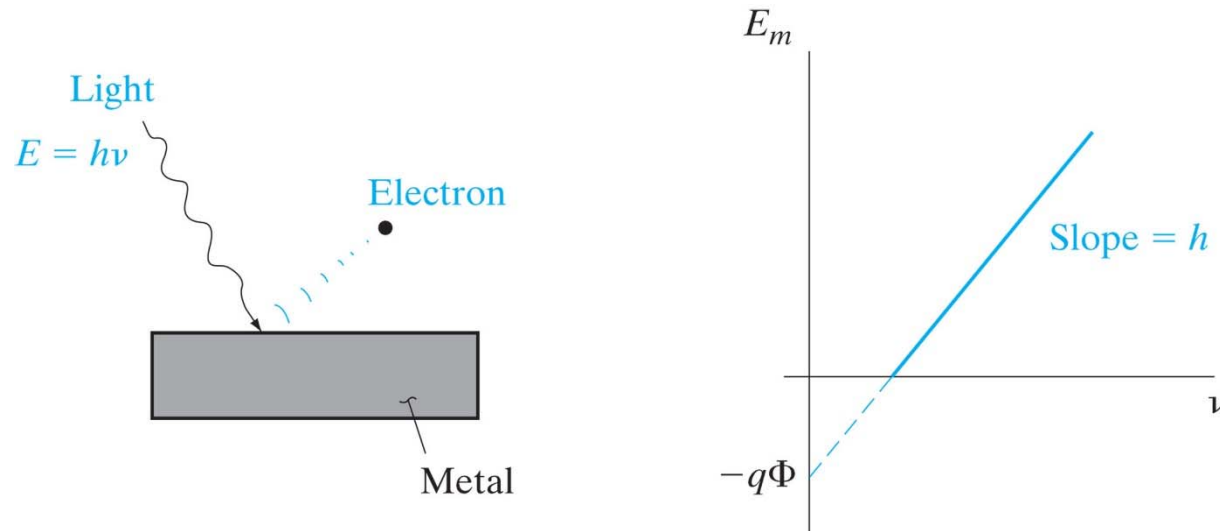
## **Chapter 2: Atoms and Electrons**

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# Outline

- Experimental observation of quantization
  - Photoelectric effect
  - De Broglie relationship
  - Atomic spectra
- Quantum mechanics
- Atomic structure and periodic table

# Photoelectric effect



Maximum energy of ejected electrons:  $E_m = h\nu - q\phi$

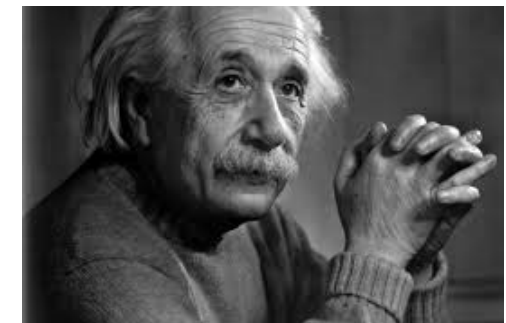
$h$ : plank constant     $\nu$ : light frequency     $q\phi$ : work function

**Light has both wave and particle nature. Light energy is quantized (called photon):**

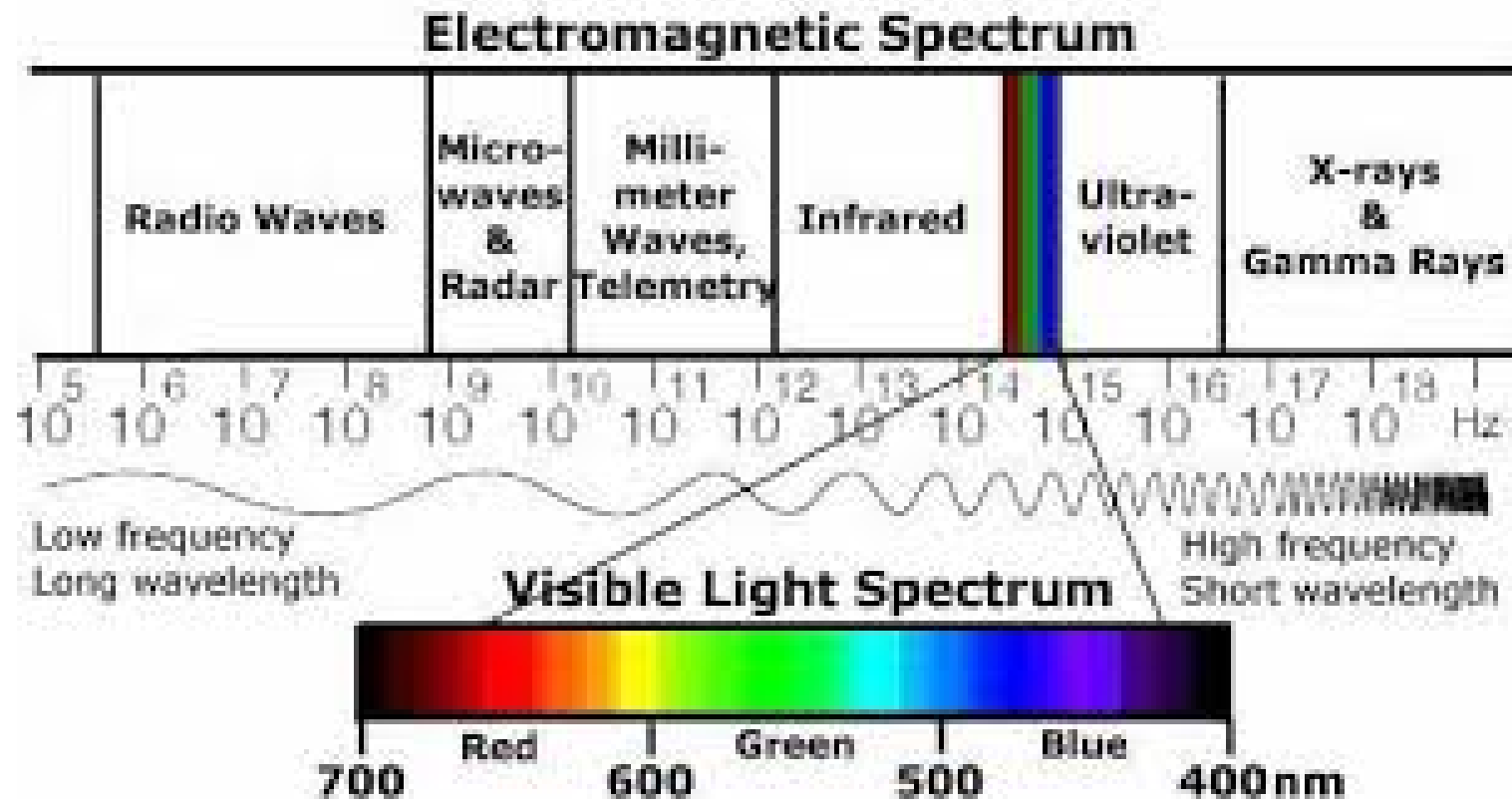
$$E = h\nu = \frac{h}{2\pi} 2\pi\nu = \hbar\omega$$

$\hbar$  is reduced plank constant,  $\omega$  is angular frequency

Albert Einstein



# Electromagnetic spectrum



# De Broglie relationship and dispersion relationship

- Particles of matter (such as electrons) could manifest wave character.
- A particle of momentum  $p=mv$  has a wavelength given by:

$$\lambda = h/p \quad \text{De Broglie relationship}$$

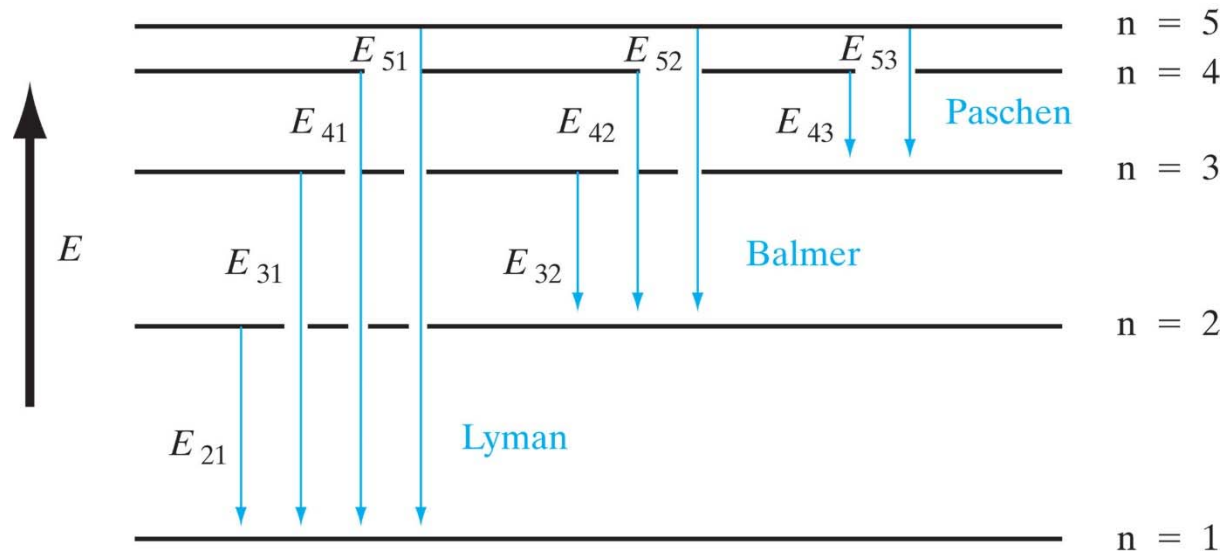
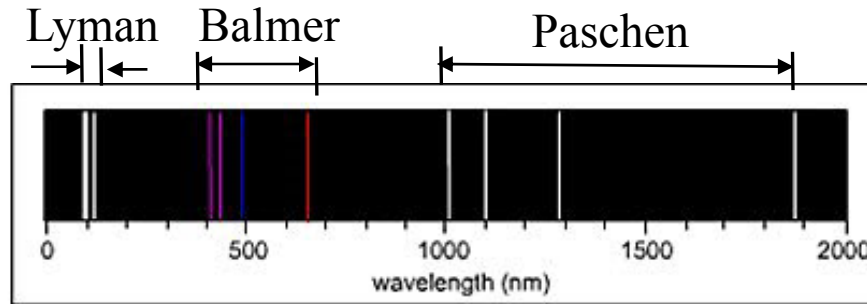
$$\Rightarrow p = \frac{h}{\lambda} = \frac{h}{2\pi} \frac{2\pi}{\lambda} = \hbar k \quad (k \text{ is angular wavenumber } k = \frac{2\pi}{\lambda})$$

- Wave-particle duality are valid for situation and objects.
- Dispersion relationship: relation between frequency and wavelength ( or energy and momentum)

For photons:

$$v = \frac{c}{\lambda} \quad \Rightarrow \quad E = hv = h \frac{c}{\lambda} = cp$$

# Hydrogen atom emission spectrum



$$\text{Lyman: } \nu = CR \left( \frac{1}{1^2} - \frac{1}{n^2} \right)$$

$$\text{Balmer: } \nu = CR \left( \frac{1}{2^2} - \frac{1}{n^2} \right)$$

$$\text{Balmer: } \nu = CR \left( \frac{1}{3^2} - \frac{1}{n^2} \right)$$

- Light emission spectrum of hydrogen contains a series of discrete lines instead of a continuous distribution.

# Bohr's Model

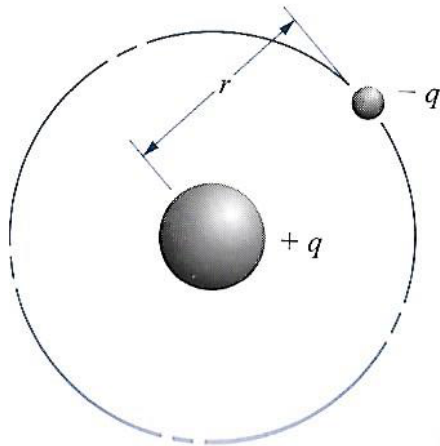
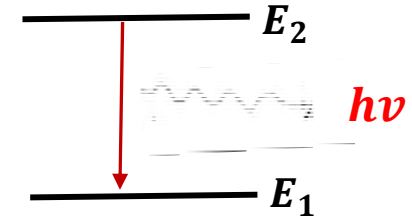
Postulate:

1. Electron moves in circular orbits where it does not radiate (stationary state)
2. Radiation emitted in transition between stationary states

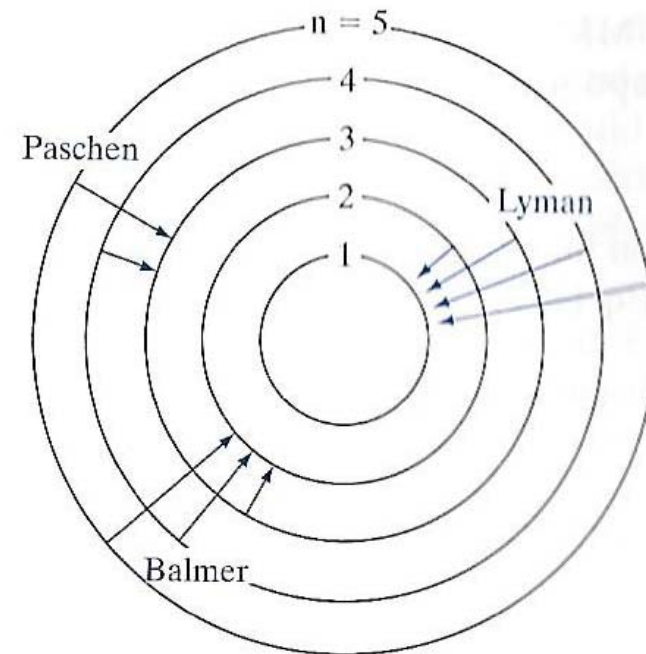
$$h\nu = E_2 - E_1$$

3. Orbital angular momentum quantized

$$P_\theta = n\hbar, \quad n = 1, 2, 3, \dots$$



$$\text{Bohr model: } E_H = -\frac{mq^4}{2(4\pi\epsilon\hbar n)^2} = -\frac{13.6}{n^2} \text{ eV}$$



# Main Ideas of Quantum mechanics

- Use wave mechanics – Schrödinger equation
- Based on three essential postulates:
  - Each particle in the system is defined by a wavefunction. The wavefunction and its space derivative are continuous, finite and single valued.
  - We must express the normal classical quantities with the new quantum mechanical operators.

Classical variable	Quantum operator
$x$	$x$
$f(x)$	$f(x)$
$p(x)$	$\frac{\hbar}{j} \frac{\partial}{\partial x}$
$E$	$-\frac{\hbar}{j} \frac{\partial}{\partial t}$



# Schrödinger equation

Classical equation for the energy of a particle:

$$\frac{p^2}{2m} + V = E$$

In quantum mechanics, it is describes by Schrödinger equatic

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + V \psi = E \psi$$

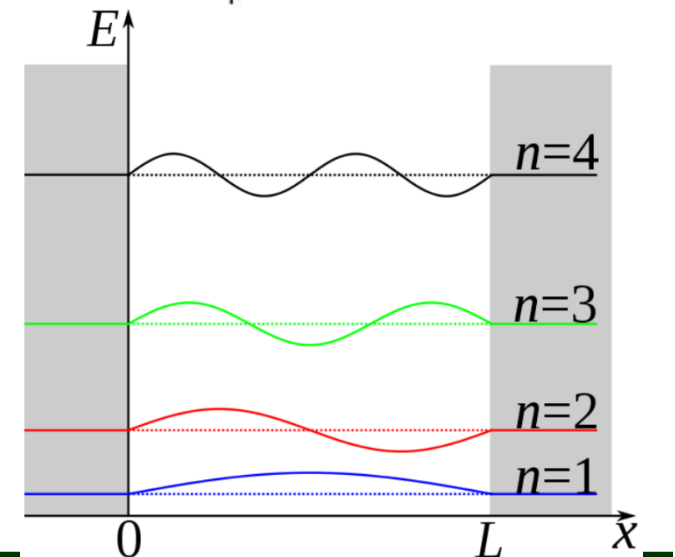
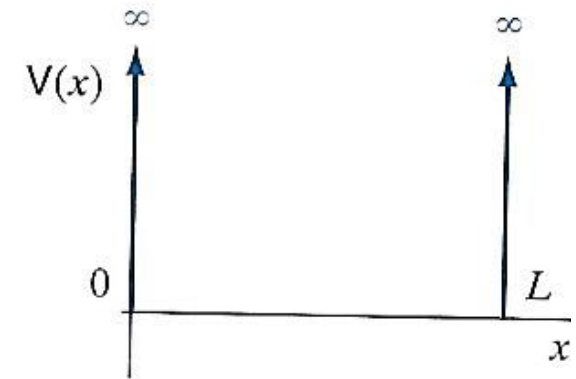


## Particle in a box (potential well)

Potential well:  $V(x)=0, 0 < x < L$   
 $V(x)=\infty, x \leq 0$  or  $x \geq L$

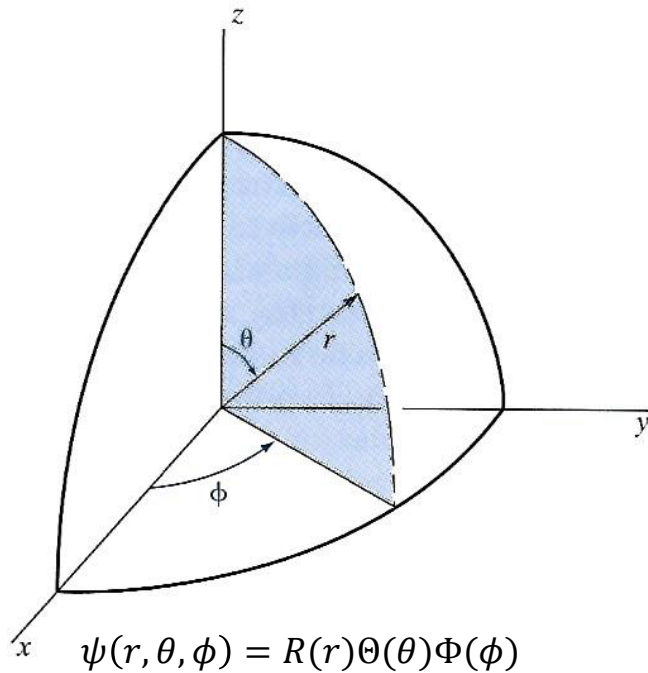
Particle will have discrete, separated energy levels:

$$E_n = \frac{n^2 \hbar^2 \pi^2}{2ma^2} \quad n=1, 2, 3, \dots$$

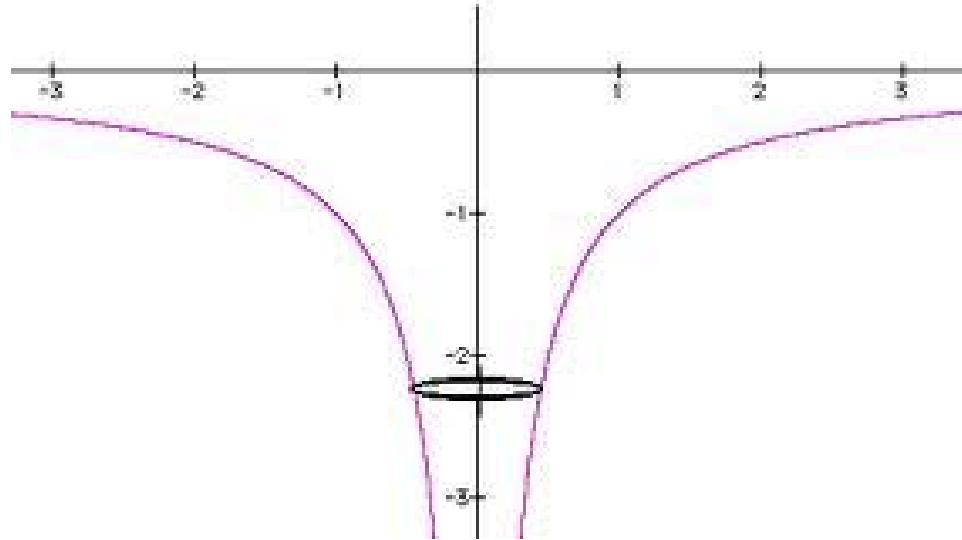


# Hydrogen Atom

## Spherical coordinate system



## Coulombic potential



$$V(r, \theta, \phi) = V(r) = -(4\pi\epsilon_0)^{-1} \frac{q^2}{r}$$

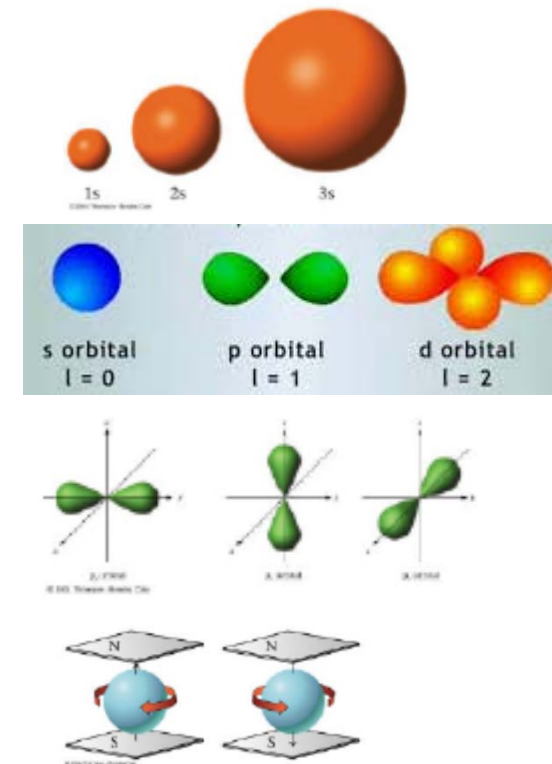
The wavefunction for the hydrogen atom is a solution of the Schrodinger equation in three dimensions for a Coulombic potential field.

$$E_H = -\frac{mq^4}{2(4\pi\epsilon_0\hbar n)^2} = -\frac{13.6}{n^2} \text{ eV} \quad n=1, 2, 3\ldots$$

# Quantum number selection rule

- Quantum number: the sets of numerical values which give acceptable solutions to the Schrödinger wave equation for the Hydrogen atom

Name	Symbol	Orbital meaning	Values
principal quantum number	<b>n</b>	energy shell	$n = 1, 2, 3, \dots$
azimuthal quantum number (angular momentum)	<b>ℓ</b>	subshell (shape of the sublevel orbital)	$\ell = 0, 1, 2 \dots, (n-1)$
magnetic quantum number, (projection of angular momentum)	<b>m</b>	spacial orientation of the sublevel orbital	$m = -\ell, \dots, -1, 0, 1, \dots, \ell$
spin projection quantum number	<b>s</b>	spin of the electron	$s = -\frac{1}{2}, \frac{1}{2}$



## Pauli exclusion principle and allowed states

- **Pauli exclusion principle:** no two electrons in an interacting system can have the same set of quantum number  $n$ ,  $l$ ,  $m$ , and  $s$ .
- Quantum numbers and allowed states:

$n$	$l$	$m$	$s/\hbar$	Allowable states in subshell	Allowable states in complete shell
1	0	0	$\pm \frac{1}{2}$	2	2
2	0	0	$\pm \frac{1}{2}$	2	8
	1	-1 0 1	$\pm \frac{1}{2}$ $\pm \frac{1}{2}$ $\pm \frac{1}{2}$	6	
3	0	0	$\pm \frac{1}{2}$	2	18
	1	-1 0 1	$\pm \frac{1}{2}$ $\pm \frac{1}{2}$ $\pm \frac{1}{2}$	6	
	2	-2 -1 0 1 2	$\pm \frac{1}{2}$ $\pm \frac{1}{2}$ $\pm \frac{1}{2}$ $\pm \frac{1}{2}$ $\pm \frac{1}{2}$	10	

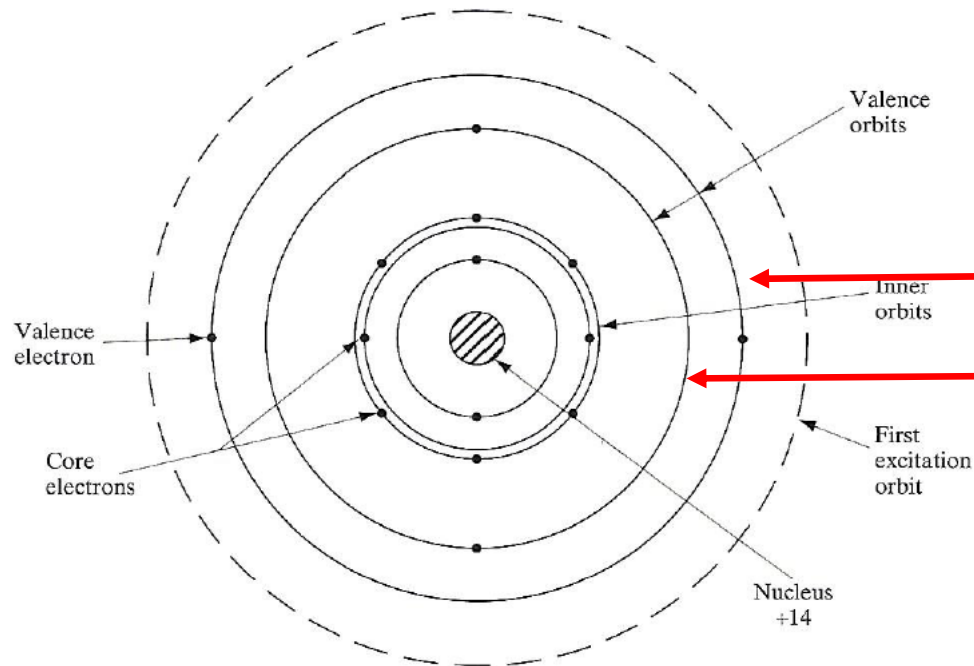
# Electronic Configuration of Elements

Atomic number (Z)	Ele- ment	$n=1$ $l=0$	$2$ $0 \quad 1$	$3$ $0 \quad 1 \quad 2$	$4$ $0 \quad 1$	Shorthand notation
		1s	2s 2p	3s 3p 3d	4s 4p	
1	H	1				1s <sup>1</sup>
2	He	2				1s <sup>2</sup>
3	Li	helium core, 2 electrons	1			1s <sup>2</sup> 2s <sup>1</sup>
4	Be		2			1s <sup>2</sup> 2s <sup>2</sup>
5	B		2 1			1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>1</sup>
6	C		2 2			1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>2</sup>
7	N		2 3			1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>3</sup>
8	O		2 4			1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>4</sup>
9	F		2 5			1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>5</sup>
10	Ne		2 6			1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup>
11	Na	neon core, 10 electrons		1		[Ne] 3s <sup>1</sup>
12	Mg			2		3s <sup>2</sup>
13	Al			2 1		3s <sup>2</sup> 3p <sup>1</sup>
14	Si			2 2		3s <sup>2</sup> 3p <sup>2</sup>
15	P			2 3		3s <sup>2</sup> 3p <sup>3</sup>
16	S			2 4		3s <sup>2</sup> 3p <sup>4</sup>
17	Cl			2 5		3s <sup>2</sup> 3p <sup>5</sup>
18	Ar			2 6		3s <sup>2</sup> 3p <sup>6</sup>

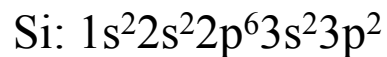
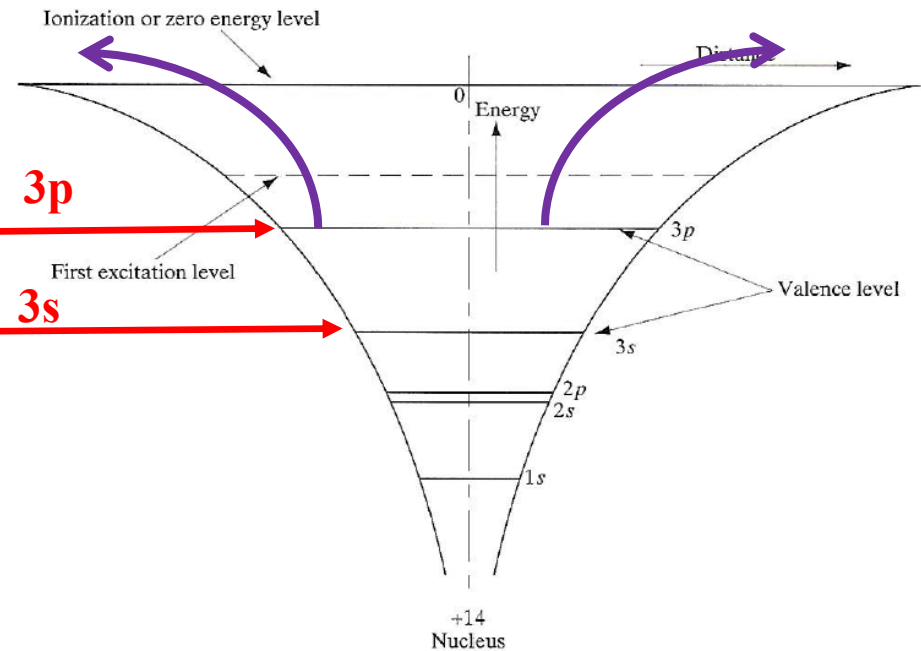
$l=0, 1, 2, 3, 4 \dots$   
s, p, d, f, g ...

# Electronic structure and energy levels in a silicon atom

# Orbital model of a Si atom



## Energy levels in the Coulomb potential



- There are 4 valence electrons of Si (two in 3s states and two in 3p states).
- A Coulomb potential varies as  $1/r$  as a function of distance from the nucleus. Similar to “particle in a box”, the energy level is discrete.

# Summary

- Wave-particle duality:
  - Light with frequency  $\nu$  has photon energy  $E = h\nu$
  - Particles with momentum  $p$  has a wavelength  $\lambda = h/p$
- The energy level in atom is discrete. The states of the electron can be identified using four quantum numbers.
- Quantum number selection rule:
  - $n=1, 2, \dots; \quad \ell=0, \dots, n-1; \quad m=-\ell, \dots, 0, \dots, \ell; \quad s=\pm \frac{1}{2}$
- Pauli exclusion principle: no two electrons can have the same set of quantum number
- Silicon has 4 valence electrons.