



TONGXIN LI  
450 SOUTH CATALINA  
PASADENA  
APT 103  
United States of America CALIFORNIA, 91106

Dear TONGXIN,

Enclosed please find two copies of your 2017 federal income tax return, which you prepared through Sprintax tax software.

File one copy with the Internal Revenue Service and retain the second copy for your records.

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#### Tax Summary

<i>Filing Status</i>	Other married nonresident alien
<i>Gross Income</i>	\$4000
<i>Federal Adjusted Gross Income</i>	\$4000
<i>Federal Taxable Income</i>	\$0
<i>Refund Amount</i>	\$449

We have attached instructions detailing how to file your tax return with the IRS.

#### How much is my refund?

Your federal tax refund is \$ 449. This will be deposited directly into your checking account.

#### How do I file my tax return?

Your tax return must be received by April 17th. However, we recommend you mail your federal return as soon as possible using the United States Post Office certified mail service or an approved delivery service that will provide proof of your mailing date, to:

**Department of the Treasury**  
Internal Revenue Service  
Austin, TX 73301-0215, USA



### **When will I receive my refund?**


The IRS will take between 4-6 weeks to process your return, however exact timelines are determined by the IRS.

You can check the status of your federal tax refund at any time by using “Where’s My Refund?”, an interactive tool available on [www.irs.gov](http://www.irs.gov). You can also call the IRS TeleTax System at (800) 829-4477 or the IRS Refund Hotline at (800) 829-1954.

When you call the IRS or visit the website, you’ll need the following:

- ▶ The first SSN/ITIN shown on your federal tax return. If you recently applied for an ITIN, you will need to wait for your ITIN notice in order to check your refund status online or you can just call with a copy of your tax return in front of you.
- ▶ Your filing status (as indicated at the top of your 1040NR/EZ, Filing status section)
- ▶ The exact amount of the refund shown on your federal return (\$amount of the refund)

## Federal Tax Return checklist

1. Review and sign the following form(s) where indicated with a pen mark 

Form	Action
1040NR	Sign on page 2
W8BEN	Sign on page 1 (if present)
8843	Sign on page 2 (if present)

2. Attach copies of all your income and tax withholding statements showing the US income sources you used to prepare your tax return:

Income Document	Quantity
1042-S, Copy C *	3
1099-B, Copy B	1
W-2 form(s), Copy B *	1

\* - If there is a difference between the relevant copies B and C, please attach respectively Copy C of Form W-2 and Copy B of Form 1042-S to your Federal tax return.

3. Confirm that the SSN on all your W2(s) is correct.

3.1. If you don't have your W2(s) or your SSN on your payment document(s) is incorrect, then you'll need to obtain an updated W2 from your employer(s)

4. We recommend you mail your federal return with all necessary supporting documents and attachments as soon as possible using the United States Post Office certified mail service or an approved delivery service that will provide proof of your mailing date, to:

**Department of the Treasury**  
 Internal Revenue Service  
 Austin, TX 73301-0215, USA

# Federal Tax Return Frequently Asked Questions

**How long will it take to process my US tax return?**

The IRS will take between 4-6 weeks to process your return, however exact timelines are determined by the IRS.

**What is the April 17th deadline?**

The April 17th tax deadline is the date by which all tax returns must be filed for the previous year.

If you owe the IRS money and you don't file your tax return by April 17th, the US tax authorities will impose late filing penalties and interest on the amount you owe, so the sooner you submit your tax return, the better.

**How do I know what's happening with my tax return?**

You can check the status of your federal tax return at any time by using "Where's My Refund?", an interactive tool available at [www.irs.gov](http://www.irs.gov). You can also call the IRS TeleTax System at (800) 829-4477 or the IRS Refund Hotline at (800) 829-1954.

When you call the IRS or visit the website, you'll need the following:

- ▶ The first SSN/ITIN shown on your federal tax return. If you recently applied for an ITIN, you will need to wait for your ITIN notice in order to check your refund status online or you can just call with a copy of your tax return in front of you.
- ▶ Your filing status (as indicated at the top of your 1040NR/EZ, Filing status section)
- ▶ The exact amount of the refund shown on your federal return (\$amount of the refund)

**What if I don't have a Social Security Number**

If you never received a Social Security Number you'll need to organize a temporary number. This is called an Individual Taxpayer Identification Number (ITIN). If you did not apply for an ITIN within Sprintax, you can still apply for it at [www.taxback.com/usa-ITIN-numbers.asp](http://www.taxback.com/usa-ITIN-numbers.asp).

**What is a W2 form?**

The W2 form shows the amount of money you earned from that employer and the amount of tax you paid on that income. The W2 form is the official government form you receive from your employer(s) in January after the tax year ends. To claim your US tax refund, the IRS will need copies of your W2 form(s) or final paylips.

If you've misplaced your W2(s) / final paylips or never received it, you'll need to request a new one from your employer.

**What is a 1042-S form?**

If you've worked as a trainee, student, teacher or researcher in the US on a J or F visa, you might have received a 1042-S form instead of a W2 form.

It outlines income such as scholarships, fellowships, self-employment or grants and any income exempt from tax because of a tax treaty. We can use either the W2 or 1042-S to apply for your tax refund.

You should receive the 1042-S by mid-March of the year following the tax year



## Federal Tax Return Frequently Asked Questions

### **What tax returns can I prepare through Sprintax?**

With Sprintax, you can prepare your Federal and State tax returns, FICA tax claim and other required tax forms. Once your taxes are prepared, you will need to mail them to the IRS. Sprintax cannot e-file them for you or mail them to the IRS for you.

### **Can I use an international tax treaty?**

Depending on your nationality and other conditions you may be able to claim a tax refund under international “tax treaties”, which are agreements between the US and other countries that allow you to claim back tax you paid while working abroad.

Sprintax always checks if you’re eligible for an international tax treaty when we prepare your US tax return. Your eligibility depends on factors like your nationality, length of stay, purpose of stay, type of income, your visa and other.

### **Could I owe money to the US tax authorities?**

Depending on how your employer taxed you and what the actual tax liability under the tax law is, you may owe tax or be due a tax refund. If you have a tax liability or if other particular factors apply, then you have an obligation to file a tax return. Sprintax takes into consideration all of these factors.

Remember, if you owe money and don’t file your return before the April 17th deadline, you’ll get penalties and fines added to the amount you owe.



FEDERAL TAX RETURN  
FOR  
TONGXIN LI  
2017

FEDERAL FILING COPY

SIGN AND MAIL TO THE INTERNAL REVENUE SERVICE

## **Interest Income Statement**

**Name:** TONGXIN LI

**SSN:** 397-71-9647

**Address:** ROOM 206, UNIT 3, BUILDING 12, BLOCK A, WHEN

HOUMA

043000

CHINA

**1042- S, box 2, Gross Income** **\$** 500

**1042-S, box 7, Federal Income tax withheld** **\$** 0

**Interest on deposits for nonresident taxpayer is exempt from tax under IRC 871(i), providing that such interest is not effectively connected with a U.S. trade or business and arises from deposits which are: deposits with persons carrying on the banking business, savings and loan or similar associations, or credit unions as described in publication 515. A copy of Form 1042-S is attached to the return for your reference.**

## Capital Gains Statement

**Name:** TONGXIN LI

**SSN:** 397-71-9647

**Address:** ROOM 206, UNIT 3, BUILDING 12, BLOCK A, WHEN QUAN CHENG SHI HUA YUAN, BEI HUAN ROAD

HOUMA

043000

CHINA

1099-B, box 2a,      Stocks, bonds, etc.:      \$ 5714

1099-B, box 3,      Cost or other basis:      \$ 5798

1099-B, box 4,      Federal Income tax withheld:      \$ 0

The capital gains earned by nonresident taxpayer are exempt from tax under IRC 871(a)(2) and are therefore not subject to reporting and withholding of federal income tax. A copy of Form 1099-B is attached to the return for your reference.



Form <b>1040NR</b>		<b>U.S. Nonresident Alien Income Tax Return</b>		OMB No. 1545-0074	
Department of the Treasury Internal Revenue Service		▶ Go to <a href="http://www.irs.gov/Form1040NR">www.irs.gov/Form1040NR</a> for instructions and the latest information.			
		For the year January 1–December 31, 2017, or other tax year			
beginning		, 2017, and ending		, 20	
		<b>2017</b>			
Please print or type	Your first name and initial TONGXIN		Last name LI		Identifying number (see instructions) 397-71-9647
	Present home address (number, street, and apt. no., or rural route). If you have a P.O. box, see instructions. 450 SOUTH CATALINA, ap. APT 103				Check if: <input checked="" type="checkbox"/> Individual <input type="checkbox"/> Estate or Trust
	City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below. See instructions. PASADENA, CALIFORNIA 91106				
	Foreign country name		Foreign province/state/county		Foreign postal code
Filing Status	1 <input type="checkbox"/> Single resident of Canada or Mexico or single U.S. national				
	2 <input type="checkbox"/> Other single nonresident alien				
	3 <input type="checkbox"/> Married resident of Canada or Mexico or married U.S. national				
Check only one box.	4 <input type="checkbox"/> Married resident of South Korea				
	5 <input checked="" type="checkbox"/> Other married nonresident alien				
	6 <input type="checkbox"/> Qualifying widow(er) (see instructions)				
If you checked box 3 or 4 above, enter the information below. Child's name ▶					
(i) Spouse's first name and initial		(ii) Spouse's last name		(iii) Spouse's identifying number	
Exemptions	7a <input checked="" type="checkbox"/> <b>Yourself.</b> If someone can claim you as a dependent, <b>do not</b> check box 7a . . . . .				
	b <input type="checkbox"/> <b>Spouse.</b> Check box 7b only if you checked box 3 or 4 above <b>and</b> your spouse <b>did not</b> have any U.S. gross income . . . . .				
	c <b>Dependents:</b> (see instructions)				
	(1) First name Last name (2) Dependent's identifying number (3) Dependent's relationship to you (4) <input checked="" type="checkbox"/> if qualifying child for child tax credit (see instr.)				
	d Total number of exemptions claimed . . . . .				
Income Effectively Connected With U.S. Trade/ Business	8 Wages, salaries, tips, etc. Attach Form(s) W-2 . . . . .				
	9a Taxable interest . . . . .				
	b Tax-exempt interest. <b>Do not</b> include on line 9a . . . . . 9b				
	10a Ordinary dividends . . . . .				
	b Qualified dividends (see instructions) . . . . . 10b				
	11 Taxable refunds, credits, or offsets of state and local income taxes (see instructions) . . . . .				
	12 Scholarship and fellowship grants. Attach Form(s) 1042-S or required statement (see instructions) . . . . .				
	13 Business income or (loss). Attach Schedule C or C-EZ (Form 1040) . . . . .				
	14 Capital gain or (loss). Attach Schedule D (Form 1040) if required. If not required, check here <input type="checkbox"/> . . . . .				
	15 Other gains or (losses). Attach Form 4797 . . . . .				
	16a IRA distributions . . . . . 16a 0 16b Taxable amount (see instructions) . . . . . 16b 0				
	17a Pensions and annuities . . . . . 17a 0 17b Taxable amount (see instructions) . . . . . 17b 0				
	18 Rental real estate, royalties, partnerships, trusts, etc. Attach Schedule E (Form 1040) . . . . .				
	19 Farm income or (loss). Attach Schedule F (Form 1040) . . . . .				
	20 Unemployment compensation . . . . .				
Adjusted Gross Income	21 Other income. List type and amount (see instructions) . . . . .				
	22 Total income exempt by a treaty from page 5, Schedule OI, Item L (1)(e) . . . . . 22 5000				
	23 Combine the amounts in the far right column for lines 8 through 21. This is your <b>total effectively connected income</b> . . . . . ▶ 23 4000				
	24 Educator expenses (see instructions) . . . . . 24				
	25 Health savings account deduction. Attach Form 8889 . . . . . 25 0				
	26 Moving expenses. Attach Form 3903 . . . . . 26 0				
	27 Deductible part of self-employment tax. Attach Schedule SE (Form 1040) . . . . . 27				
	28 Self-employed SEP, SIMPLE, and qualified plans . . . . . 28 0				
	29 Self-employed health insurance deduction (see instructions) . . . . . 29 0				
	30 Penalty on early withdrawal of savings . . . . . 30 0				
31 Scholarship and fellowship grants excluded . . . . . 31 0					
32 IRA deduction (see instructions) . . . . . 32 0					
33 Student loan interest deduction (see instructions) . . . . . 33 0					
34 Domestic production activities deduction. Attach Form 8903 . . . . . 34 0					
35 Add lines 24 through 34 . . . . . 35 0					
36 Subtract line 35 from line 23. This is your <b>adjusted gross income</b> . . . . . ▶ 36 4000					
For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see instructions.					
Cat. No. 11364D					
Form <b>1040NR</b> (2017)					

<b>Tax and Credits</b>	<b>37</b>	Amount from line 36 (adjusted gross income) . . . . .				<b>37</b>	4000
	<b>38</b>	<b>Itemized deductions</b> from page 3, Schedule A, line 15 . . . . .				<b>38</b>	214
	<b>39</b>	Subtract line 38 from line 37 . . . . .				<b>39</b>	3786
	<b>40</b>	Exemptions (see instructions) . . . . .				<b>40</b>	4050
	<b>41</b>	<b>Taxable income.</b> Subtract line 40 from line 39. If line 40 is more than line 39, enter -0- . . . . .				<b>41</b>	0
	<b>42</b>	<b>Tax</b> (see inst.). Check if any is from Form(s): <b>a</b> <input type="checkbox"/> 8814 <b>b</b> <input type="checkbox"/> 4972 . . . . .				<b>42</b>	0
	<b>43</b>	<b>Alternative minimum tax</b> (see instructions). Attach Form 6251 . . . . .				<b>43</b>	0
	<b>44</b>	Excess advance premium tax credit repayment. Attach Form 8962 . . . . .				<b>44</b>	
	<b>45</b>	Add lines 42, 43, and 44 . . . . . <b>▶</b>				<b>45</b>	0
	<b>46</b>	Foreign tax credit. Attach Form 1116 if required . . . . .	<b>46</b>	0			
	<b>47</b>	Credit for child and dependent care expenses. Attach Form 2441 . . . . .	<b>47</b>	0			
	<b>48</b>	Retirement savings contributions credit. Attach Form 8880 . . . . .	<b>48</b>	0			
	<b>49</b>	Child tax credit. Attach Schedule 8812, if required . . . . .	<b>49</b>	0			
	<b>50</b>	Residential energy credit. Attach Form 5695 . . . . .	<b>50</b>	0			
	<b>51</b>	Other credits from Form: <b>a</b> <input type="checkbox"/> 3800 <b>b</b> <input type="checkbox"/> 8801 <b>c</b> <input type="checkbox"/> . . . . .	<b>51</b>	0			
<b>52</b>	Add lines 46 through 51. These are your <b>total credits</b> . . . . .	<b>52</b>	0				
<b>53</b>	Subtract line 52 from line 45. If line 52 is more than line 45, enter -0- . . . . . <b>▶</b>				<b>53</b>	0	
<b>Other Taxes</b>	<b>54</b>	Tax on income not effectively connected with a U.S. trade or business from page 4, Schedule NEC, line 15 . . . . .				<b>54</b>	0
	<b>55</b>	Self-employment tax. Attach Schedule SE (Form 1040) . . . . . Exempt . . . . .				<b>55</b>	
	<b>56</b>	Unreported social security and Medicare tax from Form: <b>a</b> <input type="checkbox"/> 4137 <b>b</b> <input type="checkbox"/> 8919 . . . . .				<b>56</b>	0
	<b>57</b>	Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required . . . . .				<b>57</b>	0
	<b>58</b>	Transportation tax (see instructions) . . . . .				<b>58</b>	0
	<b>59a</b>	Household employment taxes from Schedule H (Form 1040) . . . . .				<b>59a</b>	
	<b>59b</b>	<b>b</b> First-time homebuyer credit repayment. Attach Form 5405 if required . . . . .				<b>59b</b>	
	<b>60</b>	Taxes from: <b>a</b> <input type="checkbox"/> Form 8959 <b>b</b> <input type="checkbox"/> Instructions; enter code(s) . . . . .				<b>60</b>	0
<b>61</b>	Add lines 53 through 60. This is your <b>total tax</b> . . . . . <b>▶</b>				<b>61</b>	0	
<b>Payments</b>	<b>62</b>	Federal income tax withheld from:					
	<b>a</b>	Form(s) W-2 and 1099 . . . . .	<b>62a</b>	449			
	<b>b</b>	Form(s) 8805 . . . . .	<b>62b</b>	0			
	<b>c</b>	Form(s) 8288-A . . . . .	<b>62c</b>	0			
	<b>d</b>	Form(s) 1042-S . . . . .	<b>62d</b>	0			
	<b>63</b>	2017 estimated tax payments and amount applied from 2016 return . . . . .		<b>63</b>			0
	<b>64</b>	Additional child tax credit. Attach Schedule 8812 . . . . .		<b>64</b>			0
	<b>65</b>	Net premium tax credit. Attach Form 8962 . . . . .		<b>65</b>			
	<b>66</b>	Amount paid with request for extension to file (see instructions) . . . . .		<b>66</b>			0
	<b>67</b>	Excess social security and tier 1 RRTA tax withheld (see instructions) . . . . .		<b>67</b>			0
	<b>68</b>	Credit for federal tax paid on fuels. Attach Form 4136 . . . . .		<b>68</b>			0
	<b>69</b>	Credits from Form: <b>a</b> <input type="checkbox"/> 2439 <b>b</b> <input type="checkbox"/> Reserved <b>c</b> <input type="checkbox"/> 8885 <b>d</b> <input type="checkbox"/> . . . . .		<b>69</b>			0
<b>70</b>	Credit for amount paid with Form 1040-C . . . . .		<b>70</b>	0			
<b>71</b>	Add lines 62a through 70. These are your <b>total payments</b> . . . . . <b>▶</b>				<b>71</b>	449	
<b>Refund</b> Direct deposit? See instructions.	<b>72</b>	If line 71 is more than line 61, subtract line 61 from line 71. This is the amount you <b>overpaid</b> . . . . .				<b>72</b>	449
	<b>73a</b>	Amount of line 72 you want <b>refunded to you</b> . If Form 8888 is attached, check here . <b>▶</b> <input type="checkbox"/> . . . . .				<b>73a</b>	449
	<b>b</b>	Routing number	3 2 2 2 7 1 6 2 7 <b>▶</b>	<b>c</b> Type: <input checked="" type="checkbox"/> Checking <input type="checkbox"/> Savings			
	<b>d</b>	Account number	2 0 9 6 1 3 7 2 5				
	<b>e</b>	If you want your refund check mailed to an address outside the United States not shown on page 1, enter it here. . . . .					
	<b>74</b>	Amount of line 72 you want <b>applied to your 2018 estimated tax</b> <b>▶</b>		<b>74</b>			
<b>Amount You Owe</b>	<b>75</b>	<b>Amount you owe.</b> Subtract line 71 from line 61. For details on how to pay, see instructions . . . . . <b>▶</b>				<b>75</b>	0
	<b>76</b>	Estimated tax penalty (see instructions) . . . . .				<b>76</b>	
<b>Third Party Designee</b>	Do you want to allow another person to discuss this return with the IRS? See instructions <input type="checkbox"/> <b>Yes</b> . Complete below. <input type="checkbox"/> <b>No</b>						
	Designee's name <b>▶</b>		Phone no. <b>▶</b>		Personal identification number (PIN) <b>▶</b> <input type="text"/>		
<b>Sign Here</b>  Keep a copy of this return for your records.	Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.						
	Your signature <b>▶</b>		Date	Your occupation in the United States		If the IRS sent you an Identity Protection PIN, enter it here (see instr.) <input type="text"/>	
<b>Paid Preparer Use Only</b>	Print/Type preparer's name		Preparer's signature		Date	PTIN	
	Firm's name <b>▶</b>		Firm's EIN <b>▶</b>				
	Firm's address <b>▶</b>		Phone no.				

**Schedule A—Itemized Deductions** (see instructions)

07

<b>Taxes You Paid</b>	<b>1</b>	State and local income taxes . . . . .	<b>1</b>	214
<b>Gifts to U.S. Charities</b>	<b>2</b>	<b>Caution:</b> If you made a gift and received a benefit in return, see instructions. Gifts by cash or check. If you made any gift of \$250 or more, see instructions . . . . .	<b>2</b>	
	<b>3</b>	Other than by cash or check. If you made any gift of \$250 or more, see instructions. You <b>must</b> attach Form 8283 if the amount of your deduction is over \$500 . . . . .	<b>3</b>	0
	<b>4</b>	Carryover from prior year . . . . .	<b>4</b>	0
	<b>5</b>	Add lines 2 through 4 . . . . .	<b>5</b>	0
<b>Casualty and Theft Losses</b>	<b>6</b>	Casualty or theft loss(es). Attach Form 4684. See instructions . . . . .	<b>6</b>	0
<b>Job Expenses and Certain Miscellaneous Deductions</b>	<b>7</b>	Unreimbursed employee expenses—job travel, union dues, job education, etc. You <b>must</b> attach Form 2106 or Form 2106-EZ if required. See instructions ► . . . . .	<b>7</b>	0
	<b>8</b>	Tax preparation fees . . . . .	<b>8</b>	0
	<b>9</b>	Other expenses. See instructions for expenses to deduct here. List type and amount ► .	<b>9</b>	
	<b>10</b>	Add lines 7 through 9 . . . . .	<b>10</b>	0
	<b>11</b>	Enter the amount from Form 1040NR, line 37 . . . . . <b>11</b> 4000		
	<b>12</b>	Multiply line 11 by 2% (0.02) . . . . .	<b>12</b>	80
	<b>13</b>	Subtract line 12 from line 10. If line 12 is more than line 10, enter -0- . . . . .	<b>13</b>	0
<b>Other Miscellaneous Deductions</b>	<b>14</b>	Other—see instructions for expenses to deduct here. List type and amount ► .	<b>14</b>	0
<b>Total Itemized Deductions</b>	<b>15</b>	Is Form 1040NR, line 37, over the amount shown below for the filing status box you checked on page 1 of Form 1040NR: • \$313,800 if you checked box 6; • \$261,500 if you checked box 1 or 2; or • \$156,900 if you checked box 3, 4, or 5? <input checked="" type="checkbox"/> <b>No.</b> Your deduction is not limited. Add the amounts in the far right column for lines 1 through 14. Also enter this amount on Form 1040NR, line 38. <input type="checkbox"/> <b>Yes.</b> Your deduction may be limited. See the Itemized Deductions Worksheet in the instructions to figure the amount to enter here and on Form 1040NR, line 38.	<b>15</b>	214



**Schedule OI—Other Information** (see instructions)

Answer all questions

- A** Of what country or countries were you a citizen or national during the tax year? CHINA
- B** In what country did you claim residence for tax purposes during the tax year? CHINA
- C** Have you ever applied to be a green card holder (lawful permanent resident) of the United States? . . . . . ☐ Yes ☒ No
- D** Were you ever:
1. A U.S. citizen? . . . . . ☐ Yes ☒ No
2. A green card holder (lawful permanent resident) of the United States? . . . . . ☐ Yes ☒ No
- If you answer "Yes" to (1) or (2), see Pub. 519, chapter 4, for expatriation rules that apply to you.
- E** If you had a visa on the last day of the tax year, enter your visa type. If you did not have a visa, enter your U.S. immigration status on the last day of the tax year. F1
- F** Have you ever changed your visa type (nonimmigrant status) or U.S. immigration status? . . . . . ☒ Yes ☐ No
- If you answered "Yes," indicate the date and nature of the change. ► B2 - TOURIST VISA, 08/10/2017
- G** List all dates you entered and left the United States during 2017. See instructions.
- Note:** If you are a resident of Canada or Mexico AND commute to work in the United States at frequent intervals, **check the box for Canada or Mexico** and skip to item H . . . . . ☐ Canada ☐ Mexico

Date entered United States mm/dd/yy	Date departed United States mm/dd/yy
02/12/2017	02/19/2017
09/08/2017	12/10/2017
12/29/2017	

Date entered United States mm/dd/yy	Date departed United States mm/dd/yy

- H** Give number of days (including vacation, nonworkdays, and partial days) you were present in the United States during:  
2015 0 , 2016 0 , and 2017 105
- I** Did you file a U.S. income tax return for any prior year? . . . . . ☐ Yes ☒ No
- If "Yes," give the latest year and form number you filed . . . ►
- J** Are you filing a return for a trust? . . . . . ☐ Yes ☒ No
- If "Yes," did the trust have a U.S. or foreign owner under the grantor trust rules, make a distribution or loan to a U.S. person, or receive a contribution from a U.S. person? . . . . . ☐ Yes ☐ No
- K** Did you receive total compensation of \$250,000 or more during the tax year? . . . . . ☐ Yes ☒ No
- If "Yes," did you use an alternative method to determine the source of this compensation? . . . . . ☐ Yes ☐ No
- L** Income Exempt from Tax—If you are claiming exemption from income tax under a U.S. income tax treaty with a foreign country, complete (1) through (3) below. See Pub. 901 for more information on tax treaties.
1. Enter the name of the country, the applicable tax treaty article, the number of months in prior years you claimed the treaty benefit, and the amount of exempt income in the columns below. Attach Form 8833 if required. See instructions.

(a) Country	(b) Tax treaty article	(c) Number of months claimed in prior tax years	(d) Amount of exempt income in current tax year
CHINA	20(c)	12	5000
<b>(e) Total.</b> Enter this amount on Form 1040NR, line 22. Do not enter it on line 8 or line 12 . . . . .			5000

2. Were you subject to tax in a foreign country on any of the income shown in 1(d) above? . . . . . ☐ Yes ☒ No
3. Are you claiming treaty benefits pursuant to a Competent Authority determination? . . . . . ☐ Yes ☒ No
- If "Yes," attach a copy of the Competent Authority determination letter to your return.

**Statement for Exempt Individuals and Individuals  
With a Medical Condition**  
For use by alien individuals only.

OMB No. 1545-0074

**2017**Attachment  
Sequence No. **102**Department of the Treasury  
Internal Revenue Service► Go to [www.irs.gov/Form8843](http://www.irs.gov/Form8843) for the latest information.

For the year January 1—December 31, 2017, or other tax year

beginning

, 2017, and ending

, 20

Your first name and initial

Last name

Your U.S. taxpayer identification number, if any

TONGXIN

LI

397-71-9647

**Fill in your  
addresses only if  
you are filing this  
form by itself and  
not with your tax  
return**

Address in country of residence

ROOM 206, UNIT 3, BUILDING 12, BLOCK A, WHEN QUAN  
CHINA, SHANXI  
HOUMA  
CHINA 043000

Address in the United States

450 SOUTH CATALINA AVE  
APT 103  
PASADENA, CA 91106**Part I General Information**

- 1a** Type of U.S. visa (for example, F, J, M, Q, etc.) and date you entered the United States ► B2 02/12/2017
- b** Current nonimmigrant status. If your status has changed, also enter date of change and previous status. See instructions.  
F1 08/10/2017
- 2** Of what country or countries were you a citizen during the tax year? CHINA
- 3a** What country or countries issued you a passport? CHINA
- b** Enter your passport number(s) ► G51954862
- 4a** Enter the actual number of days you were present in the United States during:  
2017 105 2016 0 2015 0
- b** Enter the number of days in 2017 you claim you can exclude for purposes of the substantial presence test ► 105

**Part II Teachers and Trainees**

- 5** For teachers, enter the name, address, and telephone number of the academic institution where you taught in 2017 ►
- 6** For trainees, enter the name, address, and telephone number of the director of the academic or other specialized program you participated in during 2017 ►
- 7** Enter the type of U.S. visa (J or Q) you held during: ► 2011 2012  
2013 2014 2015 2016 . If the type of visa you held during any  
of these years changed, attach a statement showing the new visa type and the date it was acquired.
- 8** Were you present in the United States as a teacher, trainee, or student for any part of 2 of the 6 prior  
calendar years (2011 through 2016)? . . . . . ☐ Yes ☒ No  
If you checked the "Yes" box on line 8, you cannot exclude days of presence as a teacher or trainee unless  
you meet the *Exception* explained in the instructions.

**Part III Students**

- 9** Enter the name, address, and telephone number of the academic institution you attended during 2017 ►  
CALIFORNIA INSTITUTE OF TECHNOLOGY, 1200 E. CALIFORNIA BLVD MC 156-84, PASADENA, 91125, 6263956811
- 10** Enter the name, address, and telephone number of the director of the academic or other specialized program you participated  
in during 2017 ► DANIEL YODER, 1200 E. CALIFORNIA BLVD MC 250-86, PASADENA, CA, 91125, 6263956330
- 11** Enter the type of U.S. visa (F, J, M, or Q) you held during: ► 2011 2012  
2013 2014 J1 2015 2016 . If the type of visa you held during any  
of these years changed, attach a statement showing the new visa type and the date it was acquired.
- 12** Were you present in the United States as a teacher, trainee, or student for any part of more than 5 calendar  
years? . . . . . ☐ Yes ☒ No  
If you checked the "Yes" box on line 12, you must provide sufficient facts on an attached statement to  
establish that you do not intend to reside permanently in the United States.
- 13** During 2017, did you apply for, or take other affirmative steps to apply for, lawful permanent resident status  
in the United States or have an application pending to change your status to that of a lawful permanent  
resident of the United States? . . . . . ☐ Yes ☒ No
- 14** If you checked the "Yes" box on line 13, explain ►

**Part IV Professional Athletes**

- 15** Enter the name of the charitable sports event(s) in the United States in which you competed during 2017 and the dates of competition ► \_\_\_\_\_  
 \_\_\_\_\_
- 16** Enter the name(s) and employer identification number(s) of the charitable organization(s) that benefited from the sports event(s) ► \_\_\_\_\_  
 \_\_\_\_\_

**Note:** You must attach a statement to verify that all of the net proceeds of the sports event(s) were contributed to the charitable organization(s) listed on line 16.

**Part V Individuals With a Medical Condition or Medical Problem**

- 17a** Describe the medical condition or medical problem that prevented you from leaving the United States ► \_\_\_\_\_  
 \_\_\_\_\_
- b** Enter the date you intended to leave the United States prior to the onset of the medical condition or medical problem described on line 17a ► \_\_\_\_\_
- c** Enter the date you actually left the United States ► \_\_\_\_\_

**18 Physician's Statement:**

I certify that \_\_\_\_\_  
 Name of taxpayer

was unable to leave the United States on the date shown on line 17b because of the medical condition or medical problem described on line 17a and there was no indication that his or her condition or problem was preexisting.

\_\_\_\_\_  
 Name of physician or other medical official

\_\_\_\_\_  
 Physician's or other medical official's address and telephone number

\_\_\_\_\_  
 Physician's or other medical official's signature

\_\_\_\_\_  
 Date

**Sign here only if you are filing this form by itself and not with your tax return**

Under penalties of perjury, I declare that I have examined this form and the accompanying attachments, and, to the best of my knowledge and belief, they are true, correct, and complete.

► \_\_\_\_\_  
 Your signature

03.22.18

\_\_\_\_\_  
 Date

FEDERAL TAX RETURN  
FOR  
TONGXIN LI  
2017

YOUR COPY

RETAIN FOR YOUR RECORDS

COPY





## **Interest Income Statement**

**Name:** TONGXIN LI

**SSN:** 397-71-9647

**Address:** ROOM 206, UNIT 3, BUILDING 12, BLOCK A, WHEN

HOUMA

043000

CHINA

**1042- S, box 2, Gross Income** \$ 500

**1042-S, box 7, Federal Income tax withheld** \$ 0

**Interest on deposits for nonresident taxpayer is exempt from tax under IRC 871(i), providing that such interest is not effectively connected with a U.S. trade or business and arises from deposits which are: deposits with persons carrying on the banking business, savings and loan or similar associations, or credit unions as described in publication 515. A copy of Form 1042-S is attached to the return for your reference.**

## Capital Gains Statement

**Name:** TONGXIN LI

**SSN:** 397-71-9647

**Address:** ROOM 206, UNIT 3, BUILDING 12, BLOCK A, WHEN QUAN CHENG SHI HUA YUAN, BEI HUAN ROAD

HOUMA

043000

CHINA

1099-B, box 2a,      Stocks, bonds, etc.:      \$ 5714

1099-B, box 3,      Cost or other basis:      \$ 5798

1099-B, box 4,      Federal Income tax withheld:      \$ 0

The capital gains earned by nonresident taxpayer are exempt from tax under IRC 871(a)(2) and are therefore not subject to reporting and withholding of federal income tax. A copy of Form 1099-B is attached to the return for your reference.

Please print or type	Your first name and initial <b>TONGXIN</b>	Last name <b>LI</b>	Identifying number (see instructions) <b>397-71-9647</b>
	Present home address (number, street, and apt. no., or rural route). If you have a P.O. box, see instructions. <b>450 SOUTH CATALINA, ap. APT 103</b>		Check if: <input checked="" type="checkbox"/> Individual <input type="checkbox"/> Estate or Trust
	City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below. See instructions. <b>PASADENA, CALIFORNIA 91106</b>		
	Foreign country name	Foreign province/state/county	Foreign postal code

Filing Status	<b>1</b> <input type="checkbox"/> Single resident of Canada or Mexico or single U.S. national <b>2</b> <input type="checkbox"/> Other single nonresident alien <b>3</b> <input type="checkbox"/> Married resident of Canada or Mexico or married U.S. national If you checked box 3 or 4 above, enter the information below.		<b>4</b> <input type="checkbox"/> Married resident of South Korea <b>5</b> <input checked="" type="checkbox"/> Other married nonresident alien <b>6</b> <input type="checkbox"/> Qualifying widow(er) (see instructions)	
	Child's name ►			
	(i) Spouse's first name and initial	(ii) Spouse's last name	(iii) Spouse's identifying number	

Exemptions	<b>7a</b> <input checked="" type="checkbox"/> <b>Yourself.</b> If someone can claim you as a dependent, <b>do not</b> check box 7a . . . . .				Boxes checked on 7a and 7b <b>1</b> No. of children on 7c who: • lived with you <b>0</b> • did not live with you due to divorce or separation (see instructions)
	<b>b</b> <input type="checkbox"/> <b>Spouse.</b> Check box 7b only if you checked box 3 or 4 above <b>and</b> your spouse <b>did not</b> have any U.S. gross income . . . . .				
	<b>c Dependents:</b> (see instructions)		(2) Dependent's identifying number	(3) Dependent's relationship to you	(4) <input checked="" type="checkbox"/> if qualifying child for child tax credit (see instr.)
	(1) First name	Last name			<input type="checkbox"/>
					<input type="checkbox"/>
If more than four dependents, see instructions.	<b>d</b> Total number of exemptions claimed . . . . .				Add numbers on lines above ► <b>1</b>

Income Effectively Connected With U.S. Trade/Business	<b>8</b> Wages, salaries, tips, etc. Attach Form(s) W-2 . . . . .		<b>8</b>	4000
	<b>9a</b> Taxable interest . . . . .		<b>9a</b>	
	<b>b</b> Tax-exempt interest. <b>Do not</b> include on line 9a . . . . .		<b>9b</b>	
	<b>10a</b> Ordinary dividends . . . . .		<b>10a</b>	
	<b>b</b> Qualified dividends (see instructions) . . . . .		<b>10b</b>	
	<b>11</b> Taxable refunds, credits, or offsets of state and local income taxes (see instructions) . . . . .		<b>11</b>	0
	<b>12</b> Scholarship and fellowship grants. Attach Form(s) 1042-S or required statement (see instructions) . . . . .		<b>12</b>	0
	<b>13</b> Business income or (loss). Attach Schedule C or C-EZ (Form 1040) . . . . .		<b>13</b>	0
	<b>14</b> Capital gain or (loss). Attach Schedule D (Form 1040) if required. If not required, check here <input type="checkbox"/> . . . . .		<b>14</b>	
	<b>15</b> Other gains or (losses). Attach Form 4797 . . . . .		<b>15</b>	
	<b>16a</b> IRA distributions . . . . .	<b>16a</b> 0	<b>16b</b> Taxable amount (see instructions)	<b>16b</b> 0
	<b>17a</b> Pensions and annuities . . . . .	<b>17a</b> 0	<b>17b</b> Taxable amount (see instructions)	<b>17b</b> 0
	<b>18</b> Rental real estate, royalties, partnerships, trusts, etc. Attach Schedule E (Form 1040) . . . . .		<b>18</b>	
	<b>19</b> Farm income or (loss). Attach Schedule F (Form 1040) . . . . .		<b>19</b>	
	<b>20</b> Unemployment compensation . . . . .		<b>20</b>	0
<b>21</b> Other income. List type and amount (see instructions) . . . . .		<b>21</b>	0	
<b>22</b> Total income exempt by a treaty from page 5, Schedule OI, Item L (1)(e) . . . . .		<b>22</b>	5000	
<b>23</b> Combine the amounts in the far right column for lines 8 through 21. This is your <b>total effectively connected income</b> . . . . .		<b>23</b>	4000	

Adjusted Gross Income	<b>24</b> Educator expenses (see instructions) . . . . .	<b>24</b>	
	<b>25</b> Health savings account deduction. Attach Form 8889 . . . . .	<b>25</b>	0
	<b>26</b> Moving expenses. Attach Form 3903 . . . . .	<b>26</b>	0
	<b>27</b> Deductible part of self-employment tax. Attach Schedule SE (Form 1040) . . . . .	<b>27</b>	
	<b>28</b> Self-employed SEP, SIMPLE, and qualified plans . . . . .	<b>28</b>	0
	<b>29</b> Self-employed health insurance deduction (see instructions) . . . . .	<b>29</b>	0
	<b>30</b> Penalty on early withdrawal of savings . . . . .	<b>30</b>	0
	<b>31</b> Scholarship and fellowship grants excluded . . . . .	<b>31</b>	0
	<b>32</b> IRA deduction (see instructions) . . . . .	<b>32</b>	0
	<b>33</b> Student loan interest deduction (see instructions) . . . . .	<b>33</b>	0
	<b>34</b> Domestic production activities deduction. Attach Form 8903 . . . . .	<b>34</b>	0
	<b>35</b> Add lines 24 through 34 . . . . .	<b>35</b>	0
<b>36</b> Subtract line 35 from line 23. This is your <b>adjusted gross income</b> . . . . .	<b>36</b>	4000	

**Tax and Credits**

<b>37</b>	Amount from line 36 (adjusted gross income) . . . . .	<b>37</b>	4000
<b>38</b>	<b>Itemized deductions</b> from page 3, Schedule A, line 15 . . . . .	<b>38</b>	214
<b>39</b>	Subtract line 38 from line 37 . . . . .	<b>39</b>	3786
<b>40</b>	Exemptions (see instructions) . . . . .	<b>40</b>	4050
<b>41</b>	<b>Taxable income.</b> Subtract line 40 from line 39. If line 40 is more than line 39, enter -0- . . . . .	<b>41</b>	0
<b>42</b>	<b>Tax</b> (see inst.). Check if any is from Form(s): <b>a</b> <input type="checkbox"/> 8814 <b>b</b> <input type="checkbox"/> 4972 . . . . .	<b>42</b>	0
<b>43</b>	<b>Alternative minimum tax</b> (see instructions). Attach Form 6251 . . . . .	<b>43</b>	0
<b>44</b>	Excess advance premium tax credit repayment. Attach Form 8962 . . . . .	<b>44</b>	
<b>45</b>	Add lines 42, 43, and 44 . . . . .	<b>45</b>	0
<b>46</b>	Foreign tax credit. Attach Form 1116 if required . . . . .	<b>46</b>	0
<b>47</b>	Credit for child and dependent care expenses. Attach Form 2441 . . . . .	<b>47</b>	0
<b>48</b>	Retirement savings contributions credit. Attach Form 8880 . . . . .	<b>48</b>	0
<b>49</b>	Child tax credit. Attach Schedule 8812, if required . . . . .	<b>49</b>	0
<b>50</b>	Residential energy credit. Attach Form 5695 . . . . .	<b>50</b>	0
<b>51</b>	Other credits from Form: <b>a</b> <input type="checkbox"/> 3800 <b>b</b> <input type="checkbox"/> 8801 <b>c</b> <input type="checkbox"/> . . . . .	<b>51</b>	0
<b>52</b>	Add lines 46 through 51. These are your <b>total credits</b> . . . . .	<b>52</b>	0
<b>53</b>	Subtract line 52 from line 45. If line 52 is more than line 45, enter -0- . . . . .	<b>53</b>	0

**Other Taxes**

<b>54</b>	Tax on income not effectively connected with a U.S. trade or business from page 4, Schedule NEC, line 15 . . . . .	<b>54</b>	0
<b>55</b>	Self-employment tax. Attach Schedule SE (Form 1040) . . . . . Exempt . . . . .	<b>55</b>	
<b>56</b>	Unreported social security and Medicare tax from Form: <b>a</b> <input type="checkbox"/> 4137 <b>b</b> <input type="checkbox"/> 8919 . . . . .	<b>56</b>	0
<b>57</b>	Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required . . . . .	<b>57</b>	0
<b>58</b>	Transportation tax (see instructions) . . . . .	<b>58</b>	0
<b>59a</b>	Household employment taxes from Schedule H (Form 1040) . . . . .	<b>59a</b>	
<b>59b</b>	<b>b</b> First-time homebuyer credit repayment. Attach Form 5405 if required . . . . .	<b>59b</b>	
<b>60</b>	Taxes from: <b>a</b> <input type="checkbox"/> Form 8959 <b>b</b> <input type="checkbox"/> Instructions; enter code(s) . . . . .	<b>60</b>	0
<b>61</b>	Add lines 53 through 60. This is your <b>total tax</b> . . . . .	<b>61</b>	0

**Payments**

<b>62</b>	Federal income tax withheld from:		
<b>a</b>	Form(s) W-2 and 1099 . . . . .	<b>62a</b>	449
<b>b</b>	Form(s) 8805 . . . . .	<b>62b</b>	0
<b>c</b>	Form(s) 8288-A . . . . .	<b>62c</b>	0
<b>d</b>	Form(s) 1042-S . . . . .	<b>62d</b>	0
<b>63</b>	2017 estimated tax payments and amount applied from 2016 return . . . . .	<b>63</b>	0
<b>64</b>	Additional child tax credit. Attach Schedule 8812 . . . . .	<b>64</b>	0
<b>65</b>	Net premium tax credit. Attach Form 8962 . . . . .	<b>65</b>	
<b>66</b>	Amount paid with request for extension to file (see instructions) . . . . .	<b>66</b>	0
<b>67</b>	Excess social security and tier 1 RRTA tax withheld (see instructions) . . . . .	<b>67</b>	0
<b>68</b>	Credit for federal tax paid on fuels. Attach Form 4136 . . . . .	<b>68</b>	0
<b>69</b>	Credits from Form: <b>a</b> <input type="checkbox"/> 2439 <b>b</b> <input type="checkbox"/> Reserved <b>c</b> <input type="checkbox"/> 8885 <b>d</b> <input type="checkbox"/> . . . . .	<b>69</b>	0
<b>70</b>	Credit for amount paid with Form 1040-C . . . . .	<b>70</b>	0
<b>71</b>	Add lines 62a through 70. These are your <b>total payments</b> . . . . .	<b>71</b>	449

**Refund**

Direct deposit? See instructions.

<b>72</b>	If line 71 is more than line 61, subtract line 61 from line 71. This is the amount you <b>overpaid</b> . . . . .	<b>72</b>	449									
<b>73a</b>	Amount of line 72 you want <b>refunded to you</b> . If Form 8888 is attached, check here . <input type="checkbox"/> . . . . .	<b>73a</b>	449									
<b>b</b>	Routing number <table border="1"><tr><td>3</td><td>2</td><td>2</td><td>2</td><td>7</td><td>1</td><td>6</td><td>2</td><td>7</td></tr></table> . . . . .	3	2	2	2	7	1	6	2	7	<b>c</b>	Type: <input checked="" type="checkbox"/> Checking <input type="checkbox"/> Savings
3	2	2	2	7	1	6	2	7				
<b>d</b>	Account number <table border="1"><tr><td>2</td><td>0</td><td>9</td><td>6</td><td>1</td><td>3</td><td>7</td><td>2</td><td>5</td></tr></table> . . . . .	2	0	9	6	1	3	7	2	5		
2	0	9	6	1	3	7	2	5				
<b>e</b>	If you want your refund check mailed to an address outside the United States not shown on page 1, enter it here.											
<b>74</b>	Amount of line 72 you want <b>applied to your 2018 estimated tax</b> . . . . .	<b>74</b>										

**Amount You Owe**

<b>75</b>	<b>Amount you owe.</b> Subtract line 71 from line 61. For details on how to pay, see instructions . . . . .	<b>75</b>	0
<b>76</b>	Estimated tax penalty (see instructions) . . . . .	<b>76</b>	

**Third Party Designee**

Do you want to allow another person to discuss this return with the IRS? See instructions ☐ **Yes.** Complete below. ☐ **No**

Designee's name  Phone no.  Personal identification number (PIN)

**Sign Here**

Keep a copy of this return for your records.

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your signature  Date  03/22/2018 Your occupation in the United States  Student

If the IRS sent you an Identity Protection PIN, enter it here (see instr.)

**Paid Preparer Use Only**

Print/Type preparer's name <input type="text"/>	Preparer's signature <input type="text"/>	Date <input type="text"/>	Check <input type="checkbox"/> if self-employed <input type="checkbox"/> PTIN <input type="text"/>
Firm's name <input type="text"/>	Firm's EIN <input type="text"/>		
Firm's address <input type="text"/>	Phone no. <input type="text"/>		

**Schedule A—Itemized Deductions** (see instructions)

07

<b>Taxes You Paid</b>	<b>1</b>	State and local income taxes . . . . .	<b>1</b>	214
<b>Gifts to U.S. Charities</b>	<b>2</b>	<b>Caution:</b> If you made a gift and received a benefit in return, see instructions.		
	<b>2</b>	Gifts by cash or check. If you made any gift of \$250 or more, see instructions . . . . .	<b>2</b>	
	<b>3</b>	Other than by cash or check. If you made any gift of \$250 or more, see instructions. You <b>must</b> attach Form 8283 if the amount of your deduction is over \$500 . . . . .	<b>3</b>	0
	<b>4</b>	Carryover from prior year . . . . .	<b>4</b>	0
	<b>5</b>	Add lines 2 through 4 . . . . .	<b>5</b>	0
<b>Casualty and Theft Losses</b>	<b>6</b>	Casualty or theft loss(es). Attach Form 4684. See instructions . . . . .	<b>6</b>	0
<b>Job Expenses and Certain Miscellaneous Deductions</b>	<b>7</b>	Unreimbursed employee expenses—job travel, union dues, job education, etc. You <b>must</b> attach Form 2106 or Form 2106-EZ if required. See instructions ▶	<b>7</b>	0
	<b>8</b>	Tax preparation fees . . . . .	<b>8</b>	0
	<b>9</b>	Other expenses. See instructions for expenses to deduct here. List type and amount ▶	<b>9</b>	
	<b>10</b>	Add lines 7 through 9 . . . . .	<b>10</b>	0
	<b>11</b>	Enter the amount from Form 1040NR, line 37 . . . . .	<b>11</b>	4000
	<b>12</b>	Multiply line 11 by 2% (0.02) . . . . .	<b>12</b>	80
	<b>13</b>	Subtract line 12 from line 10. If line 12 is more than line 10, enter -0- . . . . .	<b>13</b>	0
<b>Other Miscellaneous Deductions</b>	<b>14</b>	Other—see instructions for expenses to deduct here. List type and amount ▶	<b>14</b>	0
<b>Total Itemized Deductions</b>	<b>15</b>	Is Form 1040NR, line 37, over the amount shown below for the filing status box you checked on page 1 of Form 1040NR: • \$313,800 if you checked box 6; • \$261,500 if you checked box 1 or 2; or • \$156,900 if you checked box 3, 4, or 5? <input checked="" type="checkbox"/> <b>No.</b> Your deduction is not limited. Add the amounts in the far right column for lines 1 through 14. Also enter this amount on Form 1040NR, line 38. <input type="checkbox"/> <b>Yes.</b> Your deduction may be limited. See the Itemized Deductions Worksheet in the instructions to figure the amount to enter here and on Form 1040NR, line 38.	<b>15</b>	214





**Schedule OI—Other Information** (see instructions)

Answer all questions

- A** Of what country or countries were you a citizen or national during the tax year? CHINA
- B** In what country did you claim residence for tax purposes during the tax year? CHINA
- C** Have you ever applied to be a green card holder (lawful permanent resident) of the United States? . . . . . ☐ Yes ☒ No
- D** Were you ever:
1. A U.S. citizen? . . . . . ☐ Yes ☒ No
2. A green card holder (lawful permanent resident) of the United States? . . . . . ☐ Yes ☒ No
- If you answer "Yes" to (1) or (2), see Pub. 519, chapter 4, for expatriation rules that apply to you.
- E** If you had a visa on the last day of the tax year, enter your visa type. If you did not have a visa, enter your U.S. immigration status on the last day of the tax year. F1
- F** Have you ever changed your visa type (nonimmigrant status) or U.S. immigration status? . . . . . ☒ Yes ☐ No
- If you answered "Yes," indicate the date and nature of the change. ► B2 - TOURIST VISA, 08/10/2017
- G** List all dates you entered and left the United States during 2017. See instructions.
- Note:** If you are a resident of Canada or Mexico AND commute to work in the United States at frequent intervals, **check the box for Canada or Mexico** and skip to item H . . . . . ☐ Canada ☐ Mexico

Date entered United States mm/dd/yy	Date departed United States mm/dd/yy
02/12/2017	02/19/2017
09/08/2017	12/10/2017
12/29/2017	

Date entered United States mm/dd/yy	Date departed United States mm/dd/yy

- H** Give number of days (including vacation, nonworkdays, and partial days) you were present in the United States during:  
2015 0, 2016 0, and 2017 105
- I** Did you file a U.S. income tax return for any prior year? . . . . . ☐ Yes ☒ No
- If "Yes," give the latest year and form number you filed . . . . .
- J** Are you filing a return for a trust? . . . . . ☐ Yes ☒ No
- If "Yes," did the trust have a U.S. or foreign owner under the grantor trust rules, make a distribution or loan to a U.S. person, or receive a contribution from a U.S. person? . . . . . ☐ Yes ☐ No
- K** Did you receive total compensation of \$250,000 or more during the tax year? . . . . . ☐ Yes ☒ No
- If "Yes," did you use an alternative method to determine the source of this compensation? . . . . . ☐ Yes ☐ No
- L** Income Exempt from Tax—If you are claiming exemption from income tax under a U.S. income tax treaty with a foreign country, complete (1) through (3) below. See Pub. 901 for more information on tax treaties.
1. Enter the name of the country, the applicable tax treaty article, the number of months in prior years you claimed the treaty benefit, and the amount of exempt income in the columns below. Attach Form 8833 if required. See instructions.

(a) Country	(b) Tax treaty article	(c) Number of months claimed in prior tax years	(d) Amount of exempt income in current tax year
CHINA	20(c)	12	5000
<b>(e) Total.</b> Enter this amount on Form 1040NR, line 22. Do not enter it on line 8 or line 12 . . . . .			5000

2. Were you subject to tax in a foreign country on any of the income shown in 1(d) above? . . . . . ☐ Yes ☒ No
3. Are you claiming treaty benefits pursuant to a Competent Authority determination? . . . . . ☐ Yes ☒ No
- If "Yes," attach a copy of the Competent Authority determination letter to your return.

# Statement for Exempt Individuals and Individuals With a Medical Condition

For use by alien individuals only.

**2017**Attachment  
Sequence No. **102**Department of the Treasury  
Internal Revenue Service► Go to [www.irs.gov/Form8843](http://www.irs.gov/Form8843) for the latest information.

For the year January 1—December 31, 2017, or other tax year

beginning

, 2017, and ending

, 20

Your first name and initial

Last name

Your U.S. taxpayer identification number, if any

TONGXIN

LI

397-71-9647

**Fill in your addresses only if you are filing this form by itself and not with your tax return**

Address in country of residence

ROOM 206, UNIT 3, BUILDING 12, BLOCK A, WHEN QUAN  
CHINA, SHANXI  
HOUMA  
CHINA 043000

Address in the United States

CHEN SOUTH CATALAN ABEI HUAN ROAD  
APT 103  
PASADENA, CA 91106

**Part I General Information**

- 1a** Type of U.S. visa (for example, F, J, M, Q, etc.) and date you entered the United States ► B2 02/12/2017
- b** Current nonimmigrant status. If your status has changed, also enter date of change and previous status. See instructions.  
F1 08/10/2017
- 2** Of what country or countries were you a citizen during the tax year? CHINA
- 3a** What country or countries issued you a passport? CHINA
- b** Enter your passport number(s) ► G51954862
- 4a** Enter the actual number of days you were present in the United States during:  
2017 105 2016 0 2015 0
- b** Enter the number of days in 2017 you claim you can exclude for purposes of the substantial presence test ► 105

**Part II Teachers and Trainees**

- 5** For teachers, enter the name, address, and telephone number of the academic institution where you taught in 2017 ►
- 6** For trainees, enter the name, address, and telephone number of the director of the academic or other specialized program you participated in during 2017 ►
- 7** Enter the type of U.S. visa (J or Q) you held during: ► 2011 2012  
2013 2014 2015 2016 . If the type of visa you held during any of these years changed, attach a statement showing the new visa type and the date it was acquired.
- 8** Were you present in the United States as a teacher, trainee, or student for any part of 2 of the 6 prior calendar years (2011 through 2016)? ☐ Yes ☒ No  
If you checked the "Yes" box on line 8, you cannot exclude days of presence as a teacher or trainee unless you meet the *Exception* explained in the instructions.

**Part III Students**

- 9** Enter the name, address, and telephone number of the academic institution you attended during 2017 ►  
CALIFORNIA INSTITUTE OF TECHNOLOGY, 1200 E. CALIFORNIA BLVD MC 156-84, PASADENA, 91125, 6263956811
- 10** Enter the name, address, and telephone number of the director of the academic or other specialized program you participated in during 2017 ► DANIEL YODER, 1200 E. CALIFORNIA BLVD MC 250-86, PASADENA, CA, 91125, 6263956330
- 11** Enter the type of U.S. visa (F, J, M, or Q) you held during: ► 2011 2012  
2013 2014 J1 2015 2016 . If the type of visa you held during any of these years changed, attach a statement showing the new visa type and the date it was acquired.
- 12** Were you present in the United States as a teacher, trainee, or student for any part of more than 5 calendar years? ☐ Yes ☒ No  
If you checked the "Yes" box on line 12, you must provide sufficient facts on an attached statement to establish that you do not intend to reside permanently in the United States.
- 13** During 2017, did you apply for, or take other affirmative steps to apply for, lawful permanent resident status in the United States or have an application pending to change your status to that of a lawful permanent resident of the United States? ☐ Yes ☒ No
- 14** If you checked the "Yes" box on line 13, explain ►



**Part IV Professional Athletes**

- 15** Enter the name of the charitable sports event(s) in the United States in which you competed during 2017 and the dates of competition ► \_\_\_\_\_
- \_\_\_\_\_
- 16** Enter the name(s) and employer identification number(s) of the charitable organization(s) that benefited from the sports event(s) ► \_\_\_\_\_
- \_\_\_\_\_

**Note:** You must attach a statement to verify that all of the net proceeds of the sports event(s) were contributed to the charitable organization(s) listed on line 16.

**Part V Individuals With a Medical Condition or Medical Problem**

- 17a** Describe the medical condition or medical problem that prevented you from leaving the United States ► \_\_\_\_\_
- \_\_\_\_\_
- b** Enter the date you intended to leave the United States prior to the onset of the medical condition or medical problem described on line 17a ► \_\_\_\_\_
- c** Enter the date you actually left the United States ► \_\_\_\_\_

**18 Physician's Statement:**

I certify that \_\_\_\_\_

Name of taxpayer

was unable to leave the United States on the date shown on line 17b because of the medical condition or medical problem described on line 17a and there was no indication that his or her condition or problem was preexisting.

\_\_\_\_\_

Name of physician or other medical official

\_\_\_\_\_

Physician's or other medical official's address and telephone number

\_\_\_\_\_

Physician's or other medical official's signature

\_\_\_\_\_

Date

**Sign here only if you are filing this form by itself and not with your tax return**

Under penalties of perjury, I declare that I have examined this form and the accompanying attachments, and, to the best of my knowledge and belief, they are true, correct, and complete.

\_\_\_\_\_

Your signature

03.22.18

\_\_\_\_\_

Date